

## Chapter 7

# The Post Correspondence Problem; Applications to Undecidability Results

### 7.1 The Post Correspondence Problem

The Post correspondence problem (due to Emil Post) is another undecidable problem that turns out to be a very helpful tool for proving problems in logic or in formal language theory to be undecidable.

**Definition 7.1.** Let  $\Sigma$  be an alphabet with at least two letters. An instance of the *Post Correspondence problem* (for short, PCP) is given by two nonempty sequences  $U = (u_1, \dots, u_m)$  and  $V = (v_1, \dots, v_m)$  of strings  $u_i, v_i \in \Sigma^*$ .

Equivalently, an instance of the PCP is a sequence of pairs  $(u_1, v_1), \dots, (u_m, v_m)$ .

The problem is to find whether there is a (finite) sequence  $(i_1, \dots, i_p)$ , with  $i_j \in \{1, \dots, m\}$  for  $j = 1, \dots, p$ , so that

$$u_{i_1} u_{i_2} \cdots u_{i_p} = v_{i_1} v_{i_2} \cdots v_{i_p}.$$

**Example 7.1.** Consider the following problem:

$$(abab, ababaaa), (aaabbb, bb), (aab, baab), \\ (ba, baa), (ab, ba), (aa, a).$$

There is a solution for the string 1234556:

$$abab\ aaabbb\ aab\ ba\ ab\ ab\ aa = ababaaa\ bb\ baab\ baa\ ba\ ba\ a.$$

If you are not convinced that this is a hard problem, try solving the following instance of the PCP:

$$\{(aab, a), (ab, abb), (ab, bab), (ba, aab).\}$$

The shortest solution is a sequence of length 66.

We are beginning to suspect that this is a hard problem. Indeed, it is undecidable!

**Theorem 7.1.** *(Emil Post, 1946) The Post correspondence problem is undecidable, provided that the alphabet  $\Sigma$  has at least two symbols.*

There are several ways of proving Theorem 7.1, but the strategy is more or less the same: reduce the halting problem to the PCP, by encoding sequences of ID's as partial solutions of the PCP.

In Machtey and Young [?] (Section 2.6), the undecidability of the PCP is shown by demonstrating how to simulate the computation of a Turing machine as a sequence of ID's.

IN the notes, we give a proof involving special kinds of RAM programs (called Post machines in Manna [?]), which is an adaptation of a proof due to Dana Scott presented in Manna [?] (Section 1.5.4, Theorem 1.8).

## 7.2 Some Undecidability Results for CFG's

**Theorem 7.2.** *It is undecidable whether a context-free grammar is ambiguous.*

*Proof.* We reduce the PCP to the ambiguity problem for CFG's. Given any instance  $U = (u_1, \dots, u_m)$  and  $V = (v_1, \dots, v_m)$  of the PCP, let  $c_1, \dots, c_m$  be  $m$  new symbols, and consider the following languages:

$$L_U = \{u_{i_1} \cdots u_{i_p} c_{i_p} \cdots c_{i_1} \mid 1 \leq i_j \leq m, \\ 1 \leq j \leq p, p \geq 1\},$$

$$L_V = \{v_{i_1} \cdots v_{i_p} c_{i_p} \cdots c_{i_1} \mid 1 \leq i_j \leq m, \\ 1 \leq j \leq p, p \geq 1\},$$

and  $L_{U,V} = L_U \cup L_V$ .

We can easily construct a CFG,  $G_{U,V}$ , generating  $L_{U,V}$ . The productions are:

$$\begin{aligned} S &\longrightarrow S_U \\ S &\longrightarrow S_V \\ S_U &\longrightarrow u_i S_U c_i \\ S_U &\longrightarrow u_i c_i \\ S_V &\longrightarrow v_i S_V c_i \\ S_V &\longrightarrow v_i c_i. \end{aligned}$$

It is easily seen that the PCP for  $(U, V)$  has a solution iff  $L_U \cap L_V \neq \emptyset$  iff  $G$  is ambiguous.  $\square$

**Remark:** As a corollary, we also obtain the following result: It is undecidable for arbitrary context-free grammars  $G_1$  and  $G_2$  whether  $L(G_1) \cap L(G_2) = \emptyset$  (see also Theorem 7.4).

