

# Programming Languages and Techniques (CIS120)

## Lecture 5

### Datatypes and Trees

# Recap: Lists, Recursion, & Tuples

# Structural Recursion Over Lists

Structural recursion builds an answer from smaller components:

```
let rec f (l : ... list) ... : ... =  
  begin match l with  
    | [] -> ...  
    | ( hd :: rest ) -> ... f rest ...  
  end
```

The branch for `[]` calculates the value `(f [])` directly.

- this is the *base case* of the recursion

The branch for `hd :: rest` calculates

`(f (hd :: rest))` given `hd` and `(f rest)`.

- this is the *inductive case* of the recursion

What is the result of this expression?

```
f [1; 2] [3;4]
```

```
let rec f (l1:int list) (l2:int list) : int list =  
  begin match l1 with  
    | [] -> l2  
    | x::xs -> x :: f xs l2  
  end
```

```
f [1; 2] [3;4]  
⇒ 1 :: (f [2] [3;4])  
⇒ 1 :: 2 :: (f [] [3;4])  
⇒ 1 :: 2 :: [3;4]  
= [1;2;3;4]
```



What is the type of this expression?

[ 1 ]

1. int
2. int list
3. int list list
4. (int \* int list) list
5. int \* (int list)
6. (int \* int) list
7. *none (expression is ill typed)*

Answer: 2

What is the type of this expression?

```
(1, [1])
```

1. int
2. int list
3. int list list
4. (int \* int list) list
5. int \* (int list)
6. (int \* int) list
7. *none (expression is ill typed)*

Answer: 5

What is the type of this expression?

```
(1, [1], [[1]])
```

1. int
2. int list
3. int list list
4. (int \* int list) list
5. int \* (int list) \* (int list list)
6. (int \* int \* int) list
7. *none (expression is ill typed)*

Answer: 5

What is the type of this expression?

```
[ (1,true); (0, false) ]
```

1. `int * bool`
2. `int list * bool list`
3. `(int * bool) list`
4. `(int * bool) list list`
5. *none (expression is ill typed)*

Answer: 3

What is the type of this expression?

```
(1 :: [], 2 :: [], 3 :: [])
```

1. int
2. int list
3. int list list
4. int list \* int list \* int list
5. int \* int list \* int list list
6. (int \* int \* int) list
7. *none (expression is ill typed)*

Answer: 4

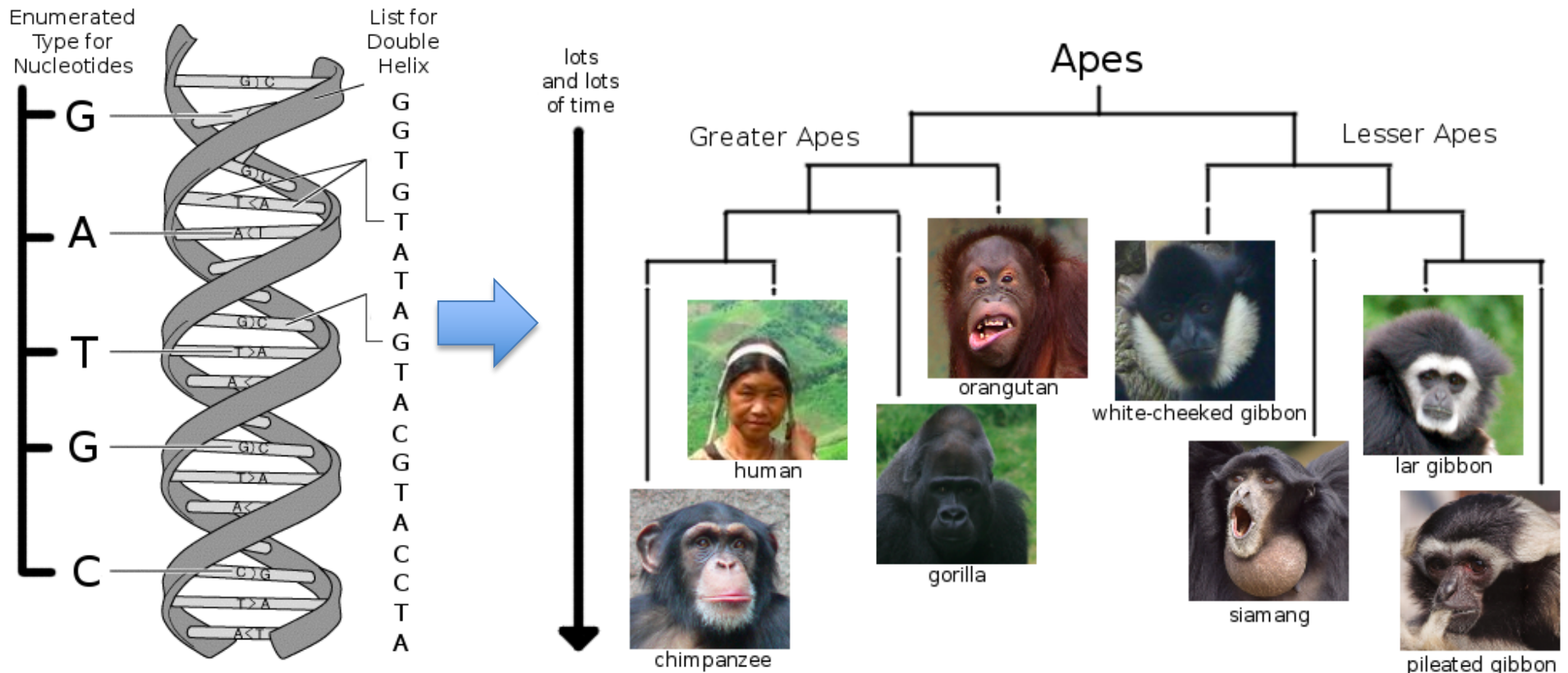
# Datatypes and Trees

# Datatypes

- Programming languages provide a variety of ways of creating and manipulating structured data
- We have already seen:
  - *primitive datatypes* (int, string, bool, ... )
  - *lists* (int list, string list, string list list, ... )
  - *tuples* (int \* int, int \* string, ...)
- Today:
  - *user-defined datatypes*

# HW 2 Case Study: Evolutionary Trees

- Problem: reconstruct evolutionary trees\* from DNA data.
  - What are the relevant abstractions?
  - How can we use the language features to define them?
  - How do the abstractions help shape the program?



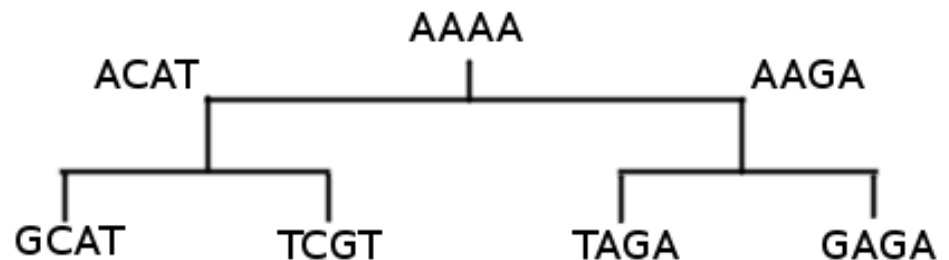
\*Interested? Check this out:

Dawkins: *The Ancestor's Tale: A Pilgrimage to the Dawn of Evolution*



# DNA Computing Abstractions

- Nucleotide
  - Adenine (A), Guanine (G), Thymine (T), or Cytosine (C)
- Helix
  - a sequence of nucleotides: e.g. AGTCCGATTACAGAGA...
  - genetic code for a particular species (human, gorilla, etc)
- Phylogenetic tree
  - Binary tree with helices (species) at the nodes and leaves



# Simple User-Defined Datatypes

- OCaml lets programmers define *new* datatypes

```
type day =  
  | Sunday  
  | Monday  
  | Tuesday  
  | Wednesday  
  | Thursday  
  | Friday  
  | Saturday
```

The diagram shows a Haskell type signature: `type nucleotide = A | C | G | T`. Annotations with arrows point to different parts of the signature:

- An arrow points to the word `type` with the label: `'type' keyword`
- An arrow points to the word `nucleotide` with the label: `type name (must be lowercase)`
- Two arrows point to the list of constructors `A | C | G | T` with the label: `constructor names (tags) (must be capitalized)`

- The constructors *are* the values of the datatype
  - e.g. `A` is a nucleotide and `[A; G; C]` is a nucleotide list

# Pattern Matching Simple Datatypes

- Datatype values can be analyzed by pattern matching:

```
let string_of_n (n:nucleotide) : string =  
  begin match n with  
  | A -> "adenine"  
  | C -> "cytosine"  
  | G -> "guanine"  
  | T -> "thymine"  
  end
```

- One case per constructor
  - you will get a warning if you leave out a case or list one twice
- As with lists, the pattern syntax follows that of the datatype values (i.e. the constructors)

# A Point About Abstraction

- We *could* represent data like this by using integers:
  - Sunday = 0, Monday = 1, Tuesday = 2, etc.
- But:
  - Integers support different operations than days do:  
Wednesday - Monday = Tuesday (?!)
  - There are *more* integers than days (What day is 17?)
- Confusing integers with days can lead to bugs
  - Many “scripting” languages (PHP, Javascript, Perl, Python,...) violate such abstractions (`true == 1 == “1”`), leading to pain and misery...

