

# CIS 500 — Software Foundations

## Midterm II

November 13, 2002

Name: \_\_\_\_\_

Student ID: \_\_\_\_\_  
(from your PennCard)

Email \_\_\_\_\_

	Score
1	
2	
3	
4	
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6	
7	
8	
Total	

## Instructions

- This is a closed-book exam: you may not make use of any books or notes.
- You have 80 minutes to answer all of the questions. The entire exam is worth 80 points.
- Questions vary significantly in difficulty, and the point value of a given question is not always exactly proportional to its difficulty. Do not spend too much time on any one question.
- Partial credit will be given. All correct answers are short. The back side of each page may be used as a scratch pad.
- Good luck!

## Simply typed lambda-calculus

The definition of the simply typed lambda-calculus with `Unit` is reproduced on page 15.

1. (8 points) For each of the following untyped  $\lambda$ -terms, either give a well-typed term of the simply typed lambda-calculus with `Unit` whose erasure is the given term, or else write “not typable” if no such term exists.

The type annotations in your answers should only involve `Unit` and  $\rightarrow$ .

(a)  $\lambda x. x (x \text{ unit})$

(b)  $\lambda x. x \text{ unit } x$

(c)  $\lambda x. x \text{ unit unit}$

(d)  $\lambda x. \lambda y. \lambda z. (x y) (y z)$

## References

The definition of the simply typed lambda-calculus with references is reproduced on page 15.

2. (9 points) Suppose, for this question, that our language also has `let` expressions and numbers. Then evaluating the expression

```
let x = ref 5 in
let y = x in
let z = ref ( $\lambda a:\text{Nat}. y := a; \text{succ } (!y)$ ) in
(!z) (!y)
```

beginning in an empty store yields:

Result: 6

Store:  $l_1 \mapsto 5$

$l_2 \mapsto \lambda a:\text{Nat}. l_1 := a; \text{succ } (!l_1)$

Fill in the results and final stores (when started with an empty store) of the following terms:

(a) 

```
let x = ref 2 in
let y = x in
let f =  $\lambda a:\text{Ref Nat}. \lambda b:\text{Ref Nat}. a := 5; b := 6; !a$  in
f x y
```

Result:

Store:

(b) 

```
let x = ref 2 in
let y = ref x in
let z = ref y in
!z
```

Result:

Store:

```
(c) let x = ref 0 in
    let f = ref ( $\lambda u:\text{Unit}. !x$ ) in
    x := 2;
    let g =  $\lambda u:\text{Unit}. (!f)$  unit in
    x := 3;
    f :=  $\lambda u:\text{Unit}. \text{succ} (!x)$  in
    let r = g unit in
    x := 9;
    r
```

Result:

Store:

3. (3 points) Is there any well-typed term that, when started with an empty store, will yield the following store?

$$l_1 \mapsto l_1$$

If so, give one. If not, explain (briefly!) why not.

4. (8 points) We saw in homework 8 that, using references, we can achieve the effect of a recursive function definition by building a “cyclic store” in which the function’s body refers to its own definition indirectly, via a reference cell. The same idea extends straightforwardly to mutually recursive definitions.

Fill in the blanks in the following expressions so that, after evaluating them, `even` will be a function that checks whether its argument `n` is even (by returning `true` if it is 0 and otherwise checking whether `(pred n)` is odd).

```
evenref = ref (λn:Nat.true);
oddref  = ref (λn:Nat.true);

evenbody = λn:Nat. if iszero n then true else ((____)(pred n));
oddbody  = λn:Nat. if iszero n then false else ((____)(pred n));

evenref := _____;
oddref  := _____;

even = !evenref;
odd  = !oddref;
```

5. (20 points) In Chapter 13 of TAPL, the following lemmas were used in proving the preservation property for the simply typed lambda-calculus with references. (We've given all the lemmas names here, for easy reference.)