Recall that the computations of a Turing Machine,  $M$ , can be described in terms of instantaneous descriptions, *upav*.

We can encode computations

$$ID_0 \vdash ID_1 \vdash \cdots \vdash ID_n$$

halting in a proper ID, as the language,  $L_M$ , consisting all of strings

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k} \# w_{2k+1}^R,$$

or

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k-2} \# w_{2k-1}^R \# w_{2k},$$

where  $k \geq 0$ ,  $w_0$  is a starting ID,  $w_i \vdash w_{i+1}$  for all  $i$  with  $0 \leq i < 2k + 1$  and  $w_{2k+1}$  is proper halting ID in the first case,  $0 \leq i < 2k$  and  $w_{2k}$  is proper halting ID in the second case.

The language  $L_M$  turns out to be the intersection of two context-free languages  $L_M^0$  and  $L_M^1$  defined as follows:

(1) The strings in  $L_M^0$  are of the form

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k} \# w_{2k+1}^R$$

or

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k-2} \# w_{2k-1}^R \# w_{2k},$$

where  $w_{2i} \vdash w_{2i+1}$  for all  $i \geq 0$ , and  $w_{2k}$  is a proper halting ID in the second case.

(2) The strings in  $L_M^1$  are of the form

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k} \# w_{2k+1}^R$$

or

$$w_0 \# w_1^R \# w_2 \# w_3^R \# \cdots \# w_{2k-2} \# w_{2k-1}^R \# w_{2k},$$

where  $w_{2i+1} \vdash w_{2i+2}$  for all  $i \geq 0$ ,  $w_0$  is a starting ID, and  $w_{2k+1}$  is a proper halting ID in the first case.



**Theorem 7.3.** *Given any Turing machine  $M$ , the languages  $L_M^0$  and  $L_M^1$  are context-free, and  $L_M = L_M^0 \cap L_M^1$ .*

*Proof.* We can construct PDA's accepting  $L_M^0$  and  $L_M^1$ . It is easily checked that  $L_M = L_M^0 \cap L_M^1$ .  $\square$

As a corollary, we obtain the following undecidability result:

**Theorem 7.4.** *It is undecidable for arbitrary context-free grammars  $G_1$  and  $G_2$  whether  $L(G_1) \cap L(G_2) = \emptyset$ .*

*Proof.* We can reduce the problem of deciding whether a partial recursive function is undefined everywhere to the above problem. By Rice's theorem, the first problem is undecidable.

However, this problem is equivalent to deciding whether a Turing machine never halts in a proper ID. By Theorem 7.3, the languages  $L_M^0$  and  $L_M^1$  are context-free. Thus, we can construct context-free grammars  $G_1$  and  $G_2$  so that  $L_M^0 = L(G_1)$  and  $L_M^1 = L(G_2)$ . Then,  $M$  never halts in a proper ID iff  $L_M = \emptyset$  iff (by Theorem 7.3),  $L_M = L(G_1) \cap L(G_2) = \emptyset$ .  $\square$

Given a Turing machine  $M$ , the language  $L_M$  is defined over the alphabet  $\Delta = \Gamma \cup Q \cup \{\#\}$ . The following fact is also useful to prove undecidability:

**Theorem 7.5.** *Given any Turing machine  $M$ , the language  $\Delta^* - L_M$  is context-free.*

*Proof.* One can easily check that the conditions for not belonging to  $L_M$  can be checked by a PDA.  $\square$

As a corollary, we obtain:

**Theorem 7.6.** *Given any context-free grammar,  $G = (V, \Sigma, P, S)$ , it is undecidable whether  $L(G) = \Sigma^*$ .*

*Proof.* We can reduce the problem of deciding whether a Turing machine never halts in a proper ID to the above problem.

Indeed, given  $M$ , by Theorem 7.5, the language  $\Delta^* - L_M$  is context-free. Thus, there is a CFG,  $G$ , so that  $L(G) = \Delta^* - L_M$ . However,  $M$  never halts in a proper ID iff  $L_M = \emptyset$  iff  $L(G) = \Delta^*$ .  $\square$

As a consequence, we also obtain the following:

**Theorem 7.7.** *Given any two context-free grammar,  $G_1$  and  $G_2$ , and any regular language,  $R$ , the following facts hold:*

(1)  $L(G_1) = L(G_2)$  is undecidable.

