• Do not begin the exam until you are told to do so.
• You have 120 minutes to complete the exam.
• There are 120 total points.
• There are 14 pages in this exam.
• Make sure your name and Pennkey (a.k.a. username) is on the top of this page.
• Be sure to allow enough time for all the problems—skim the entire exam first to get a sense of what there is to do.
1. **True or False** (20 points)

   All of the following questions refer to Java programming.

   a. T F Whenever you override the `equals` method of a class, you should be sure to override the `hashCode` method compatibly.

   b. T F If `A` is a subtype of `B`, then `Set<A>` is a subtype of `Set<B>`.

   c. T F When using the Java IO libraries, one should generally wrap a `FileReader` object inside a `BufferedReader` to prevent significant performance problems.

   d. T F It is possible to create an object of type `Set<int>`.

   e. T F An object’s `static` type is always a subtype of its `dynamic` type.

   f. T F The value `null` can be assigned to a variable of any type.

   g. T F A method with the following declaration definitely will not throw an `IOException` to a calling context.

   ```java
   public void m() {...}
   ```

   h. T F The `@Override` annotation prevents accidental overloading of a method.

   i. T F In some cases, dynamic dispatch of a method invocation requires the Java Abstract Stack machine to search the entire stack to find the appropriate code to run next.

   j. T F It is not possible to call a method declared as `static` from within a non-`static` method.
2. Java Design Problem (30 points)

A stack is a collection (like a list or a set) that provides restricted access to an ordered sequence of elements. In this problem, you will apply the design process to implement a linked stack structure; in Problem 3 you will write a program that uses the stack abstraction.

Step 1: Understand the problem. A stack is a collection that offers access only to the top element of an ordered sequence. Think of a stack as a “pile” of objects: you can add something to the top of the stack or take something off the top, but you can’t get to a lower object without removing the ones above it first. We saw one use of a stack in the abstract stack machine in which the elements of the stack were the variable-value binding pairs. Here we will develop a generic stack collection. (There is nothing to do for this step, your understanding will be demonstrated below.)

Step 2: Design the interface. Stacks offer three operations: You can push an element on to the top the stack, you can pop an element from the top of the stack (leaving the rest of the elements alone), or you can ask whether the stack is empty. We thus represent the stack interface in Java like this:

```java
public interface Stack<A> {
    // test whether the stack has any elements
    boolean isEmpty();

    // adds elt to the top of the stack
    void push(A elt);

    // returns the top of the stack (if any)
    // throws NoSuchElementException of the stack is empty
    A pop();
}
```

a. (2 points) Suppose you were going to use the java.util standard libraries. Which of the following types could most naturally be used as the underlying representation of a stack in a class that implements the interface Stack<A>? (Choose one answer.)

- HashSet<A>
- LinkedList<A>
- TreeMap<A,A>
- Object[]
- Queue<Integer>

b. T F (2 points) It is possible to create a class that implements both the Stack<A> and Set<A> interfaces. (true or false)

c. T F (2 points) It is possible to create a class that implements both the Stack<A> and Set<Integer> interfaces. (true or false)
In the rest of this problem, you will implement the `Stack<A>` interface without using the `java.util` libraries. The class is called `LinkedStack<A>`, and it will use a singly-linked, mutable data structure similar to the `queue` and `deque` types from homework 5.

