

# Automata, Computability and Complexity

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## Homework 7

March 26, 2020; Due April 7, 2020, beginning of class

“B problems” must be turned in.

**Problem B1 (80 pts).** Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automaton. Define the relations  $\approx$  and  $\sim$  on  $\Sigma^*$  as follows:

$$x \approx y \text{ if and only if, for all } p \in Q, \\ \delta^*(p, x) \in F \text{ iff } \delta^*(p, y) \in F,$$

and

$$x \sim y \text{ if and only if, for all } p \in Q, \delta^*(p, x) = \delta^*(p, y).$$

(a) Show that  $\approx$  is a left-invariant equivalence relation and that  $\sim$  is an equivalence relation that is both left and right invariant. (A relation  $R$  on  $\Sigma^*$  is *left invariant* iff  $uRv$  implies that  $wuRwv$  for all  $w \in \Sigma^*$ , and  $R$  is *left and right invariant* iff  $uRv$  implies that  $xuyRxvy$  for all  $x, y \in \Sigma^*$ .)

(b) Let  $n$  be the number of states in  $Q$  (the set of states of  $D$ ). Show that  $\approx$  has at most  $2^n$  equivalence classes and that  $\sim$  has at most  $n^n$  equivalence classes.

*Hint.* In the case of  $\approx$ , consider the function  $f: \Sigma^* \rightarrow 2^Q$  given by

$$f(u) = \{p \in Q \mid \delta^*(p, u) \in F\}, \quad u \in \Sigma^*,$$

and show that  $x \approx y$  iff  $f(x) = f(y)$ . In the case of  $\sim$ , let  $Q^Q$  be the set of all functions from  $Q$  to  $Q$  and consider the function  $g: \Sigma^* \rightarrow Q^Q$  defined such that  $g(u)$  is the function given by

$$g(u)(p) = \delta^*(p, u), \quad u \in \Sigma^*, \quad p \in Q,$$

and show that  $x \sim y$  iff  $g(x) = g(y)$ .

(c) Given any language  $L \subseteq \Sigma^*$ , define the relations  $\lambda_L$  and  $\mu_L$  on  $\Sigma^*$  as follows:

$$u \lambda_L v \text{ iff, for all } z \in \Sigma^*, \quad zu \in L \text{ iff } zv \in L,$$

and

$$u \mu_L v \text{ iff, for all } x, y \in \Sigma^*, \quad xy \in L \text{ iff } xvy \in L.$$

Prove that  $\lambda_L$  is left-invariant, and that  $\mu_L$  is left and right-invariant. Prove that if  $L$  is regular, then both  $\lambda_L$  and  $\mu_L$  have a finite number of equivalence classes.

*Hint:* Show that the number of classes of  $\lambda_L$  is at most the number of classes of  $\approx$ , and that the number of classes of  $\mu_L$  is at most the number of classes of  $\sim$ .

**Problem B2 (80 pts).** This problem illustrates the power of the congruence version of Myhill-Nerode.

Let  $L$  be any regular language over some alphabet  $\Sigma$ . Define the languages

$$\begin{aligned} L^\infty &= \bigcup_{k \geq 1} \{w^k \mid w \in L\}, \\ L^{1/\infty} &= \{w \mid w^k \in L, \text{ for all } k \geq 1\}, \text{ and} \\ \sqrt{L} &= \{w \mid w^k \in L, \text{ for some } k \geq 1\}. \end{aligned}$$

Also, for any natural number  $k \geq 1$ , let

$$L^{(k)} = \{w^k \mid w \in L\},$$

and

$$L^{(1/k)} = \{w \mid w^k \in L\}.$$

(a) Prove that  $L^{(1/3)}$  is regular. What about  $L^{(3)}$ ?

(b) Let  $k \geq 1$  be any natural number. Prove that there are only finitely many languages of the form  $L^{(1/k)} = \{w \mid w^k \in L\}$  and that they are all regular. (In fact, if  $L$  is accepted by a DFA with  $n$  states, there are at most  $2^{(n^n)}$  languages of the form  $L^{(1/k)}$ ).

(c) Is  $L^{1/\infty}$  regular or not? Is  $\sqrt{L}$  regular or not? What about  $L^\infty$ ?

**TOTAL: 160 points**