

CIS 500
Software Foundations
Fall 2003

15 October

Plans

Where we've been:

- ◆ Inductive definitions
 - ◆ abstract syntax
 - ◆ inference rules
- ◆ Proofs by structural induction
- ◆ Operational semantics
- ◆ The lambda-calculus
- ◆ Typing rules and type soundness

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- ◆ Operational semantics
- ◆ The lambda-calculus
- ◆ Typing rules and type soundness

Where we're going:

- ◆ "Simple types" for the lambda-calculus
- ◆ Formalizing more features of real-world languages (records, datatypes, references, exceptions, etc.)
- ◆ Subtyping
- ◆ Objects

The Simply Typed Lambda-Calculus

Lambda-calculus with booleans

$t ::=$

- x
- $\lambda x. t$
- $t t$
- true
- false
- $\text{if } t \text{ then } t \text{ else } t$

terms

- variable
- abstraction
- application
- constant true
- constant false
- conditional

$v ::=$

- $\lambda x. t$
- true
- false

values

- abstraction value
- true value
- false value

"Simple Types"

$T ::=$

- Bool
- $T \rightarrow T$

types

- type of booleans
- types of functions

Typing rules

$\text{true} : \text{Bool}$ (T-TRUE)
 $\text{false} : \text{Bool}$ (T-FALSE)

$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$ (T-IF)

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$\frac{}{x : T}$ (T-VAR)

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$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$	(T-APP)

Typing Derivations

What derivations justify the following typing statements?

- ◆ $\vdash (\lambda x:\text{Bool}.x) \text{ true} : \text{Bool}$
- ◆ $f:\text{Bool} \rightarrow \text{Bool} \vdash f (\text{if false then true else false}) : \text{Bool}$
- ◆ $f:\text{Bool} \rightarrow \text{Bool} \vdash \lambda x:\text{Bool}. f (\text{if } x \text{ then false else } x) : \text{Bool} \rightarrow \text{Bool}$

Properties of λ_{\rightarrow}

As before, the fundamental property of the type system we have just defined is **soundness** with respect to the operational semantics.

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1. **Progress:** A closed, well-typed term is not stuck
If $\vdash t : T$, then either t is a value or else $t \rightarrow t'$ for some t' .
2. **Preservation:** Types are preserved by one-step evaluation
If $\Gamma \vdash t : T$ and $t \rightarrow t'$, then $\Gamma \vdash t' : T$.

Proving progress

Same steps as before...

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- ◆ inversion lemma for typing relation
- ◆ canonical forms lemma
- ◆ progress theorem

Typing rules again (for reference)

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Inversion

Lemma:

1. If $\Gamma \vdash \text{true} : R$, then $R = \text{Bool}$.
2. If $\Gamma \vdash \text{false} : R$, then $R = \text{Bool}$.
3. If $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$, then $\Gamma \vdash t_1 : \text{Bool}$ and $\Gamma \vdash t_2, t_3 : R$.

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5. If $\Gamma \vdash \lambda x : T_1. t_2 : R$, then

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4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.
5. If $\Gamma \vdash \lambda x : T_1. t_2 : R$, then $R = T_1 \rightarrow R_2$ for some R_2 with $\Gamma, x : T_1 \vdash t_2 : R_2$.

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6. If $\Gamma \vdash t_1 t_2 : R$, then

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4. If $\Gamma \vdash x : R$, then $x : R \in \Gamma$.
5. If $\Gamma \vdash \lambda x : T_1. t_2 : R$, then $R = T_1 \rightarrow R_2$ for some R_2 with $\Gamma, x : T_1 \vdash t_2 : R_2$.
6. If $\Gamma \vdash t_1 t_2 : R$, then there is some type T_{11} such that $\Gamma \vdash t_1 : T_{11} \rightarrow R$ and $\Gamma \vdash t_2 : T_{11}$.

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Canonical Forms

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1. If v is a value of type `Bool`, then v is either `true` or `false`.
2. If v is a value of type $T_1 \rightarrow T_2$, then v has the form $\lambda x:T_1. t_2$.

Progress

Theorem: Suppose t is a closed, well-typed term (that is, $\vdash t : T$ for some T). Then either t is a value or else there is some t' with $t \rightarrow t'$.

Proof: By induction

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Consider the case for application, where $t = t_1 t_2$ with $\vdash t_1 : T_{11} \rightarrow T_{12}$ and $\vdash t_2 : T_{11}$.

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Consider the case for application, where $t = t_1 t_2$ with $\vdash t_1 : T_{11} \rightarrow T_{12}$ and $\vdash t_2 : T_{11}$. By the induction hypothesis, either t_1 is a value or else it can make a step of evaluation, and likewise t_2 . If t_1 can take a step, then rule E-APP1 applies to t . If t_1 is a value and t_2 can take a step, then rule E-APP2 applies. Finally, if both t_1 and t_2 are values, then the canonical forms lemma tells us that t_1 has the form $\lambda x:T_{11}.t_{12}$, and so rule E-APPABS applies to t .

