

CIS 500  
Software Foundations  
Fall 2006

October 16

Any Questions?

### Plan

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*"We have the technology..."*

- ▶ In this lecture and the next, we're going to cover some simple extensions of the typed-lambda calculus (TAPL Chapter 11).
  1. Products, records
  2. Sums, variants
  3. Recursion
- ▶ We're skipping Chapters 10 and 12.

## Erase and Typability

### Erase

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We can transform terms in  $\lambda_{\rightarrow}$  to terms of the untyped lambda-calculus simply by erasing type annotations on lambda-abstractions.

$$\begin{aligned} \text{erase}(x) &= x \\ \text{erase}(\lambda x:T_1. t_2) &= \lambda x. \text{erase}(t_2) \\ \text{erase}(t_1 t_2) &= \text{erase}(t_1) \text{erase}(t_2) \end{aligned}$$

### Typability

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Conversely, an untyped  $\lambda$ -term  $m$  is said to be *typable* if there is some term  $t$  in the simply typed lambda-calculus, some type  $T$ , and some context  $\Gamma$  such that  $\text{erase}(t) = m$  and  $\Gamma \vdash t : T$ .

This process is called *type reconstruction* or *type inference*.

## Typability

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This process is called *type reconstruction* or *type inference*.

Example: Is the term

$\lambda x. x x$

typable?

# The Curry-Howard Correspondence

## Intro vs. elim forms

An *introduction form* for a given type gives us a way of *constructing* elements of this type.

An *elimination form* for a type gives us a way of *using* elements of this type.

## The Curry-Howard Correspondence

In *constructive logics*, a proof of  $P$  must provide *evidence* for  $P$ .

► “law of the excluded middle” —  $P \vee \neg P$  — not recognized.

A proof of  $P \wedge Q$  is a *pair* of evidence for  $P$  and evidence for  $Q$ .

A proof of  $P \supset Q$  is a *procedure* for transforming evidence for  $P$  into evidence for  $Q$ .

## Propositions as Types

LOGIC	PROGRAMMING LANGUAGES
propositions	types
proposition $P \supset Q$	type $P \rightarrow Q$
proposition $P \wedge Q$	type $P \times Q$
proof of proposition $P$	term $t$ of type $P$
proposition $P$ is provable	type $P$ is inhabited (by some term) evaluation

## Propositions as Types

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proof of proposition $P$	term $t$ of type $P$
proposition $P$ is provable	type $P$ is inhabited (by some term)
proof simplification (a.k.a. “cut elimination”)	

# On to real programming languages...

## Base types

Up to now, we've formulated "base types" (e.g. `Nat`) by adding them to the syntax of types, extending the syntax of terms with associated constants (`zero`) and operators (`succ`, etc.) and adding appropriate typing and evaluation rules. We can do this for as many base types as we like.

For more theoretical discussions (as opposed to programming) we can often ignore the term-level inhabitants of base types, and just treat these types as uninterpreted constants.

E.g., suppose `B` and `C` are some base types. Then we can ask (without knowing anything more about `B` or `C`) whether there are any types `S` and `T` such that the term

$$(\lambda f:S. \lambda g:T. f\ g) (\lambda x:B. x)$$

is well typed.

## The Unit type

<code>t ::= ...</code>	<i>terms</i>
<code>unit</code>	<i>constant unit</i>
<code>v ::= ...</code>	<i>values</i>
<code>unit</code>	<i>constant unit</i>
<code>T ::= ...</code>	<i>types</i>
<code>Unit</code>	<i>unit type</i>

New typing rules

$\Gamma \vdash \text{unit} : \text{Unit}$	$\boxed{\Gamma \vdash t : T}$	(T-UNIT)
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## Sequencing