Most modern languages (Java, C#, C++, Rust, Swift,...) provide user-defined types for these reasons

# Type Abbreviations

- OCaml also lets us *name* types **without** making new abstractions:

```
type helix = nucleotide list
type codon = nucleotide * nucleotide
              * nucleotide
```



type keyword

type  
name

definition in terms of existing types  
no constructors!

- i.e. a codon is the same thing a triple of nucleotides  
`let x : codon = (A, C, C)`
- Can make code easier to read & write

# Data-Carrying Constructors

- Datatype constructors can also carry values

```
type measurement =  
  | Missing  
  | NucCount   of nucleotide * int  
  | CodonCount of codon * int
```

keyword 'of'

Constructors may take a  
tuple of arguments

- Values of type 'measurement' include:

Missing

NucCount(A, 3)

CodonCount((A,G,T), 17)

# Pattern Matching Datatypes

- Pattern matching notation combines syntax of tuples and simple datatype constructors:

```
let get_count (m:measurement) : int =  
  begin match m with  
    | Missing                -> 0  
    | NucCount(_, n)         -> n  
    | CodonCount(_, n)       -> n  
  end
```

- Datatype patterns *bind* identifiers (e.g. 'n') just like lists and tuples

```
type nucleotide = | A | C | G | T
type helix = nucleotide list
```

What is the type of this expression?

```
[A;C]
```

1. nucleotide
2. helix
3. nucleotide list
4. string \* string
5. nucleotide \* nucleotide
6. *none (expression is ill typed)*

Answer: both 2 and 3



```
type nucleotide = | A | C | G | T
type helix = nucleotide list
```

What is the type of this expression?

```
(A, "A")
```

1. nucleotide
2. nucleotide list
3. helix
4. nucleotide \* string
5. string \* string
6. *none (expression is ill typed)*

Answer: 4

# Recursive User-defined Datatypes

- Datatype definitions can mention themselves *recursively*:

```
type tree =  
  | Leaf of helix  
  | Node of tree * helix * tree
```

base constructor  
(nonrecursive)

Node carries a  
tuple of values


recursive occurrences of  
datatype being defined

# Syntax for User-defined Types

```
type tree =  
  | Leaf of helix  
  | Node of tree * helix * tree
```

- Example values of type `tree`

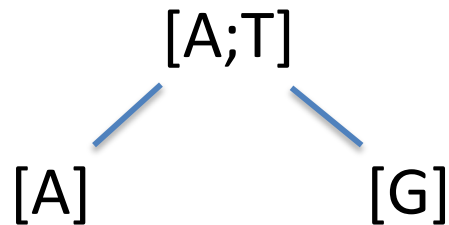
```
let t1 = Leaf [A;G]  
let t2 = Node (Leaf [G], [A;T], Leaf [A])  
let t3 =  
  Node (Leaf [T],  
        [T;T],  
        Node (Leaf [G;C], [G], Leaf []))
```



Constructors  
(note capitalization)

```
type tree =  
  | Leaf of helix  
  | Node of tree * helix * tree
```

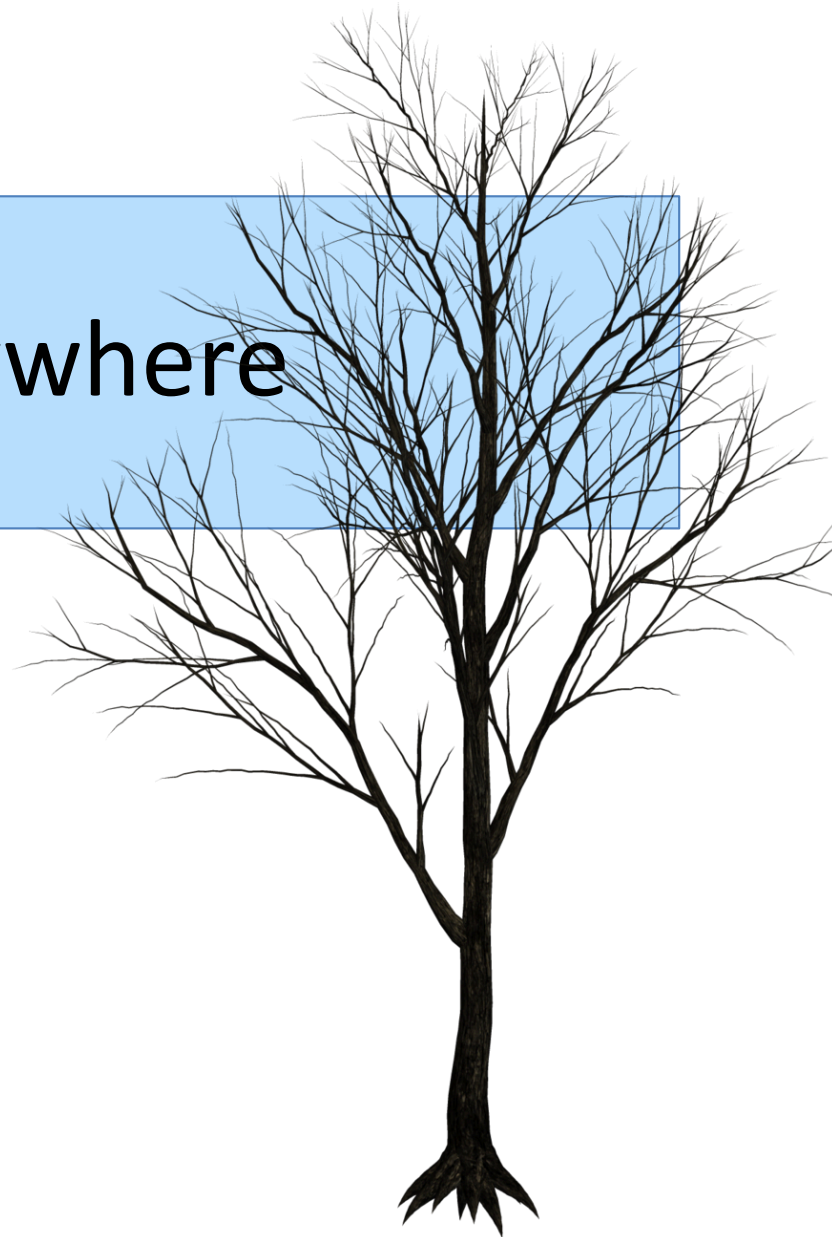
How would you construct this tree in OCaml?



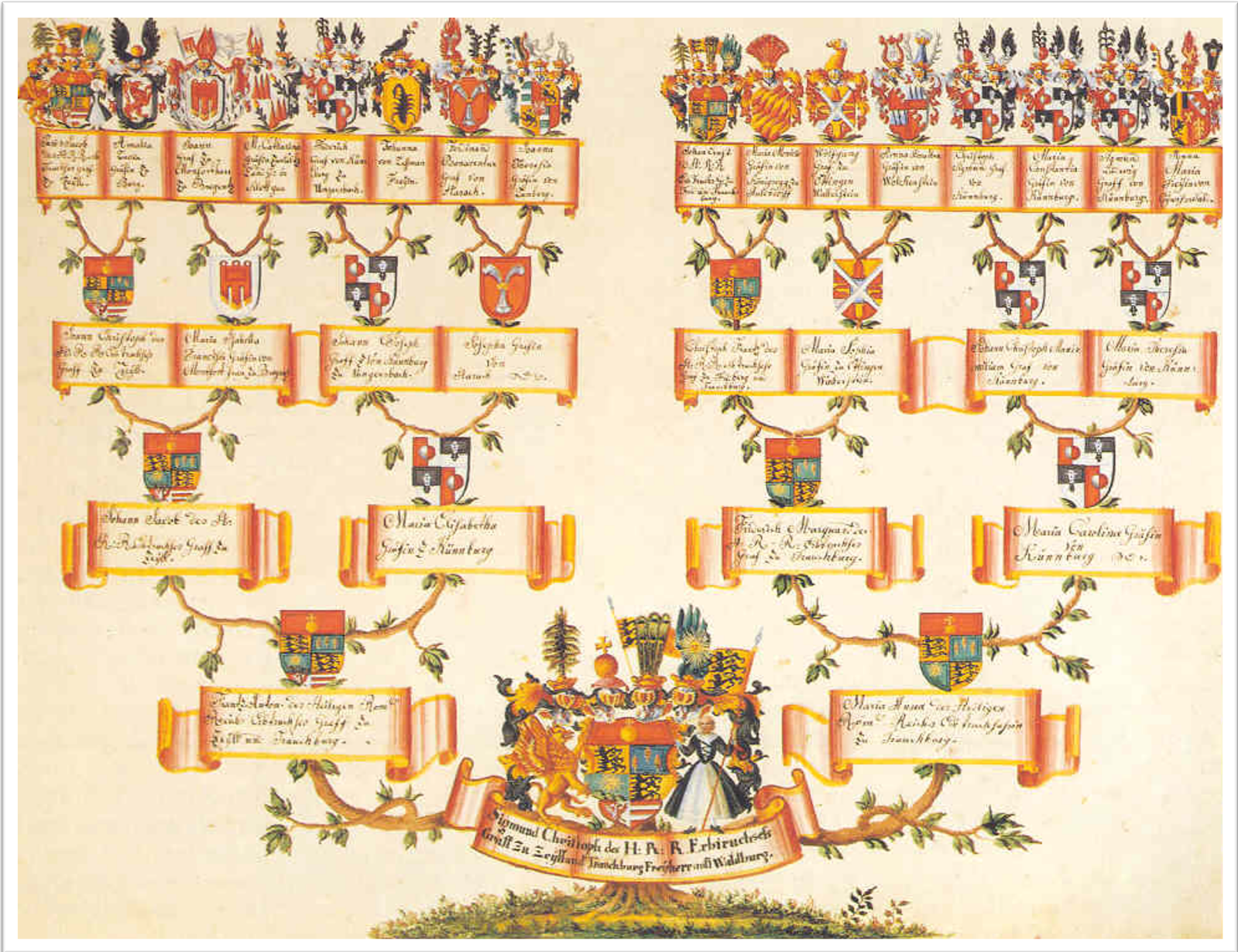
1. Leaf [A;T]
2. Node (Leaf [G], [A;T], Leaf [A])
3. Node (Leaf [A], [A;T], Leaf [G])
4. Node (Leaf [T], [A;T],  
Node (Leaf [G;C], [G], Leaf []))
5. None of the above

