

**Lemma 16.1.1** *Given a derivation  $\mathcal{D} :: \sigma < \tau$  using the subtyping rules including S-RcdDepth, S-RcdWidth, and S-RcdPerm (but not S-Rcd), then it is possible to construct a derivation using only S-Rcd (and not S-RcdDepth, S-RcdWidth, or S-RcdPerm), and vice versa.*

*Proof.* By straightforward induction on the subtyping derivations. For the forward direction:

- **Case:**

$$\mathcal{D} = \frac{\{l_i : \tau_i^{i \in 1 \dots n+k}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}}{\text{S-RcdWidth}}$$

Because  $\{l_i^{i \in 1 \dots n}\}$  is a prefix of  $\{l_i^{i \in 1 \dots n+k}\}$  we can conclude it is the case that  $\{l_i^{i \in 1 \dots n}\} \subseteq \{l_i^{i \in 1 \dots n+k}\}$ . Furthermore for  $i \in 1 \dots n$  we can conclude there exist derivations  $\mathcal{E}_i :: \tau_i < \tau_i$  by using S-Refl. Therefore, using S-Rcd on each  $\mathcal{E}_i$  we can construct a derivation  $\mathcal{E} :: \{l_i : \tau_i^{i \in 1 \dots n+k}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}$ .

- **Case:**

$$\mathcal{D} = \frac{\frac{\mathcal{D}_i}{\sigma_i < \tau_i} \text{ for each } i}{\{l_i : \sigma_i^{i \in 1 \dots n}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}} \text{S-RcdDepth}$$

By appealing to the induction hypothesis for each  $\mathcal{D}_i$  we can produce derivations  $\mathcal{E}_i :: \sigma_i < \tau_i$  that do not contain uses of S-RcdWidth, S-RcdDepth, or S-RcdPerm. The set of labels  $\{l_i^{i \in 1 \dots n}\}$  is the same for both records, so it is trivial to conclude it is the case that  $\{l_i^{i \in 1 \dots n}\} \subseteq \{l_i^{i \in 1 \dots n}\}$ . Finally, using S-Rcd on each  $\mathcal{E}_i$  we can construct a derivation witnessing  $\mathcal{E} :: \{l_i : \sigma_i^{i \in 1 \dots n}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}$ .

- **Case:**

$$\mathcal{D} = \frac{\{k_j : \sigma_j^{j \in 1 \dots n}\} \text{ is a permutation of } \{l_i : \tau_i^{i \in 1 \dots n}\}}{\{k_j : \sigma_j^{j \in 1 \dots n}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}} \text{S-RcdPerm}$$

By the definition of permutation, we know that the sets of labels  $\{k_j^{j \in 1 \dots n}\}$  and  $\{l_i^{i \in 1 \dots n}\}$  are identical with a bijection between them, licensing us to know  $\{l_i^{i \in 1 \dots n}\} \subseteq \{k_j^{j \in 1 \dots n}\}$ . Furthermore, because we know that for every  $\sigma_j$  it is identical to one  $\tau_i$  where  $k_j = l_i$ , by S-Refl we can conclude there exist derivations  $\mathcal{E}_{ji} :: \sigma_j < \tau_i$  for each pair. Consequently, we can conclude  $\mathcal{E} :: \{k_j : \tau_j^{j \in 1 \dots n}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}$  by using S-Rcd on each  $\mathcal{E}_{ji}$ .

- **Cases:** The remaining cases follow by straightforward appeals to the induction hypothesis on the premises followed by application of the subtyping rule.

For the reverse direction:

- **Case:**

$$\mathcal{E} = \frac{\frac{\{l_i^{i \in 1 \dots n}\} \subseteq \{k_j^{j \in 1 \dots m}\} \quad k_j = l_i \Rightarrow \sigma_j < \tau_i}{\mathcal{E}_{ji}}}{\{k_j : \sigma_j^{j \in 1 \dots m}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}} \text{S-Rcd}$$

By  $\{l_i^{i \in 1 \dots n}\} \subseteq \{k_j^{j \in 1 \dots m}\}$  we know that for every  $l_i$  there must exist some  $k_j$  such that  $k_j = l_i$ . For each of these  $l_i$ , by appealing to the induction hypothesis on each  $\mathcal{E}_{ji}$  we get  $\mathcal{D}_{ji} :: \sigma_j < \tau_i$  such that each  $\mathcal{D}_{ji}$  does not contain a use of S-Rcd. Next we define a permutation  $f : \{1 \dots m\} \rightarrow \{1 \dots m\}$  such that

$$f(x) = \begin{cases} x \mapsto y & \text{if } k_x = l_y \\ x \mapsto y \text{ st } y > n \wedge \neg \exists x' . x' \neq x \wedge f(x') = y & \text{otherwise} \end{cases}$$

Using this permutation with S-RcdPerm we can conclude  $\mathcal{E}_1 :: \{k_j : \sigma_j^{j \in 1 \dots m}\} < \{q_v : \rho_v^{v \in 1 \dots m}\}$  where  $k_j = q_{f(j)}$  and  $\sigma_j = \rho_{f(j)}$ . Next by using S-RcdWidth we have that  $\mathcal{E}_2 :: \{q_v : \rho_v^{v \in 1 \dots m}\} < \{q_v : \rho_v^{v \in 1 \dots n}\}$ .

Furthermore, using the identities described above we know for each  $\mathcal{D}_{ji} :: \sigma_j < \tau_i$  we also have a derivation  $\mathcal{D}'_{f(j)i} :: \rho_{f(j)} < \tau_i$ . Given that  $\mathcal{D}_{ji} :: \sigma_j < \tau_i$  for all  $k_j = l_i$  and we defined  $f(j) = i$ , each  $\mathcal{D}'_{f(j)i}$  is simply  $\mathcal{D}''_{ii} :: \rho_i < \tau_i$ . So by S-RcdDepth on each  $\mathcal{D}''_{ii}$  we have  $\mathcal{E}_3 :: \{q_v : \rho_v^{v \in 1 \dots n}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}$ . Finally we tie  $\mathcal{E}_1$ ,  $\mathcal{E}_2$ , and  $\mathcal{E}_3$  together three with two uses of S-Trans giving us the desired result  $\mathcal{E} :: \{k_j : \sigma_j^{j \in 1 \dots m}\} < \{l_i : \tau_i^{i \in 1 \dots n}\}$ .

- **Cases:** The remaining cases follow by straightforward appeals to the induction hypothesis on the premises followed by application of the subtyping rule.

□