<code>t ::= ...</code>	<i>terms</i>
<code>t<sub>1</sub>; t<sub>2</sub></code>	

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<code>t ::= ...</code>	<i>terms</i>
<code>t<sub>1</sub>; t<sub>2</sub></code>	
$\frac{t_1 \longrightarrow t'_1}{t_1; t_2 \longrightarrow t'_1; t_2}$	(E-SEQ)
$\text{unit}; t_2 \longrightarrow t_2$	(E-SEQNEXT)
$\frac{\Gamma \vdash t_1 : \text{Unit} \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1; t_2 : T_2}$	(T-SEQ)

## Derived forms

- ▶ Syntactic sugar
- ▶ Internal language vs. external (surface) language

## Sequencing as a derived form

$$t_1; t_2 \stackrel{\text{def}}{=} (\lambda x: \text{Unit}. t_2) t_1$$

where  $x \notin \text{FV}(t_2)$

## Equivalence of the two definitions

[board]

## Ascription

New syntactic forms

$t ::= \dots$   
 $t \text{ as } T$

terms  
ascription

New evaluation rules

$$t \longrightarrow t'$$

$$v_1 \text{ as } T \longrightarrow v_1 \quad (\text{E-ASCRIIBE})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 \text{ as } T \longrightarrow t'_1 \text{ as } T} \quad (\text{E-ASCRIIBE1})$$

New typing rules

$$\boxed{\Gamma \vdash t : T}$$

$$\frac{\Gamma \vdash t_1 : T}{\Gamma \vdash t_1 \text{ as } T : T} \quad (\text{T-ASCRIIBE})$$

## Ascription as a derived form

$$t \text{ as } T \stackrel{\text{def}}{=} (\lambda x:T. x) t$$

## Let-bindings

New syntactic forms

$t ::= \dots$   
 $\text{let } x=t \text{ in } t$

terms  
let binding

New evaluation rules

$$t \longrightarrow t'$$

$$\text{let } x=v_1 \text{ in } t_2 \longrightarrow [x \mapsto v_1]t_2 \quad (\text{E-LETV})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{let } x=t_1 \text{ in } t_2 \longrightarrow \text{let } x=t'_1 \text{ in } t_2} \quad (\text{E-LET})$$

New typing rules

$$\boxed{\Gamma \vdash t : T}$$

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x=t_1 \text{ in } t_2 : T_2} \quad (\text{T-LET})$$

# Pairs, tuples, and records

## Pairs

$t ::= \dots$	<i>terms</i>
$\{t, t\}$	<i>pair</i>
$t.1$	<i>first projection</i>
$t.2$	<i>second projection</i>
$v ::= \dots$	<i>values</i>
$\{v, v\}$	<i>pair value</i>
$T ::= \dots$	<i>types</i>
$T_1 \times T_2$	<i>product type</i>

## Evaluation rules for pairs

$\{v_1, v_2\}.1 \longrightarrow v_1$	(E-PAIRBETA1)
$\{v_1, v_2\}.2 \longrightarrow v_2$	(E-PAIRBETA2)
$\frac{t_1 \longrightarrow t'_1}{t_1.1 \longrightarrow t'_1.1}$	(E-PROJ1)
$\frac{t_1 \longrightarrow t'_1}{t_1.2 \longrightarrow t'_1.2}$	(E-PROJ2)
$\frac{t_1 \longrightarrow t'_1}{\{t_1, t_2\} \longrightarrow \{t'_1, t_2\}}$	(E-PAIR1)
$\frac{t_2 \longrightarrow t'_2}{\{v_1, t_2\} \longrightarrow \{v_1, t'_2\}}$	(E-PAIR2)

## Typing rules for pairs

$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \{t_1, t_2\} : T_1 \times T_2}$	(T-PAIR)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.1 : T_{11}}$	(T-PROJ1)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.2 : T_{12}}$	(T-PROJ2)

## Tuples

$t ::= \dots$	<i>terms</i>
$\{t_i \mid i \in 1..n\}$	<i>tuple</i>
$t.i$	<i>projection</i>
$v ::= \dots$	<i>values</i>
$\{v_i \mid i \in 1..n\}$	<i>tuple value</i>
$T ::= \dots$	<i>types</i>
$\{T_i \mid i \in 1..n\}$	<i>tuple type</i>

