

# Programming Languages and Techniques (CIS120)

## Lecture 15

### Queues

Lecture notes: Chapter 16

# Putting State to Work: Mutable Queues

# A design problem

*Suppose you are implementing a website for constituents to submit questions to their political representatives. To be fair, you would like to deal with questions in first-come, first-served order. How would you do it?*

- Understand the problem
  - Need to keep track of pending questions, in the order in which they were submitted
- Define the interface
  - Need a data structure to store questions
  - Need to add questions to the *end* of the queue
  - Need to allow responders to retrieve questions from the *beginning* of the queue
  - Both kinds of access must be efficient to handle large volume

# (Mutable) Queue Interface

```
module type QUEUE =  
sig  
  (* abstract type *)  
  type 'a queue  
  
  (* Make a new, empty queue *)  
  val create : unit -> 'a queue  
  
  (* Determine if a queue is empty *)  
  val is_empty : 'a queue -> bool  
  
  (* Add a value to the end of a queue *)  
  val enq : 'a -> 'a queue -> unit  
  
  (* Remove the first value (if any) and return it *)  
  val deq : 'a queue -> 'a  
  
end
```

Q: We can tell, just looking at this interface, that it is for a **MUTABLE** data structure. How?

Since queues are mutable, we must allocate a new one every time we need one.

A: Adding an element to a queue returns `unit` because it *modifies* the given queue.



# Specify the behavior via test cases

```
let test () : bool =  
  let q : int queue = create () in  
  enq 1 q;  
  enq 2 q;  
  1 = deq q  
;; run_test "queue test 1" test
```

```
let test () : bool =  
  let q : int queue = create () in  
  enq 1 q;  
  enq 2 q;  
  let _ = deq q in  
  2 = deq q  
;; run_test "queue test 2" test
```

# Implementing Linked Queues

Representing links

# Data Structure for Mutable Queues

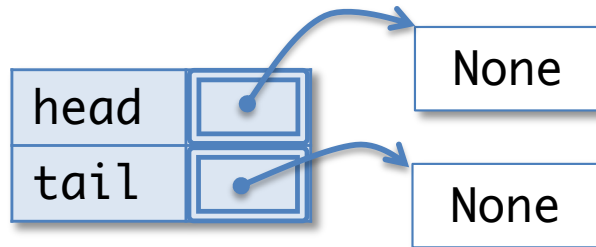
```
type 'a qnode = {  
    v: 'a;  
    mutable next : 'a qnode option  
}  
  
type 'a queue = { mutable head : 'a qnode option;  
                  mutable tail : 'a qnode option }
```

There are two parts to a mutable queue:

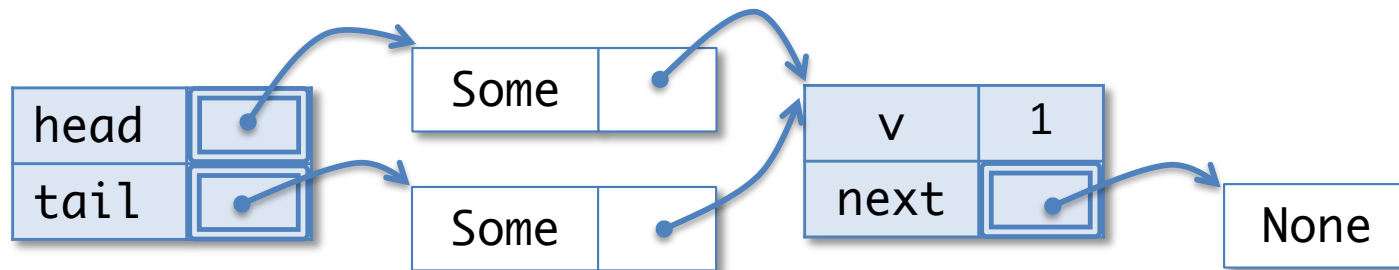
1. the “internal nodes” of the queue, with links from one to the next
2. a record with links to the head and tail nodes

All of the links are *optional* so that the queue can be empty.

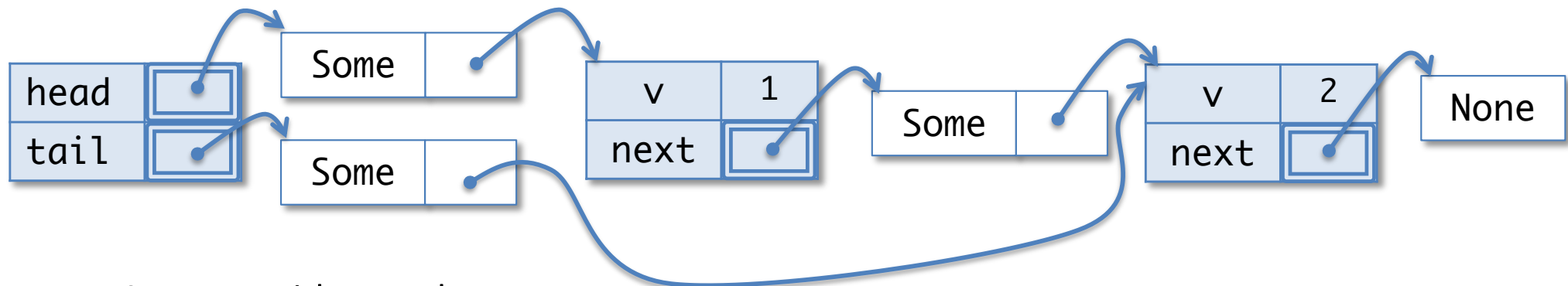
# Queues in the Heap



An empty queue



A queue with one element

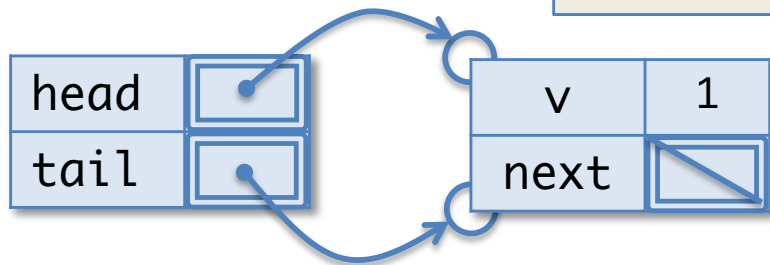
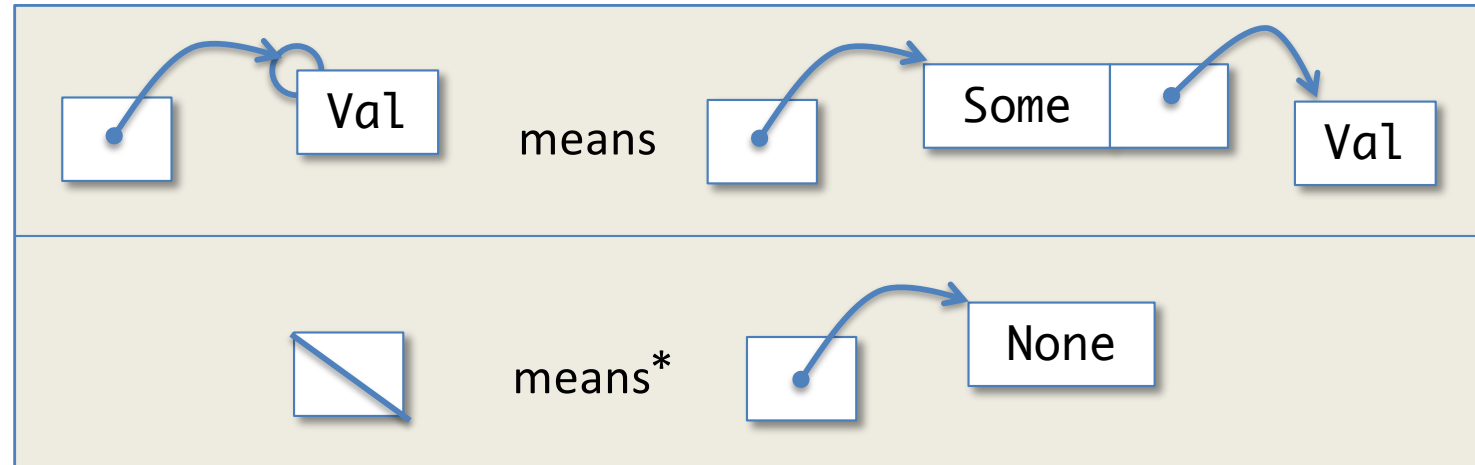


A queue with two elements

# Visual Shorthand: Abbreviating Options



An empty queue

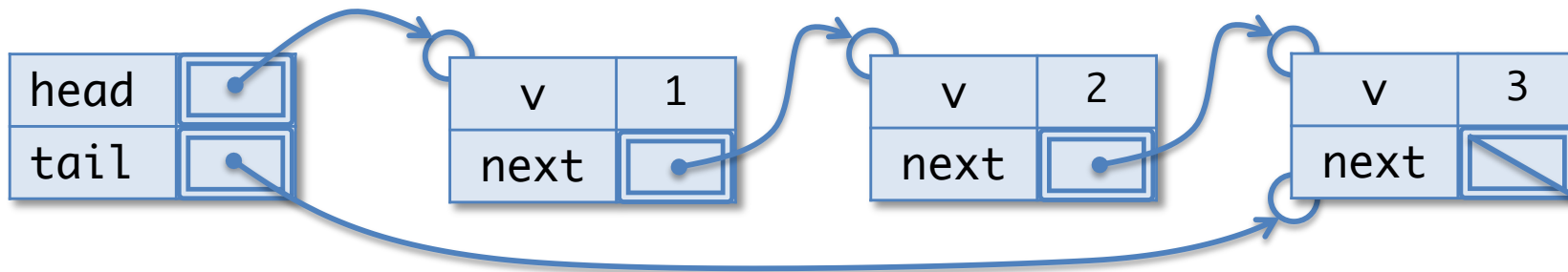


A queue with one element

\*Note: Ocaml can optimize "nullary" constructors like Nil, None, Empty so that they aren't allocated in the heap. This is why

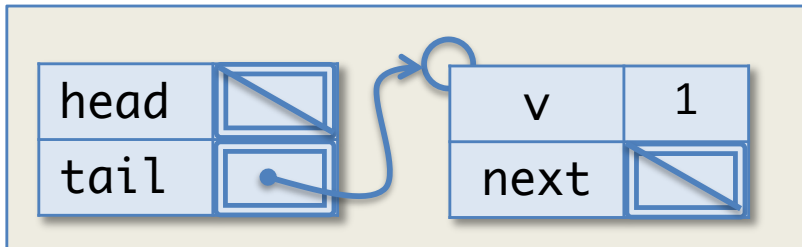
$$\text{None} == \text{None}$$

even though  $\text{not } ((\text{Some } x) == (\text{Some } x))$ .  
Be careful with equality and options.

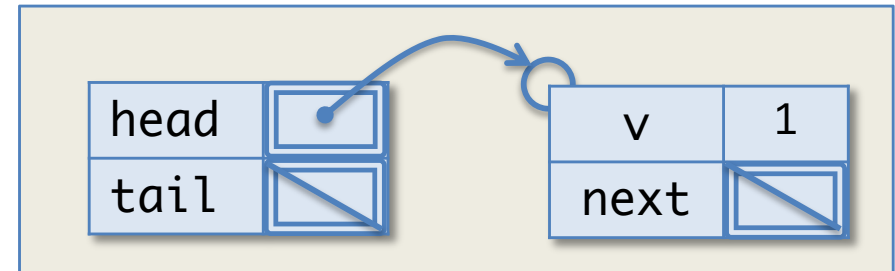


A queue with three elements

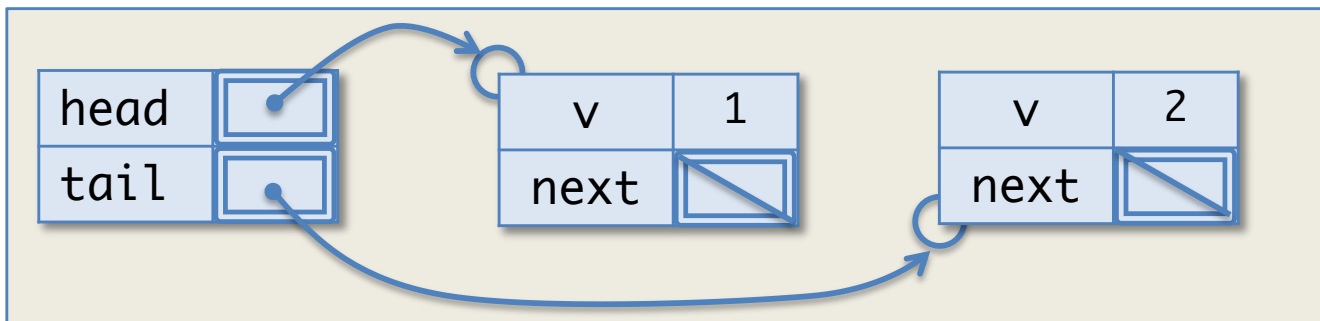
# “Bogus” values of type `int` queue



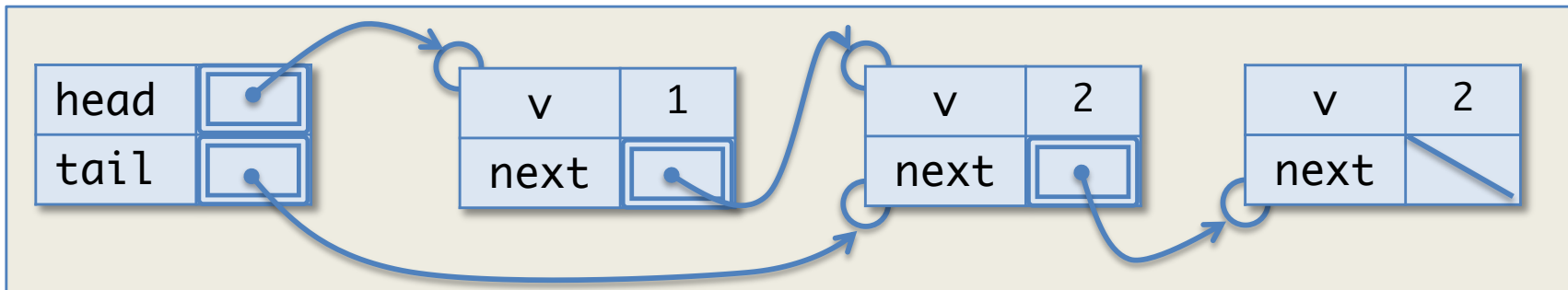
head is None, tail is Some



head is Some, tail is None



tail is not reachable from the head



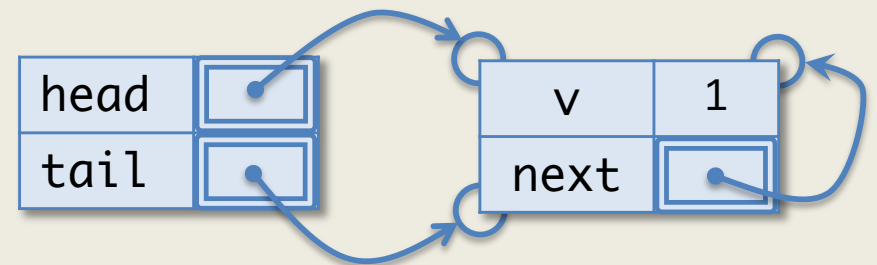
tail doesn't point to the last element of the queue

Given the queue datatype shown below, is it possible to create a *cycle* of references in the heap. (i.e. a way to get back to the same place by following references.)

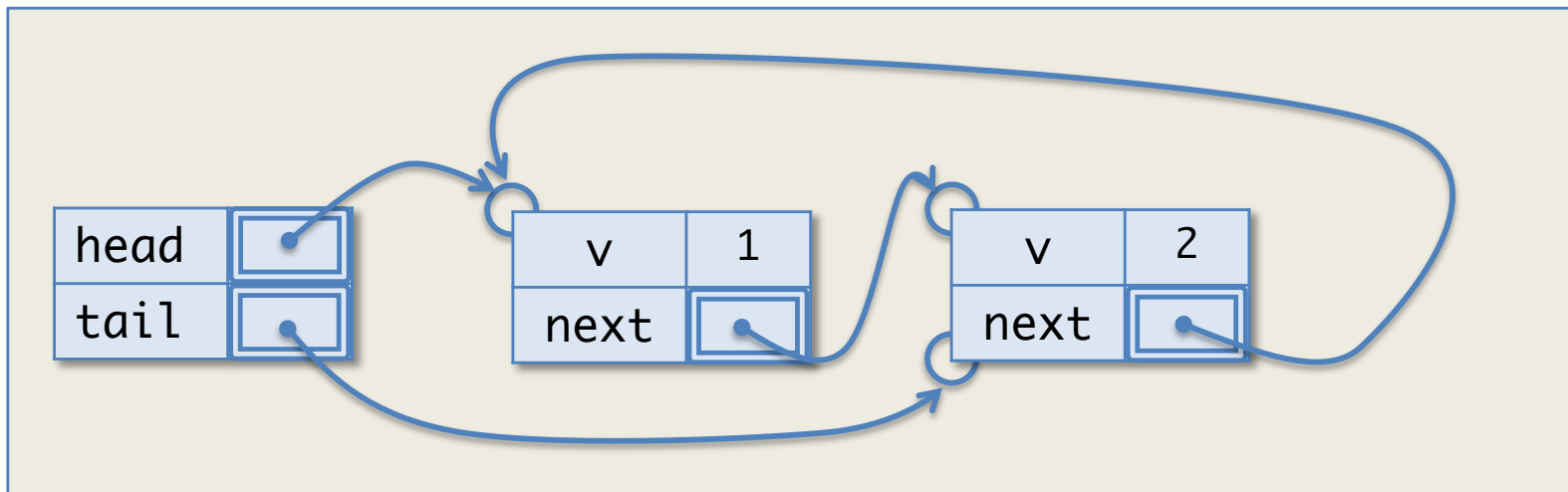
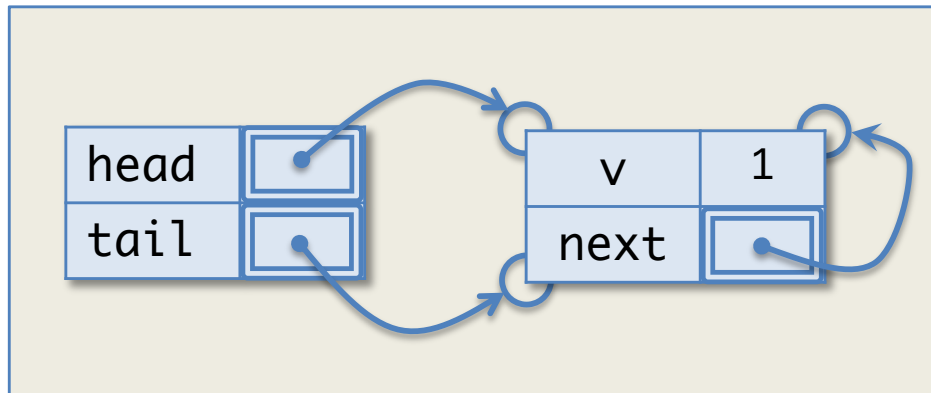
```
type 'a qnode = {  
    v: 'a;  
    mutable next : 'a qnode option  
}  
  
type 'a queue = { mutable head : 'a qnode option;  
                  mutable tail : 'a qnode option }
```

1. yes
2. no
3. not sure

Answer: 1



# Cyclic int queue values



(And infinitely many more...)



