

# Automata, Computability and Complexity

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## Homework 2

January 23, 2020; Due January 30, 2020, beginning of class

**Problem B1 (70 pts).** Let  $\Sigma = \{a_1, \dots, a_k\}$  be any alphabet. Given a string  $w \in \Sigma^*$ , its reversal  $w^R$  is defined inductively as follows:  $\epsilon^R = \epsilon$ , and  $(ua)^R = au^R$ , where  $a \in \Sigma$  and  $u \in \Sigma^*$ .

A *palindrome* is a string  $w$  such that  $w = w^R$ . Here are some examples of palindromes:

*eye*

*racecar*

*never odd or even*

*god saw I was dog*

*campus motto bottoms up mac*

*do geese see god*

If  $k = 1$ , every string is a palindrome. Therefore we assume that  $k \geq 2$ .

We would like to give a formula giving the number  $p_n$  of all palindromes  $w$  of length  $|w| = n \geq 0$  over the alphabet  $\Sigma = \{a_1, \dots, a_k\}$  with  $k$  letters.

(1) Prove that a palindrome  $w \in \Sigma^*$  is either the empty string  $w = \epsilon$ , or  $w = a$  with  $a \in \Sigma$ , or  $w = aua$  where  $u$  is a palindrome of length  $n - 2$  where  $n = |w| \geq 2$  and  $a \in \Sigma$  is some letter.

(2) Prove that  $p_0 = 1$ ,  $p_1 = k$ , and

$$p_{n+2} = kp_n, \quad \text{for all } n \geq 0.$$

Give a formula for  $p_n$ . Distinguish between the cases where  $n = 2m$  ( $n$  is even) and  $n = 2m + 1$  ( $n$  is odd). You must prove the correctness of your formulae (use induction).

Do **not** give formulae in terms of  $n/2$  when  $n$  is even or  $(n - 1)/2$  when  $n$  odd. Please give formulae for  $p_{2m}$  and  $p_{2m+1}$  in terms of  $m$ .

(3) Prove that the number  $P_n$  of all palindromes  $w$  of length  $\leq n$  (which means that  $0 \leq |w| \leq n$ ) over the alphabet  $\Sigma = \{a_1, \dots, a_k\}$  with  $k$  letters is given by

$$P_{2m} = \frac{2k^{m+1} - k - 1}{k - 1} \quad n = 2m$$

$$P_{2m+1} = \frac{k^{m+2} + k^{m+1} - k - 1}{k - 1} \quad n = 2m + 1,$$

for any natural number  $m \in \mathbb{N}$ . Prove that the number  $Q_n$  of all non-palindromes  $w$  of length  $\leq n$  over the alphabet  $\Sigma = \{a_1, \dots, a_k\}$  is given by

$$Q_{2m} = \frac{k^{2m+1} - 2k^{m+1} + k}{k - 1} \quad n = 2m$$

$$Q_{2m+1} = \frac{k^{2m+2} - k^{m+2} - k^{m+1} + k}{k - 1} \quad n = 2m + 1,$$

for any natural number  $m \in \mathbb{N}$ .

*Hint.* Figure out the total number of strings of length  $\leq n$  over an alphabet of size  $k \geq 2$ .

(4) If  $k = 2$ , prove that if  $m \geq 2$ , then  $P_{2m}/Q_{2m} < 1$  and  $P_{2m+1}/Q_{2m+1} < 1$ , so there are more non-palindromes than palindromes. What is 536 870 909 (in relation to palindromes)? Show that

$$\frac{536\,870\,909}{2^{55} - 1} \approx 2^{-26} \approx 1.4901 \times 10^{-8}.$$

What the interpretation of the above ratio as a probability?

**Problem B2 (30 pts).** Let  $\Sigma$  be any alphabet. For any string  $w \in \Sigma^*$  recall that  $w^n$  is defined inductively as follows:

$$w^0 = \epsilon$$

$$w^{n+1} = w^n w, \quad n \in \mathbb{N}.$$

Prove the following property: for any two strings  $u, v \in \Sigma^*$ ,  $uv = vu$  iff there is some  $w \in \Sigma^*$  such that  $u = w^m$  and  $v = w^n$ , for some  $m, n \geq 0$ .

*Hint.* In the “hard” direction, consider the subcases

- (1)  $|u| = |v|$ ,
- (2)  $|u| < |v|$  and
- (3)  $|u| > |v|$

and use an induction on  $|u| + |v|$ .

**TOTAL: 100 points.**