Proving Preservation

Theorem: If $\Gamma \vdash t : T$ and $t \longrightarrow t'$, then $\Gamma \vdash t' : T$.

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Case T-APP: **Given** $t = t_1 t_2$
 $\Gamma \vdash t_1 : T_{11} \rightarrow T_{12}$
 $\Gamma \vdash t_2 : T_{11}$
 $T = T_{12}$
Show $\Gamma \vdash t' : T_{12}$

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By the inversion lemma for evaluation, there are three subcases...

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Subcase: $t_1 = \lambda x:T_{11}. t_{12}$
 t_2 a value v_2
 $t' = [x \mapsto v_2]t_{12}$

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By the inversion lemma for evaluation, there are three subcases...

Subcase: $t_1 = \lambda x:T_{11}. t_{12}$
 t_2 a value v_2
 $t' = [x \mapsto v_2]t_{12}$

Uh oh.

The “Substitution Lemma”

Lemma: Types are preserved under substitution.

If $\Gamma, x:S \vdash t : T$ and $\Gamma \vdash s : S$, then $\Gamma \vdash [x \mapsto s]t : T$.

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Proof: ...

Preservation

Homework: Complete the proof of preservation

Discussion

Intro vs. elim forms

An **introduction form** for a given type gives us a way of **constructing** elements of this type.

An **elimination form** for a type gives us a way of **using** elements of this type.

The Curry-Howard Correspondence

In **constructive logics**, a proof of P must provide **evidence** for P .

◆ “law of the excluded middle” — $P \vee \neg P$ — not recognized.

A proof of $P \wedge Q$ is a **pair** of evidence for P and evidence for Q .

A proof of $P \supset Q$ is a **procedure** for transforming evidence for P into evidence for Q .

Propositions as Types

LOGIC	PROGRAMMING LANGUAGES
propositions	types
proposition $P \supset Q$	type $P \rightarrow Q$
proposition $P \wedge Q$	type $P \times Q$
proof of proposition P	term t of type P
proposition P is provable	type P is inhabited (by some term)

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proof of proposition P	term t of type P
proposition P is provable	type P is inhabited (by some term)
proof simplification (a.k.a. “cut elimination”)	evaluation

Erase

```
erase(x)           = x
erase( $\lambda x:T_1. t_2$ ) =  $\lambda x. \text{erase}(t_2)$ 
erase( $t_1 t_2$ )      =  $\text{erase}(t_1) \text{erase}(t_2)$ 
```

Typability

An untyped λ -term m is said to be **typable** if there is some term t in the simply typed lambda-calculus, some type T , and some context Γ such that $\text{erase}(t) = m$ and $\Gamma \vdash t : T$.

Cf. **type reconstruction** in OCaml.

On to real programming languages...

Base types

Up to now, we've formulated "base types" (e.g. `Nat`) by adding them to the syntax of types, extending the syntax of terms with associated constants (`zero`) and operators (`succ`, etc.) and adding appropriate typing and evaluation rules. We can do this for as many base types as we like.

For more theoretical discussions (as opposed to programming) we can often ignore the term-level inhabitants of base types, and just treat these types as uninterpreted constants.

E.g., suppose B and C are some base types. Then we can ask (without knowing anything more about B or C) whether there are any types S and T such that the term

$$(\lambda f:S. \lambda g:T. f\ g) (\lambda x:B. x)$$

is well typed.

The Unit type

$t ::= \dots$	terms
unit	constant unit
$v ::= \dots$	values
unit	constant unit
$T ::= \dots$	types
Unit	unit type

New typing rules

$$\Gamma \vdash \text{unit} : \text{Unit}$$
$$\Gamma \vdash t : T$$

(T-UNIT)

Sequencing

$t ::= \dots$ terms
 $t_1; t_2$

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$t ::= \dots$ terms
 $t_1; t_2$

$$\frac{t_1 \rightarrow t'_1}{t_1; t_2 \rightarrow t'_1; t_2} \quad (\text{E-SEQ})$$

$$\text{unit}; t_2 \rightarrow t_2 \quad (\text{E-SEQNEXT})$$

$$\frac{\Gamma \vdash t_1 : \text{Unit} \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1; t_2 : T_2} \quad (\text{T-SEQ})$$

Derived forms

- ◆ Syntactic sugar
- ◆ Internal language vs. external (surface) language

Sequencing as a derived form

$$t_1; t_2 \stackrel{\text{def}}{=} (\lambda x : \text{Unit}. t_2) t_1$$

where $x \notin \text{FV}(t_2)$

Equivalence of the two definitions

[board]

Ascription

New syntactic forms

$t ::= \dots$
 $t \text{ as } T$

terms
 ascription

New evaluation rules

$t \rightarrow t'$ (E-ASCRIBE)

$$\frac{v_1 \text{ as } T \rightarrow v_1 \quad t_1 \rightarrow t'_1}{t_1 \text{ as } T \rightarrow t'_1 \text{ as } T}$$