# Linked Queue Invariants

- Just as we imposed some restrictions on which trees count as legitimate Binary Search Trees, Linked Queues must also satisfy representation *invariants*:

Either:

(1) `head` and `tail` are both `None` (i.e. the queue is empty)

or

(2) `head` is `Some n1`, `tail` is `Some n2` and

- `n2` is reachable from `n1` by following 'next' pointers
- `n2.next` is `None`

- We can prove that these properties suffice to rule out all of the “bogus” examples.
- Each queue operation may assume that these invariants hold of its inputs, and must ensure that the invariants hold when it's done.

Either:

(1) `head` and `tail` are both `None` (i.e. the queue is empty)

or

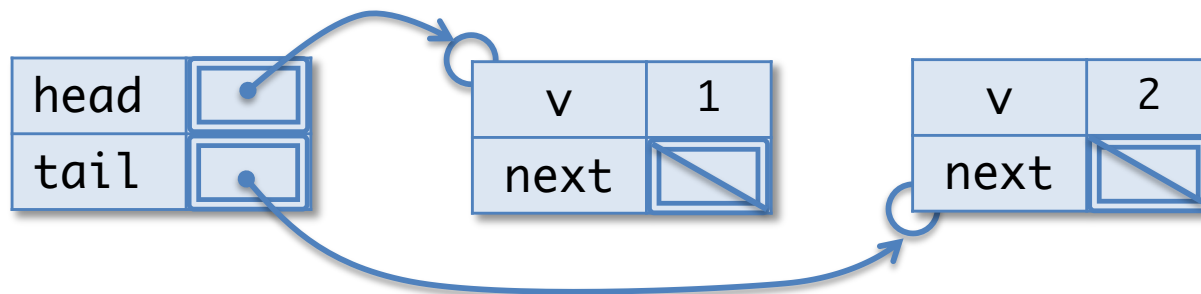
(2) `head` is `Some n1`, `tail` is `Some n2` and

- `n2` is reachable from `n1` by following 'next' pointers
- `n2.next` is `None`

Is this a valid queue?

1. Yes

2. No



ANSWER: No

Either:

(1) `head` and `tail` are both `None` (i.e. the queue is empty)

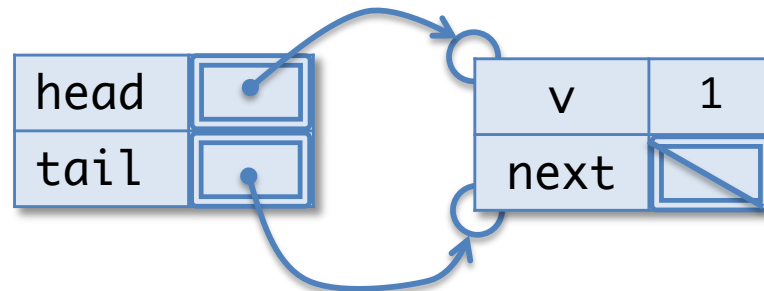
or

(2) `head` is `Some n1`, `tail` is `Some n2` and

- `n2` is reachable from `n1` by following 'next' pointers
- `n2.next` is `None`

Is this a valid queue?

1. Yes
2. No



ANSWER: Yes

Either:

(1) `head` and `tail` are both `None` (i.e. the queue is empty)

or

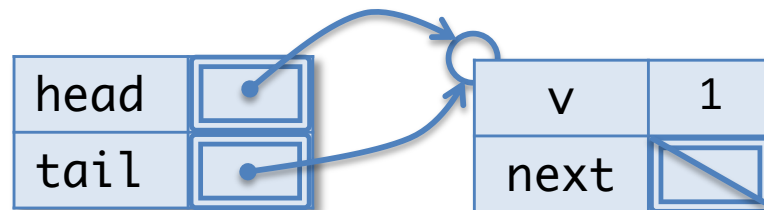
(2) `head` is `Some n1`, `tail` is `Some n2` and

- `n2` is reachable from `n1` by following 'next' pointers
- `n2.next` is `None`

Is this a valid queue?

1. Yes

2. No



ANSWER: Yes

# Implementing Linked Queues

q.ml

# create and is\_empty

```
(* create an empty queue *)  
let create () : 'a queue =  
  { head = None;  
    tail = None }
```

```
(* determine whether a queue is empty *)  
let is_empty (q: 'a queue) : bool =  
  q.head = None
```

- *create establishes* the queue invariants
  - both head and tail are None
- *is\_empty assumes* the queue invariants
  - it doesn't have to check that q.tail is None

# enq

```
(* add an element to the tail of a queue *)  
let enq (x: 'a) (q: 'a queue) : unit =  
  let newnode = {v=x; next=None} in  
  begin match q.tail with  
    | None ->  
      q.head <- Some newnode;  
      q.tail <- Some newnode  
    | Some n ->  
      n.next <- Some newnode;  
      q.tail <- Some newnode  
  end
```

- The code for `enq` is informed by the queue invariant:
  - either the queue is empty, and we just update head and tail, or
  - the queue is non-empty, in which case we have to “patch up” the “next” link of the old tail node to maintain the queue invariant.

What is your current level of comfort with the Abstract Stack Machine?

1. got it well under control
2. OK but need to work with it a little more
3. a little puzzled
4. very puzzled
5. very *very* puzzled :-)

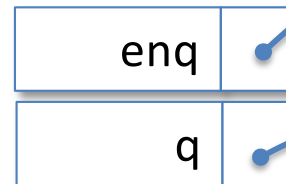


# Calling Enq on a non-empty queue

Workspace

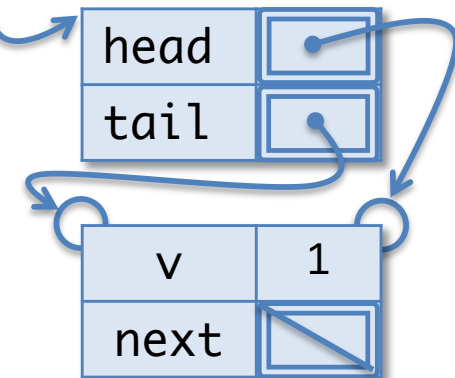
enq 2 q

Stack



Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```

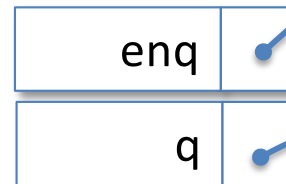


# Calling Enq on a non-empty queue

Workspace

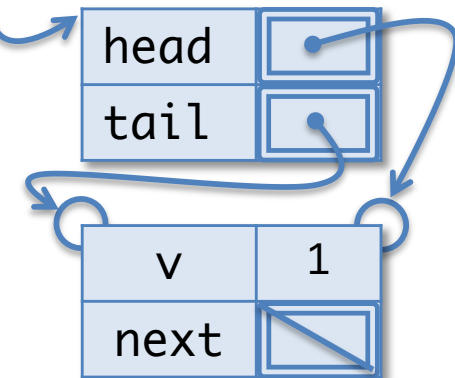
enq 2 q

Stack

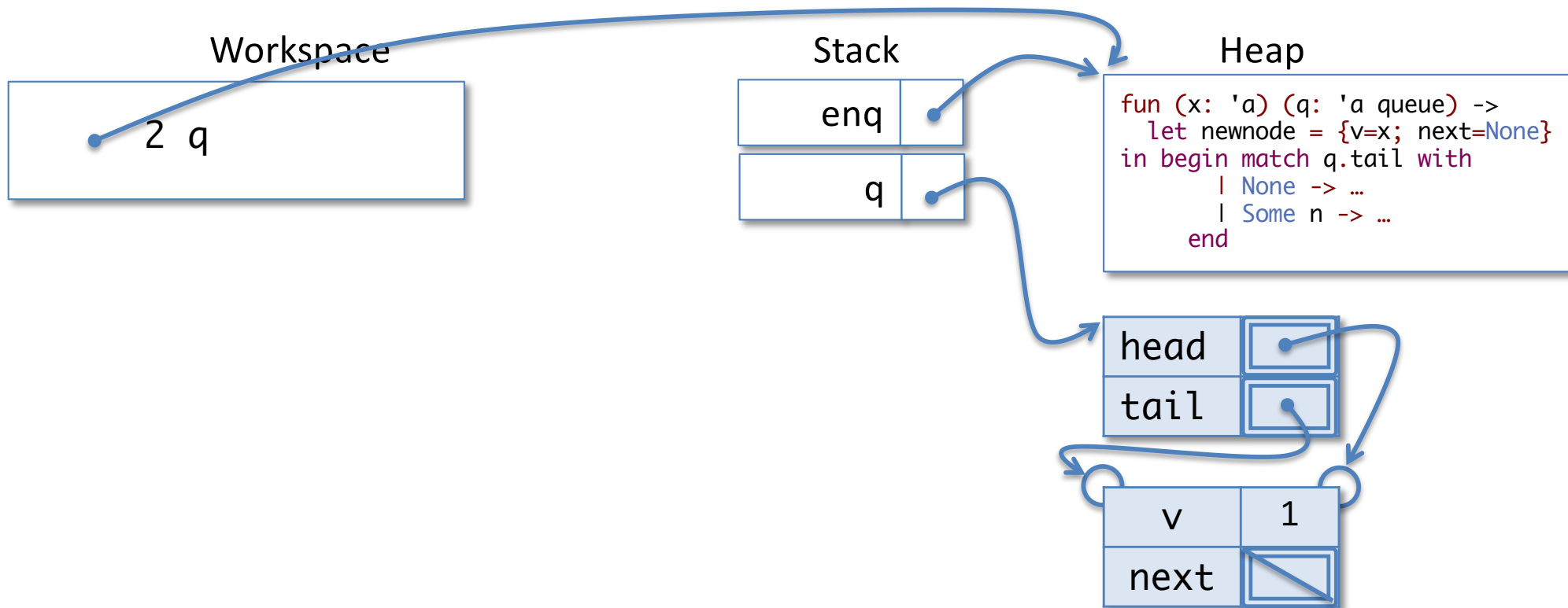


Heap

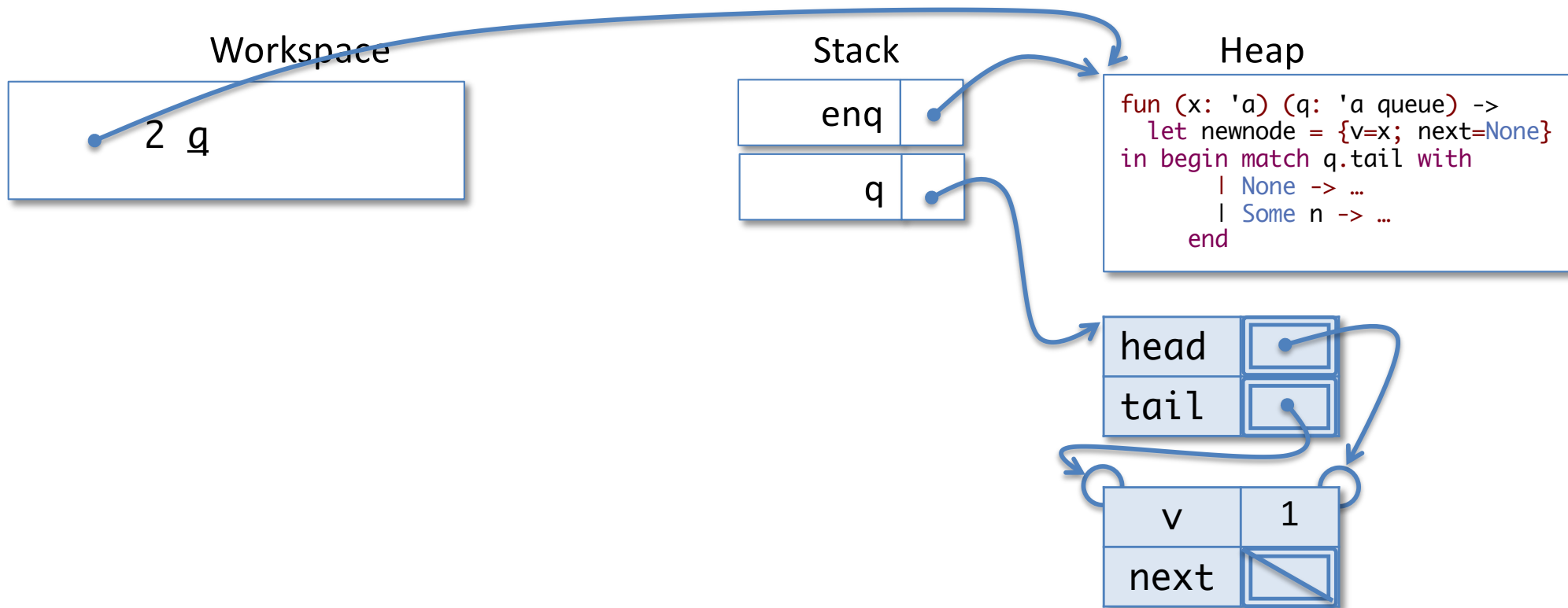
```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```



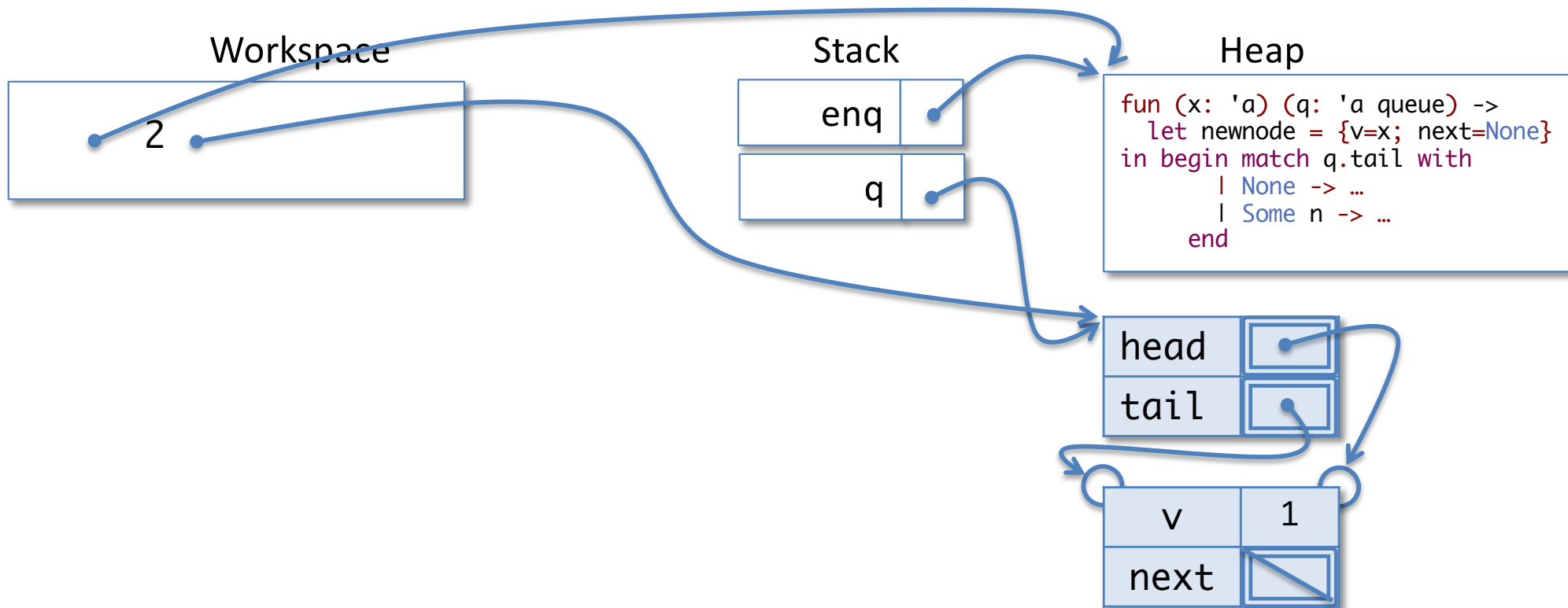
# Calling Enq on a non-empty queue



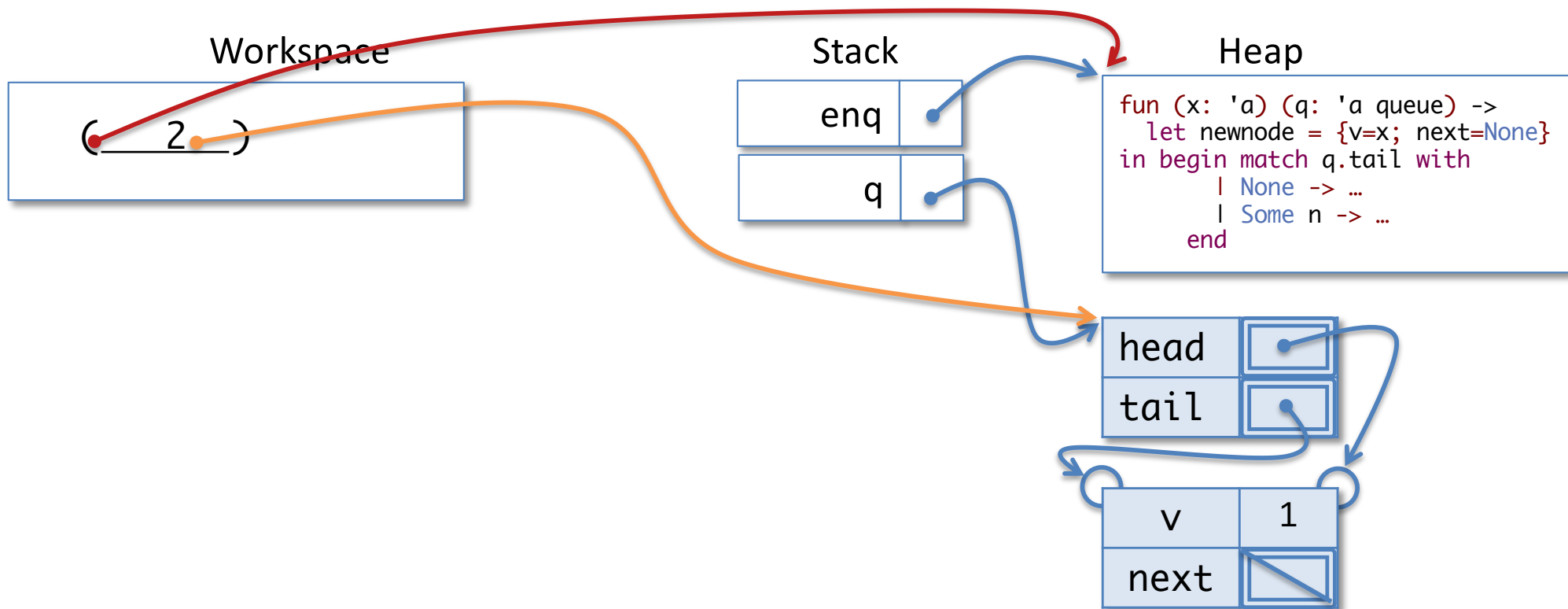
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# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

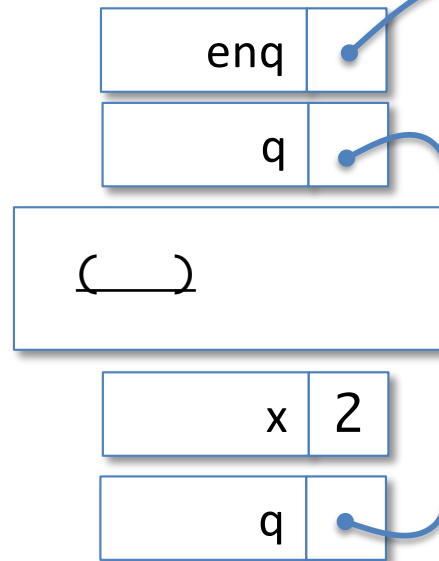


# Calling Enq on a non-empty queue

## Workspace

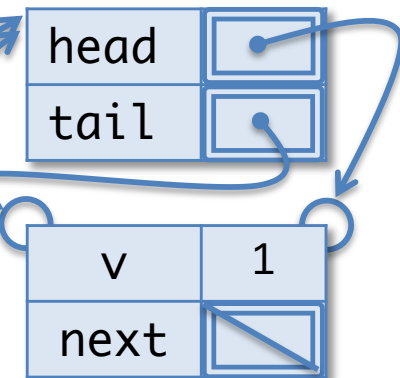
```
let newnode = {v=x; next=None} in
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack



## Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None} in
  begin match q.tail with
  | None -> ...
  | Some n -> ...
  end
```

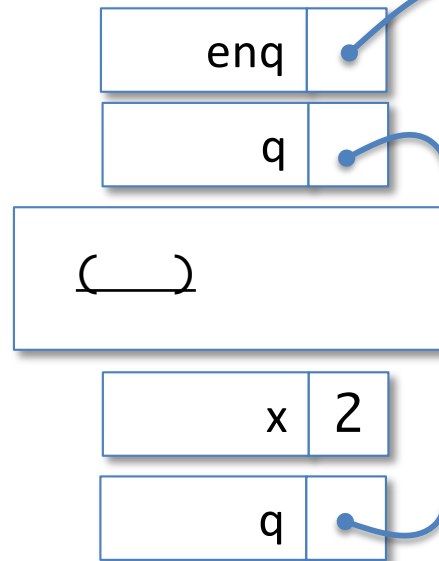


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## Workspace

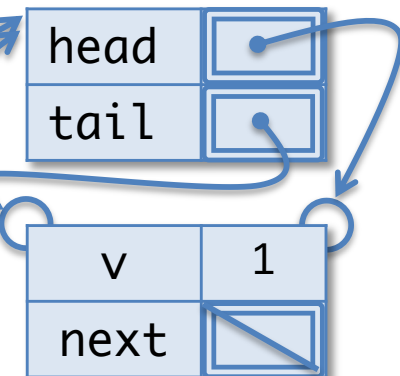
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let newnode = {v=x; next=None} in
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack



## Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None} in
  begin match q.tail with
  | None -> ...
  | Some n -> ...
  end
```



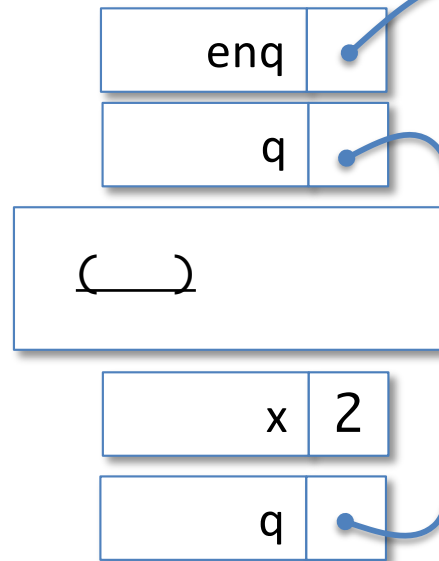


# Calling Enq on a non-empty queue

## Workspace

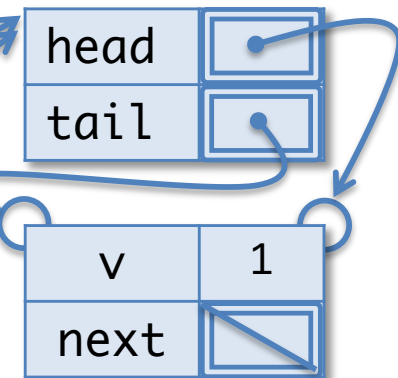
```
let newnode = {v=2; next=None} in
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack



## Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None}
  in begin match q.tail with
    | None -> ...
    | Some n -> ...
  end
```

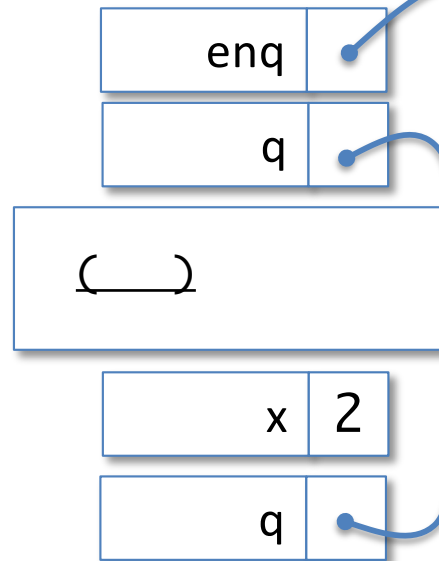


# Calling Enq on a non-empty queue

## Workspace

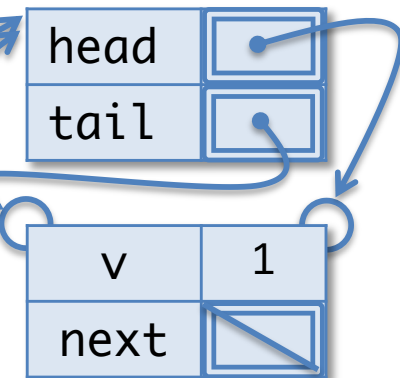
```
let newnode = {v=2; next=None} in
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack

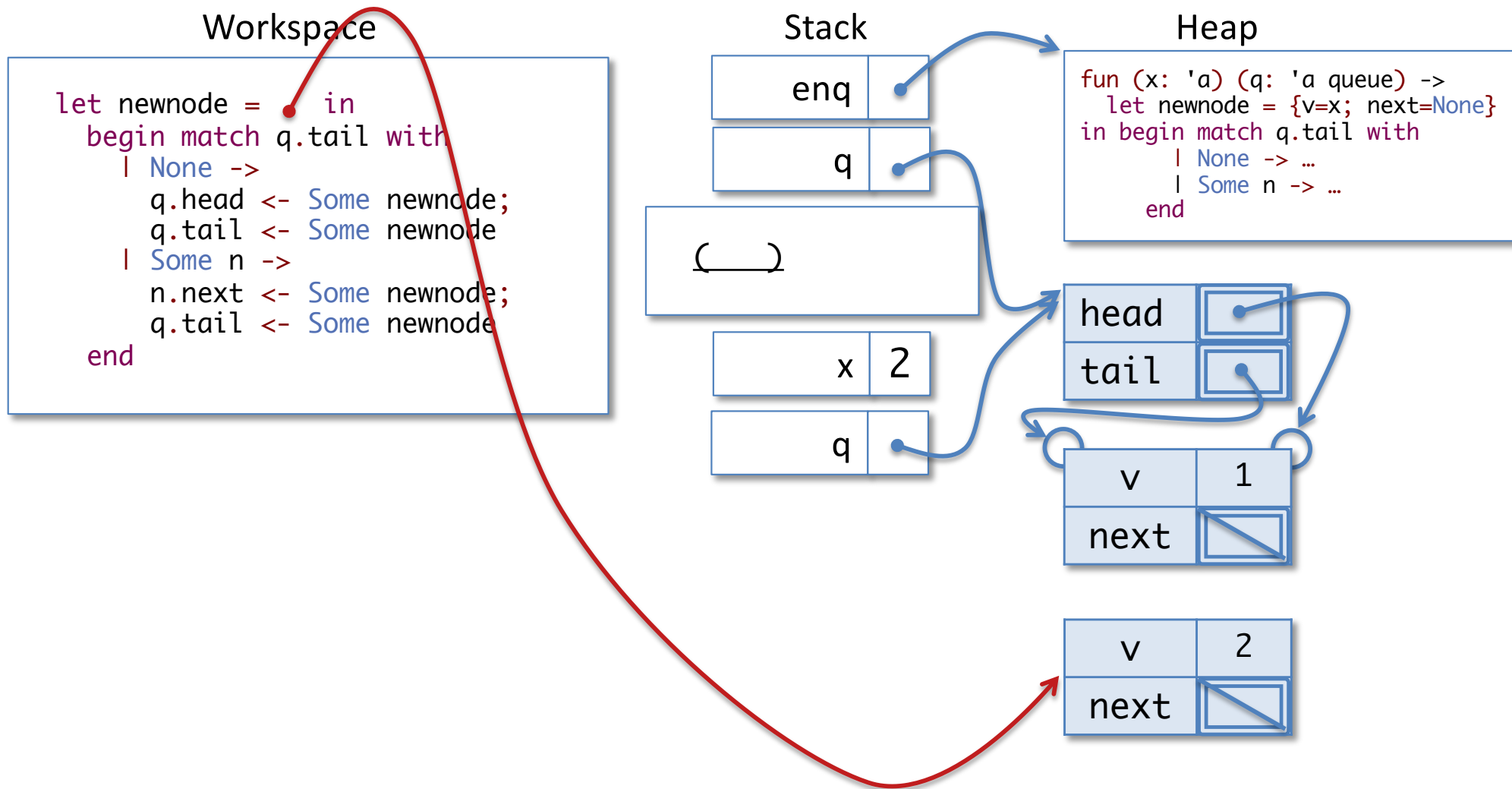


## Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None} in
  begin match q.tail with
  | None -> ...
  | Some n -> ...
  end
```



# Calling Enq on a non-empty queue



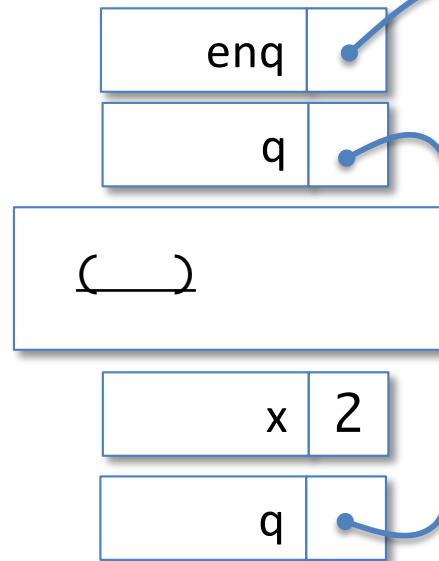
Note: there is no "Some bubble": this is a qnode, not a qnode option.

# Calling Enq on a non-empty queue

Workspace

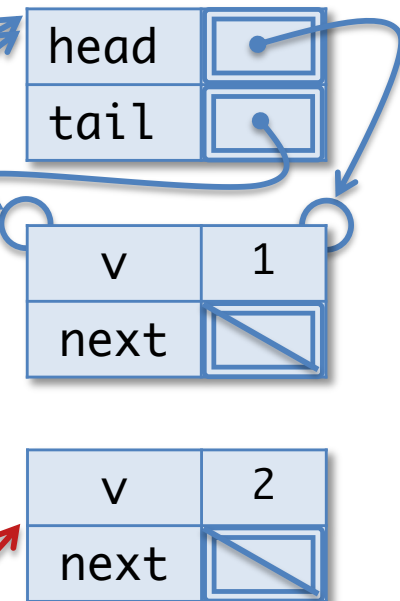
```
let newnode =            in
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

Stack



Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None}
  in begin match q.tail with
    | None -> ...
    | Some n -> ...
  end
```

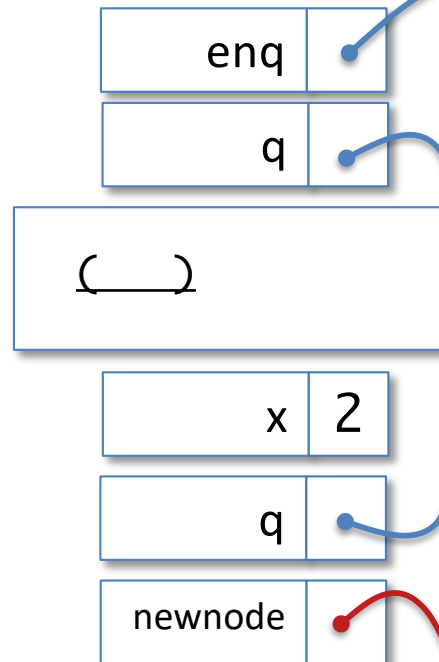


# Calling Enq on a non-empty queue

## Workspace

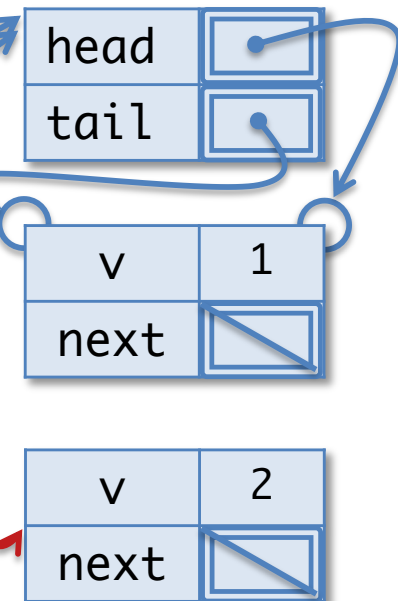
```
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack



## Heap