Answer: 3

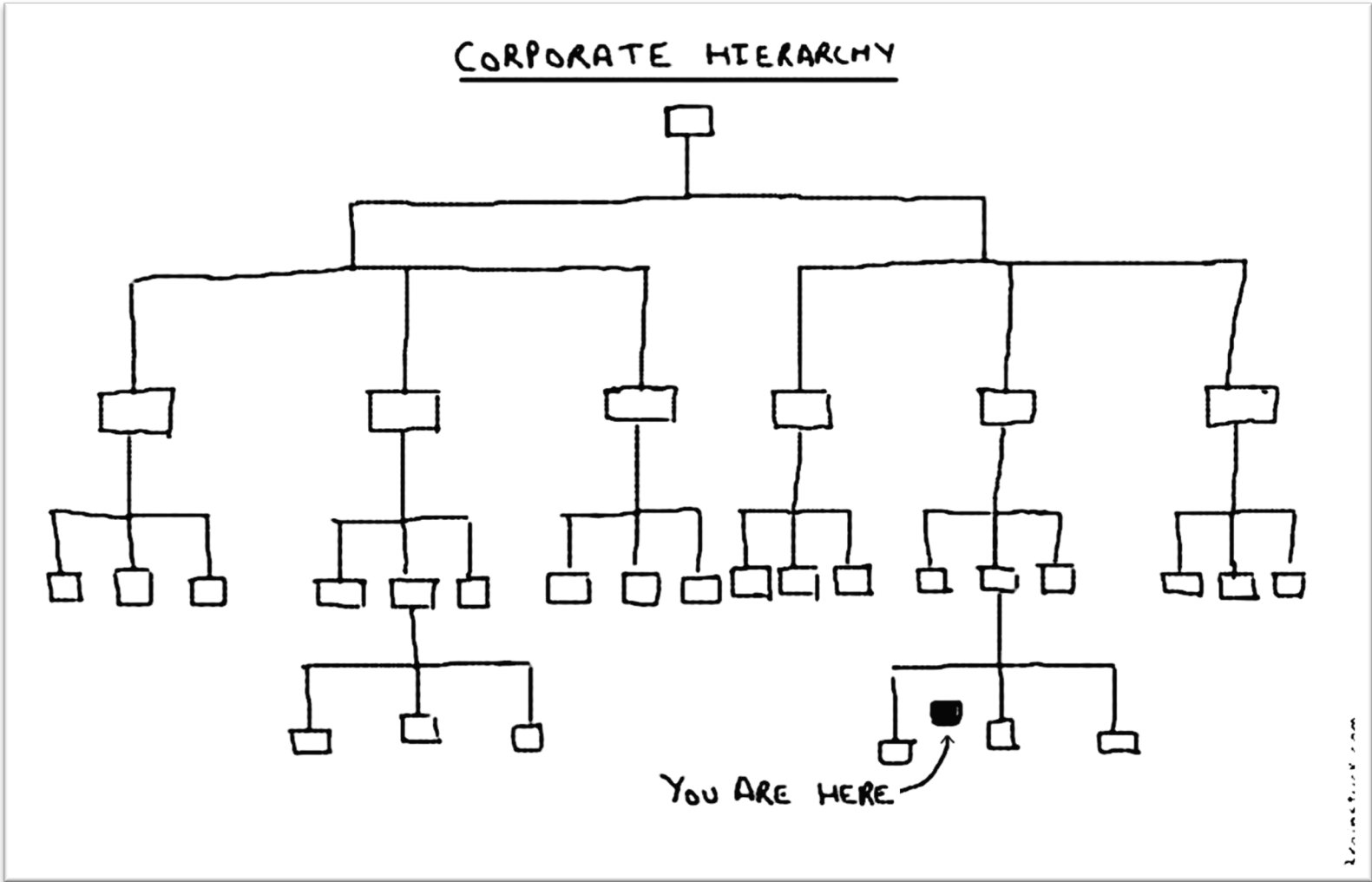
Trees are everywhere



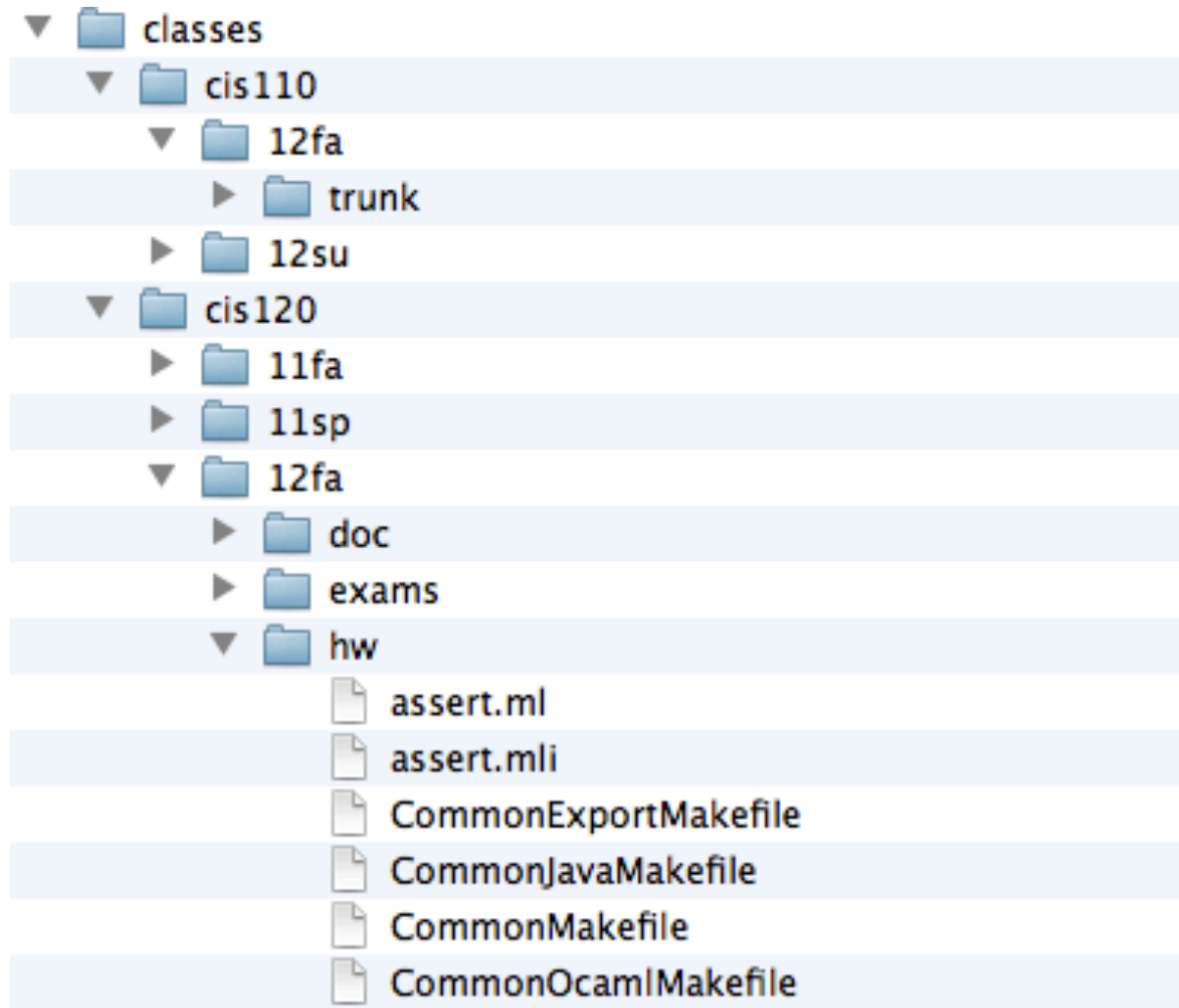
# Family trees



# Organizational charts

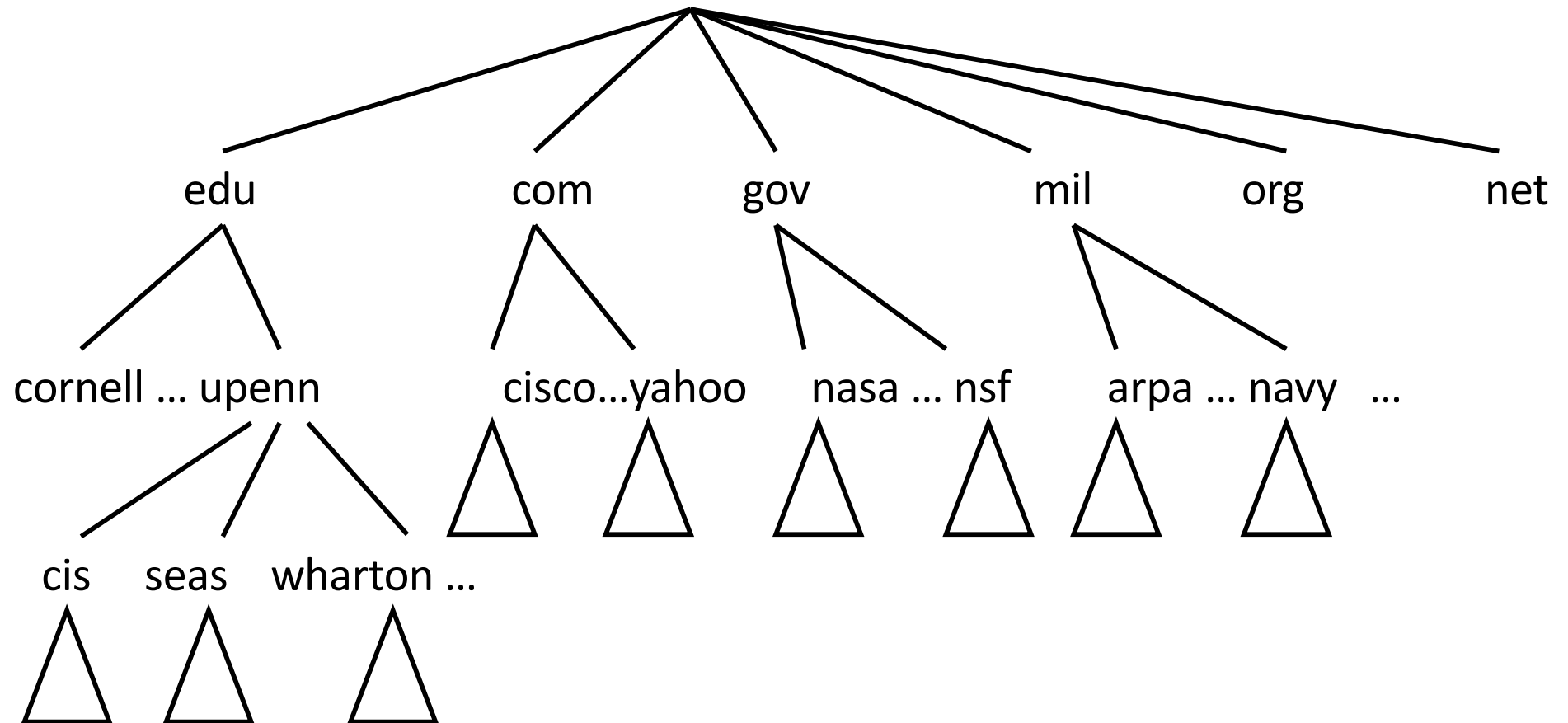


# Filesystem Directory Structure

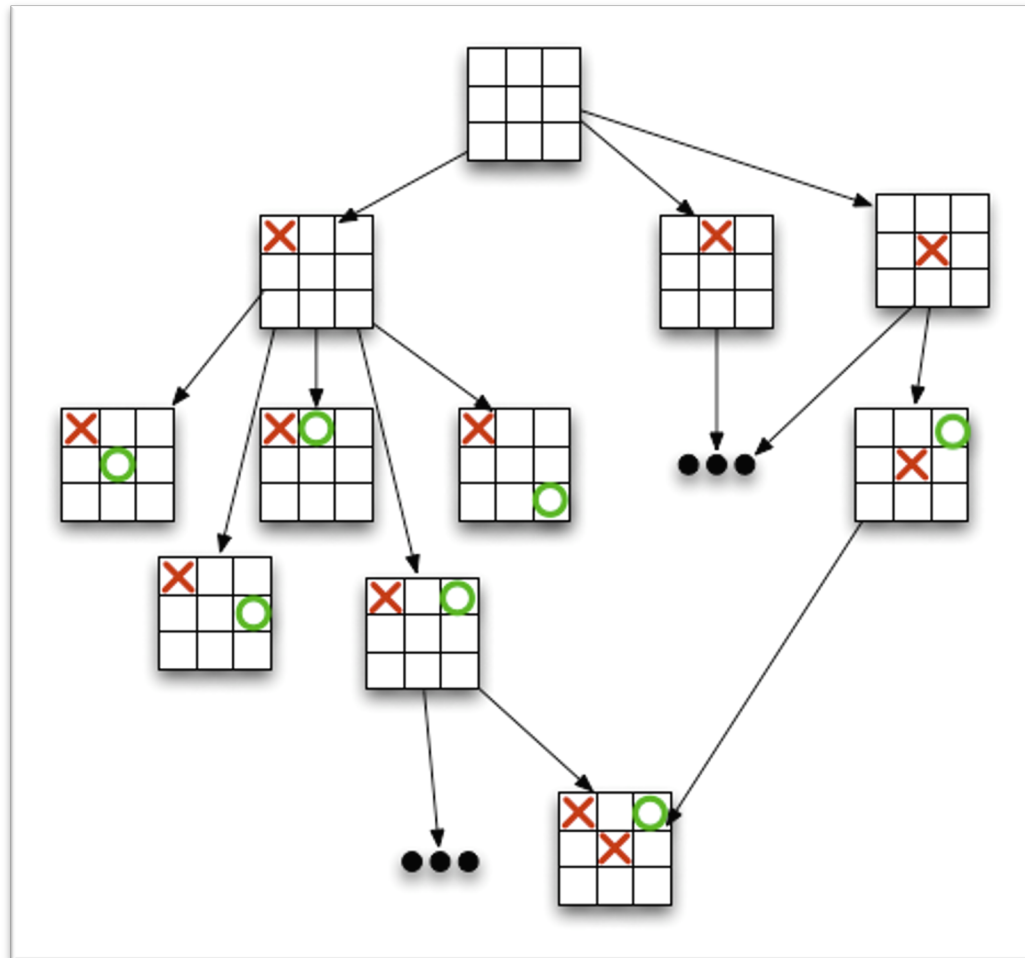




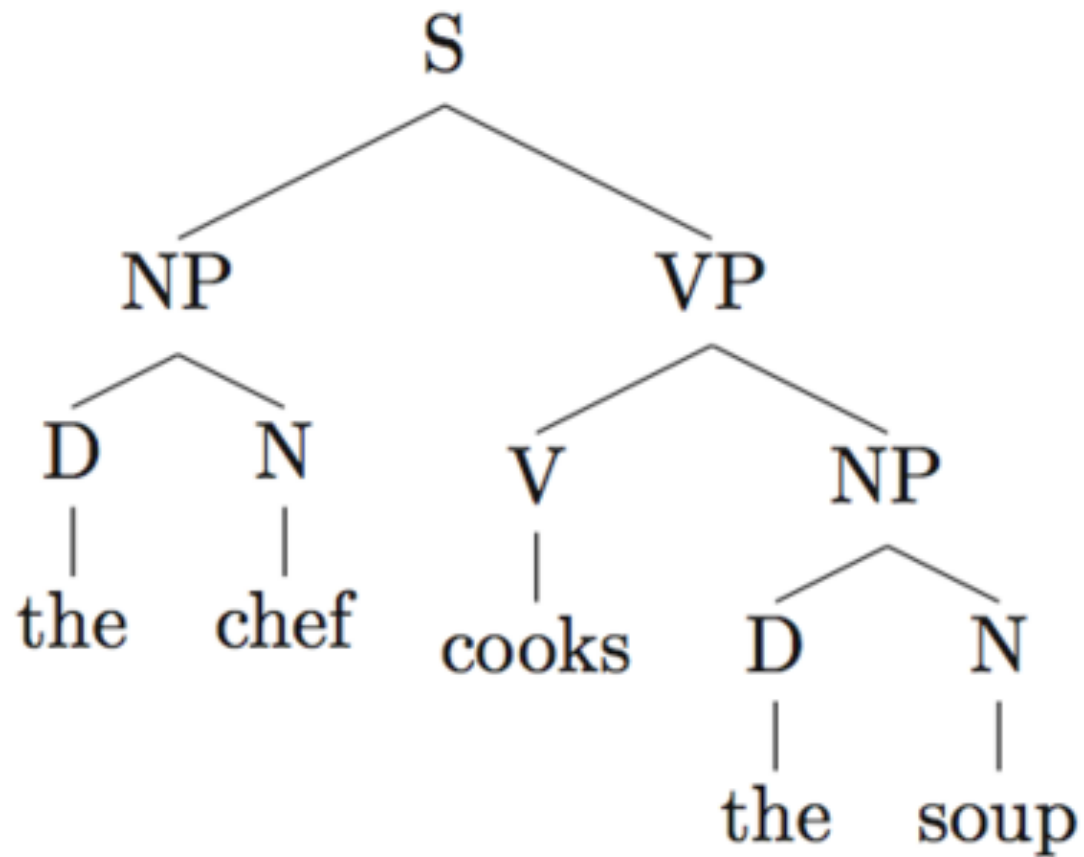
# Domain Name Hierarchy



# Game trees



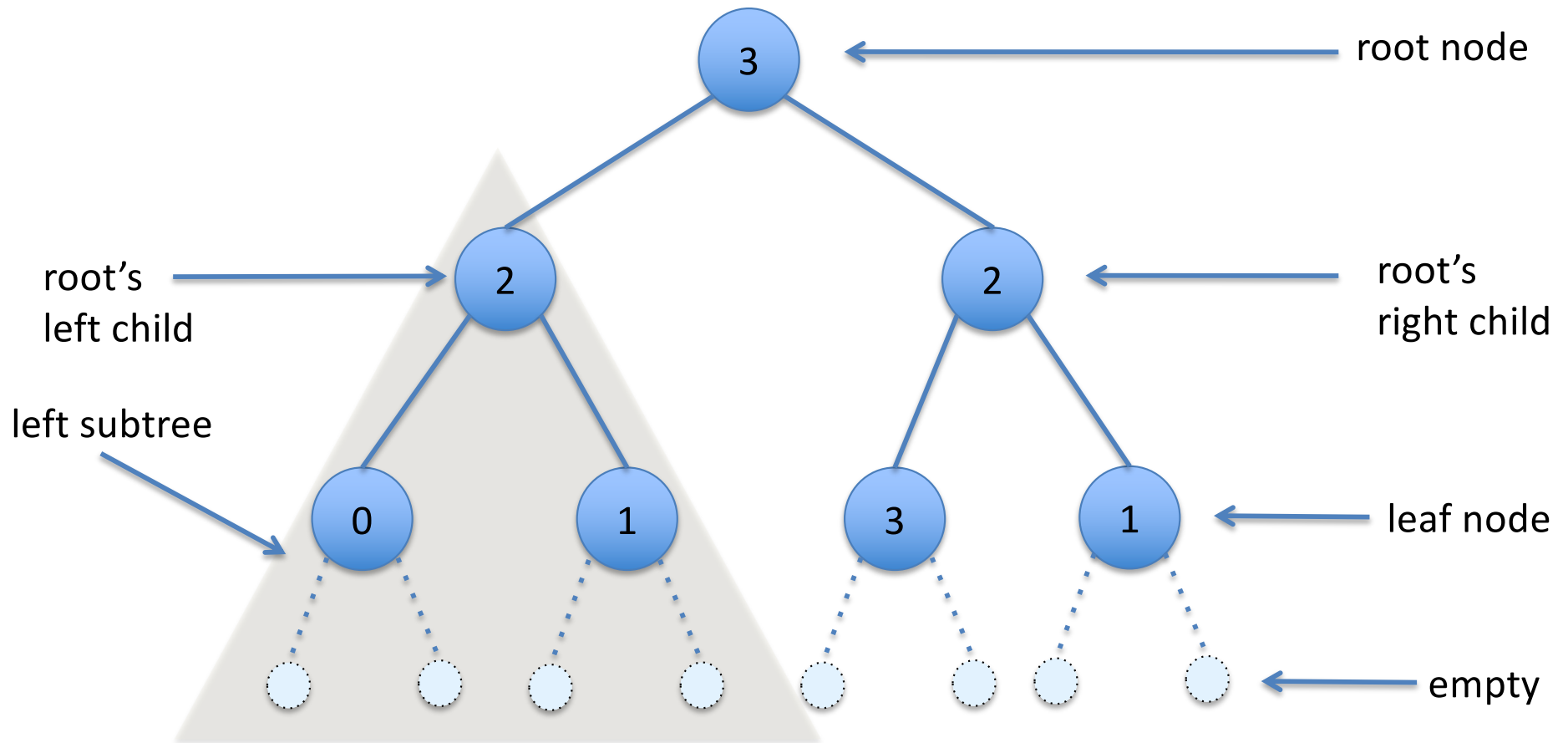