(E-ASCRIBE1)

New typing rules

$\Gamma \vdash t : T$ (T-ASCRIBE)

$$\frac{\Gamma \vdash t_1 : T}{\Gamma \vdash t_1 \text{ as } T : T}$$

(T-ASCRIBE)

Ascription as a derived form

$t \text{ as } T \stackrel{\text{def}}{=} (\lambda x:T. x) t$

Let-bindings

New syntactic forms

$t ::= \dots$
 $\text{let } x=t \text{ in } t$

terms
 let binding

New evaluation rules

$t \rightarrow t'$ (E-LETV)

$$\text{let } x=v_1 \text{ in } t_2 \rightarrow [x \mapsto v_1]t_2$$

$$\frac{t_1 \rightarrow t'_1}{\text{let } x=t_1 \text{ in } t_2 \rightarrow \text{let } x=t'_1 \text{ in } t_2}$$

(E-LET)

New typing rules

$\Gamma \vdash t : T$ (T-LET)

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x=t_1 \text{ in } t_2 : T_2}$$

(T-LET)

Pairs

$t ::= \dots$	terms
$\{t, t\}$	pair
$t.1$	first projection
$t.2$	second projection
$v ::= \dots$	values
$\{v, v\}$	pair value
$T ::= \dots$	types
$T_1 \times T_2$	product type

Evaluation rules for pairs

$\{v_1, v_2\}.1 \rightarrow v_1$	(E-PAIRBETA1)
$\{v_1, v_2\}.2 \rightarrow v_2$	(E-PAIRBETA2)
$\frac{t_1 \rightarrow t'_1}{t_1.1 \rightarrow t'_1.1}$	(E-PROJ1)
$\frac{t_1 \rightarrow t'_1}{t_1.2 \rightarrow t'_1.2}$	(E-PROJ2)
$\frac{t_1 \rightarrow t'_1}{\{t_1, t_2\} \rightarrow \{t'_1, t_2\}}$	(E-PAIR1)
$\frac{t_2 \rightarrow t'_2}{\{v_1, t_2\} \rightarrow \{v_1, t'_2\}}$	(E-PAIR2)

Typing rules for pairs

$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \{t_1, t_2\} : T_1 \times T_2}$	(T-PAIR)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.1 : T_{11}}$	(T-PROJ1)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.2 : T_{12}}$	(T-PROJ2)

Tuples

$t ::= \dots$	terms
$\{t_i \mid i \in 1..n\}$	tuple
$t.i$	projection
$v ::= \dots$	values
$\{v_i \mid i \in 1..n\}$	tuple value
$T ::= \dots$	types
$\{T_i \mid i \in 1..n\}$	tuple type

Evaluation rules for tuples

$$\{v_i \mid i \in 1..n\}.j \longrightarrow v_j \quad (\text{E-PROJTUPLE})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.i \longrightarrow t'_1.i} \quad (\text{E-PROJ})$$

$$\frac{t_j \longrightarrow t'_j}{\{v_i \mid i \in 1..j-1, t_j, t_k \mid k \in j+1..n\} \longrightarrow \{v_i \mid i \in 1..j-1, t'_j, t_k \mid k \in j+1..n\}} \quad (\text{E-TUPLE})$$

Typing rules for tuples

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{t_i \mid i \in 1..n\} : \{T_i \mid i \in 1..n\}} \quad (\text{T-TUPLE})$$

$$\frac{\Gamma \vdash t_1 : \{T_i \mid i \in 1..n\}}{\Gamma \vdash t_1.j : T_j} \quad (\text{T-PROJ})$$

Records

$t ::= \dots$	terms
$\{l_i = t_i \mid i \in 1..n\}$	record
$t.l$	projection
$v ::= \dots$	values
$\{l_i = v_i \mid i \in 1..n\}$	record value
$T ::= \dots$	types
$\{l_i : T_i \mid i \in 1..n\}$	type of records

Evaluation rules for records

$$\{l_i = v_i \mid i \in 1..n\}.l_j \longrightarrow v_j \quad (\text{E-PROJRCd})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.l \longrightarrow t'_1.l} \quad (\text{E-PROJ})$$

$$\frac{t_j \longrightarrow t'_j}{\{l_i = v_i \mid i \in 1..j-1, l_j = t_j, l_k = t_k \mid k \in j+1..n\} \longrightarrow \{l_i = v_i \mid i \in 1..j-1, l_j = t'_j, l_k = t_k \mid k \in j+1..n\}} \quad (\text{E-RCd})$$

Typing rules for records

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{l_i = t_i \mid i \in 1..n\} : \{l_i : T_i \mid i \in 1..n\}} \quad (\text{T-RCD})$$

$$\frac{\Gamma \vdash t_1 : \{l_i : T_i \mid i \in 1..n\}}{\Gamma \vdash t_1.l_j : T_j} \quad (\text{T-PROJ})$$