```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None}
  in begin match q.tail with
    | None -> ...
    | Some n -> ...
  end
```

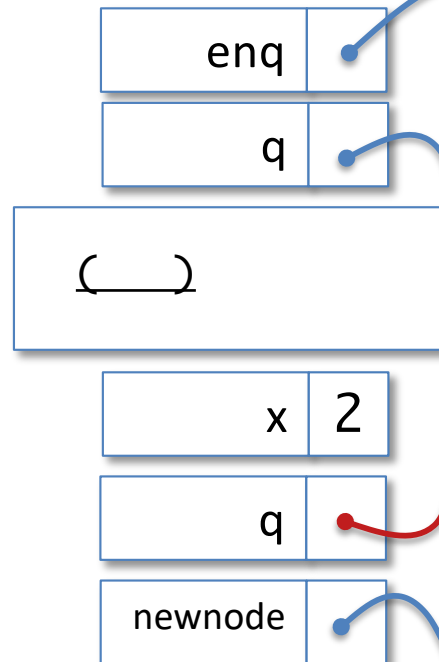


# Calling Enq on a non-empty queue

## Workspace

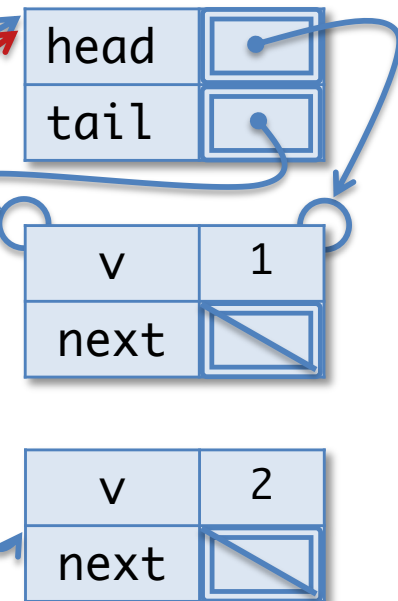
```
begin match q.tail with
| None ->
  q.head <- Some newnode;
  q.tail <- Some newnode
| Some n ->
  n.next <- Some newnode;
  q.tail <- Some newnode
end
```

## Stack

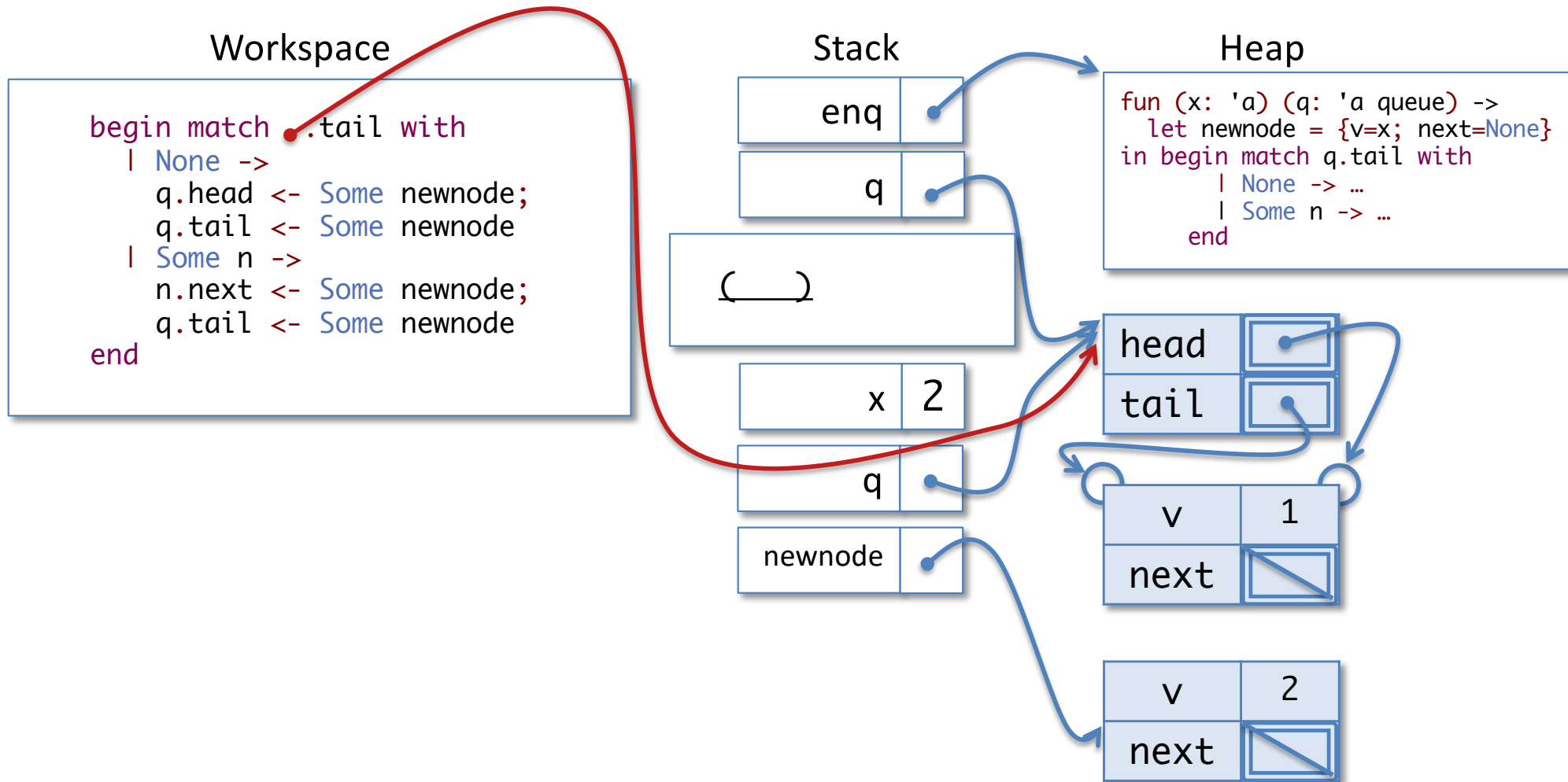


## Heap

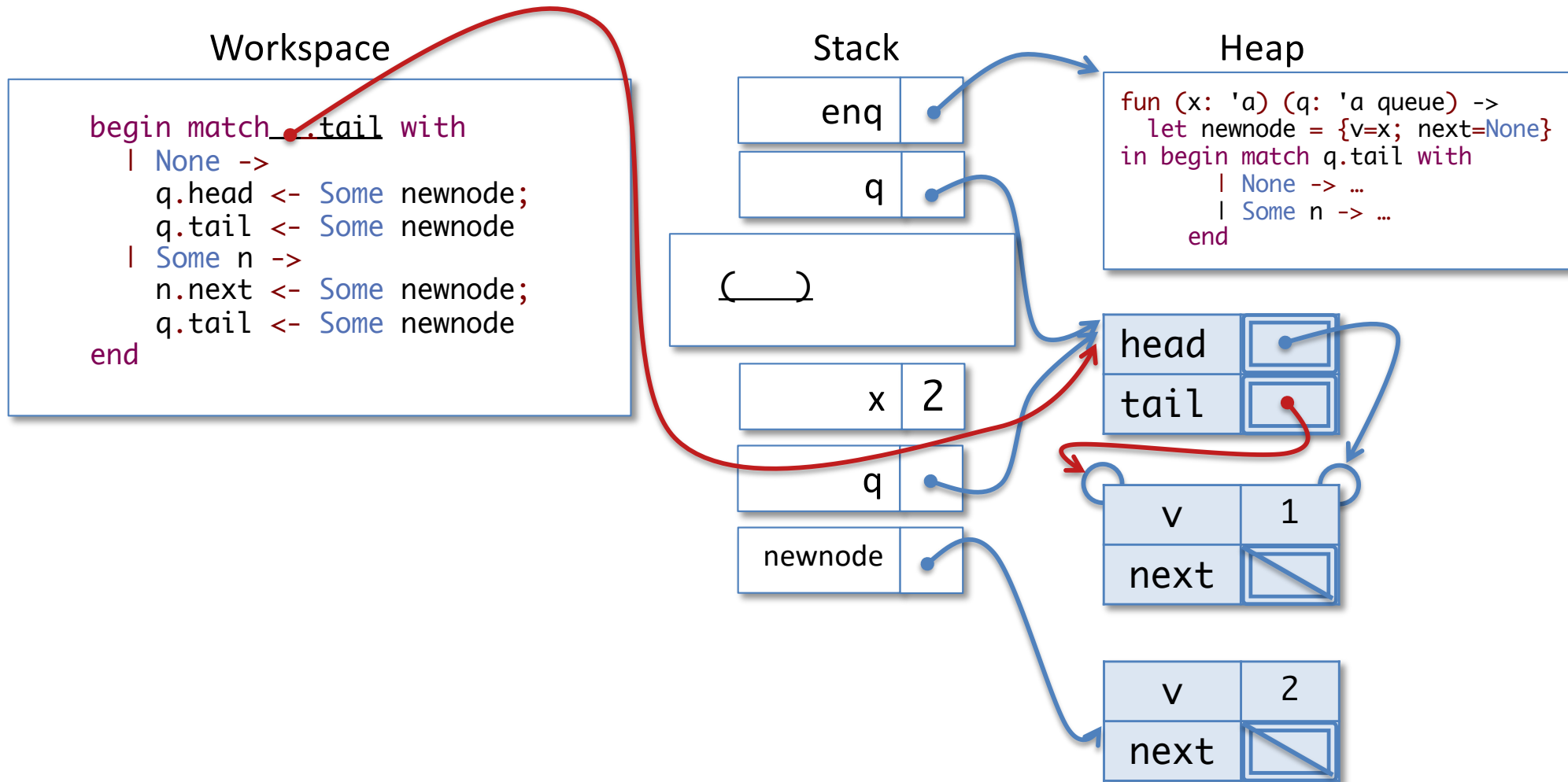
```
fun (x: 'a) (q: 'a queue) ->
  let newnode = {v=x; next=None}
  in begin match q.tail with
    | None -> ...
    | Some n -> ...
  end
```



# Calling Enq on a non-empty queue

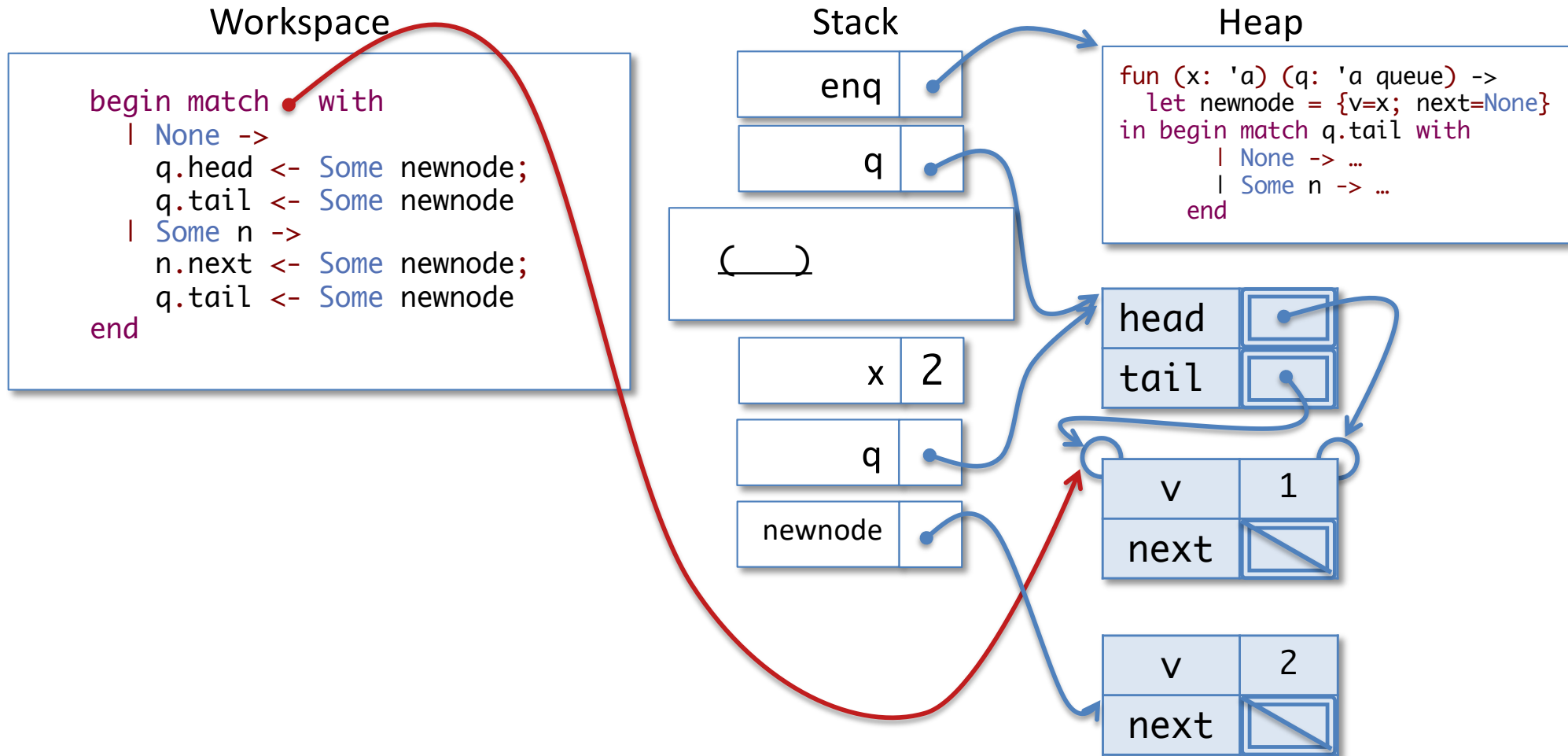


# Calling Enq on a non-empty queue

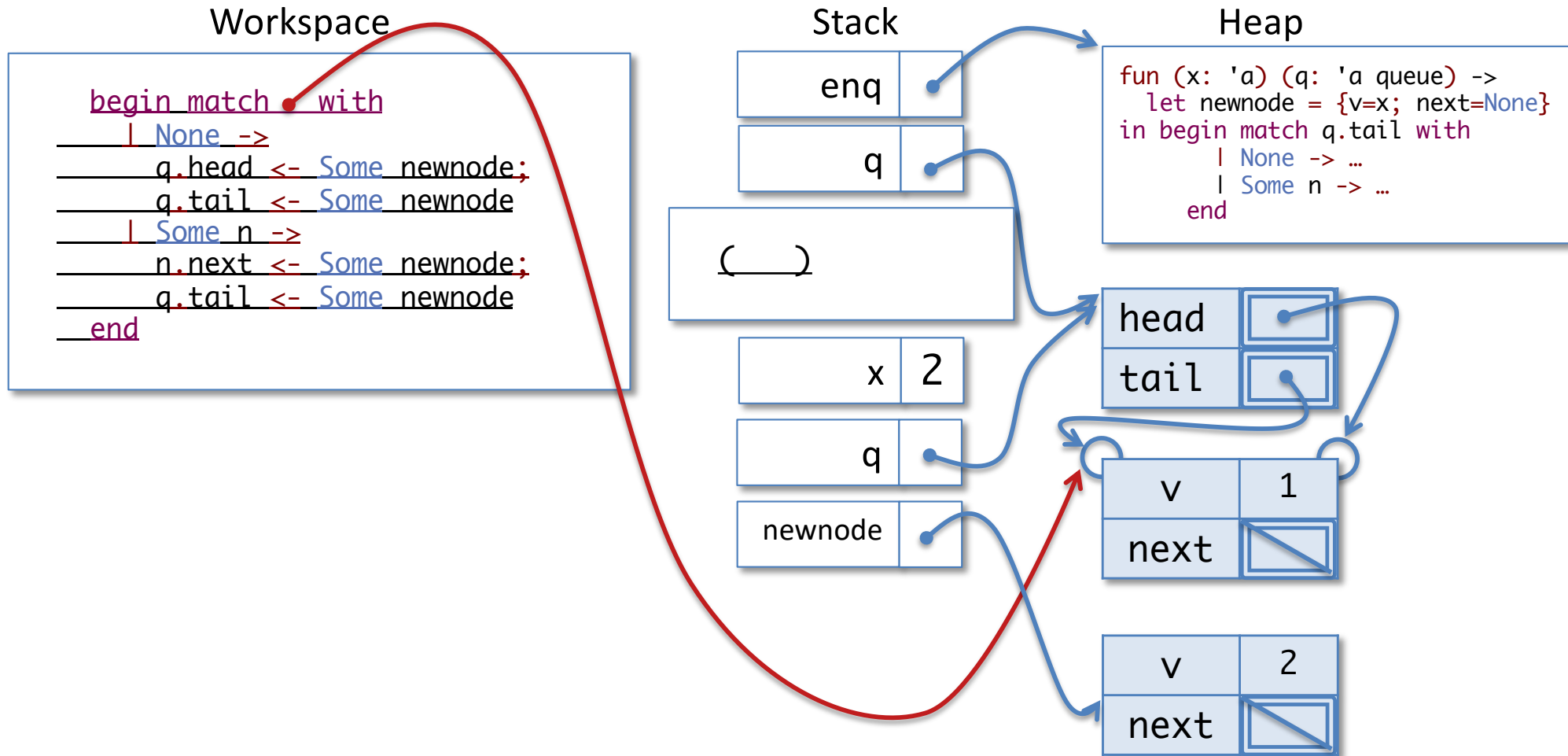




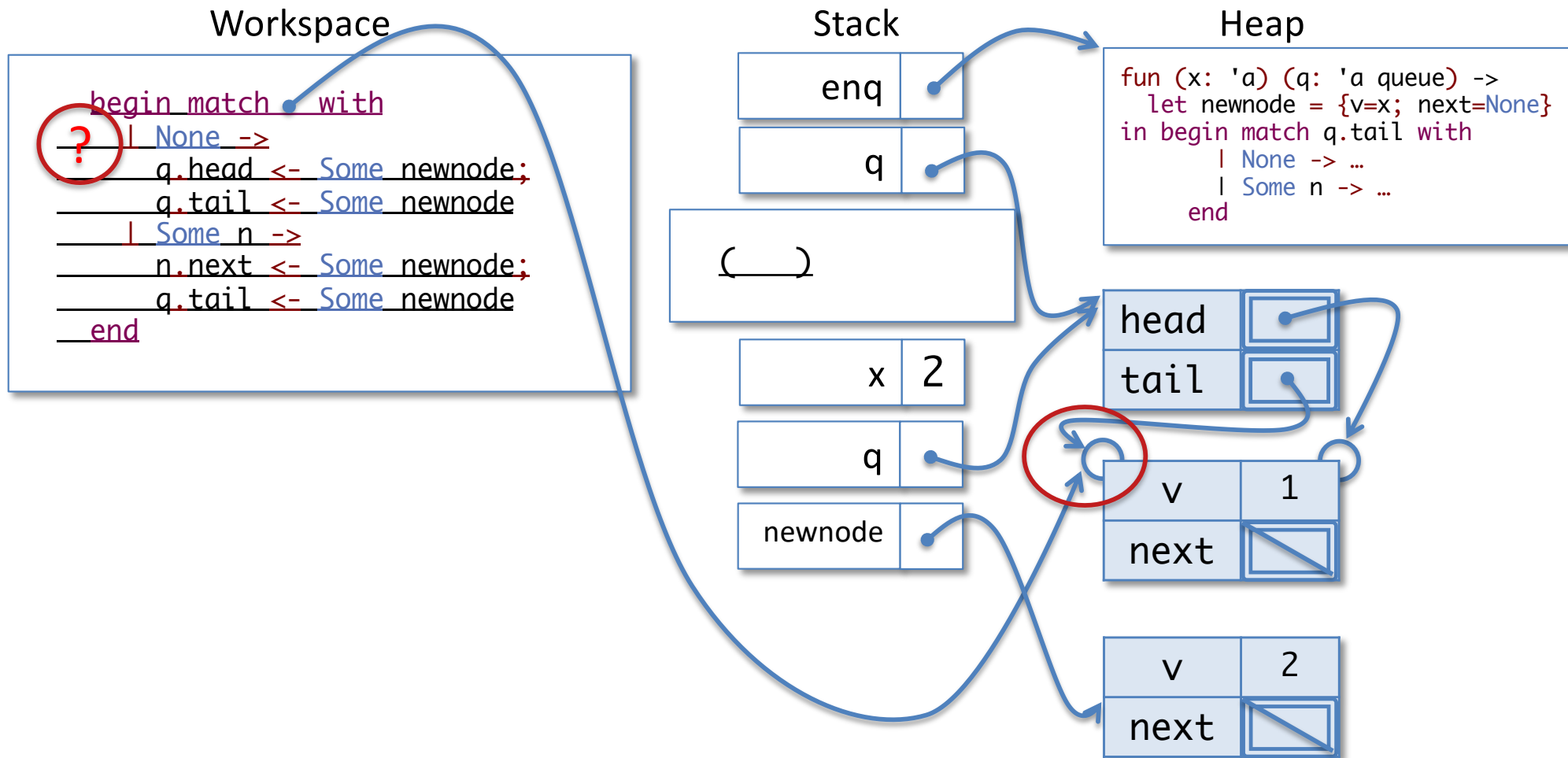
# Calling Enq on a non-empty queue



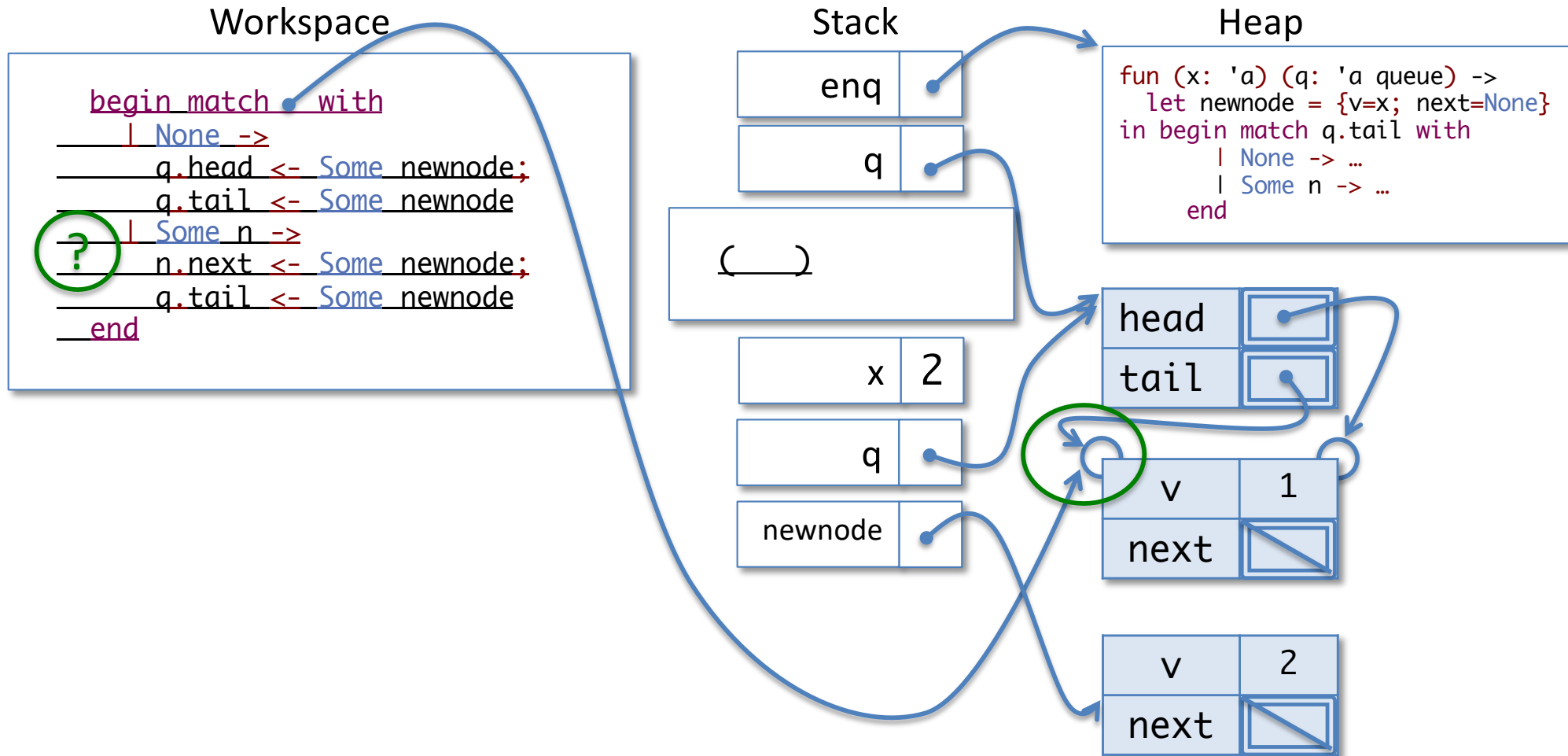
# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