# Natural-Language Parse Trees



# Binary Trees

A particular form of tree-structured data

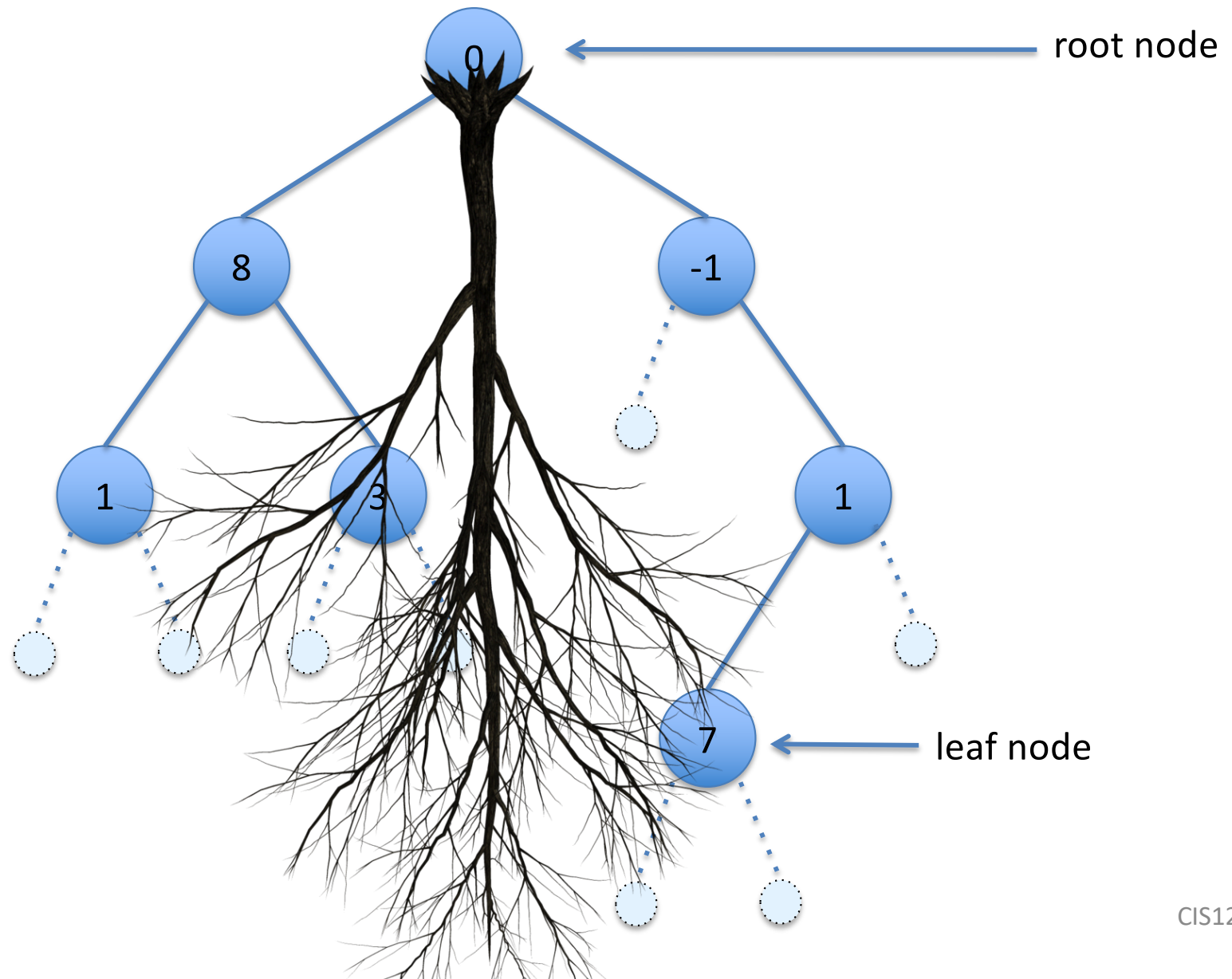
# Binary Trees



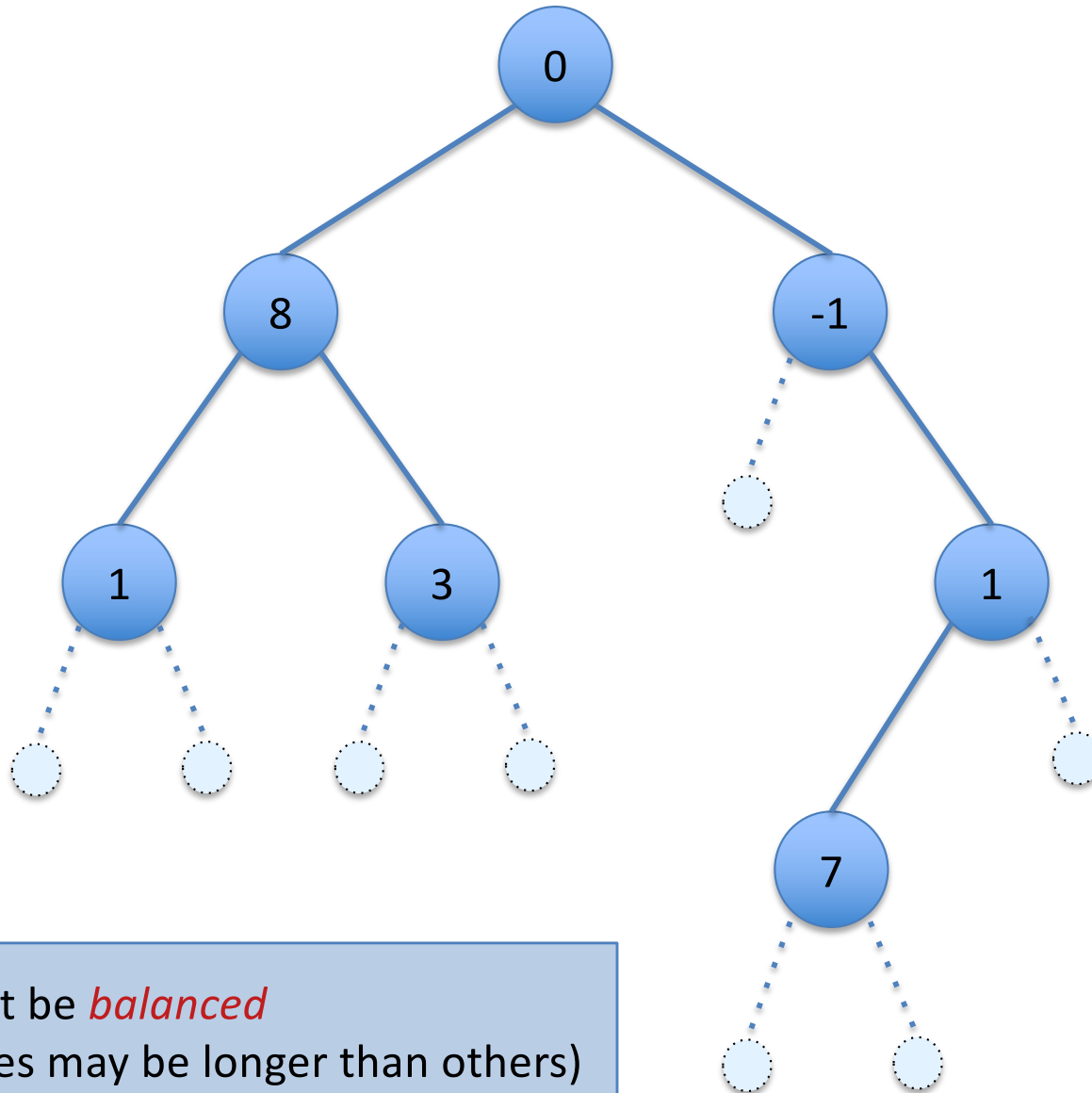
A binary tree is either *empty*, or a *node* with at most two children, both of which are also binary trees.

A *leaf* is a node whose children are both empty.

# Trees are Drawn Upside Down



# Another Example Tree

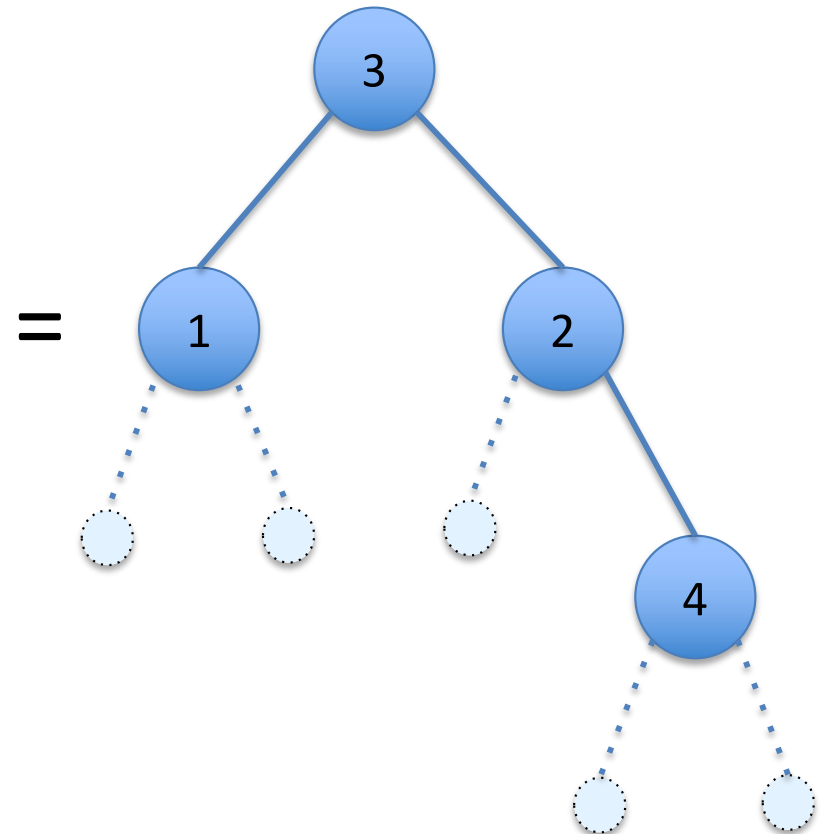


Trees need not be *balanced*  