**Step 3: Write test cases.** A stack is supposed to exhibit “last in, first out” (LIFO) behavior: the most recent element pushed is the next one that will be popped. Complete the following test cases that demonstrate this behavior. We have also provided two complete example tests.

```java
import ...;
import java.util.NoSuchElementException;

public class LinkedStackTest {
    @Test
    public void testIsEmpty() {
        LinkedStack<Integer> s = new LinkedStack<Integer>();
        assertEquals(true, s.isEmpty());
    }

    @Test
    public void testPopEmptyException() {
        LinkedStack<Integer> s = new LinkedStack<Integer>();
        try {
            s.pop();
            Assert.fail();
        } catch (NoSuchElementException e) {
            // expected outcome
        }
    }

    // ------------------ FILL IN HOLES BELOW ------------------

    @Test
    public void testPushIsNotEmpty() {
        LinkedStack<Integer> s = new LinkedStack<Integer>();
        s.push(3);
        assertEquals(___________________, s.isEmpty());
    }

    @Test
    public void testPushPushPopPop() {
        LinkedStack<Integer> s = new LinkedStack<Integer>();
        // ------ ADD CODE HERE ------

        assertEquals((Integer) _______________, s.pop());
        assertEquals((Integer) _______________, s.pop());
        assertEquals(_______________, s.isEmpty());
    }
}
```
Step 4: Implement it. Implement the `LinkedStack<A>` class. Use an auxiliary inner class called `Node` to store the (singly-linked, mutable) stack structure—it doesn’t need any methods. **Do not use any library classes;** do *not* use arrays; you should not need to catch any exceptions. The `LinkedStack<A>` default constructor is sufficient. Your code should pass the tests given above.

```java
import java.util.NoSuchElementException;

public class LinkedStack<A> implements Stack<A> {
    // field or fields of the LinkedStack<A> class
    // private inner class of Node data
    private class Node {
        // field or fields of the Node class

        // constructor of the Node class

    }

    // returns true if the Stack is empty
    public boolean isEmpty() {
    }

    // pushes an element onto the top of the stack
    public void push(A elt) {
    }

    // pops the top element off the stack, updating
    // the stack in place
    // throws a NoSuchElementException if the stack is empty
    public A pop() {
    }
}
```
3. **Java Programming** (20 points)

In this problem, you will write a Java program that uses the Stack<> abstraction from Problem 2. Consider the problem of matching well-nested brackets when reading characters from some data source. For example, you might want to determine whether each open parenthesis character ‘(’ is later followed by a matching ‘)’, and similarly for ‘{’ and ‘}’, and ‘[’ and ‘]’. Eclipse uses such an algorithm to check that a Java program doesn’t have syntax errors.

One subtlety is that such brackets should not only match, but they should also be well-nested. The string "( [ )]" is not well-nested because the [ is closed by ) and not ], as it should be. On the other hand, the string "(()[])" is well-nested.

We have written the following test cases that illustrate many more examples of the desired behavior of this method, called BracketMatcher.matched. For the purposes of this problem, the matcher simply ignores non-bracket characters. Be sure you understand these tests before continuing.

```java
import static org.junit.Assert.*;
import java.io.Reader;
import java.io.StringReader;
import org.junit.Test;

public class BracketMatcherTest {
    private void testString(boolean matched, String s) {
        Reader r = new StringReader(s);
        assertEquals(matched, BracketMatcher.matched(r));
    }

    @Test
    public void testMatchesEmpty() {
        testString(true, "");
    }

    @Test
    public void testNoMatchOpen() {
        testString(false, "(");
    }

    @Test
    public void testNoMatchClose() {
        testString(false, ")");
    }

    @Test
    public void testMatchOpenClose() {
        testString(true, "()");
    }

    @Test
    public void testNoMatchOpenOpenClose() {
        testString(false, "(()");
    }

    @Test
    public void testMatchOpenOpenCloseClose() {
        testString(true, "(()")
    }
}
```

6
@Test
public void testMatchOpenOpenCloseCloseJunk() {
    testString(true, "(x(e)xxabxx)");
}

@Test
public void testMatchOpen1Open2Close2Close1() {
    testString(true, "([])");
}

@Test
public void testNoMatchOpen1Open2Close1Close2() {
    testString(false, "([])");
}

@Test
public void testMatchProblemStatement() {
    testString(true, "([()])");
}

@Test
public void testNoMatchOpen1Open2Close1Close2Junk() {
    testString(false, "(ax[asda)b]");
}

@Test
public void testMatchOpen3Open2Open1Close1Close2Close3() {
    testString(true, "{[()]}");
}
}

How do we implement such a matching algorithm? We read through the sequence of characters (provided by a Reader object as in the Spellchecking project). Each time we encounter a left bracket (like ‘[‘), we push it onto a stack. Each time we encounter a right-bracket (like ‘]‘), we pop the top of the stack and check to make sure that it matches. If we ever hit a mismatch, or if the stack is empty when it shouldn’t be, then the sequence isn’t well-nested. After reading all of the sequence, if the stack isn’t empty, the sequence isn’t well matched.