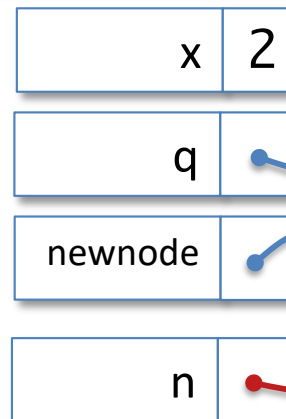
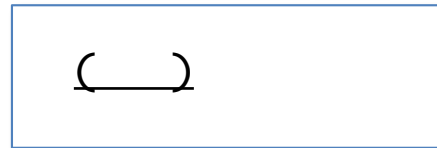
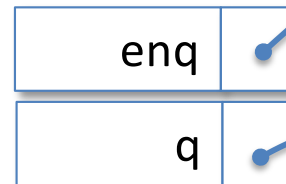


# Calling Enq on a non-empty queue

## Workspace

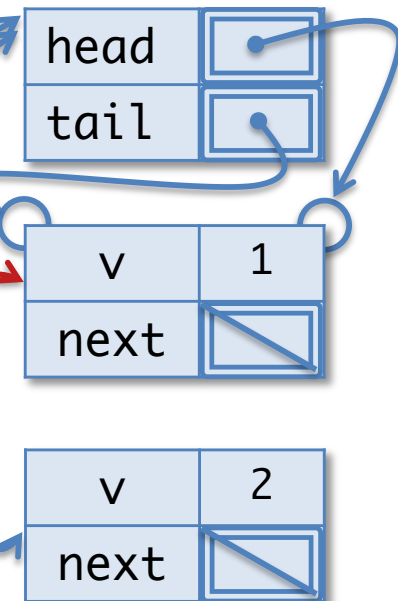
```
n.next <- Some newnode;  
q.tail <- Some newnode
```

## Stack



## Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```



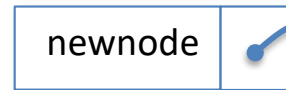
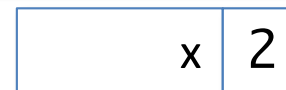
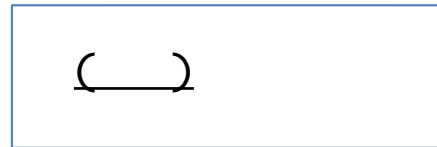
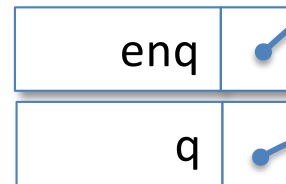
Note: n points to a  
qnode, not a  
qnode option.

# Calling Enq on a non-empty queue

## Workspace

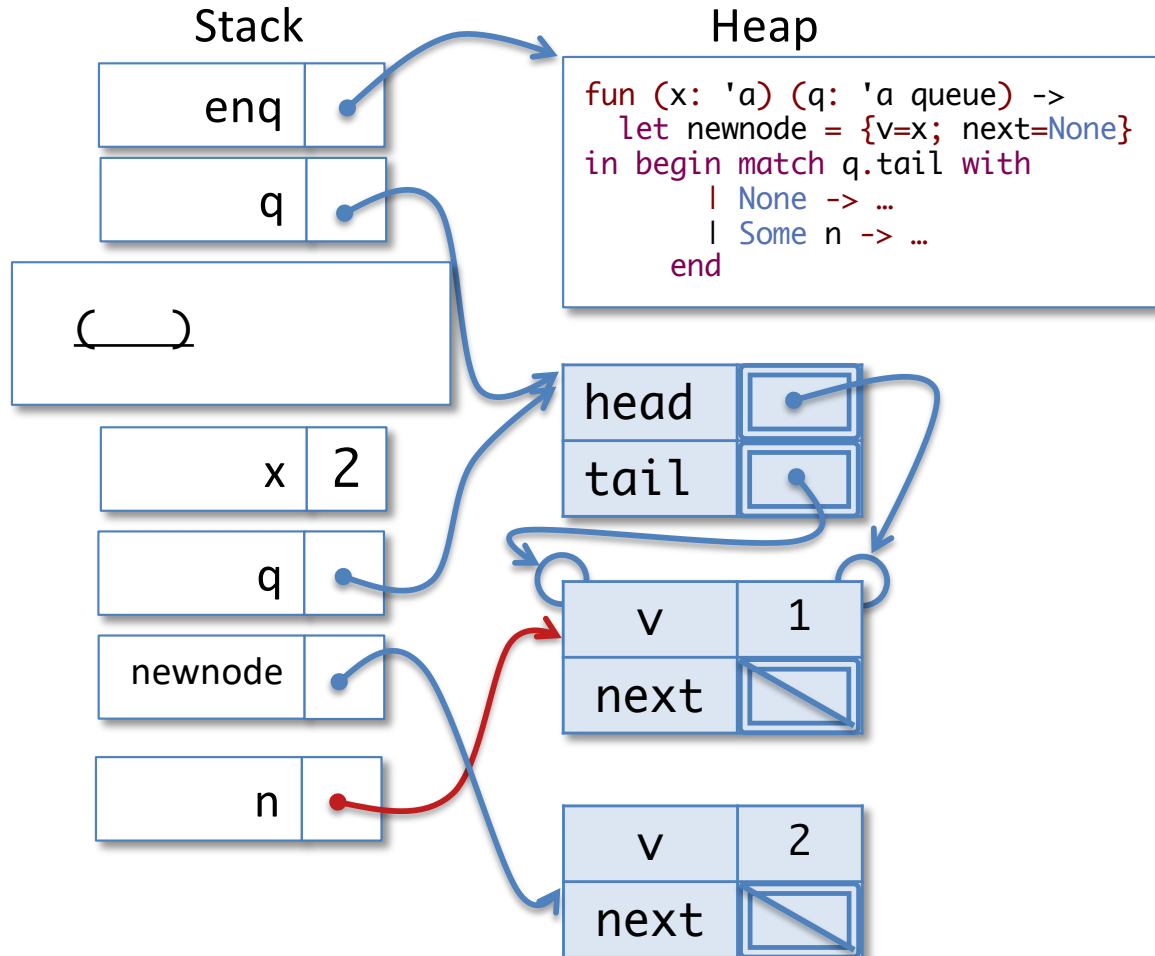
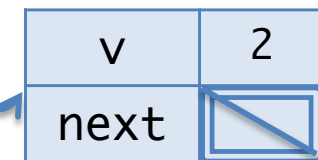
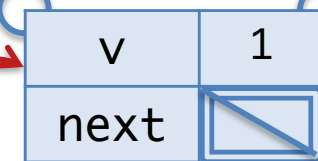
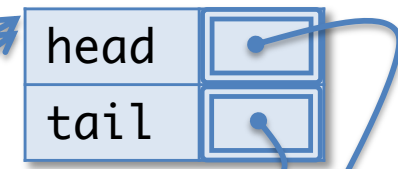
```
n.next <- Some newnode;  
q.tail <- Some newnode
```

## Stack

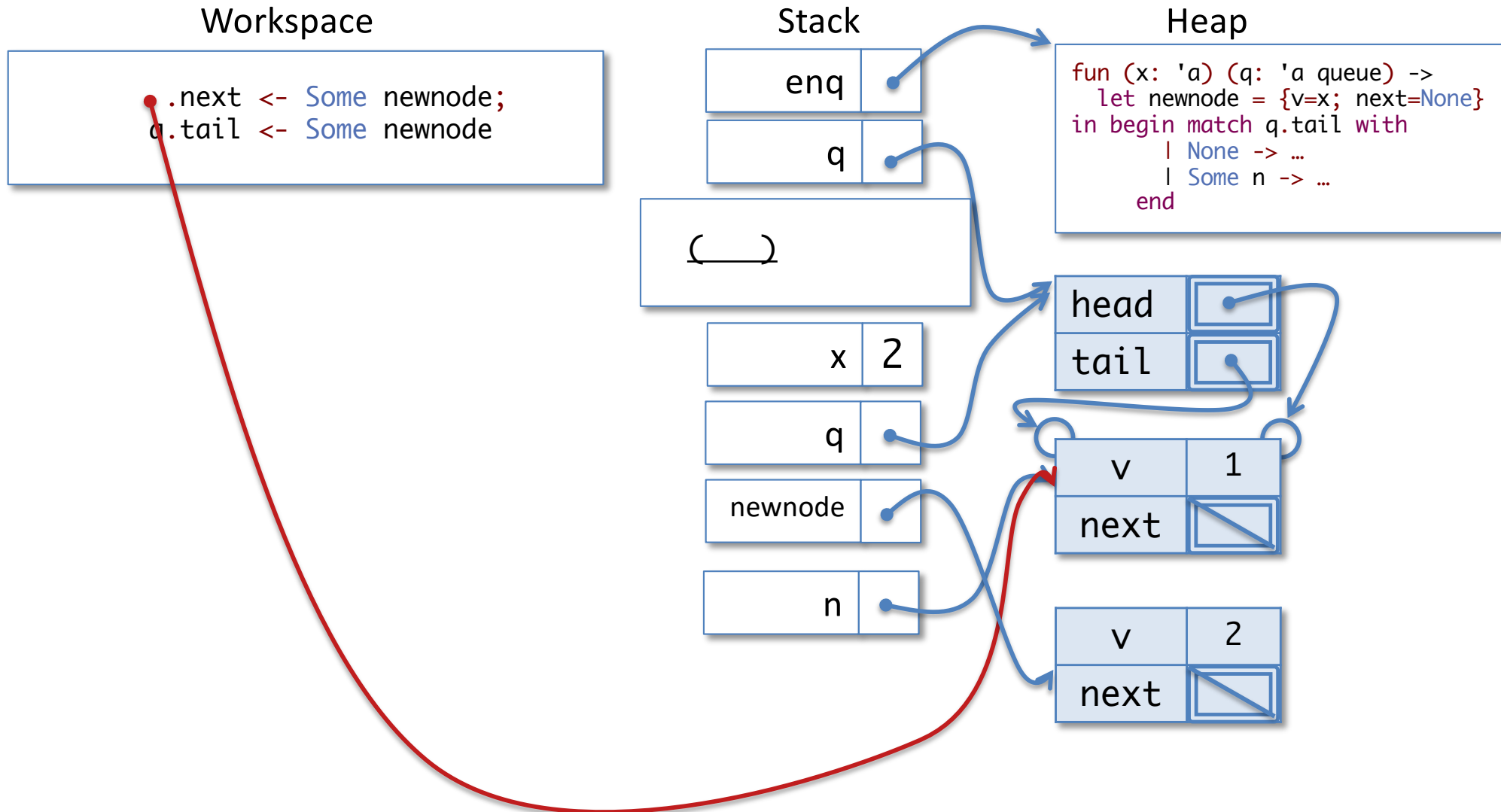


## Heap

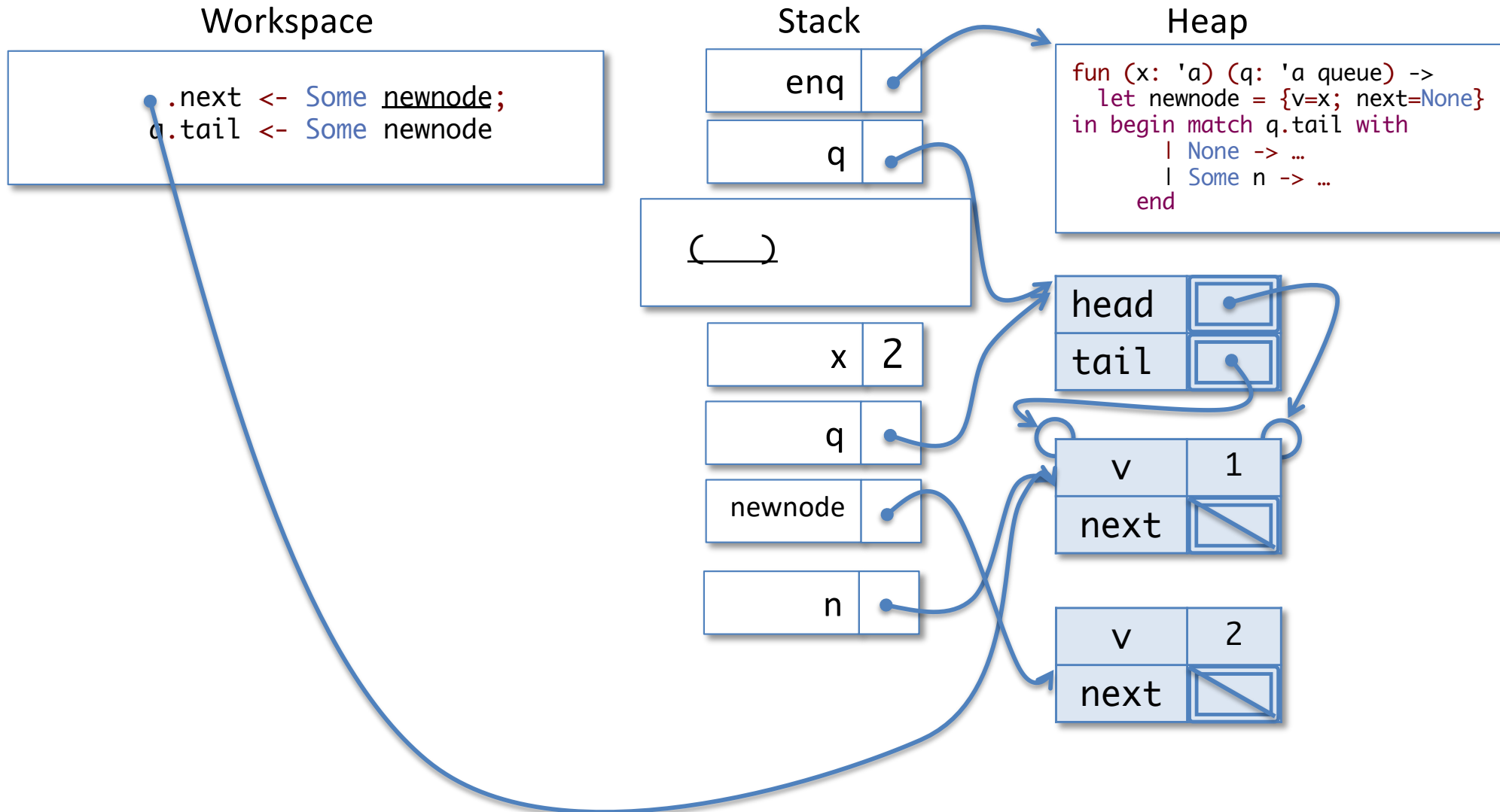
```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```