## Evaluation rules for tuples

$\{v_i \mid i \in 1..n\}.j \longrightarrow v_j$	(E-PROJTUPLE)
$\frac{t_1 \longrightarrow t'_1}{t_1.i \longrightarrow t'_1.i}$	(E-PROJ)
$\frac{t_j \longrightarrow t'_j}{\{v_i \mid i \in 1..j-1, t_j, t_k \mid k \in j+1..n\} \longrightarrow \{v_i \mid i \in 1..j-1, t'_j, t_k \mid k \in j+1..n\}}$	(E-TUPLE)

## Typing rules for tuples

$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{t_i \mid i \in 1..n\} : \{T_i \mid i \in 1..n\}}$	(T-TUPLE)
$\frac{\Gamma \vdash t_1 : \{T_i \mid i \in 1..n\}}{\Gamma \vdash t_1.j : T_j}$	(T-PROJ)

## Records

$t ::= \dots$	<i>terms</i>
$\{l_i = t_i \mid i \in 1..n\}$	<i>record</i>
$t.l$	<i>projection</i>
$v ::= \dots$	<i>values</i>
$\{l_i = v_i \mid i \in 1..n\}$	<i>record value</i>
$T ::= \dots$	<i>types</i>
$\{l_i : T_i \mid i \in 1..n\}$	<i>type of records</i>

## Evaluation rules for records

$$\{l_i = v_i \mid i \in 1..n\}.l_j \longrightarrow v_j \quad (\text{E-PROJRC D})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.l \longrightarrow t'_1.l} \quad (\text{E-PROJ})$$

$$\frac{t_j \longrightarrow t'_j}{\{l_i = v_i \mid i \in 1..j-1, l_j = t_j, l_k = t_k \mid k \in j+1..n\} \longrightarrow \{l_i = v_i \mid i \in 1..j-1, l_j = t'_j, l_k = t_k \mid k \in j+1..n\}} \quad (\text{E-RCD})$$

## Typing rules for records

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{l_i = t_i \mid i \in 1..n\} : \{l_i : T_i \mid i \in 1..n\}} \quad (\text{T-RCD})$$

$$\frac{\Gamma \vdash t_1 : \{l_i : T_i \mid i \in 1..n\}}{\Gamma \vdash t_1.l_j : T_j} \quad (\text{T-PROJ})$$

## Sums and variants

### Sums – motivating example

```
PhysicalAddr = {firstlast:String, addr:String}
VirtualAddr  = {name:String, email:String}
Addr         = PhysicalAddr + VirtualAddr
inl  : "PhysicalAddr → PhysicalAddr+VirtualAddr"
inr  : "VirtualAddr  → PhysicalAddr+VirtualAddr"
```

```
getName = λa:Addr.
  case a of
    inl x ⇒ x.firstlast
  | inr y ⇒ y.name;
```

### New syntactic forms

$t ::= \dots$	<i>terms</i>
$\text{inl } t$	<i>tagging (left)</i>
$\text{inr } t$	<i>tagging (right)</i>
$\text{case } t \text{ of } \text{inl } x \Rightarrow t \mid \text{inr } x \Rightarrow t$	<i>case</i>
$v ::= \dots$	<i>values</i>
$\text{inl } v$	<i>tagged value (left)</i>
$\text{inr } v$	<i>tagged value (right)</i>
$T ::= \dots$	<i>types</i>
$T+T$	<i>sum type</i>

$T_1+T_2$  is a *disjoint union* of  $T_1$  and  $T_2$  (the tags `inl` and `inr` ensure disjointness)