(some branches may be longer than others)

# Binary Trees in OCaml

```
type tree =  
  | Empty  
  | Node of tree * int * tree
```

```
let t : tree =  
  Node (Node (Empty, 1, Empty),  
        3,  
        Node (Empty, 2,  
              Node (Empty, 4, Empty)))
```





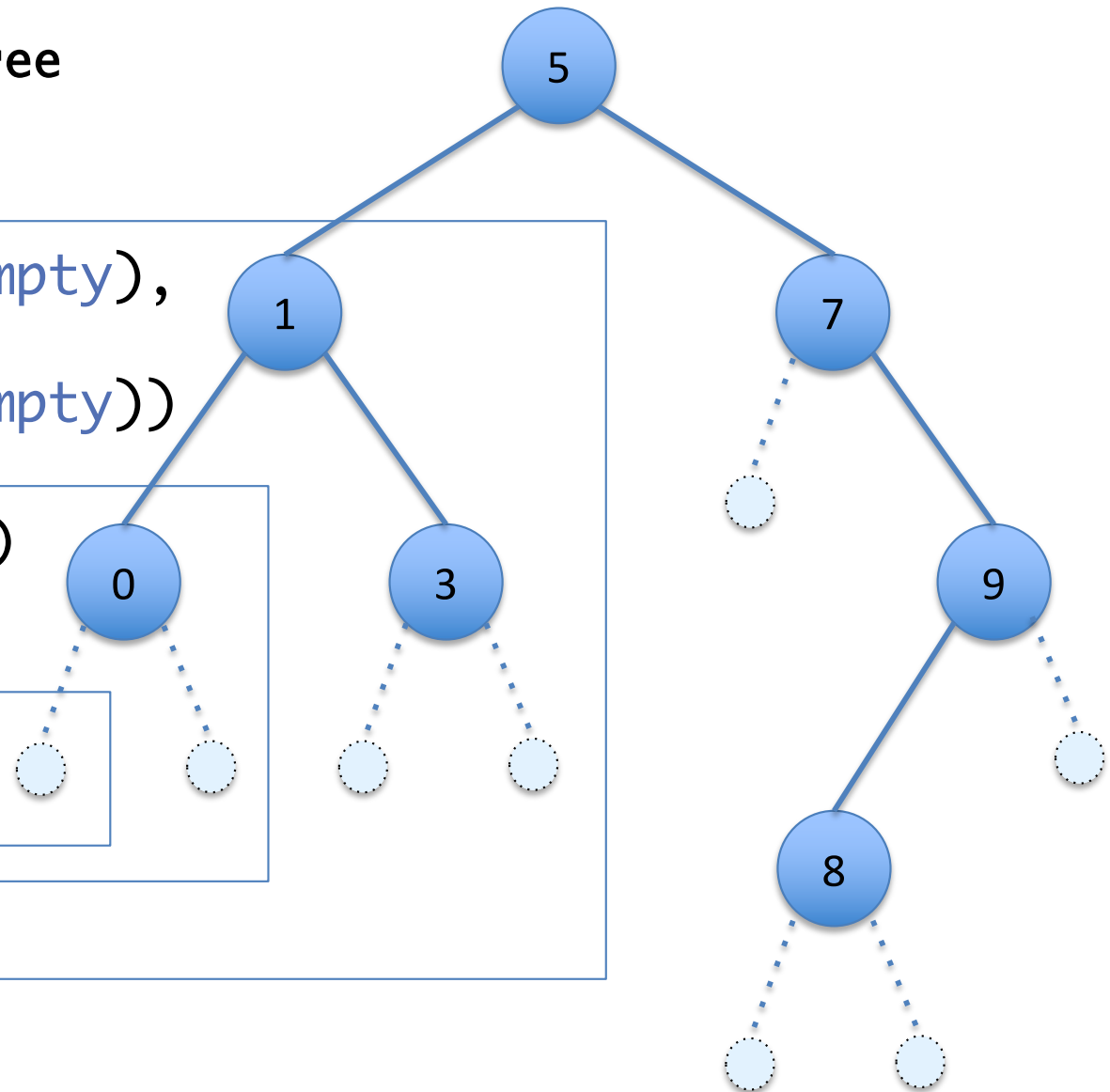
# Representing trees

```
type tree =  
| Empty  
| Node of tree * int * tree
```

```
Node (Node (Node (Empty, 0, Empty),  
1,  
Node (Empty, 3, Empty))
```

```
Node (Empty, 0, Empty)
```

```
Empty
```



# Coding with binary trees

see `tree.ml`

`treeExamples.ml`

# Structural Recursion Over *Trees*

Structural recursion builds an answer from smaller components:

```
let rec f (t : tree) ... : ... =  
  begin match t with  
    | Empty -> ...  
    | Node(l,x,r) -> ... (f l) ... x ... (f r) ...  
  end
```

The branch for `Empty` calculates the value `(f Empty)` directly.