LEMMA [INVERSION]:

- (a) If  $\Gamma \mid \Sigma \vdash x : T$ , then  $x : T \in \Gamma$ .
- (b) If  $\Gamma \mid \Sigma \vdash \lambda x : T_1. t_2 : T$ , then  $T = T_1 \rightarrow T_2$  for some  $T_2$  with  $\Gamma, x : T_1 \mid \Sigma \vdash t_2 : T_2$ .
- (c) If  $\Gamma \mid \Sigma \vdash t_1 t_2 : T$ , then there is some type  $T_{11}$  such that  $\Gamma \mid \Sigma \vdash t_1 : T_{11} \rightarrow T$  and  $\Gamma \mid \Sigma \vdash t_2 : T_{11}$ .
- (d) If  $\Gamma \mid \Sigma \vdash \text{unit} : T$ , then  $T = \text{Unit}$ .
- (e) If  $\Gamma \mid \Sigma \vdash \text{ref } t_1 : T$ , then  $T = \text{Ref } T_1$  and  $\Gamma \mid \Sigma \vdash t_1 \in T_1$ .
- (f) If  $\Gamma \mid \Sigma \vdash !t_1 : T$ , then  $T = T_{11}$  with  $\Gamma \mid \Sigma \vdash t_1 \in \text{Ref } T_{11}$ .
- (g) If  $\Gamma \mid \Sigma \vdash t_1 := t_2 : T$ , then  $T = \text{Unit}$  and  $\Gamma \mid \Sigma \vdash t_1 \in \text{Ref } T_{11}$  and  $\Gamma \mid \Sigma \vdash t_2 : T_{11}$ .
- (h) If  $\Gamma \mid \Sigma \vdash l : T$ , then  $T = \text{Ref } \Sigma(l)$ .

LEMMA [SUBSTITUTION]: If  $\Gamma, x : S \mid \Sigma \vdash t : T$  and  $\Gamma \mid \Sigma \vdash s : S$ , then  $\Gamma \mid \Sigma \vdash [x \mapsto s]t : T$ .

LEMMA [REPLACEMENT]: If

$$\begin{array}{l} \Gamma \mid \Sigma \vdash \mu \\ \Sigma(l) = T \\ \Gamma \mid \Sigma \vdash v : T \end{array}$$

then  $\Gamma \mid \Sigma \vdash [l \mapsto v]\mu$ .

LEMMA [WEAKENING]: If  $\Gamma \mid \Sigma \vdash t : T$  and  $\Sigma' \supseteq \Sigma$ , then  $\Gamma \mid \Sigma' \vdash t : T$ .

For each case in the proof on the next page, write down the *skeleton* of the argument. A skeleton contains the same sequence of steps as the full argument, but omits all details. The rules for writing skeletons are as follows:

- Steps of the form “By part (x) of the inversion lemma, we obtain...” in the full argument become “inversion(x)” in the skeleton.
- Steps of the form “By the substitution lemma, we obtain...” become “substitution.” (Similarly for replacement and weakening.)
- Steps of the form “By the induction hypothesis, we obtain...” become “IH.”
- Steps of the form “By typing rule T-XXX, we obtain...” become “T-XXX.”
- If the full argument doesn't use any of the lemmas or the induction hypothesis, then its skeleton is “Direct.”

For example, if the full argument is

$$\text{Case E-DEREFLOC: } t = !l \quad t' = \mu(l) \quad \mu' = \mu$$

By part (f) of the inversion lemma,  $T = T_{11}$ , and  $\Gamma \mid \Sigma \vdash l : \text{Ref } T_{11}$ . By part (h) of the inversion lemma,  $T_{11} = \text{Ref } \Sigma(l)$ , i.e.,  $T = T_{11} = \Sigma(l)$ . But now, from the assumption that  $\Gamma \mid \Sigma \vdash \mu$ , we can conclude (by the definition of  $\Gamma \mid \Sigma \vdash \mu$ ) that  $\Gamma \mid \Sigma \vdash \mu(l) : \Sigma(l)$ .

the skeleton is written:

$$\text{Case E-DEREFLOC: } t = !l \quad t' = \mu(l) \quad \mu' = \mu$$

Inversion(f), inversion(h)

As a second example, the case for E-REF is also given below.



THEOREM [PRESERVATION]: If

$$\Gamma \mid \Sigma \vdash t : T$$

$$\Gamma \mid \Sigma \vdash \mu \quad (\text{i.e., } \text{dom}(\mu) = \text{dom}(\Sigma) \text{ and } \Gamma \mid \Sigma \vdash \mu(l) : \Sigma(l) \text{ for every } l \in \text{dom}(\mu))$$

$$t \mid \mu \rightarrow t' \mid \mu'$$

then, for some  $\Sigma' \supseteq \Sigma$ ,

$$\Gamma \mid \Sigma' \vdash t' : T$$

$$\Gamma \mid \Sigma' \vdash \mu'.$$

*Proof:* By induction on evaluation derivations, with a case analysis on the final rule used.

Case E-APP1:  $t = t_1 t_2 \quad t_1 \mid \mu \rightarrow t'_1 \mid \mu' \quad t' = t'_1 t_2$

Case E-APP2:

Similar.