New evaluation rules

$t \longrightarrow t'$

case (inl  $v_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$   $\longrightarrow [x_1 \mapsto v_0]t_1$  (E-CASEINL)

case (inr  $v_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$   $\longrightarrow [x_2 \mapsto v_0]t_2$  (E-CASEINR)

$$\frac{t_0 \longrightarrow t'_0}{\text{case } t_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2 \longrightarrow \text{case } t'_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2}$$
 (E-CASE)

$$\frac{t_1 \longrightarrow t'_1}{\text{inl } t_1 \longrightarrow \text{inl } t'_1}$$
 (E-INL)

$$\frac{t_1 \longrightarrow t'_1}{\text{inr } t_1 \longrightarrow \text{inr } t'_1}$$
 (E-INR)

New typing rules

$\Gamma \vdash t : T$

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{inl } t_1 : T_1 + T_2}$$
 (T-INL)

$$\frac{\Gamma \vdash t_1 : T_2}{\Gamma \vdash \text{inr } t_1 : T_1 + T_2}$$
 (T-INR)

$$\frac{\Gamma, x_1 : T_1 \vdash t_1 : T \quad \Gamma, x_2 : T_2 \vdash t_2 : T}{\Gamma \vdash \text{case } t_0 \text{ of inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2 : T}$$
 (T-CASE)

## Sums and Uniqueness of Types

Problem:

If  $t$  has type  $T$ , then  $\text{inl } t$  has type  $T+U$  for every  $U$ .

I.e., we've lost uniqueness of types.

Possible solutions:

- ▶ "Infer"  $U$  as needed during typechecking
- ▶ Give constructors different names and only allow each name to appear in one sum type (requires generalization to "variants," which we'll see next) — OCaml's solution
- ▶ Annotate each `inl` and `inr` with the intended sum type.

For simplicity, let's choose the third.

New syntactic forms

$t ::= \dots$   
inl  $t$  as  $T$   
inr  $t$  as  $T$

terms  
tagging (left)  
tagging (right)

$v ::= \dots$   
inl  $v$  as  $T$   
inr  $v$  as  $T$

values  
tagged value (left)  
tagged value (right)

Note that `as T` here is not the ascription operator that we saw before — i.e., not a separate syntactic form: in essence, there is an ascription "built into" every use of `inl` or `inr`.

New typing rules

$\Gamma \vdash t : T$

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{inl } t_1 \text{ as } T_1 + T_2 : T_1 + T_2}$$
 (T-INL)

$$\frac{\Gamma \vdash t_1 : T_2}{\Gamma \vdash \text{inr } t_1 \text{ as } T_1 + T_2 : T_1 + T_2}$$
 (T-INR)

Evaluation rules ignore annotations:

$t \longrightarrow t'$

case (inl  $v_0$  as  $T_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$   $\longrightarrow [x_1 \mapsto v_0]t_1$  (E-CASEINL)

case (inr  $v_0$  as  $T_0$ )  
of inl  $x_1 \Rightarrow t_1$  | inr  $x_2 \Rightarrow t_2$   $\longrightarrow [x_2 \mapsto v_0]t_2$  (E-CASEINR)

$$\frac{t_1 \longrightarrow t'_1}{\text{inl } t_1 \text{ as } T_2 \longrightarrow \text{inl } t'_1 \text{ as } T_2}$$
 (E-INL)

$$\frac{t_1 \longrightarrow t'_1}{\text{inr } t_1 \text{ as } T_2 \longrightarrow \text{inr } t'_1 \text{ as } T_2}$$
 (E-INR)

## Variants

Just as we generalized binary products to labeled records, we can generalize binary sums to labeled *variants*.