- this is the *base case* of the recursion

The branch for `Node(l,x,r)` calculates

`(f(Node(l,x,r)))` given `x` and `(f l)` and `(f r)`.

- this is the *inductive case* of the recursion

# Tree vs. List Recursion

```
let rec f (t : tree) ... : ... =  
  begin match t with  
    | Empty -> ...  
    | Node(l,x,r) -> ... (f l) ... (f r) ...  
  end
```

Two recursive calls, for left and right sub trees,  
versus one for lists.

```
let rec f (l : ... list) ... : ... =  
  begin match l with  
    | [] -> ...  
    | ( hd :: rest ) -> ... f rest ...  
  end
```

# Trees as Containers

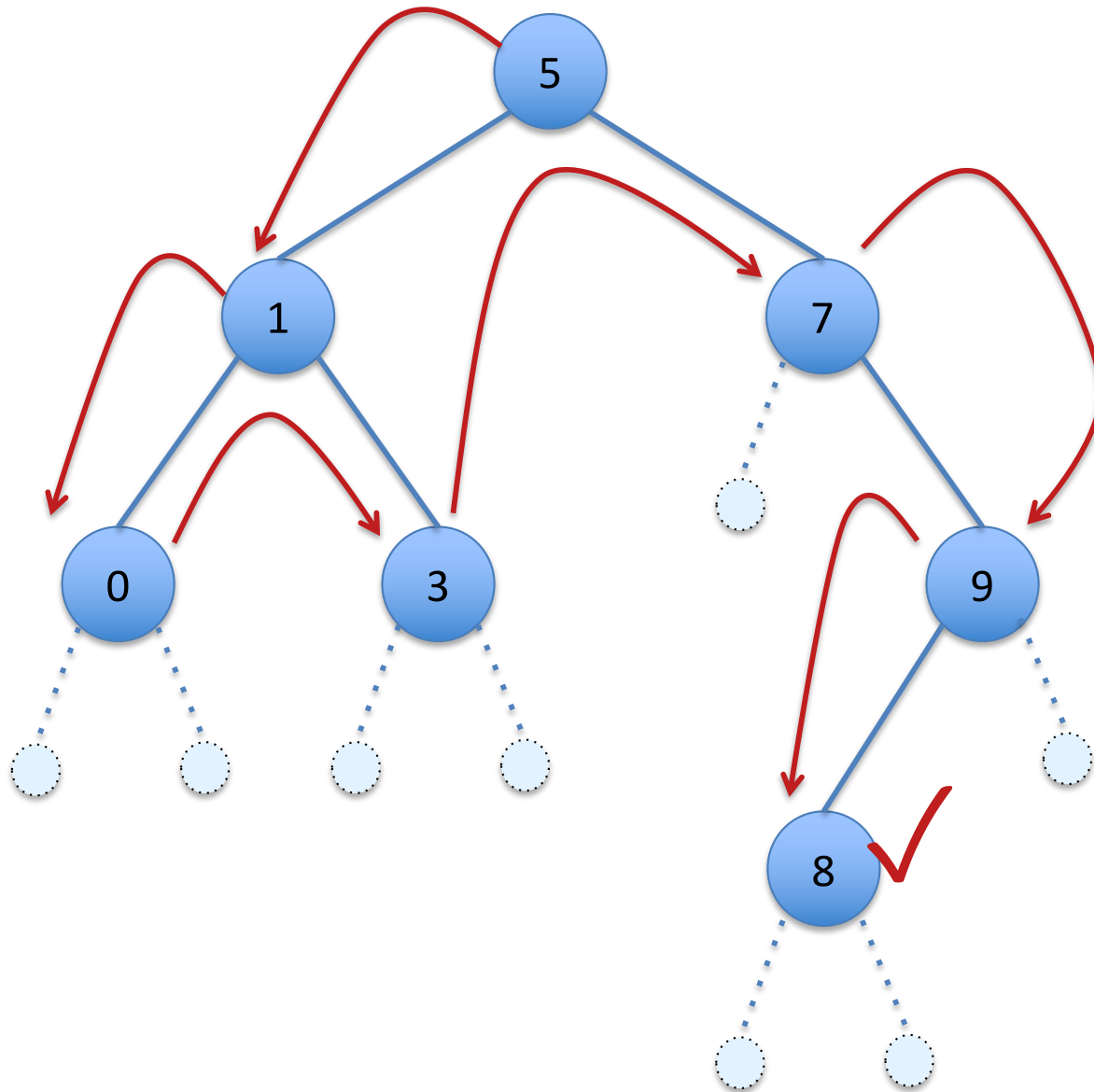
- Like lists, trees aggregate ordered data
- As we did for lists, we can write a function to determine whether a tree *contains* a particular element

# Searching for Data in a Tree

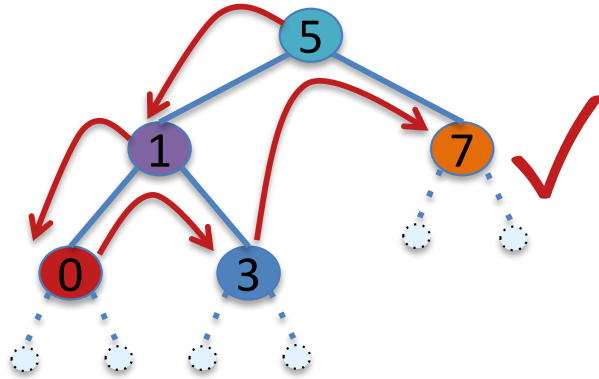
```
let rec contains (t:tree) (n:int) : bool =  
  begin match t with  
    | Empty -> false  
    | Node(lt,x,rt) ->  
        x = n  
        || contains lt n  
        || contains rt n  
  end
```

- This function searches through the tree, looking for  $n$
- In the worst case, it might have to traverse the *entire tree*

# Search during (contains $t$ 8)



# Searching for Data in a Tree



```
let rec contains (t:tree) (n:int) : bool =
  begin match t with
  | Empty -> false
  | Node(lt,x,rt) -> x = n ||
                    (contains lt n) || (contains rt n)
  end
```

```
contains (Node(Node(Node (Empty, 0, Empty), 1, Node(Empty, 3, Empty)),
                5, Node (Empty, 7, Empty))) 7
```

```
5 = 7
|| contains (Node(Node (Empty, 0, Empty), 1, Node(Empty, 3, Empty))) 7
|| contains (Node (Empty, 7, Empty)) 7
```

```
(1 = 7 || contains (Node (Empty, 0, Empty)) 7
 || contains (Node(Empty, 3, Empty)) 7)
|| contains (Node (Empty, 7, Empty)) 7
```

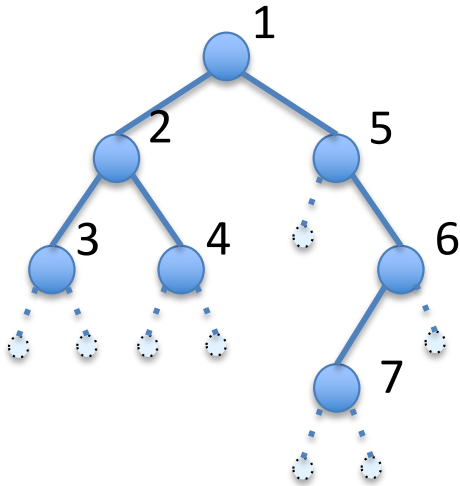
```
((0 = 7 || contains Empty 7 || contains Empty 7)
 || contains (Node(Empty, 3, Empty)) 7)
|| contains (Node (Empty, 7, Empty)) 7
```

```
contains (Node(Empty, 3, Empty)) 7
|| contains (Node (Empty, 7, Empty)) 7
```

```
contains (Node (Empty, 7, Empty)) 7
```