# Calling Enq on a non-empty queue

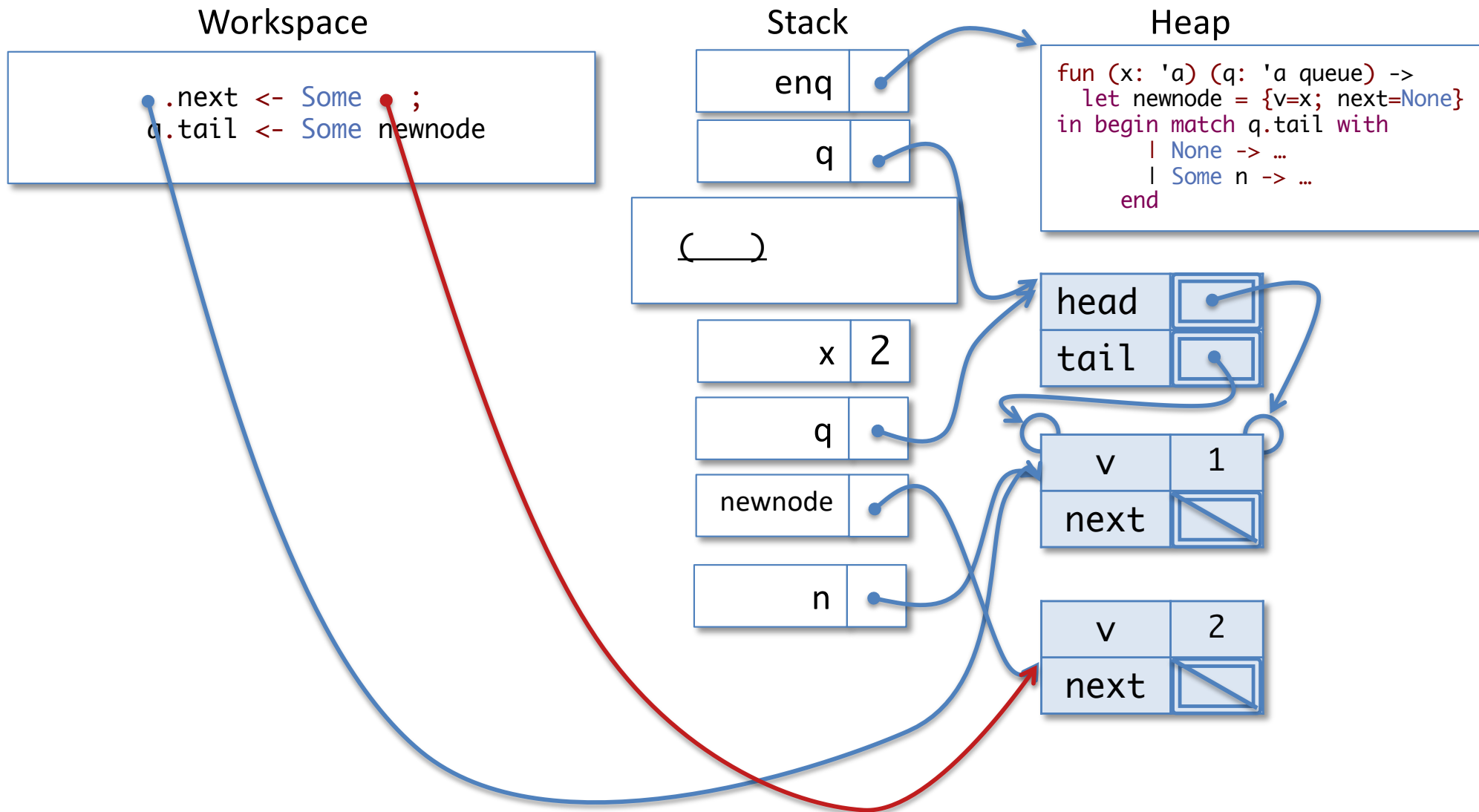


# Calling Enq on a non-empty queue

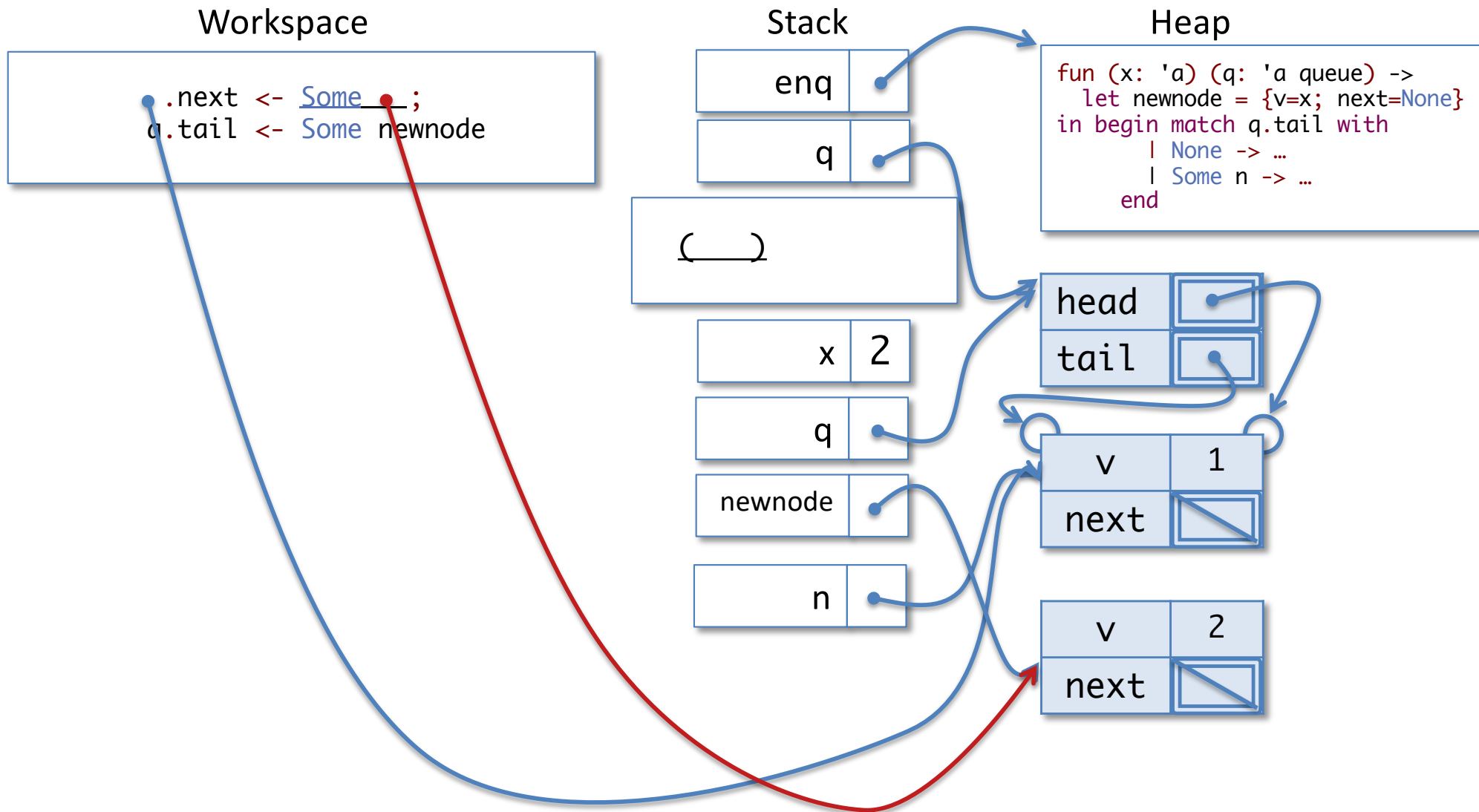




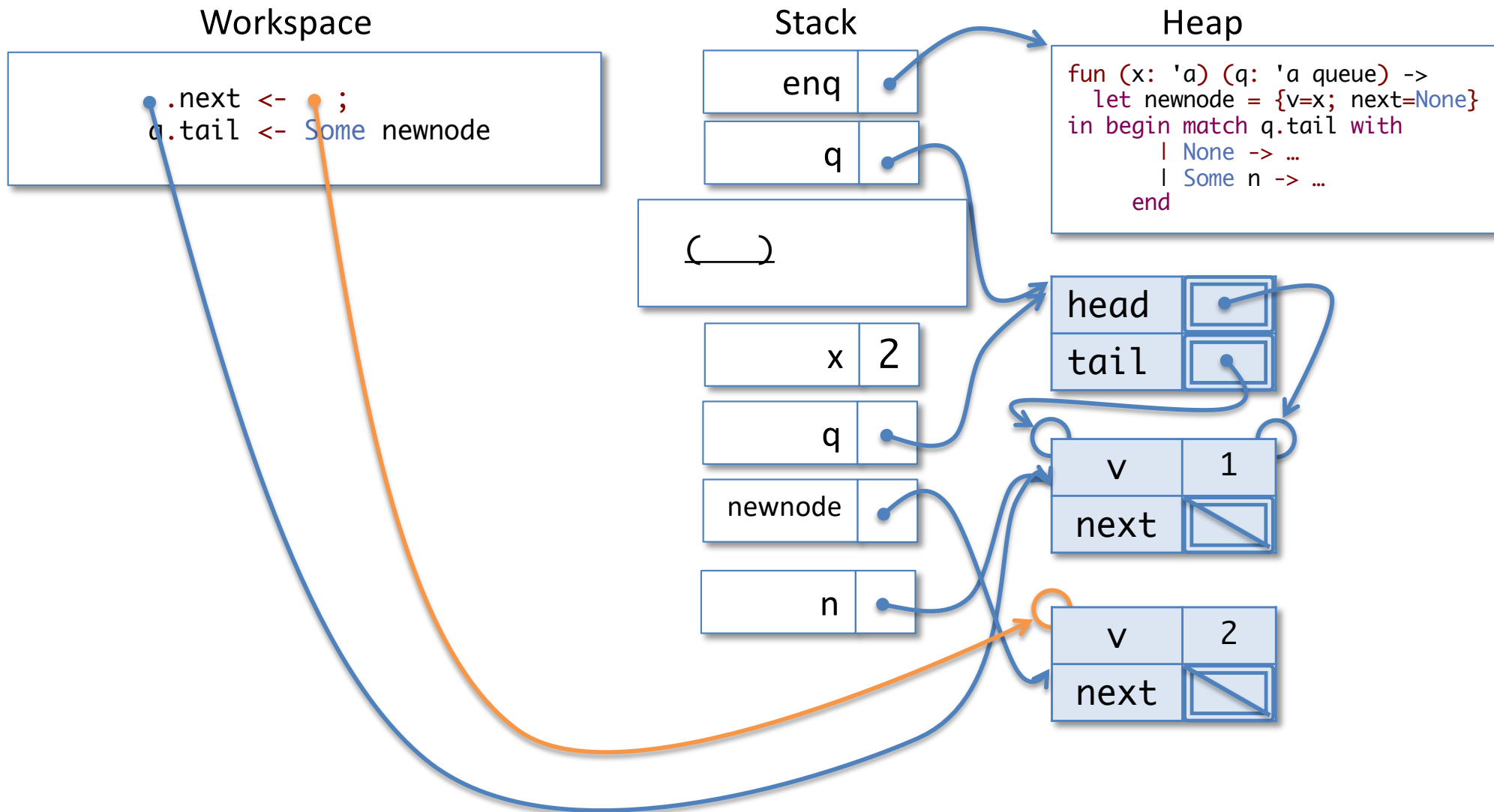
# Calling Enq on a non-empty queue



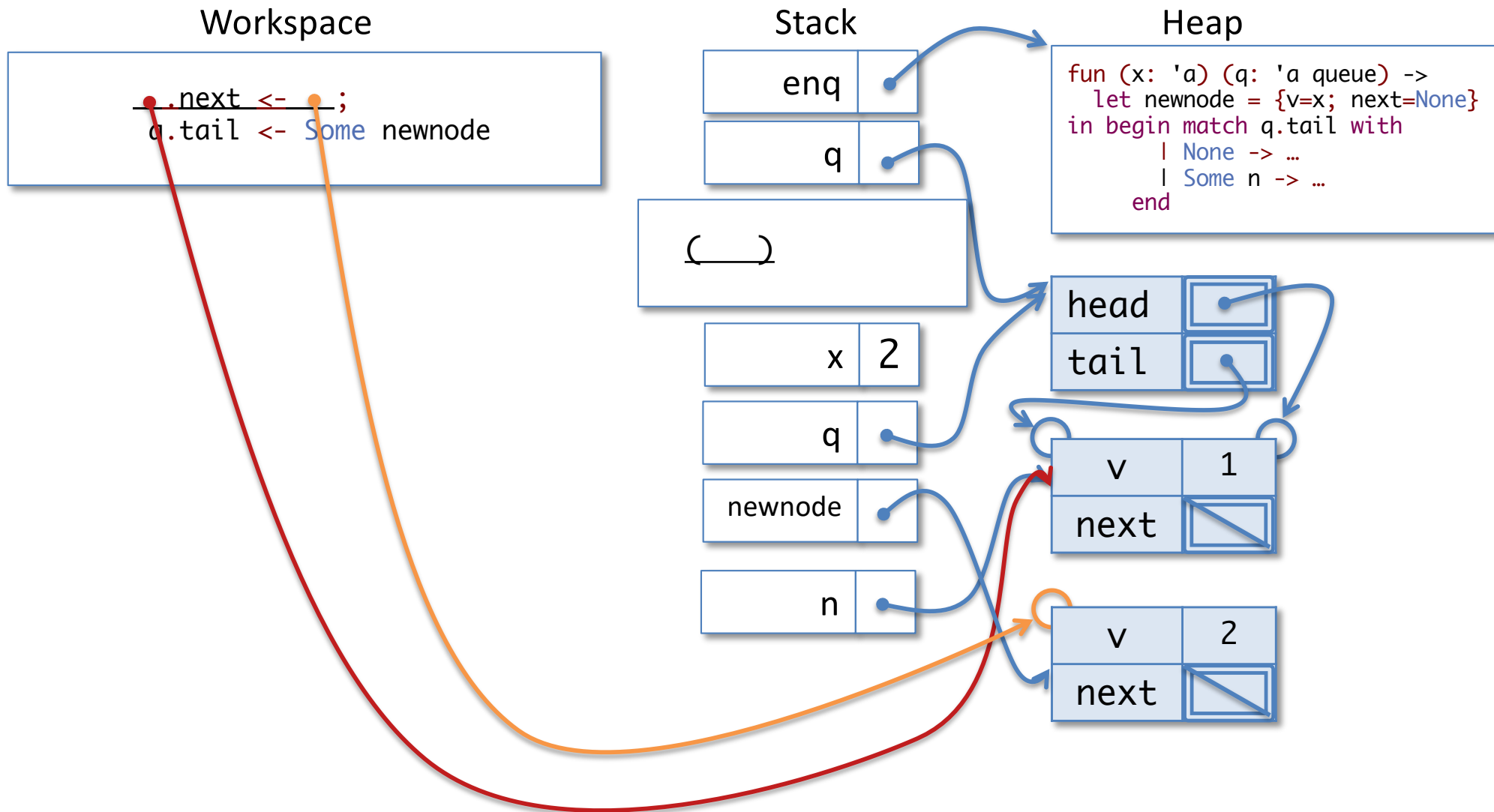
# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

Workspace

```
();  
q.tail <- Some newnode
```

Stack

enq

q

( )

x 2

q

newnode

n

Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```

head

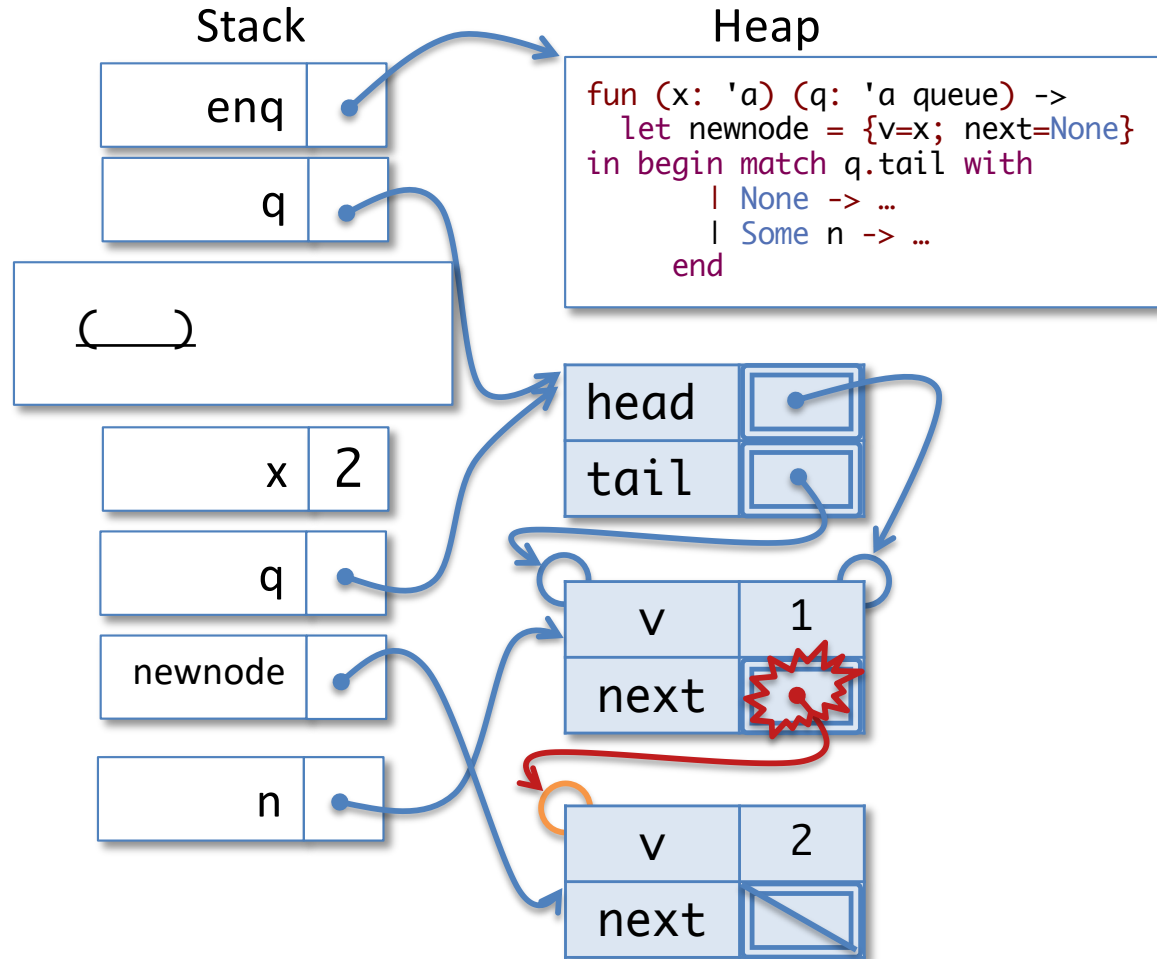
tail

v 1

next

v 2

next



# Calling Enq on a non-empty queue

Workspace

```
Q:  
q.tail <- Some newnode
```

Stack

enq

q

( )

x 2

q

newnode

n

Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```

head

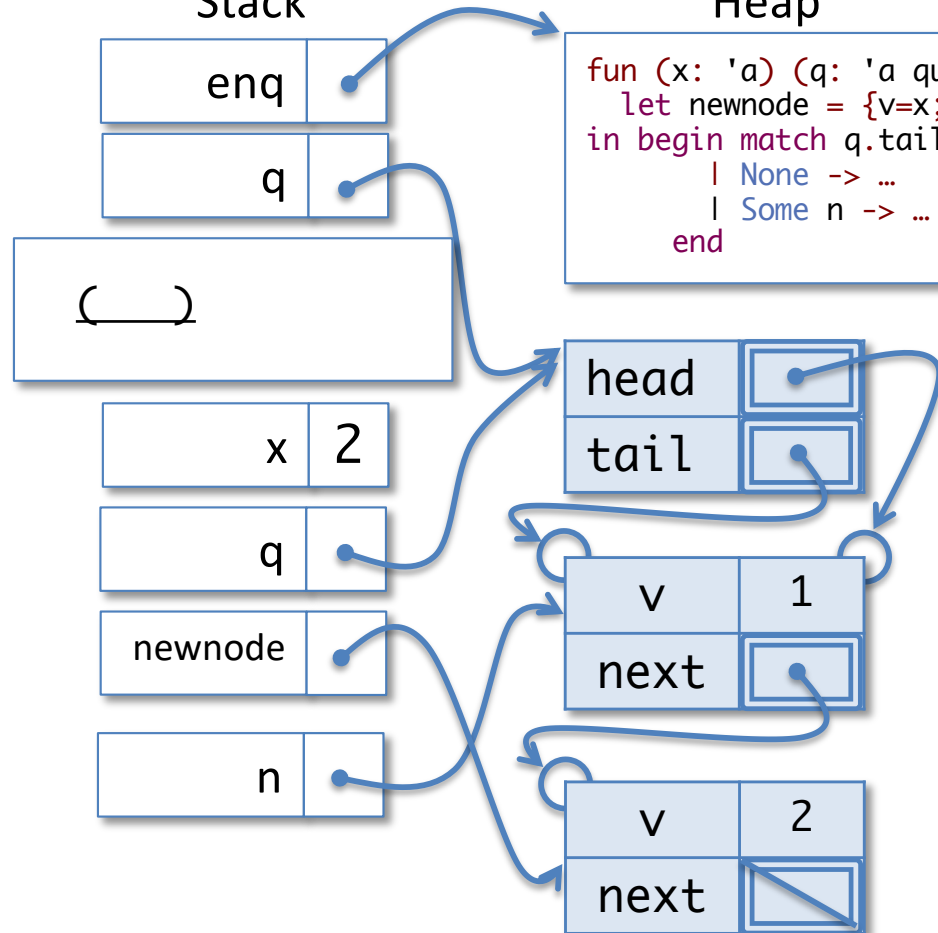
tail

v 1

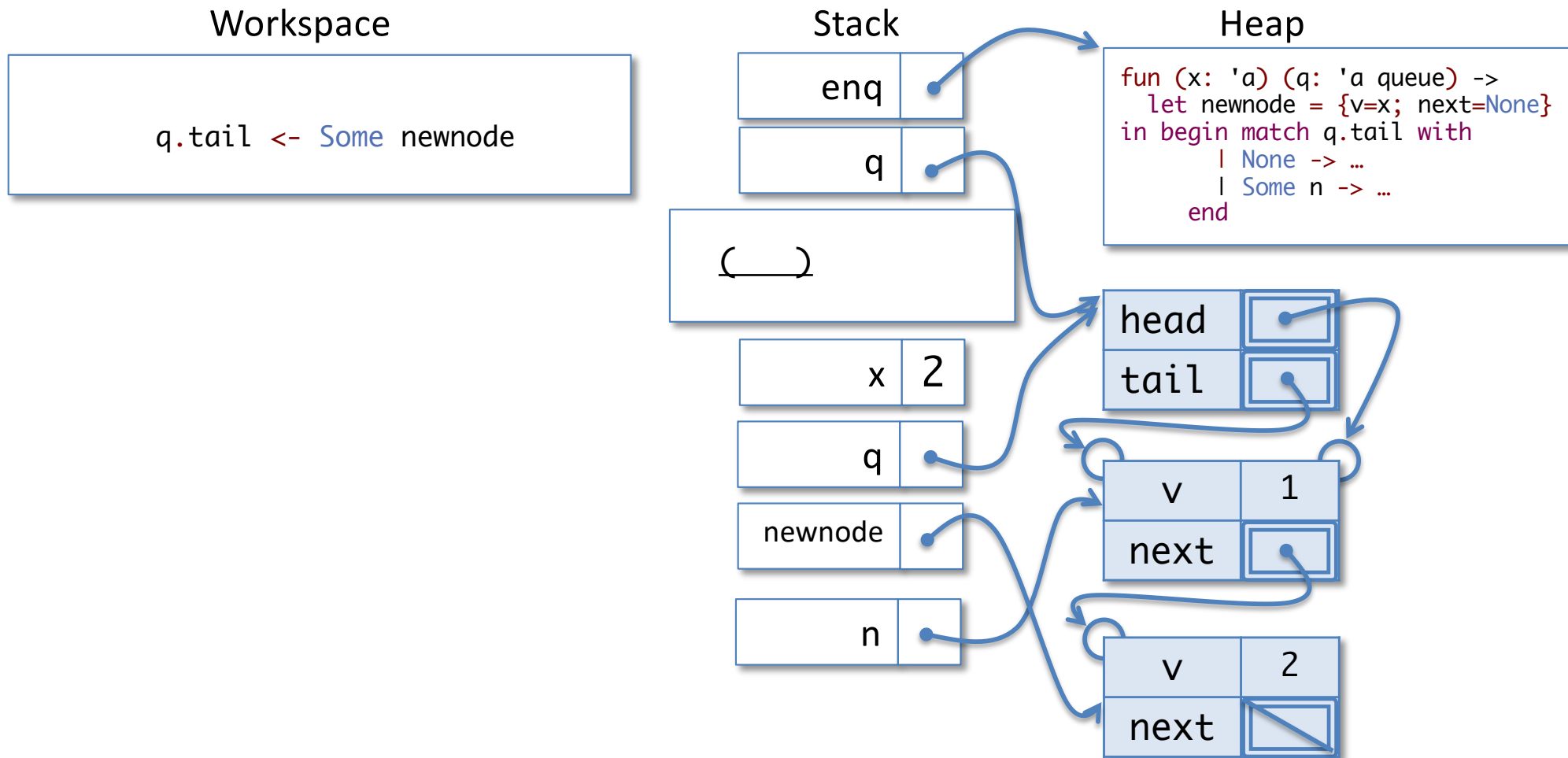
next

v 2

next



# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

Workspace

```
q.tail <- Some newnode
```

Stack

enq

q

( )