Case E-APPABS:  $t = (\lambda x:T_{11}. t_{12}) v_2 \quad t' = [x \mapsto v_2]t_{12} \quad \mu' = \mu$

Case E-REF:  $t = \text{ref } t_1 \quad t' = \text{ref } t'_1 \quad t_1 \mid \mu \rightarrow t'_1 \mid \mu'$

inversion(e), IH, T-REF

Case E-DEREFLOC:  $t = !l \quad t' = \mu(l) \quad \mu' = \mu$

Inversion(f), inversion(h)

Case E-DEREF:  $!t_1 \mid \mu \rightarrow !t'_1 \mid \mu'$

Case E-ASSIGN:  $t = l := v_2 \quad t' = \text{unit} \quad \mu' = [l \mapsto v_2]\mu$

Case E-ASSIGN1:  $t = t_1 := t_2 \quad t' = t'_1 := t_2 \quad t_1 \mid \mu \rightarrow t'_1 \mid \mu'$

Case E-ASSIGN2:

Similar.

## Subtyping

The definition of the simply typed lambda-calculus with records and subtyping is reproduced for your reference on page 17.

6. (11 points) For each type  $S$  from the left-hand column below, draw a line connecting it to each type  $T$  in the right-hand column such that  $S <: T$ .

Choices for  $S$ :

$\{a:\{\}, b:\{x:\text{Top}\}\}$

$\text{Top} \rightarrow \text{Top}$

$\{\} \rightarrow \{\}$

$\text{Top}$

$(\{a:\text{Top}\} \rightarrow \{\}) \rightarrow \{b:\text{Top}\}$

$\{b:\text{Top} \rightarrow \text{Top}\}$

Choices for  $T$ :

$(\{\} \rightarrow \{a:\text{Top}\}) \rightarrow \{\}$

$\text{Top} \rightarrow \text{Top}$

$\{\} \rightarrow \text{Top}$

$\text{Top} \rightarrow \{\}$

$\{b:\text{Top}\}$

$\{b:\{\}\}$

7. (12 points) It is easy to show, by induction on subtyping derivations, that

LEMMA A: If  $\text{Top} <: T$ , then  $T = \text{Top}$ .

A similar, but slightly more interesting, lemma holds for supertypes of arrow types.

LEMMA B: If  $S_1 \rightarrow S_2 <: T$ , then either  $T = \text{Top}$  or else  $T$  has the form  $T_1 \rightarrow T_2$ , with  $T_1 <: S_1$  and  $S_2 <: T_2$ .

Fill in the arguments for the S-ARROW and S-TRANS cases of its proof.

*Proof:* By induction on subtyping derivations. Proceed by a case analysis on the last rule used in the derivation.

*Case S-REFL:*  $T = S_1 \rightarrow S_2$

$T$  clearly has the required form, with  $T_1 = S_1$  and  $T_2 = S_2$ . The inclusions  $T_1 <: S_1$  and  $S_2 <: T_2$  both follow by S-REFL.

*Case S-TRANS:*  $S_1 \rightarrow S_2 <: U$      $U <: T$

*Fill in:*

*Case S-ARROW:*  $T = T_1 \rightarrow T_2$      $T_1 <: S_1$  and  $S_2 <: T_2$

*Fill in:*

*Case S-TOP:*  $T = \text{Top}$

Immediate.

*Case S-RCDWIDTH, S-RCDDEPTH, S-RCDPERM, S-TOP:*

Can't happen:  $T$  has the wrong form.

8. (9 points) Suppose we remove rule S-ARROW from the subtype relation. Which of the following properties will remain true? For each one, write either “true” (if it remains true) or else “false” (if it becomes false), *plus* (in either case) a one-sentence justification of your answer.

(a) Existence of minimal types (if term  $t$  is typable in context  $\Gamma$ , then there is some type  $S$  such that  $\Gamma \vdash t : S$  and, for every type  $T$  such that  $\Gamma \vdash t : T$ , we have  $S \prec T$ )

(b) Progress (if  $t$  is a closed, well-typed term, then either  $t$  is a value or else  $t \rightarrow t'$  for some  $t'$ )

(c) Preservation (if  $t$  has type  $T$  and  $t \rightarrow t'$ , then  $t'$  also has type  $T$ )

