

Automata, Computability and Complexity

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Homework 5

February 13 2020; Due February 21, 2020, midnight

“B problems” must be turned in.

Do Problem B1 and B2.

Do Problem B3 or B4.

Problem B1 (30 pts). Consider the following NFA accepting the language $L = \{aa, aaa\}^*$ (over the alphabet $\Sigma = \{a\}$):

	ϵ	a
0	\emptyset	$\{1\}$
1	\emptyset	$\{2, 3\}$
2	\emptyset	$\{3\}$
3	$\{0\}$	\emptyset

State 0 is the start state and the only final state.

(1) Apply the subset construction algorithm presented in the notes to obtain a DFA for L (you should get a DFA with 5 states). You must show the transition table of the DFA obtained by applying the subset construction containing the states of the DFA as subsets of the original NFA, the symbolic names you are assigning to them (also called their indices), and the actual transition table, as shown in the notes. You don't need to show the steps that you went through to construct this table.

(2) The language $L = \{aa, aaa\}^*$ is of the form $L = \{a\}^* - S$, where S is a finite set of strings. What exactly is S ?

(3) Give a DFA with 3 states accepting L .

Problem B2 (50 pts). Let R be any regular language over some alphabet Σ . Prove that the language

$$L = \{u \in \Sigma^* \mid \exists v \in \Sigma^*, uv \in R, |u| = |v|\}$$

is regular.

Hint. Think nondeterministically.

Problem B3 (50 pts). Let $D = (Q, \Sigma, \delta, q_0, F)$ be a DFA. Recall that a state $p \in Q$ is *accessible* or *reachable* iff there is some string $w \in \Sigma^*$, such that

$$\delta^*(q_0, w) = p,$$

i.e., there is some path from q_0 to p in D . Consider the following method for computing the set Q_r of reachable states (of D): define the sequence of sets $Q_r^i \subseteq Q$, where

$$Q_r^0 = \{q_0\},$$

$$Q_r^{i+1} = \{q \in Q \mid \exists p \in Q_r^i, \exists a \in \Sigma, q = \delta(p, a)\}.$$

(1) Prove by induction on i that Q_r^i is the set of all states reachable from q_0 using paths of length i (where i counts the number of edges).

Give an example of a DFA such that $Q_r^{i+1} \neq Q_r^i$ for all $i \geq 0$.

(2) Give an example of a DFA such that $Q_r^i \neq Q_r$ for all $i \geq 0$.

(3) Change the inductive definition of Q_r^i as follows:

$$Q_r^{i+1} = Q_r^i \cup \{q \in Q \mid \exists p \in Q_r^i, \exists a \in \Sigma, q = \delta(p, a)\}.$$

Prove that there is a smallest integer i_0 such that

$$Q_r^{i_0+1} = Q_r^{i_0} = Q_r.$$

Define the DFA D_r as follows: $D_r = (Q_r, \Sigma, \delta_r, q_0, F \cap Q_r)$, where $\delta_r: Q_r \times \Sigma \rightarrow Q_r$ is the restriction of δ to Q_r . Explain why D_r is indeed a DFA.

(4) Prove that $L(D_r) = L(D)$.

Problem B4 (50 pts). This problem illustrates the fact that languages can be used to encode complicated geometric shapes.

(1) Given the alphabet $\Sigma = \{0, 1, c\}$, construct a DFA accepting the following language:

$$L_S = \{u_1cu_2c \cdots cu_{n-1}cu_n \mid n \geq 1, u_i \in \{00, 01, 10\}\}.$$

The language L_S has a geometric interpretation as a certain subset of \mathbb{R}^2 (really \mathbb{Q}^2) as follows: Given any string $w = u_1cu_2c \cdots cu_n \in L_S$, if we denote the first character of u_i by x_i and the second character by y_i so that $u_i = x_iy_i$ ($x_i, y_i \in \{0, 1\}$), then we obtain two strings

$$w_x = x_1 \cdots x_n$$

$$w_y = y_1 \cdots y_n.$$

For example, if $w = 01c10c00c10$, then $w_x = 0101$ and $w_y = 1000$. Now, a string $z \in \{0, 1\}^+$ can be interpreted as decimal number in $[0, 1]$ written in base two! Indeed, if

$$z = z_1z_2 \cdots z_k, \quad \text{with } z_i \in \{0, 1\}, \quad 1 \leq i \leq k,$$

we interpret z as the number $n(z) = 0.z_1z_2 \cdots z_k$, given by

$$n(z) = z_12^{-1} + z_22^{-2} + \cdots + z_k2^{-k}.$$

Finally, a string $w = u_1cu_2c \cdots cu_n \in L_S$ is interpreted as the point in \mathbb{R}^2 of coordinates (x_w, y_w) , with

$$\begin{aligned} x_w &= n(w_x) \\ y_w &= n(w_y). \end{aligned}$$

(2) Prove that the set

$$S = \{(x_w, y_w) \in \mathbb{R}^2 \mid w \in L_S\}$$

is the subset of points $(x, y) \in \mathbb{R}^2$ in the triangle T determined by the vertices $A = (0, 0)$, $B = (1, 0)$, $C = (0, 1)$ such that if the binary representations of x and y are

$$x = 0.x_1x_2 \cdots x_n, \quad y = 0.y_1y_2 \cdots y_n,$$

then $(x_i, y_i) \neq (1, 1)$ for $i = 1, \dots, n$.