(2)  $L(G_1) \subseteq L(G_2)$  is undecidable.

(3)  $L(G_1) = R$  is undecidable.

(4)  $R \subseteq L(G_2)$  is undecidable.

In contrast to (4), the property  $L(G_1) \subseteq R$  is decidable!

### 7.3 More Undecidable Properties of Languages; Greibach's Theorem

We conclude with a nice theorem of S. Greibach, which is a sort of version of Rice's theorem for families of languages.

Let  $\mathcal{L}$  be a countable family of languages. We assume that there is a coding function  $c: \mathcal{L} \rightarrow \mathbb{N}$  and that this function can be extended to code the regular languages (all alphabets are subsets of some given countably infinite set).

We also assume that  $\mathcal{L}$  is effectively closed under union, and concatenation with the regular languages.

This means that given any two languages  $L_1$  and  $L_2$  in  $\mathcal{L}$ , we have  $L_1 \cup L_2 \in \mathcal{L}$ , and  $c(L_1 \cup L_2)$  is given by a recursive function of  $c(L_1)$  and  $c(L_2)$ , and that for every regular language  $R$ , we have  $L_1R \in \mathcal{L}$ ,  $RL_1 \in \mathcal{L}$ , and  $c(RL_1)$  and  $c(L_1R)$  are recursive functions of  $c(R)$  and  $c(L_1)$ .

Given any language,  $L \subseteq \Sigma^*$ , and any string,  $w \in \Sigma^*$ , we define  $L/w$  by

$$L/w = \{u \in \Sigma^* \mid uw \in L\}.$$

**Theorem 7.8.** (*Greibach*) *Let  $\mathcal{L}$  be a countable family of languages that is effectively closed under union, and concatenation with the regular languages, and assume that the problem  $L = \Sigma^*$  is undecidable for  $L \in \mathcal{L}$  and any given sufficiently large alphabet  $\Sigma$ . Let  $P$  be any nontrivial property of languages that is true for the regular languages, and so that if  $P(L)$  holds for any  $L \in \mathcal{L}$ , then  $P(L/a)$  also holds for any letter  $a$ . Then,  $P$  is undecidable for  $\mathcal{L}$ .*

*Proof.* Since  $P$  is nontrivial for  $\mathcal{L}$ , there is some  $L_0 \in \mathcal{L}$  so that  $P(L_0)$  is false.

Let  $\Sigma$  be large enough, so that  $L_0 \subseteq \Sigma^*$ , and the problem  $L = \Sigma^*$  is undecidable for  $L \in \mathcal{L}$ .

We show that given any  $L \in \mathcal{L}$ , with  $L \subseteq \Sigma^*$ , we can construct a language  $L_1 \in \mathcal{L}$ , so that  $L = \Sigma^*$  iff  $P(L_1)$  holds. Thus, the problem  $L = \Sigma^*$  for  $L \in \mathcal{L}$  reduces to property  $P$  for  $\mathcal{L}$ , and since for  $\Sigma$  big enough, the first problem is undecidable, so is the second.

For any  $L \in \mathcal{L}$ , with  $L \subseteq \Sigma^*$ , let

$$L_1 = L_0 \# \Sigma^* \cup \Sigma^* \# L.$$

Since  $\mathcal{L}$  is effectively closed under union and concatenation with the regular languages, we have  $L_1 \in \mathcal{L}$ .

If  $L = \Sigma^*$ , then  $L_1 = \Sigma^* \# \Sigma^*$ , a regular language, and thus,  $P(L_1)$  holds, since  $P$  holds for the regular languages.

Conversely, we would like to prove that if  $L \neq \Sigma^*$ , then  $P(L_1)$  is false.

Since  $L \neq \Sigma^*$ , there is some  $w \notin L$ . But then,

$$L_1/\#w = L_0.$$

Since  $P$  is preserved under quotient by a single letter, by a trivial induction, if  $P(L_1)$  holds, then  $P(L_0)$  also holds. However,  $P(L_0)$  is false, so  $P(L_1)$  must be false.

Thus, we proved that  $L = \Sigma^*$  iff  $P(L_1)$  holds, as claimed. □

Greibach's theorem can be used to show that it is undecidable whether a context-free grammar generates a regular language.

It can also be used to show that it is undecidable whether a context-free language is inherently ambiguous.