## For reference: Simply typed lambda calculus with Unit

### Syntax

$t ::=$   
 $\text{unit}$   
 $x$   
 $\lambda x:T.t$   
 $t t$

$v ::=$   
 $\text{unit}$   
 $\lambda x:T.t$

$T ::=$   
 $\text{Unit}$   
 $T \rightarrow T$

$\Gamma ::=$   
 $\emptyset$   
 $\Gamma, x:T$

### terms

$\text{constant unit}$   
 $\text{variable}$   
 $\text{abstraction}$   
 $\text{application}$

### values

$\text{constant unit}$   
 $\text{abstraction value}$

### types

$\text{unit type}$   
 $\text{type of functions}$

### contexts

$\text{empty context}$   
 $\text{term variable binding}$

### Evaluation

$$\begin{array}{c}
 \boxed{t \rightarrow t'} \\
 \text{(E-APP1)} \\
 \frac{t_1 \rightarrow t'_1}{t_1 t_2 \rightarrow t'_1 t_2} \\
 \text{(E-APP2)} \\
 \frac{t_2 \rightarrow t'_2}{v_1 t_2 \rightarrow v_1 t'_2} \\
 \text{(E-APPABS)} \\
 (\lambda x:T_{11}. t_{12}) v_2 \rightarrow [x \mapsto v_2]t_{12}
 \end{array}$$

### Typing

$$\begin{array}{c}
 \boxed{\Gamma \vdash t : T} \\
 \text{(T-UNIT)} \\
 \Gamma \vdash \text{unit} : \text{Unit} \\
 \text{(T-VAR)} \\
 \frac{x:T \in \Gamma}{\Gamma \vdash x : T} \\
 \text{(T-ABS)} \\
 \frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2} \\
 \text{(T-APP)} \\
 \frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}
 \end{array}$$

## For reference: References

### New syntactic forms

$t ::= \dots$   
 $\text{ref } t$   
 $!t$   
 $t := t$   
 $l$

$v ::= \dots$   
 $l$

$T ::= \dots$   
 $\text{Ref } T$

$\mu ::= \dots$   
 $\emptyset$   
 $\mu, l = v$

$\Sigma ::= \dots$   
 $\emptyset$   
 $\Sigma, l : T$

### terms

*reference creation*  
*dereference*  
*assignment*  
*store location*

### values

*store location*

### types

*type of reference cells*

### stores

*empty store*  
*location binding*

### store typings

*empty store typing*  
*location typing*

### New evaluation rules

$$\boxed{t \mid \mu \rightarrow t' \mid \mu'}$$

$$\frac{t_1 \mid \mu \rightarrow t'_1 \mid \mu'}{t_1 t_2 \mid \mu \rightarrow t'_1 t_2 \mid \mu'} \quad (\text{E-APP1})$$

$$\frac{t_2 \mid \mu \rightarrow t'_2 \mid \mu'}{v_1 t_2 \mid \mu \rightarrow v_1 t'_2 \mid \mu'} \quad (\text{E-APP2})$$

$$(\lambda x : T_{11} . t_{12}) v_2 \mid \mu \rightarrow [x \mapsto v_2] t_{12} \mid \mu \quad (\text{E-APPABS})$$

$$\frac{l \notin \text{dom}(\mu)}{\text{ref } v_1 \mid \mu \rightarrow l \mid (\mu, l \mapsto v_1)} \quad (\text{E-REFV})$$

$$\frac{t_1 \mid \mu \rightarrow t'_1 \mid \mu'}{\text{ref } t_1 \mid \mu \rightarrow \text{ref } t'_1 \mid \mu'} \quad (\text{E-REF})$$

$$\frac{\mu(l) = v}{!l \mid \mu \rightarrow v \mid \mu} \quad (\text{E-DEREFLOC})$$

$$\frac{t_1 \mid \mu \rightarrow t'_1 \mid \mu'}{!t_1 \mid \mu \rightarrow !t'_1 \mid \mu'} \quad (\text{E-DEREF})$$

$$l := v_2 \mid \mu \rightarrow \text{unit} \mid [l \mapsto v_2] \mu \quad (\text{E-ASSIGN})$$

$$\frac{t_1 \mid \mu \rightarrow t'_1 \mid \mu'}{t_1 := t_2 \mid \mu \rightarrow t'_1 := t_2 \mid \mu'} \quad (\text{E-ASSIGN1})$$

New typing rules

$$\frac{t_2 \mid \mu \rightarrow t'_2 \mid \mu'}{v_1 := t_2 \mid \mu \rightarrow v_1 := t'_2 \mid \mu'}$$

