

Penn Engineering ESE 150 - Spring2023, DeHon

ESE

Lecture #5 – Anti-Aliasing

ESE 1500 – DIGITAL AUDIO BASICS

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LECTURE TOPICS

- × Part 1
 - + Where are we on course map?
 - + Review
 - × Nyquist-Shannon Sampling Rate
 - × Aliasing
 - + Multi-frequency signals
- × Part 2
 - + Anti-Alias Filtering
 - + References

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COURSE MAP – WEEK 2

MUSIC

MIC → A/D → 10101001101

sample (2.4)

D/A ← 10101001101 → speaker

MP3 Player / iPhone / Droid

Numbers correspond to course weeks

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NYQUIST SAMPLING

- × Sample at twice the maximum frequency
 - + Can reconstruct perfectly
- × If have frequencies > SampleRate/2
 - + Will get aliasing ... as high frequencies fold

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SAMPLING – WHAT IS THE MINIMUM?

Original Signal: 500 Hz

Aliased (Folded) Signal: 100 Hz

- × What frequency does aliasing occur?
 - + Original Signal's Frequency: 500 Hz
 - + Sampling Rate: 600 Hz
 - + Aliasing occurs at: $600 \text{ Hz} - 500 \text{ Hz} = 100 \text{ Hz}$

Also referred to as "Folding" – signal has "folds over" as if it were lower frequency

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SAMPLING – WHAT IS THE MINIMUM?

- × Generalize
 - + $F' = \text{frequency mod SampleRate}$ (subtract out integer 2π terms)
 - + Alias frequency is
 - × F' if $F' < \text{SampleRate}/2$
 - × $\text{SampleRate} - F'$ if $\text{SampleRate}/2 < F' < \text{SampleRate}$

Reconstructed Frequency (potentially Aliased)

True Frequency

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WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem (week 4 preview!):**
 - + We can decompose continuous signal in terms of a sum of sines and cosines at different frequencies

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PRECLASS 1

| | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | f |
|---|---|---|---|---|---|---|---|---|---|---|----|---|
| L | | | | | | | | | | | | |
| M | | | | | | | | | | | | |
| R | | | | | | | | | | | | |

Properly sampled? Relation?

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WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem (week 4 preview!):**
 - + We can decompose continuous signal in terms of a sum of sines and cosines at different frequencies
 - + This waveform: sum of sine waves at 100Hz and 250Hz
 - What's the Nyquist Sampling Rate then?

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WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem & Nyquist Rate:**
 - + Highest component's frequency?
 - + What is Nyquist Sampling Rate?
 - × 2 x highest frequency contained in the signal
 - × Sampling at this rate: avoids aliasing problem

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EFFECTS OF ALIASING

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ALIASING IN MUSIC...

Preclass 2

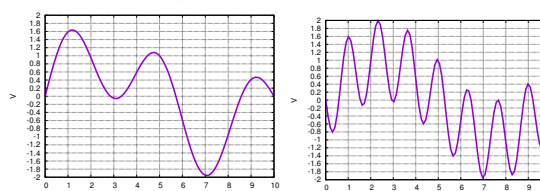
- × **Multirate Signals and Aliasing**
 - + Imagine the above is a music signal (100Hz + 750Hz)
 - × What happens if we undersample? Should sample at 1500 Hz, but instead 1000 Hz
 - × The 100 Hz signal will be sampled just fine (as 200 Hz is 2 x 100 Hz)
 - × What happens to 750 Hz Signal?

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ALIASING IN MUSIC...