Implement this algorithm on the following page. We have provided a couple utility methods that may be of use. Your code should pass all of the tests above and it should never raise any exceptions.

- For your convenience, the documentation of the read() method of the Reader class is found in the Appendix — you should not need to use any other methods from the reader class.
- You may need to use the intValue() method that is provided by the Integer class. It returns the int underlying a given Integer object. For example, (new Integer(3)).intValue() evaluates to 3.
import java.io.IOException;
import java.io.Reader;

public class BracketMatcher {
    // returns true if c is a legal left bracket
    private static boolean isLeftBracket(int c) {
        return c == '(' || c == '{' || c == '[';
    }

    // returns true if c is a legal right bracket
    private static boolean isRightBracket(int c) {
        return c == ')' || c == '}' || c == ']';
    }

    // returns true if l and r are a matched bracket pair
    private static boolean isBracketPair(int l, int r) {
        return (l == '(' && r == ')') || (l == '{' && r == '}') || (l == '[' && r == ']');
    }

    // Returns true if the sequence of brackets read from r form well−nested matching pairs.
    // Ignores non−bracket characters.
    public static boolean matched(Reader r) {
        // Implementation goes here
    }
}
4. **Abstract Stack Machine** (10 points)

Consider the following Java class (which is similar to the many linked datastructures we’ve seen in class):

```java
public class DNode {
    String name;
    DNode next;
    DNode prev;

    DNode(String name, DNode n, DNode p) {
        this.name = name;
        this.next = n;
        this.prev = p;
    }

    void foo() { ... }
}
```

Suppose that, for some inscrutable reason, you wanted to write a program to construct the following stack and heap configuration:

![Diagram of stack and heap configuration]

Write a sequence of Java commands for the body of method `foo` that would create the ASM configuration shown above (ignore the workspace that would be saved on the stack by a call to `foo()`). Note that there is only one variable on the stack.
5. Java’s Type System (8 points)

Consider the following class definitions:

```java
class S {
}
class T extends S {
}
class U extends S {
}
class V extends U {
}
```

For each of the questions below, circle all the correct answers—there may be zero, one, or more.

a. What is the static type of \( x \) in the code below?
   ```java
   S x = new V();
x = new U();
   ```
   a. Object  
   b. S  
   c. T  
   d. U  
   e. V

b. What is the dynamic type of the value stored in \( x \) after running the code below?
   ```java
   S x = new V();
x = new U();
   ```
   a. Object  
   b. S  
   c. T  
   d. U  
   e. V

c. Which types can we place in the hole marked `__?__` below so that no `ClassCastException` is thrown when this program is run?
   ```java
   Object o = new U();
   Object x = (__?__)o;
   ```
   a. Object  
   b. S  
   c. T  
   d. U  
   e. V

d. Which types, when placed in the hole marked `__?__` below, cause the compiler to generate an “incompatible types” error message?
   ```java
   T t = new T();
   boolean b = t instanceof __?__;
   ```
   a. Object  
   b. S  
   c. T  
   d. U  
   e. V
6. First-class Functions and fold (12 points)

Recall the OCaml definition of binary trees, and consider the fold function for such trees:

```ocaml
type 'a tree =
  | Empty
  | Node of ('a tree) * 'a * ('a tree)

let rec fold (combine : 'a -> 'b -> 'b -> 'b) (base : 'b) (t : 'a tree) : 'b =
begin match t with
  | Empty -> base
  | Node(lt, x, rt) -> combine x (fold combine base lt) (fold combine base rt)
end
```

In this problem, you will explain how many functions can be written in terms of fold. Consider the following recursive tree functions:

```ocaml
let rec sum (t : int tree) : int =
begin match t with
  | Empty -> 0
  | Node(lt, x, rt) -> (sum lt) + x + (sum rt)
end

let rec size (t : 'a tree) : int =
begin match t with
  | Empty -> 0
  | Node(lt, _, rt) -> (size lt) + 1 + (size rt)
end

let rec is_full (t : 'a tree) : bool =
begin match t with
  | Empty -> true
  | Node(lt, _, rt) ->
    ((size lt) = (size rt)) && is_full lt && is_full rt
end

let rec preorder (t : 'a tree) : 'a list =
begin match t with
  | Empty -> []
  | Node(lt, x, rt) -> x::(preorder lt)@(preorder rt)
end

let rec postorder (t : 'a tree) : 'a list =
begin match t with
  | Empty -> []
  | Node(lt, x, rt) -> (postorder lt)@(postorder rt)@[x]
end

let rec inorder (t : 'a tree) : 'a list =
begin match t with
  | Empty -> []
  | Node(lt, x, rt) -> (inorder lt)@[x]@(inorder rt)
end
```
For each function above, select the combination of combine and base arguments to fold such that you get an equivalent implementation by writing:

\[
\text{fun } t \rightarrow \text{fold combine base } t
\]