x 2

q

newnode

n

Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```

head

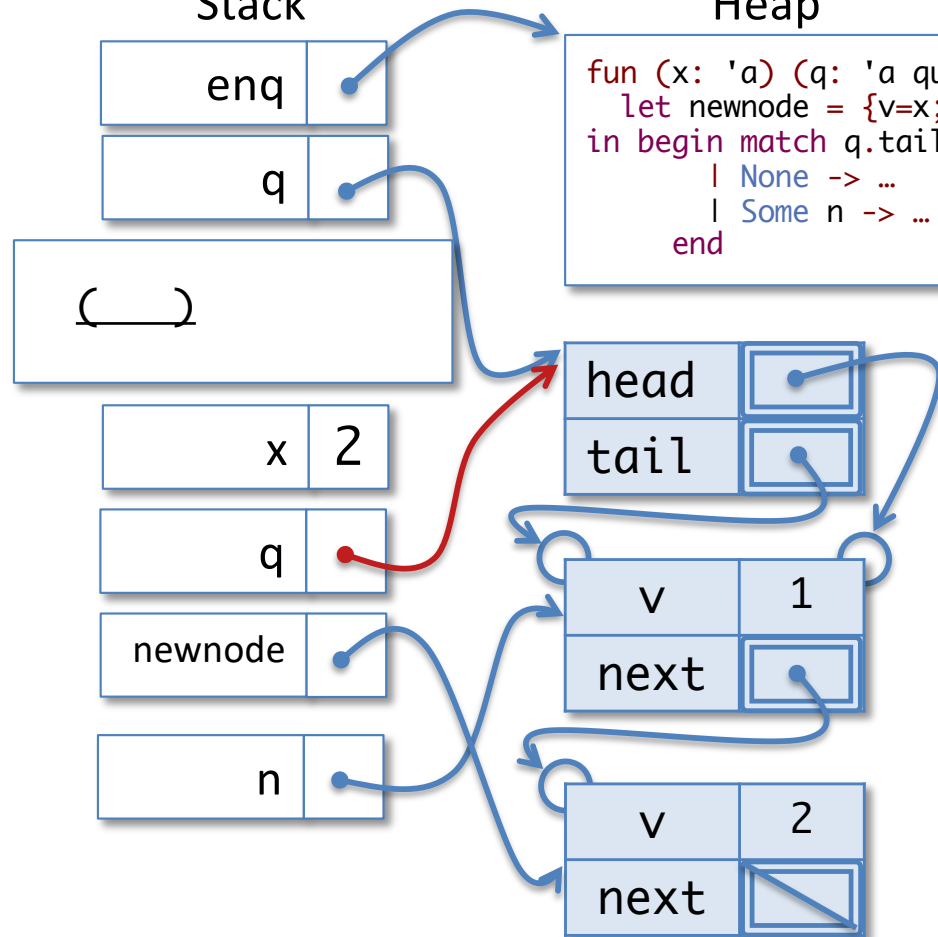
tail

v 1

next

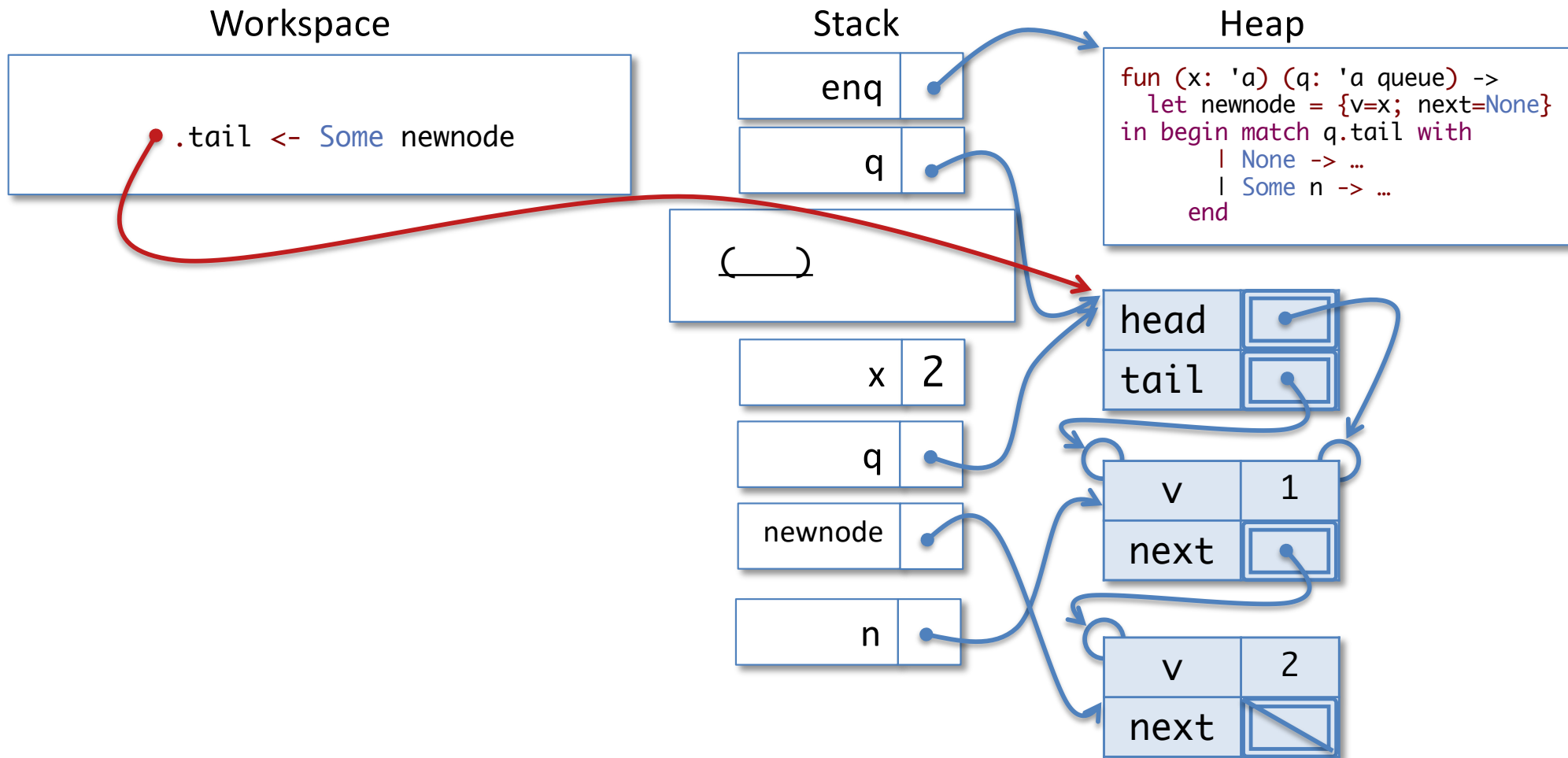
v 2

next

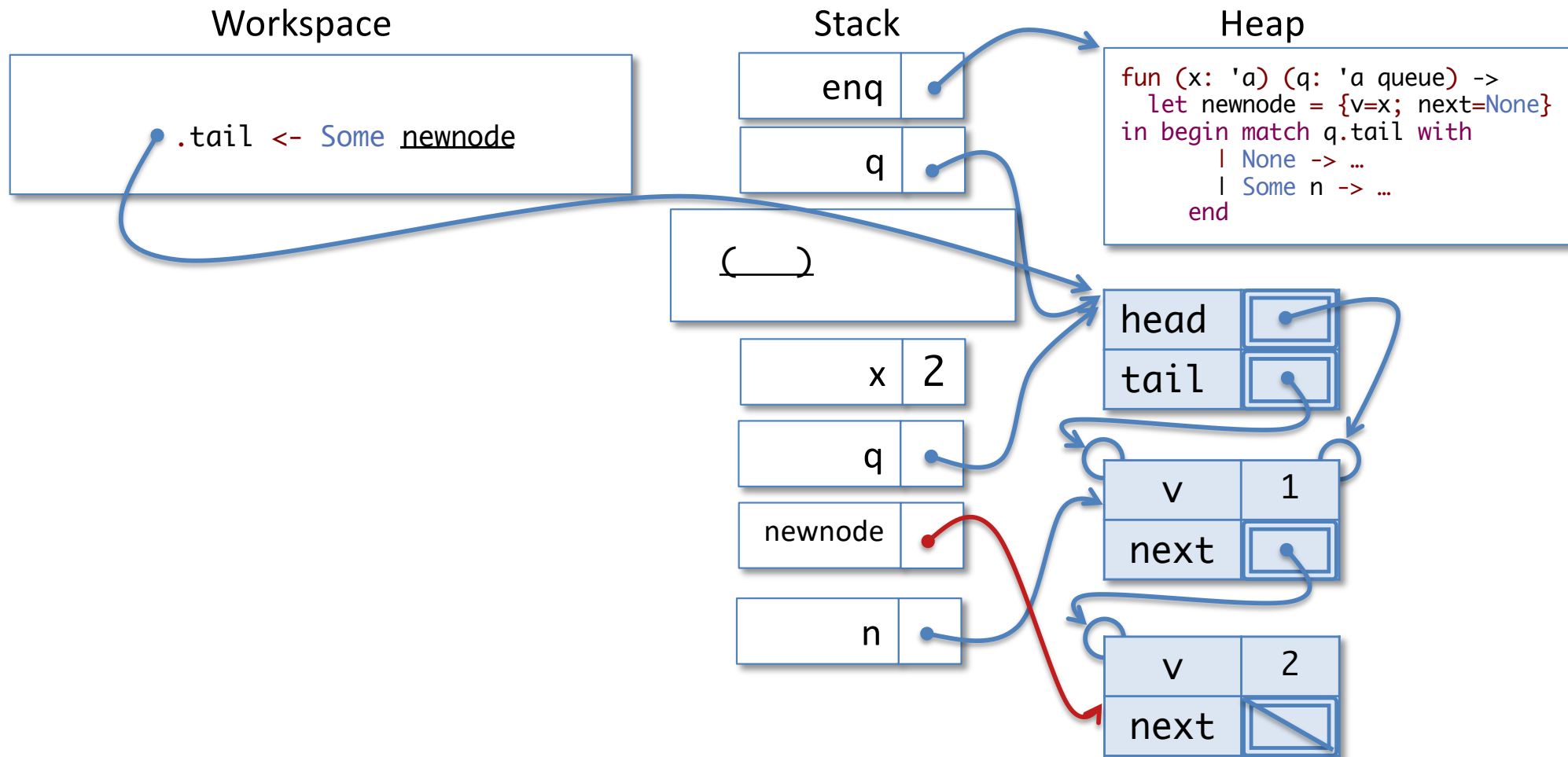




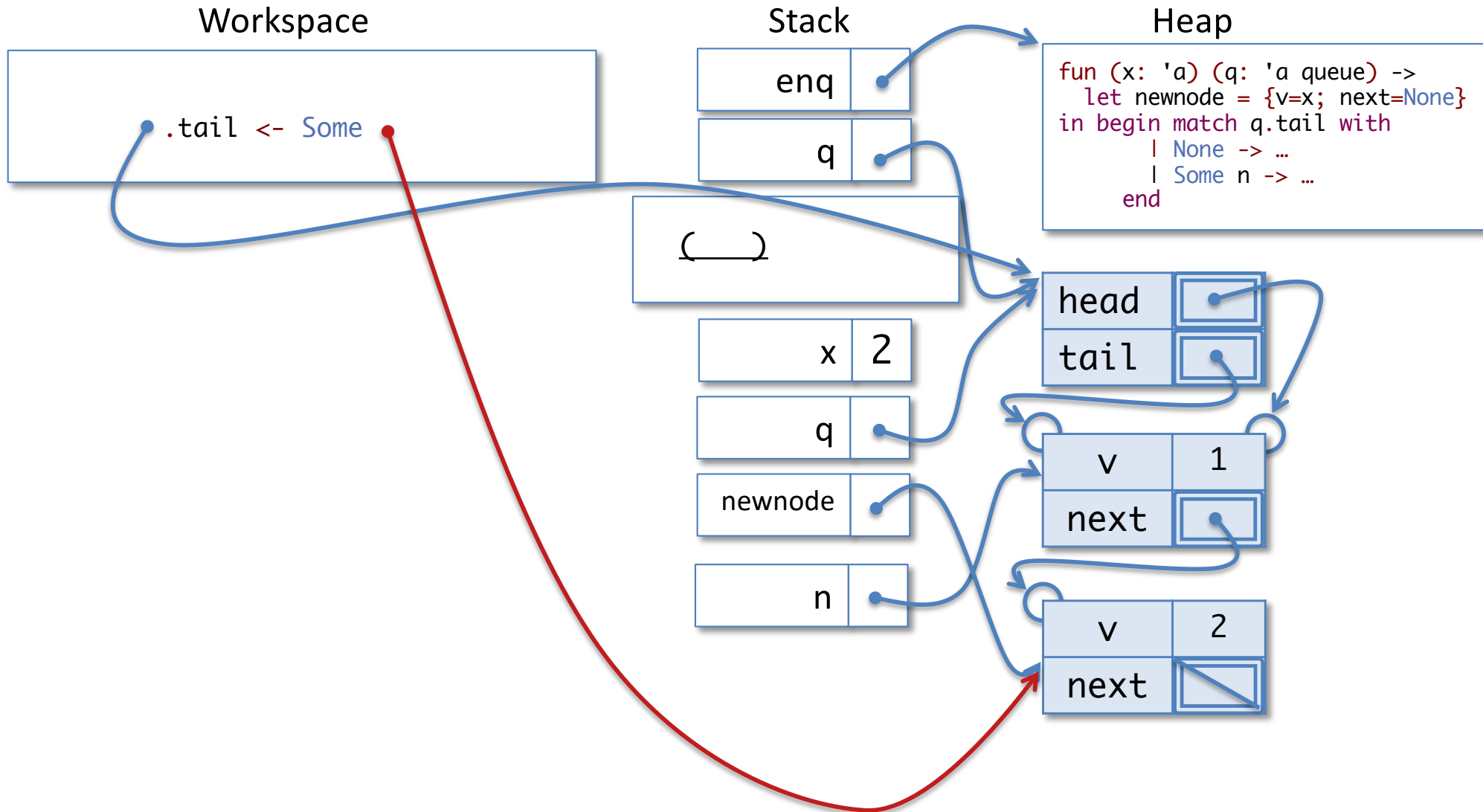
# Calling Enq on a non-empty queue



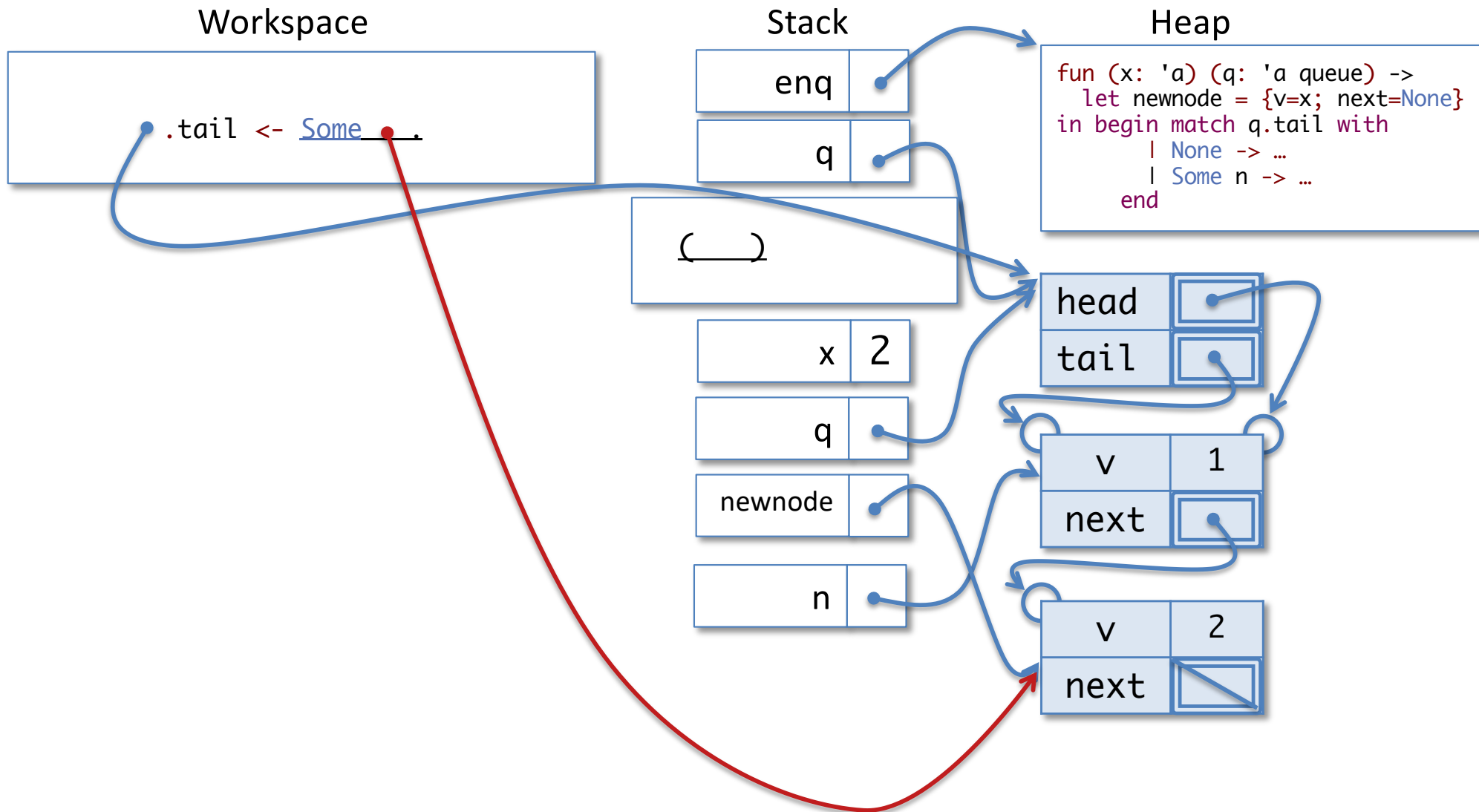
# Calling Enq on a non-empty queue



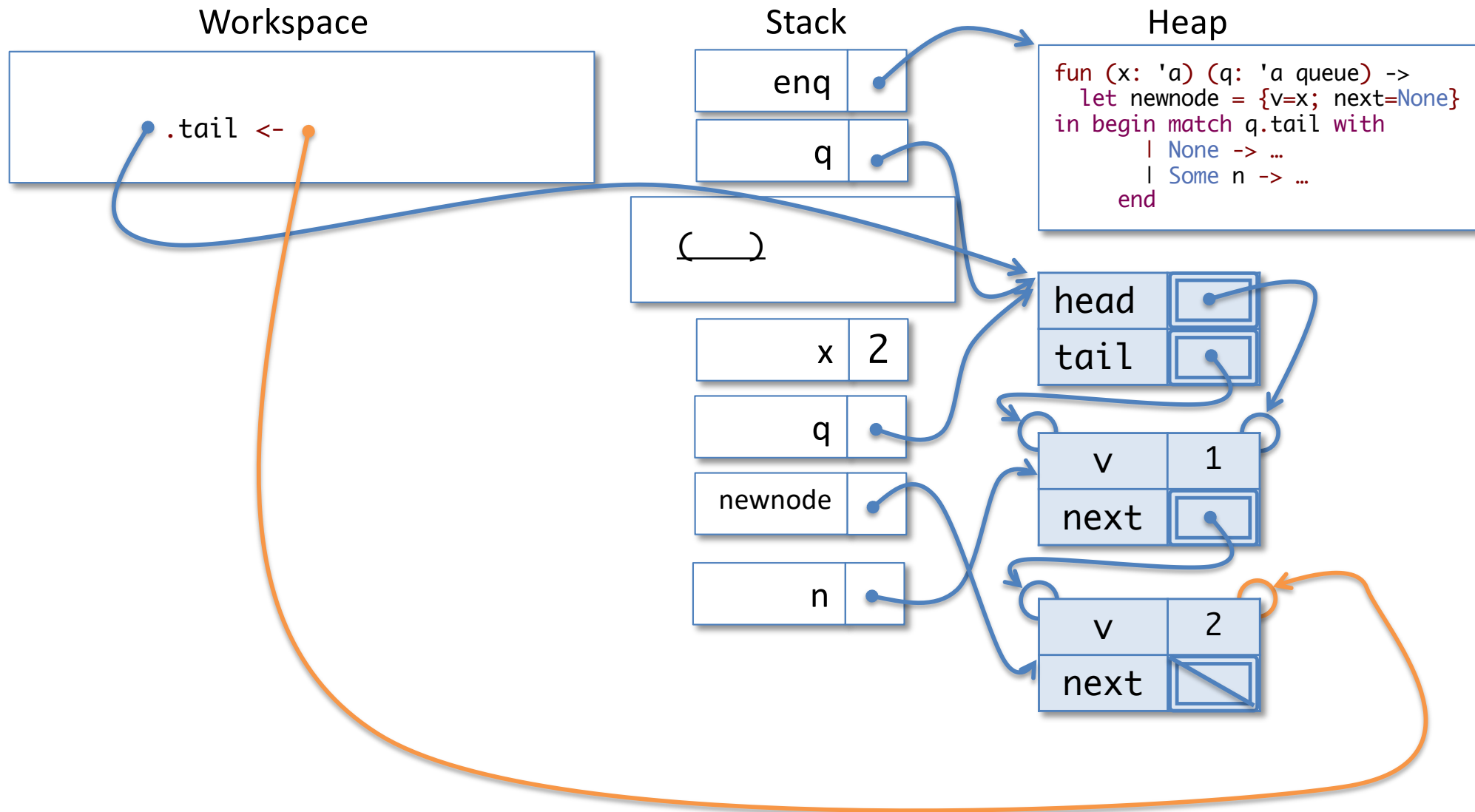
# Calling Enq on a non-empty queue



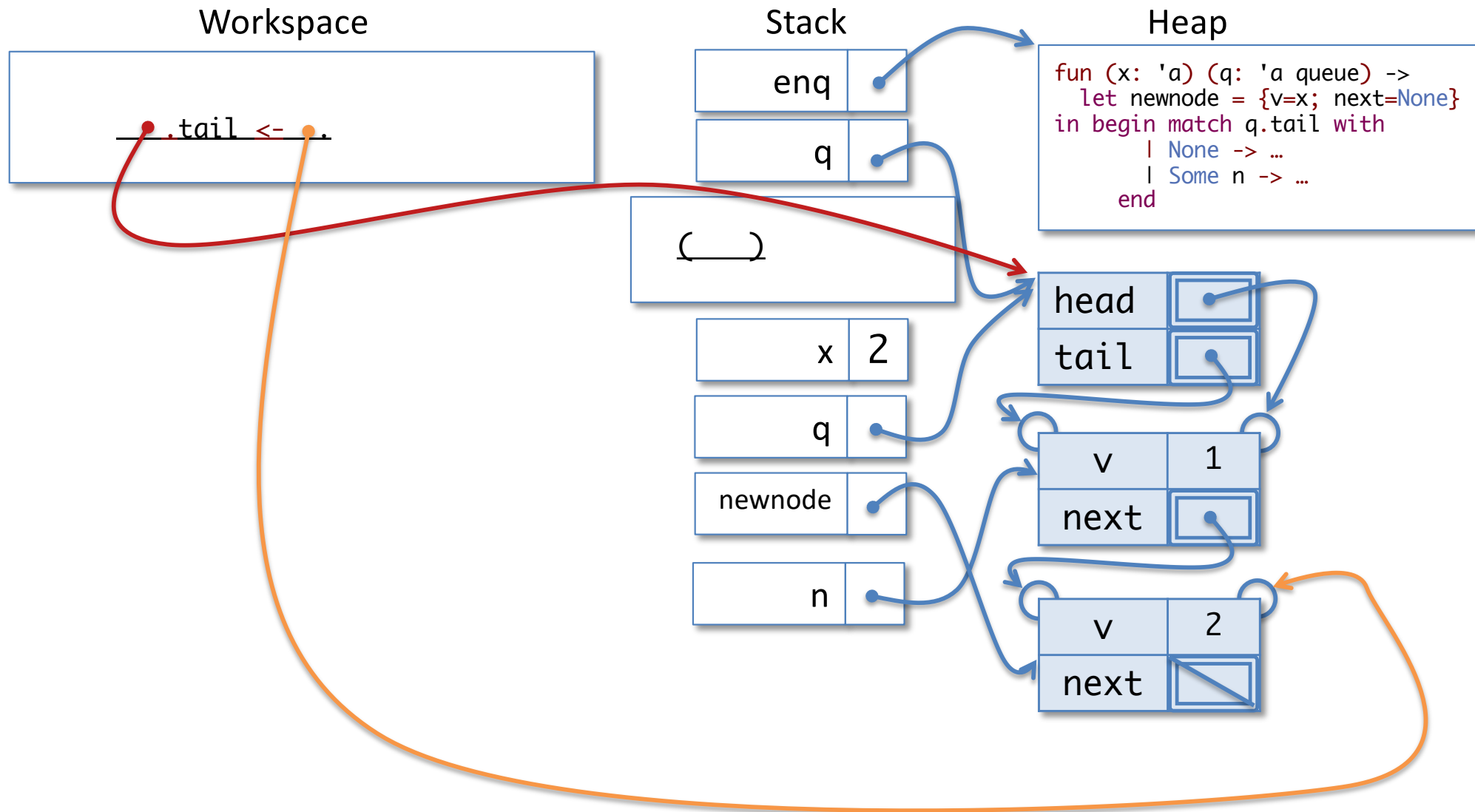
# Calling Enq on a non-empty queue



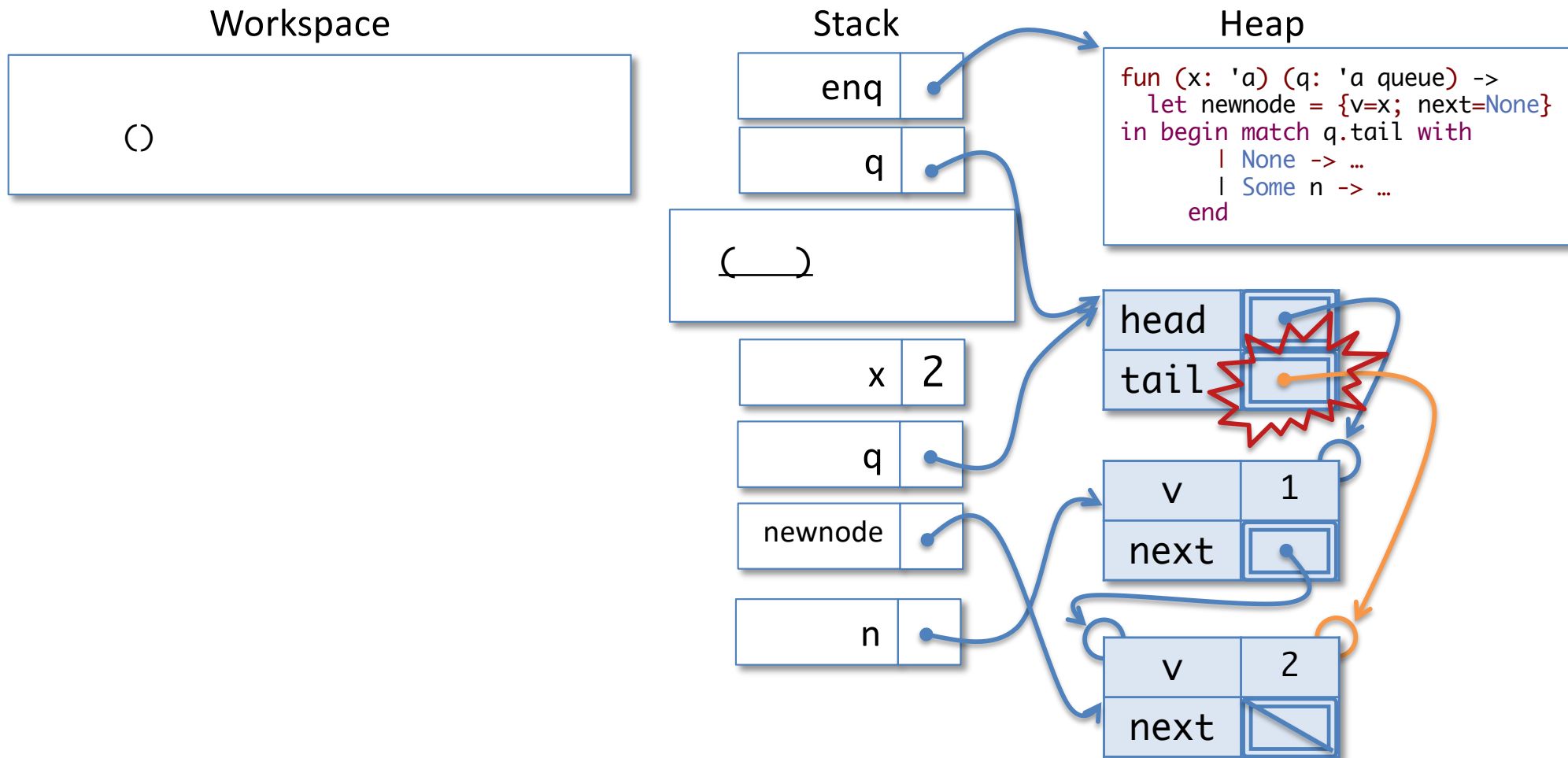
# Calling Enq on a non-empty queue



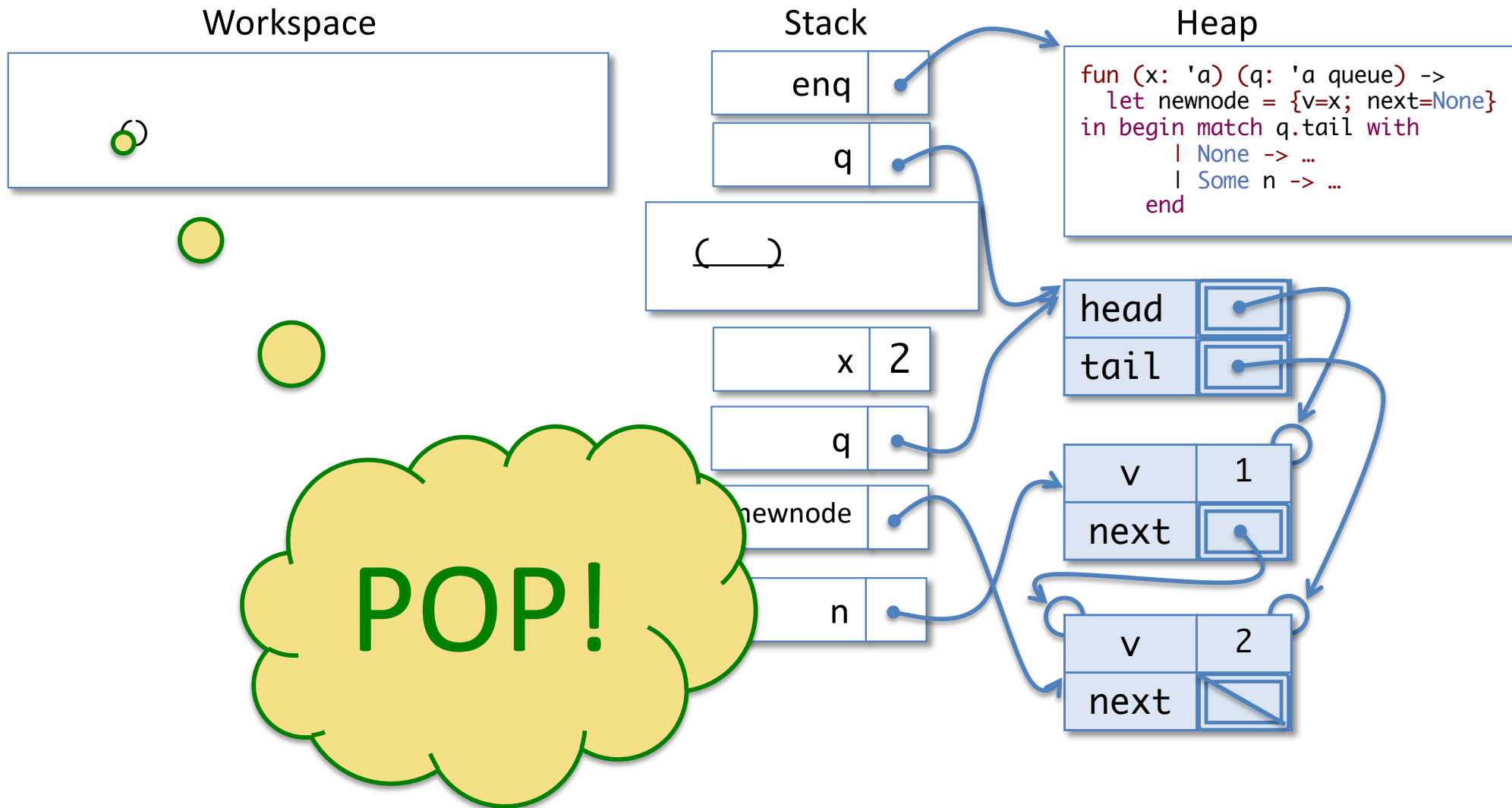
# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

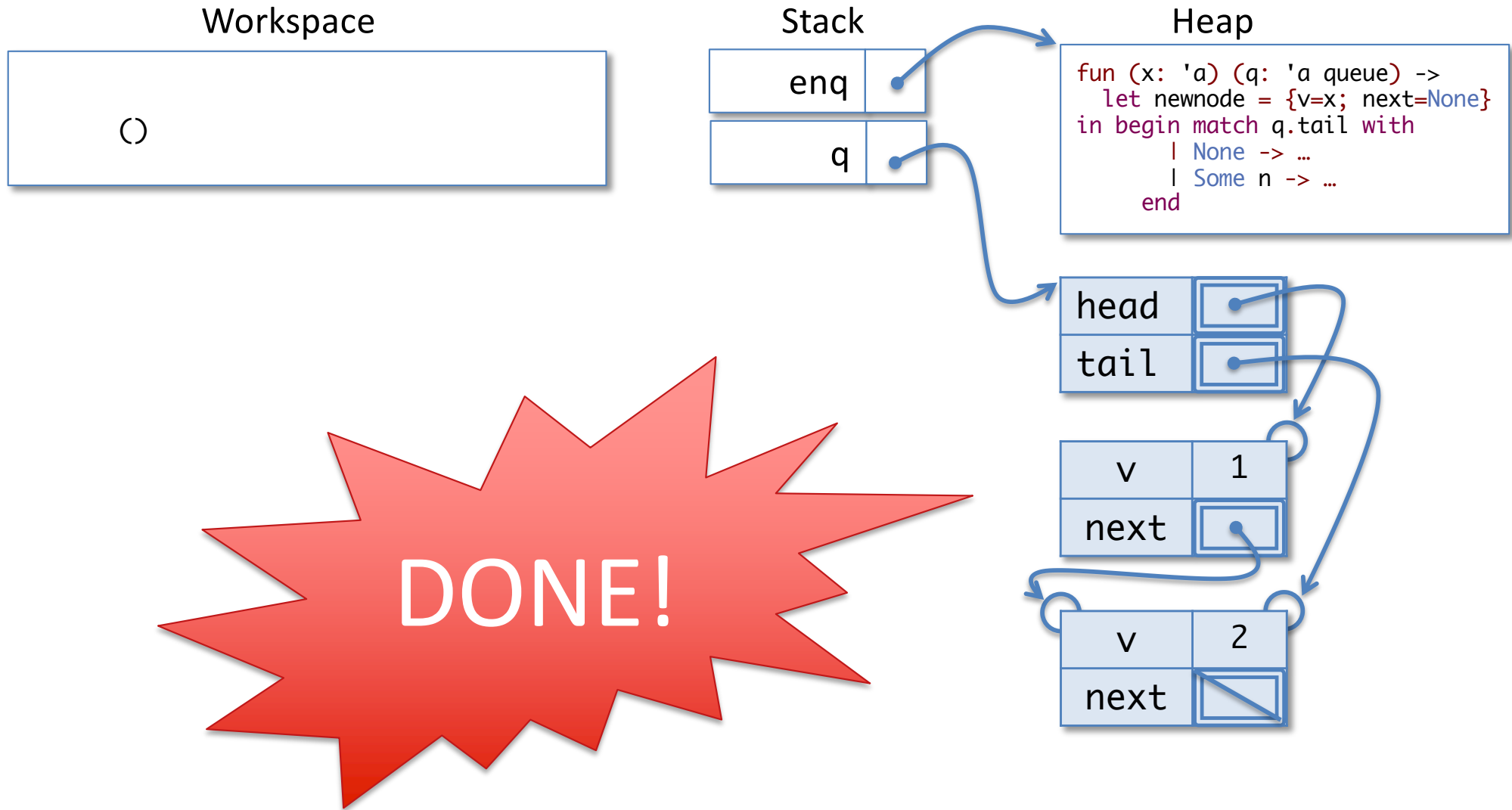


# Calling Enq on a non-empty queue





# Calling Enq on a non-empty queue



# Calling Enq on a non-empty queue

Workspace

()

Stack

enq

q

Heap

```
fun (x: 'a) (q: 'a queue) ->  
  let newnode = {v=x; next=None}  
  in begin match q.tail with  
    | None -> ...  
    | Some n -> ...  
  end
```

head

tail

v

1

next

v

2

next

Notes:

- the enq function imperatively updated the structure of q
- the new structure still satisfies the queue invariants

# Challenge problem - buggy deq

```