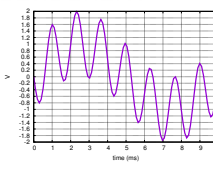
- ✗ **Multirate Signals and Aliasing**
 - + Imagine the above is a music signal (100 Hz and 750 Hz chord)
 - + **Where will 750Hz appear as?**
 - ✗ folding occurs at: $1000 \text{ Hz} - 750 \text{ Hz} = 250 \text{ Hz}$
 - ✗ **Sample rate - frequency = aliasing/folding frequency**

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TREAT FREQUENCIES INDEPENDENTLY



- ✗ **For multi-frequency signals**
 - + Can treat signals independently
- ✗ **Preclass 1 and 2 hints**
 - + Form signal from sum
 - + Can reason about what happens to each frequency
 - ✗ think about what happens to the 750Hz component of the wave independently
 - + Get a composite that is the fold/alias of each of the component frequencies

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Part 2

ANTI-ALIASING

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HOW DO WE FIX THIS?

- ✗ **It's simple...sample at the Nyquist Rate**
 - + But...what if your rate is fixed? Like 24 frames/sec?
 - + Or our eye's sampling rate: 60 cycles/degree
 - ✗ Spatial variations finer than this are undetectable!

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WHY DO WE NEED THE ANTIALIAS FILTER?

- ✗ **If we can't hear anything above 20kHz...**
 - + Why do we need to filter it out?
 - ✗ Dog's can hear from 40 Hz to 60 kHz
 - ✗ so clearly there are sounds above 20 kHz
 - + Let's imagine a high frequency noise in music studio
 - ✗ Sampling at 40kHz to properly capture up to 20kHz
 - Let's say it's a vibration occurring at 25 kHz
 - ✗ No human can hear it, why filter it out?
 - ✗ Because of aliasing:
 - ✗ Frequency aliasing/folding will occur:
 - ✗ **Sample rate - frequency = aliasing/folding frequency**
 - ✗ $40 \text{ kHz} - 25 \text{ kHz} = 15 \text{ kHz}$
 - ✗ The 25 kHz vibration will fold-over to a 15 kHz "hum" or audible noise
 - ✗ It will ruin our recording and source of noise wouldn't be obvious!

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ALIASING AS NOISE SOURCE

- ✗ **The 25 kHz vibration will fold-over to a 15 kHz "hum" or audible noise**
- ✗ **Aliasing provides another noise source**
 - + Difference between our intended frequency and what we reconstruct or hear
 - + $N(t) = R(t) - S(t)$
- ✗ **If don't do anything about it, can be arbitrarily large here**
 - + If only waveform is the noise, 100% error
 - + Generally, depend on how large the alias signals are to the non-aliased

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HOW TO AVOID ALIASING WITH DIGITAL MUSIC?

- ✘ **If we simply sample at 2 x highest frequency of signal...**
 - + (AKA: Nyquist Rate)
 - + ...we won't encounter aliasing!
- ✘ **But how do we guarantee highest frequency of our signal?**
 - + Audio: this is easy!
 - ✘ We know the range of human ear: 20 Hz to 20 kHz... (week 5)
 - ✘ The highest frequency component in music is then: 20 kHz
 - ✘ ...so, before sound goes into ADC, we apply a filter!
 - ✘ Blocks any frequency above 20 kHz from going into ADC
 - ✘ Essentially, we are fixing our sampling rate & 'blurring' or filtering our incoming signal

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WE KNOW HOW TO AVOID ALIASING...

- ✘ **What is a filter you ask?**
 - + Imagine a coffee filter...

Water, Ground coffee beans go into Filter...

Coffee Filter →

Only delicious coffee passes through filter... "grinds" cannot pass

Signals ranging in frequencies from 20Hz to 40kHz (100kHz, ...) go into filter

Electronic Filter ←

Called a "low pass" filter Has a "cutoff" frequency of 20 kHz

Only "delicious" signals ranging from 20Hz to 20kHz pass through filter (aka Audio Signals)

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LOW PASS ANALOG FILTERING

- ✘ **Can limit rate of change ($\Delta V / \Delta T$)**
 - + Set a minimum time period for a value to charge output
- ✘ **If signal tries to move too fast (high frequency)**
 - + The input change won't be reflected in the output
- ✘ **E.g. limit to 1V/2ms**
 - + Left (100Hz) can pass; right (750Hz) cannot