(E-ASSIGN2)

$$\boxed{\Gamma \mid \Sigma \vdash t : T}$$

$$\Gamma \mid \Sigma \vdash \text{unit} : \text{Unit}$$

(T-UNIT)

$$\frac{x : T \in \Gamma}{\Gamma \mid \Sigma \vdash x : T}$$

(T-VAR)

$$\frac{\Gamma, x : T_1 \mid \Sigma \vdash t_2 : T_2}{\Gamma \mid \Sigma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2}$$

(T-ABS)

$$\frac{\Gamma \mid \Sigma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \mid \Sigma \vdash t_2 : T_{11}}{\Gamma \mid \Sigma \vdash t_1 t_2 : T_{12}}$$

(T-APP)

$$\frac{\Sigma(l) = T_1}{\Gamma \mid \Sigma \vdash l : \text{Ref } T_1}$$

(T-LOC)

$$\frac{\Gamma \mid \Sigma \vdash t_1 : T_1}{\Gamma \mid \Sigma \vdash \text{ref } t_1 : \text{Ref } T_1}$$

(T-REF)

$$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Ref } T_{11}}{\Gamma \mid \Sigma \vdash !t_1 : T_{11}}$$

(T-DEREF)

$$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Ref } T_{11} \quad \Gamma \mid \Sigma \vdash t_2 : T_{11}}{\Gamma \mid \Sigma \vdash t_1 := t_2 : \text{Unit}}$$

(T-ASSIGN)

## For reference: Simply typed lambda calculus with records and subtyping

### New syntactic forms

$t ::= \dots$   
 $\{\lambda_i = t_i \mid i \in 1..n\}$   
 $t.l$

$v ::= \dots$   
 $\{\lambda_i = v_i \mid i \in 1..n\}$

$T ::= \dots$   
 $\{\lambda_i : T_i \mid i \in 1..n\}$   
 Top

*terms*  
*record*  
*projection*

*values*  
*record value*

*types*  
*type of records*  
*maximum type*

### New evaluation rules

$$\frac{\{\lambda_i = v_i \mid i \in 1..n\}.l_j \rightarrow v_j}{\boxed{t \rightarrow t'}} \quad (\text{E-PROJRCD})$$

$$\frac{t_1 \rightarrow t'_1}{t_1.l \rightarrow t'_1.l} \quad (\text{E-PROJ})$$

$$\frac{t_j \rightarrow t'_j}{\{\lambda_i = v_i \mid i \in 1..j-1, \lambda_j = t_j, \lambda_k = t_k \mid k \in j+1..n\} \rightarrow \{\lambda_i = v_i \mid i \in 1..j-1, \lambda_j = t'_j, \lambda_k = t_k \mid k \in j+1..n\}} \quad (\text{E-RCD})$$

### New subtyping rules

$$\boxed{S <: T} \quad (\text{S-REFL})$$

$$\frac{S <: U \quad U <: T}{S <: T} \quad (\text{S-TRANS})$$

$$S <: \text{Top} \quad (\text{S-TOP})$$

$$\frac{T_1 <: S_1 \quad S_2 <: T_2}{S_1 \rightarrow S_2 <: T_1 \rightarrow T_2} \quad (\text{S-ARROW})$$

$$\{\lambda_i : T_i \mid i \in 1..n+k\} <: \{\lambda_i : T_i \mid i \in 1..n\} \quad (\text{S-RCDWIDTH})$$

$$\frac{\text{for each } i \quad S_i <: T_i}{\{\lambda_i : S_i \mid i \in 1..n\} <: \{\lambda_i : T_i \mid i \in 1..n\}} \quad (\text{S-RCDDEPTH})$$

$$\frac{\{k_j : S_j \mid j \in 1..n\} \text{ is a permutation of } \{\lambda_i : T_i \mid i \in 1..n\}}{\{k_j : S_j \mid j \in 1..n\} <: \{\lambda_i : T_i \mid i \in 1..n\}} \quad (\text{S-RCDPERM})$$

### New typing rules

$$\boxed{\Gamma \vdash t : T} \quad (\text{T-RCD})$$

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{\lambda_i = t_i \mid i \in 1..n\} : \{\lambda_i : T_i \mid i \in 1..n\}} \quad (\text{T-PROJ})$$

$$\frac{\Gamma \vdash t_1 : \{\lambda_i : T_i \mid i \in 1..n\}}{\Gamma \vdash t_1.l_j : T_j} \quad (\text{T-PROJ})$$

$$\frac{\Gamma \vdash t : S \quad S <: T}{\Gamma \vdash t : T} \quad (\text{T-SUB})$$