(3) Given any point $p \in S$ where p is given by its coordinates in binary as

$$p = (0.a_1a_2 \cdots a_n, 0.b_1b_2 \cdots b_n), \quad (n \geq 0)$$

with the understanding that $p = (0, 0) = A$ if $n = 0$, show that any other point $q \in \mathbb{R}^2$ given by

$$q = (0.a_1a_2 \cdots a_nx_1 \cdots x_m, 0.b_1b_2 \cdots b_ny_1 \cdots y_m), \quad (n \geq 0, m \geq 0)$$

(with the understanding that $q = p$ if $m = 0$) belongs to the small square whose lower left corner is p and whose sides have length 2^{-n} , and that any other point $q \in S$ given by

$$q = (0.a_1a_2 \cdots a_nx_1 \cdots x_m, 0.b_1b_2 \cdots b_ny_1 \cdots y_m), \quad (n \geq 0, m \geq 0)$$

belongs to the small lower triangle similar to (A, B, C) contained in the small square that we just defined. For example, if $p = (0.01, 0.10)$ is the point shown in red, then the points $q \in S$ belong to the triangle showed in blue in Figure 1.

Use this fact to prove that S is obtained by using the following recursive procedure. Starting with the triangle $S_0 = T$, form the four smaller triangles obtained by joining the midpoints of the sides of T_0 , and delete the middle triangle. We obtain a subset S_1 of S_0 consisting of the union of three triangles. Repeat the procedure on the remaining three triangles. After n steps we obtain a shape S_n consisting of 3^n triangles. The first two steps of this procedure are illustrated in Figure 2 below.

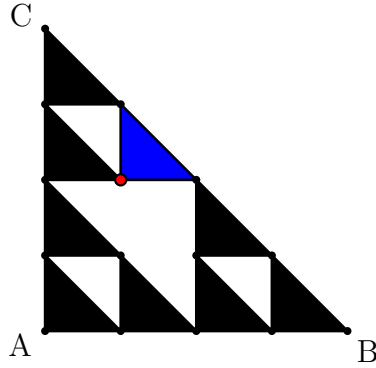


Figure 1: The small triangle containing the points q .

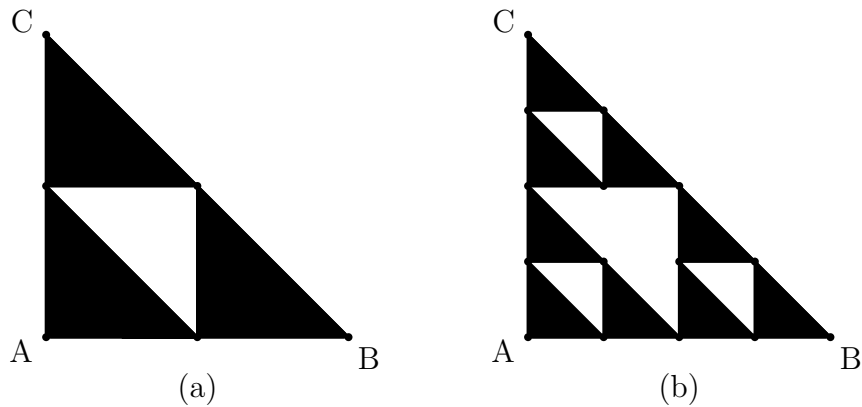


Figure 2: (a) The shape S_1 after one iteration. (b) The shape S_2 after two iterations.

Draw a picture by iterating the above procedure 3 or 4 times. What sort of shape do you get?

Remark: The set of points in S defined above the set of rational points of a fractal set known as the *Sierpinski gasket*. The S_n are approximations of S and S is the limit (in some suitable sense) of the sequence of S_n as n goes to infinity.

Extra Credit (30 pts). Write a computer program to display the Sierpinski gasket. You may use any language available but you must comment your code and explain any parts that are not obvious to understand. Please, submit your source and a printout of the output of your code.

No cheating: I want the version using a *right triangle*, as shown above, **not** the version using an *equilateral triangle*!

What happens to the length of the “perimeter” of S_n , that is, the sum of the lengths of all its boundary edges?

TOTAL: 130 + 30 points