

**CIS 500**

Software Foundations

Fall 2003

**20-22 October**

Sums

## Sums - motivating example

---

```
PhysicalAddr = {firstlast:String, addr:String}
```

```
VirtualAddr  = {name:String, email:String}
```

```
Addr         = PhysicalAddr + VirtualAddr
```

```
inl  : “PhysicalAddr → PhysicalAddr+VirtualAddr”
```

```
inr  : “VirtualAddr → PhysicalAddr+VirtualAddr”
```

```
getName =  $\lambda$ a:Addr.
```

```
  case a of
```

```
    inl x  $\Rightarrow$  x.firstlast
```

```
  | inr y  $\Rightarrow$  y.name;
```

## New syntactic forms

$t ::= \dots$   
 $\text{inl } t$   
 $\text{inr } t$   
 $\text{case } t \text{ of } \text{inl } x \Rightarrow t \mid \text{inr } x \Rightarrow t$

$v ::= \dots$   
 $\text{inl } v$   
 $\text{inr } v$

$T ::= \dots$   
 $T+T$

terms

tagging (left)  
tagging (right)  
case

values

tagged value (left)  
tagged value (right)

types

sum type

## New typing rules

 $\Gamma \vdash t : T$ 

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{inl } t_1 : T_1 + T_2} \quad (\text{T-INL})$$

$$\frac{\Gamma \vdash t_1 : T_2}{\Gamma \vdash \text{inr } t_1 : T_1 + T_2} \quad (\text{T-INR})$$

$$\frac{\Gamma \vdash t_0 : T_1 + T_2 \quad \Gamma, x_1 : T_1 \vdash t_1 : T \quad \Gamma, x_2 : T_2 \vdash t_2 : T}{\Gamma \vdash \text{case } t_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2 : T} \quad (\text{T-CASE})$$

## New evaluation rules

 $t \longrightarrow t'$ 

case (inl  $v_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$  (E-CASE|NL)  
 $\longrightarrow [x_1 \mapsto v_0]t_1$

case (inr  $v_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$  (E-CASE|NR)  
 $\longrightarrow [x_2 \mapsto v_0]t_2$

$t_0 \longrightarrow t'_0$   

---

 $\text{case } t_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2$   
 $\longrightarrow \text{case } t'_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2$  (E-CASE)

$$\frac{t_1 \longrightarrow t'_1}{\text{inl } t_1 \longrightarrow \text{inl } t'_1} \quad (\text{E-INL})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{inr } t_1 \longrightarrow \text{inr } t'_1} \quad (\text{E-INR})$$

## Sums and Uniqueness of Types

---

Problem:

If  $t$  has type  $T$ , then  $\text{inl } t$  has type  $T+U$  for **every**  $U$ .

I.e., we've lost uniqueness of types.

Possible solutions:

- ◆ “Infer”  $U$  as needed during typechecking
- ◆ Give constructors different names and only allow each name to appear in one sum type (requires generalization to “variants,” which we'll see next) — OCaml's solution
- ◆ Annotate each  $\text{inl}$  and  $\text{inr}$  with the intended sum type.

For simplicity, let's choose the third.



## New syntactic forms

$t ::= \dots$   
 $\text{inl } t \text{ as } T$   
 $\text{inr } t \text{ as } T$

$v ::= \dots$   
 $\text{inl } v \text{ as } T$   
 $\text{inr } v \text{ as } T$

terms

tagging (left)  
tagging (right)

values

tagged value (left)  
tagged value (right)

## New typing rules

 $\Gamma \vdash t : T$ 

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{inl } t_1 \text{ as } T_1+T_2 : T_1+T_2} \quad (\text{T-INL})$$

$$\frac{\Gamma \vdash t_1 : T_2}{\Gamma \vdash \text{inr } t_1 \text{ as } T_1+T_2 : T_1+T_2} \quad (\text{T-INR})$$

Evaluation rules ignore annotations:

$t \longrightarrow t'$

case (inl  $v_0$  as  $T_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$  (E-CASE|NL)  
 $\longrightarrow [x_1 \mapsto v_0]t_1$

case (inr  $v_0$  as  $T_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$  (E-CASE|NR)  
 $\longrightarrow [x_2 \mapsto v_0]t_2$

$t_1 \longrightarrow t'_1$   
----- (E-INL)  
inl  $t_1$  as  $T_2 \longrightarrow$  inl  $t'_1$  as  $T_2$

$t_1 \longrightarrow t'_1$   
----- (E-INR)  
inr  $t_1$  as  $T_2 \longrightarrow$  inr  $t'_1$  as  $T_2$

## Variants

---

Just as we generalized binary products to labeled records, we can generalize binary sums to labeled *variants*.