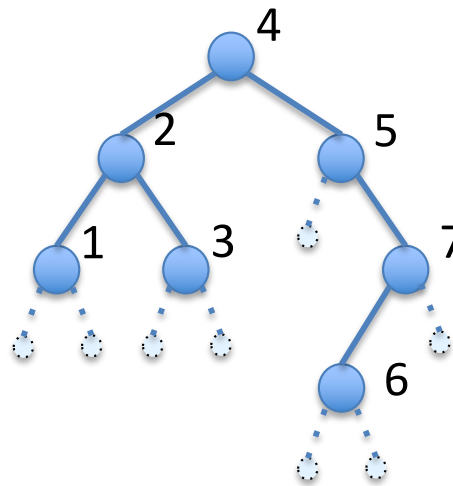


# Recursive Tree Traversals



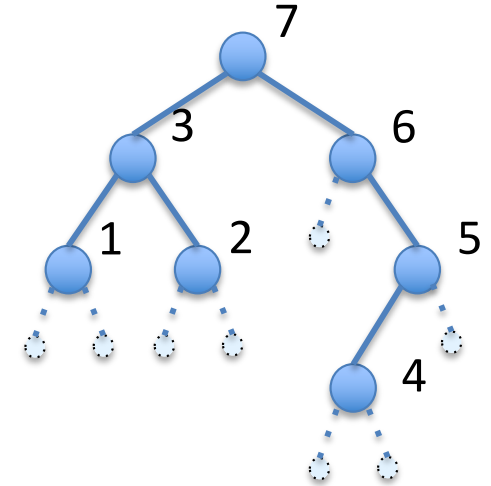
Pre-Order

Root – Left – Right



In Order

Left – Root – Right



Post-Order

Left – Right – Root

```
(* Code for Pre-Order Traversal *)
```

```
let rec f (t:tree) : ... =
```

```
begin match t with
```

```
| Empty -> ...
```

```
| Node(l, x, r) ->
```

```
let root = ... x ... in (* process root *)
```

```
let left = f l in (* recursively process left subtree *)
```

```
let right = f r in (* recursively process right subtree *)
```

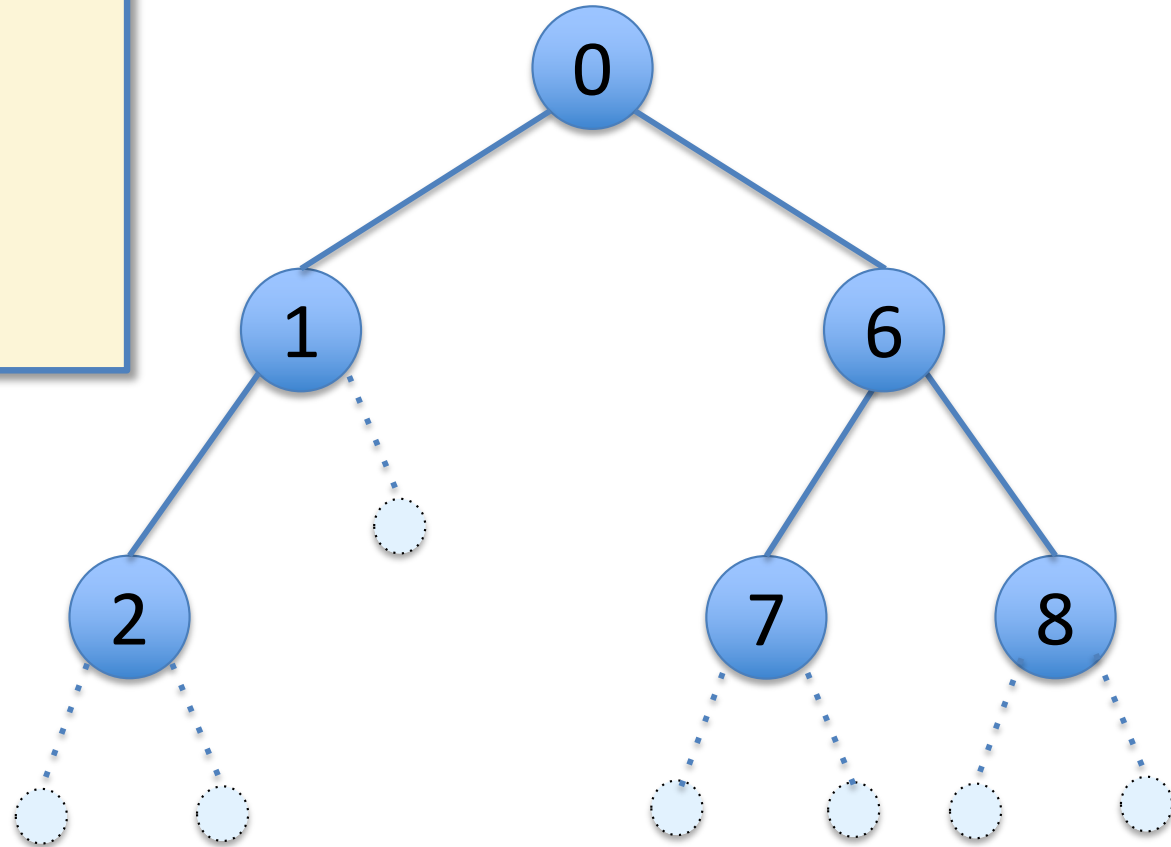
```
combine root left right
```

```
end
```

Other traversals  
vary the order  
in which these  
are computed...

In what sequence will the nodes of this tree be visited by a post-order traversal?

1. [0;1;6;2;7;8]
2. [0;1;2;6;7;8]
3. [2;1;0;7;6;8]
4. [7;8;6;2;1;0]
5. [2;1;7;8;6;0]



Post-Order  
Left – Right – Root

Answer: 5

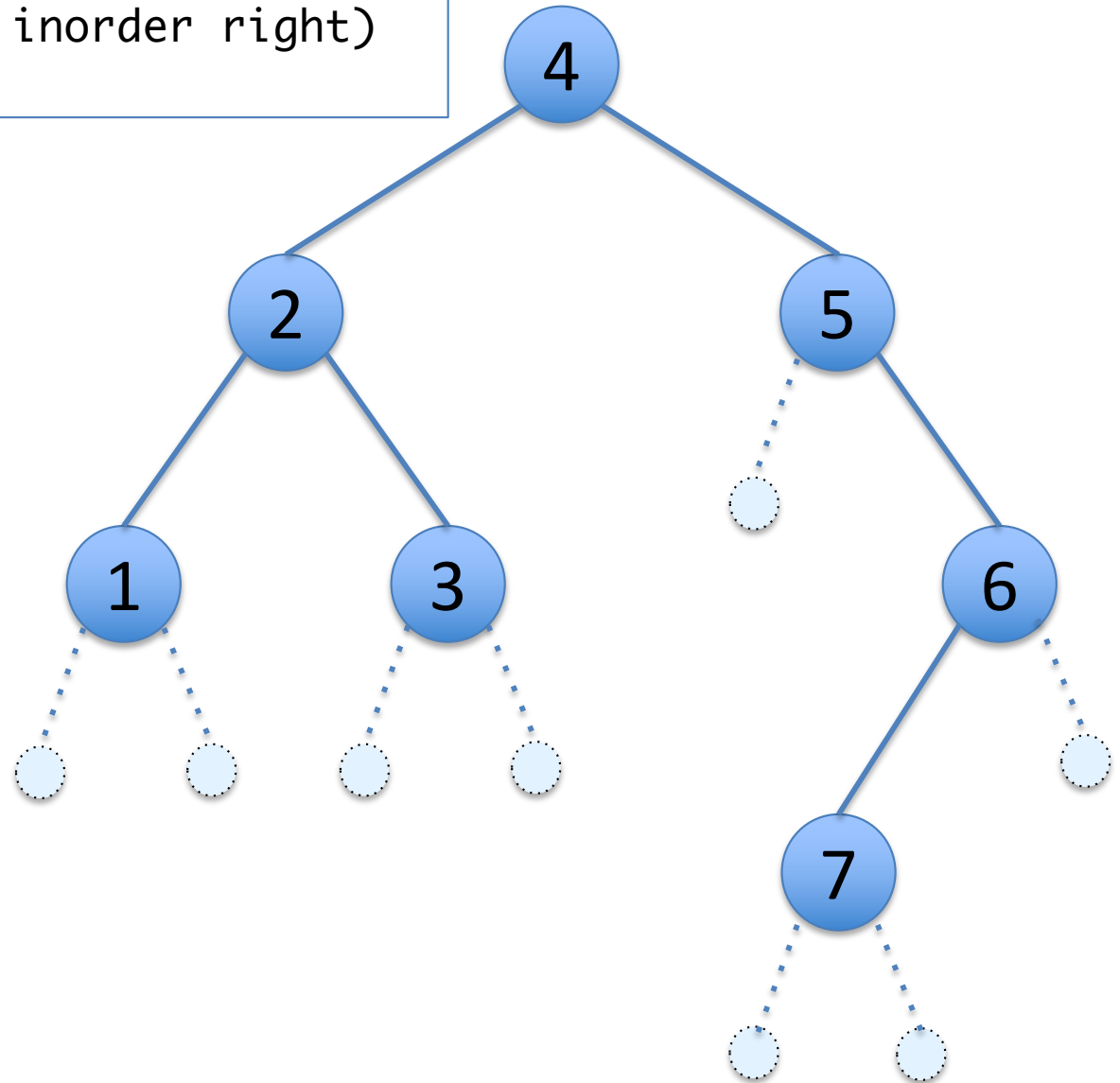
```

let rec inorder (t:tree) : int list =
  begin match t with
  | Empty -> []
  | Node (left, x, right) ->
      inorder left @ (x :: inorder right)
  end

```

What is the result of applying this function on this tree?

1. []
2. [1;2;3;4;5;6;7]
3. [1;2;3;4;5;7;6]
4. [4;2;1;3;5;6;7]
5. [4]
6. [1;1;1;1;1;1;1]
7. none of the above



Answer: 3