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LOW PASS ANALOG FILTERING

- ✘ **Can limit rate of change ($\Delta V / \Delta T$)**
 - + Set a minimum time period for a value to charge output
- ✘ **If signal tries to move too fast (high frequency)**
 - + The input change won't be reflected in the output
- ✘ **Ideal:** fast inputs "erased" from output
- ✘ **In practice:** magnitude reduced
 - + Reduce noise level
- ✘ Can engineer filters to get closer to ideal 2150, 3190

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FILTER REDUCE MAGNITUDE OF FAST SIGNAL

- ✘ **750Hz wave**
- ✘ **Wants to change -1v to 1v in $(1000ms/750)/2=1.33 \text{ ms}/2=0.667 \text{ ms}$**
- ✘ **At 1V/2ns, can only change 0.33V in 0.667ms**
 - + (0.166V in 0.33ms)

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LOW PASS ANALOG FILTERING (FLAVOR)

- ✘ Transfer V_{in} into Capacitor V_{out}
- ✘ Think of Resistor as straw or hose
 - + Limits rate of electron flow into Capacitor
- ✘ Think of Capacitor as a glass or bucket
 - + Must fill with electrons through Resistor to have Voltage (water) level rise
- ✘ Circuit limits rate of change at V_{out} – limits frequency

Resistor-Capacitor

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FREQUENCY AND SIGNAL CHANGE

- × **There's a direct relationship between frequency of a signal and rate at which signal changes**
 - + Related to period and frequency (period=1/f)
 - + Higher frequency → lower period → higher $\Delta V / \Delta T$
 - + Limit rise time ($\Delta V / \Delta T$) → limit frequency pass through filter
 - + Maximum frequency → limits the rise-time will have

Will rise over 1/2 of the period

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FULL BLOCK DIAGRAM OF DSP SYSTEM

- × **Before ADC, we put music signal through *antialias* filter**
 - + Filter blocks any signals higher than 20 kHz (prevents aliasing!)

Figures from reading: *The Scientist and Engineer's Guide to Digital Signal Processing*, By Steven W. Smith

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FULL BLOCK DIAGRAM OF DSP SYSTEM

- × **Before ADC, we put music signal through *antialias* filter**
 - + Filter blocks any signals higher than 20 kHz (prevents aliasing!)
 - + Then our ADC can safely sample at 2×20 kHz without aliasing
 - × What is our **Nyquist Rate?**
 - $f_s = 2 \times 20 \text{ kHz} = 40 \text{ kHz}$, or 40 thousand samples per second!
 - × What is our **Nyquist Frequency?**
 - $\frac{1}{2} f_s = 20 \text{ kHz}$
 - + Cutoff frequency of our filter? Has to be the Nyquist Frequency

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COMPACT DISC (CD)

- × **CD (late 20th century)**
 - + First form of digitized music
 - × ADC → DSP → DAC
 - + Up until this time, music was...
 - × ...exact reproduction (record, tape)
 - + Nyquist Sampling Rate: 44.1 kHz
 - + Nyquist Frequency: $\frac{1}{2} (44.1 \text{ kHz}) = 22.05 \text{ kHz}$
 - × AKA – upper range of audio
 - × 22.05 = cutoff frequency for low-pass antialias filter

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BIG IDEAS

- × **Sample at twice the maximum frequency**
 - + Can reconstruct perfectly
- × **If have frequencies > sample_freq/2**
 - + Will get aliasing ... as high frequencies fold
- × **Avoid aliasing with analog Anti-Alias (low-pass) prefilter before sampling**
 - + Eliminate high frequencies

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LEARN MORE

- × **ESE2150 – include analog filtering**
- × **ESE3190 – active analog filtering**
- × **ESE2240 – Signal Processing**

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ADMIN

- × **Remember feedback**
- × **Lab 2 today**
 - + Prelab spreadsheets

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