## New syntactic forms

$t ::= \dots$

$\langle l=t \rangle \text{ as } T$

$\text{case } t \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \quad i \in 1..n$

$T ::= \dots$

$\langle l_i:T_i \quad i \in 1..n \rangle$

terms

tagging

case

types

type of variants

## New evaluation rules

 $t \longrightarrow t'$ 
$$\text{case } (\langle l_j = v_j \rangle \text{ as } T) \text{ of } \langle l_i = x_i \rangle \Rightarrow t_i \quad i \in 1..n \quad (\text{E-CASEVARIANT})$$
$$\longrightarrow [x_j \mapsto v_j] t_j$$
$$\frac{t_0 \longrightarrow t'_0}{\text{case } t_0 \text{ of } \langle l_i = x_i \rangle \Rightarrow t_i \quad i \in 1..n \longrightarrow \text{case } t'_0 \text{ of } \langle l_i = x_i \rangle \Rightarrow t_i \quad i \in 1..n} \quad (\text{E-CASE})$$
$$\frac{t_i \longrightarrow t'_i}{\langle l_i = t_i \rangle \text{ as } T \longrightarrow \langle l_i = t'_i \rangle \text{ as } T} \quad (\text{E-VARIANT})$$

## New typing rules

 $\Gamma \vdash t : T$ 

$$\frac{\Gamma \vdash t_j : T_j}{\Gamma \vdash \langle l_j = t_j \rangle \text{ as } \langle l_i : T_i \text{ }^{i \in 1..n} \rangle : \langle l_i : T_i \text{ }^{i \in 1..n} \rangle} \quad (\text{T-VARIANT})$$

$$\frac{\begin{array}{c} \Gamma \vdash t_0 : \langle l_i : T_i \text{ }^{i \in 1..n} \rangle \\ \text{for each } i \quad \Gamma, x_i : T_i \vdash t_i : T \end{array}}{\Gamma \vdash \text{case } t_0 \text{ of } \langle l_i = x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n} : T} \quad (\text{T-CASE})$$

## Example

---

```
Addr = <physical:PhysicalAddr, virtual:VirtualAddr>;
```

```
a = <physical=pa> as Addr;
```

```
getName =  $\lambda$ a:Addr.
```

```
  case a of
```

```
    <physical=x>  $\Rightarrow$  x.firstlast
```

```
  | <virtual=y>  $\Rightarrow$  y.name;
```



# Options

Just like in OCaml...

```
OptionalNat = <none:Unit, some:Nat>;
```

```
Table = Nat → OptionalNat;
```

```
emptyTable = λn:Nat. <none=unit> as OptionalNat;
```

```
extendTable =
```

```
  λt:Table. λm:Nat. λv:Nat.
```

```
    λn:Nat.
```

```
      if equal n m then <some=v> as OptionalNat
```

```
      else t n;
```

```
x = case t(5) of
```

```
  <none=u> ⇒ 999
```

```
  | <some=v> ⇒ v;
```

# Enumerations

---

```
Weekday = <monday:Unit, tuesday:Unit, wednesday:Unit,  
          thursday:Unit, friday:Unit>;
```

```
nextBusinessDay = λw:Weekday.
```

```
  case w of <monday=x>    ⇒ <tuesday=unit> as Weekday  
          | <tuesday=x>   ⇒ <wednesday=unit> as Weekday  
          | <wednesday=x> ⇒ <thursday=unit> as Weekday  
          | <thursday=x> ⇒ <friday=unit> as Weekday  
          | <friday=x>   ⇒ <monday=unit> as Weekday;
```

## Terminology: “Union Types”

---

$T_1 + T_2$  is a **disjoint union** of  $T_1$  and  $T_2$  (the tags `inl` and `inr` ensure disjointness)

(We could also consider a **non-disjoint** union  $T_1 \vee T_2$ , but its properties are substantially more complex, because it induces an interesting **subtype** relation. We'll come back to subtyping later.)

# Recursion

## Recursion in $\lambda_{\rightarrow}$

---

- ◆ In  $\lambda_{\rightarrow}$ , all programs terminate. (Cf. Chapter 12.)
- ◆ Hence, untyped terms like `omega` and `fix` are not typable.
- ◆ But we can **extend** the system with a (typed) fixed-point operator...