<table>
<thead>
<tr>
<th>combine</th>
<th>base</th>
</tr>
</thead>
<tbody>
<tr>
<td>sum</td>
<td></td>
</tr>
<tr>
<td>size</td>
<td></td>
</tr>
<tr>
<td>is_full</td>
<td></td>
</tr>
<tr>
<td>preorder</td>
<td></td>
</tr>
<tr>
<td>postorder</td>
<td></td>
</tr>
<tr>
<td>inorder</td>
<td></td>
</tr>
</tbody>
</table>

Your choices for combine and base are enumerated below. Write the choice a–j for the combine function and the choice a–j for base. If no choice works (i.e. the function cannot be expressed as a fold) write “none” in both slots. You may use the same choice more than once.

### Combine choices:

<table>
<thead>
<tr>
<th>Combine choices:</th>
<th>Base choices:</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{Node(rv, x, lv)} )</td>
<td>(a) \text{true}</td>
</tr>
<tr>
<td>(b) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv + rv} )</td>
<td>(b) \text{false}</td>
</tr>
<tr>
<td>(c) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv + 1 + rv} )</td>
<td>(c) 0</td>
</tr>
<tr>
<td>(d) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv + x + rv} )</td>
<td>(d) 1</td>
</tr>
<tr>
<td>(e) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{(size lv) = (size rv)} )</td>
<td>(e) \text{Empty}</td>
</tr>
<tr>
<td>(f) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv@rv@[x]} )</td>
<td>(f) [ ]</td>
</tr>
<tr>
<td>(g) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv@[x]@rv} )</td>
<td>(g) [x]</td>
</tr>
<tr>
<td>(h) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{[x]@lv@rv} )</td>
<td>(h) lv</td>
</tr>
<tr>
<td>(i) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow x::lv@rv )</td>
<td>(i) rv</td>
</tr>
<tr>
<td>(j) ( \text{fun } x \text{ lv } \text{ rv } \rightarrow \text{lv@rv}@x )</td>
<td>(j) lv@rv</td>
</tr>
</tbody>
</table>
7. Binary Search Trees & OCaml programming (20 points)

This problem uses the same OCaml type of trees as in Problem 6, repeated here for your reference:

```ocaml
type 'a tree =
  | Empty
  | Node of ('a tree) * 'a * ('a tree)
```

a. State the binary search tree invariant in words. We have done the case for Empty trees:

- The Empty tree is a binary search tree.

- The tree Node(lt, x, rt) is a binary search tree if and only if:

b. Write an OCaml function range that, given an integer binary search tree t and integers low and hi such that low < hi, returns the list of BST nodes such that low <= x <= hi (in sorted order). For example, range t 1 6 would yield [1;2;3;5] when t is the tree to the right.

Use the binary search tree invariant to avoid processing more of the tree than necessary. If you need help remembering OCaml syntax, see the examples in problem 6.

(* Assumes: t is a binary search tree *)

```ocaml
let rec range (t:int tree) (low:int) (hi:int) : int list =
```

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Reference Appendix

Make sure all of your answers are written in your exam booklet. These pages are provided for your reference—we will not grade any answers written in this section.

Reader JavaDoc (excerpt) for problem 3

From the Reader JavaDocs:

- `int java.io.Reader.read() throws IOException`

  Reads a single character. This method will block until a character is available, an I/O error occurs, or the end of the stream is reached.

  Subclasses that intend to support efficient single-character input should override this method.

  **Returns:** The character read, as an integer in the range 0 to 65535 (0x00-0xffff), or -1 if the end of the stream has been reached

  **Throws:** IOException — If an I/O error occurs