type 'a qnode = { v: 'a; mutable next: 'a qnode option }
```

```
type 'a queue = { mutable head : 'a qnode option;  
                  mutable tail : 'a qnode option }
```

```
(* remove element at the head of queue and return it *)
```

```
let deq (q: 'a queue) : 'a =  
  begin match q.head with  
    | None ->  
      failwith "empty queue"  
    | Some n ->  
      q.head <- n.next;  
      n.v
```

```
end
```

3.

```
let q = create () in  
enq 1 q;  
ignore (deq q);  
enq 2 q;  
2 = deq q
```

ANSWER: 3

Which test case shows the bug?

1.

```
let q = create () in  
enq 1 q;  
1 = deq q
```

2.

```
let q = create () in  
enq 1 q;  
enq 2 q;  
ignore (deq q);  
2 = deq q
```

4. All of them

# deq

```
(* remove an element from the head of the queue *)  
let deq (q: 'a queue) : 'a =  
  begin match q.head with  
    | None ->  
      failwith "empty queue"  
    | Some n ->  
      q.head <- n.next;  
      if n.next = None then q.tail <- None;  
      n.v  
  end
```

- The code for `deq` must also “patch pointers” to maintain the queue invariant:
  - The head pointer is always updated to the next element in the queue.
  - If the removed node was the last one in the queue, the tail pointer must be updated to `None`