## Example

---

```
ff =  $\lambda$ ie:Nat $\rightarrow$ Bool.
```

```
   $\lambda$ x:Nat.
```

```
    if iszero x then true
```

```
    else if iszero (pred x) then false
```

```
    else ie (pred (pred x));
```

```
iseven = fix ff;
```

```
iseven 7;
```

## New syntactic forms

$t ::= \dots$

$\text{fix } t$

terms

fixed point of  $t$

## New evaluation rules

$t \longrightarrow t'$

$\text{fix } (\lambda x:T_1.t_2)$   
 $\longrightarrow [x \mapsto (\text{fix } (\lambda x:T_1.t_2))]t_2$

(E-FIXBETA)

$$\frac{t_1 \longrightarrow t'_1}{\text{fix } t_1 \longrightarrow \text{fix } t'_1}$$

(E-FIX)

## New typing rules

 $\Gamma \vdash t : T$ 

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_1}{\Gamma \vdash \text{fix } t_1 : T_1}$$

(T-FIX)



## A more convenient form

---

`letrec x:T1=t1 in t2`  $\stackrel{\text{def}}{=}$  `let x = fix ( $\lambda$ x:T1.t1) in t2`

```
letrec iseven : Nat → Bool =  
   $\lambda$ x:Nat.  
    if iszero x then true  
    else if iszero (pred x) then false  
    else iseven (pred (pred x))  
in  
  iseven 7;
```

# Lists

# Lists — syntax

---

<code>t ::= ...</code>		terms
<code>nil[T]</code>		empty list
<code>cons[T] t t</code>		list constructor
<code>isnil[T] t</code>		test for empty list
<code>head[T] t</code>		head of a list
<code>tail[T] t</code>		tail of a list
<code>v ::= ...</code>		values
<code>nil[T]</code>		empty list
<code>cons[T] v v</code>		list constructor
<code>T ::= ...</code>		types
<code>List T</code>		type of lists

## Lists — evaluation

---

$$\frac{t_1 \longrightarrow t'_1}{\text{cons}[T] \ t_1 \ t_2 \longrightarrow \text{cons}[T] \ t'_1 \ t_2} \quad (\text{E-CONS1})$$

$$\frac{t_2 \longrightarrow t'_2}{\text{cons}[T] \ v_1 \ t_2 \longrightarrow \text{cons}[T] \ v_1 \ t'_2} \quad (\text{E-CONS2})$$

$$\text{isnil}[S] \ (\text{nil}[T]) \longrightarrow \text{true} \quad (\text{E-ISNILNIL})$$

$$\text{isnil}[S] \ (\text{cons}[T] \ v_1 \ v_2) \longrightarrow \text{false} \quad (\text{E-ISNILCONS})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{isnil}[T] \ t_1 \longrightarrow \text{isnil}[T] \ t'_1} \quad (\text{E-ISNIL})$$

$\text{head}[S] (\text{cons}[T] v_1 v_2) \longrightarrow v_1$  (E-HEADCONS)

$$\frac{t_1 \longrightarrow t'_1}{\text{head}[T] t_1 \longrightarrow \text{head}[T] t'_1}$$
 (E-HEAD)

$\text{tail}[S] (\text{cons}[T] v_1 v_2) \longrightarrow v_2$  (E-TAILCONS)

$$\frac{t_1 \longrightarrow t'_1}{\text{tail}[T] t_1 \longrightarrow \text{tail}[T] t'_1}$$
 (E-TAIL)

Note that evaluation rules do not look at type annotations!

## Lists — typing

---

$$\Gamma \vdash \text{nil}[T_1] : \text{List } T_1 \quad (\text{T-NIL})$$
$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : \text{List } T_1}{\Gamma \vdash \text{cons}[T_1] t_1 t_2 : \text{List } T_1} \quad (\text{T-CONS})$$
$$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{isnil}[T_{11}] t_1 : \text{Bool}} \quad (\text{T-ISNIL})$$
$$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{head}[T_{11}] t_1 : T_{11}} \quad (\text{T-HEAD})$$
$$\frac{\Gamma \vdash t_1 : \text{List } T_{11}}{\Gamma \vdash \text{tail}[T_{11}] t_1 : \text{List } T_{11}} \quad (\text{T-TAIL})$$