### New syntactic forms

$t ::= \dots$	<i>terms</i>
$\langle l=t \rangle \text{ as } T$	<i>tagging</i>
$\text{case } t \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n}$	<i>case</i>
$T ::= \dots$	<i>types</i>
$\langle l_i:T_i \text{ }^{i \in 1..n} \rangle$	<i>type of variants</i>

### New evaluation rules

 $t \longrightarrow t'$ 
$$\frac{}{\text{case } \langle l_j=v_j \rangle \text{ as } T \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n} \longrightarrow [x_j \mapsto v_j]t_j} \text{ (E-CASEVARIANT)}$$
$$\frac{t_0 \longrightarrow t'_0}{\text{case } t_0 \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n} \longrightarrow \text{case } t'_0 \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n}} \text{ (E-CASE)}$$
$$\frac{t_i \longrightarrow t'_i}{\langle l_i=t_i \rangle \text{ as } T \longrightarrow \langle l_i=t'_i \rangle \text{ as } T} \text{ (E-VARIANT)}$$

### New typing rules

 $\Gamma \vdash t : T$ 
$$\frac{\Gamma \vdash t_j : T_j}{\Gamma \vdash \langle l_j=t_j \rangle \text{ as } \langle l_i:T_i \text{ }^{i \in 1..n} \rangle : \langle l_i:T_i \text{ }^{i \in 1..n} \rangle} \text{ (T-VARIANT)}$$
$$\frac{\Gamma \vdash t_0 : \langle l_i:T_i \text{ }^{i \in 1..n} \rangle \text{ for each } i \quad \Gamma, x_i:T_i \vdash t_i : T}{\Gamma \vdash \text{case } t_0 \text{ of } \langle l_i=x_i \rangle \Rightarrow t_i \text{ }^{i \in 1..n} : T} \text{ (T-CASE)}$$

## Example

```
Addr = <physical:PhysicalAddr, virtual:VirtualAddr>;
a = <physical=pa> as Addr;
getName =  $\lambda a$ :Addr.
  case a of
    <physical=x>  $\Rightarrow$  x.firstlast
  | <virtual=y>  $\Rightarrow$  y.name;
```

## Options

Just like in OCaml...

```
OptionalNat = <none:Unit, some:Nat>;
Table = Nat  $\rightarrow$  OptionalNat;
emptyTable =  $\lambda n$ :Nat. <none=unit> as OptionalNat;
extendTable =
   $\lambda t$ :Table.  $\lambda m$ :Nat.  $\lambda v$ :Nat.
     $\lambda n$ :Nat.
      if equal n m then <some=v> as OptionalNat
      else t n;
x = case t(5) of
  <none=u>  $\Rightarrow$  999
  | <some=v>  $\Rightarrow$  v;
```



## Enumerations

```
Weekday = <monday:Unit, tuesday:Unit, wednesday:Unit,
           thursday:Unit, friday:Unit>;

nextBusinessDay = λw:Weekday.
  case w of <monday=x>   ⇒ <tuesday=unit> as Weekday
          | <tuesday=x>  ⇒ <wednesday=unit> as Weekday
          | <wednesday=x> ⇒ <thursday=unit> as Weekday
          | <thursday=x> ⇒ <friday=unit> as Weekday
          | <friday=x>  ⇒ <monday=unit> as Weekday;
```

## Recursion

### Recursion in $\lambda_{\rightarrow}$

- ▶ In  $\lambda_{\rightarrow}$ , all programs terminate. (Cf. Chapter 12.)
- ▶ Hence, untyped terms like `omega` and `fix` are not typable.
- ▶ But we can *extend* the system with a (typed) fixed-point operator...

### Example

```
ff = λie:Nat→Bool.
     λx:Nat.
       if iszero x then true
       else if iszero (pred x) then false
       else ie (pred (pred x));

iseven = fix ff;

iseven 7;
```

#### New syntactic forms

$t ::= \dots$   
`fix t`

terms  
fixed point of  $t$

#### New evaluation rules

$t \longrightarrow t'$

$$\longrightarrow [x \mapsto (\text{fix } (\lambda x:T_1.t_2))]t_2 \quad (\text{E-FIXBETA})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{fix } t_1 \longrightarrow \text{fix } t'_1} \quad (\text{E-FIX})$$

#### New typing rules

$\Gamma \vdash t : T$

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_1}{\Gamma \vdash \text{fix } t_1 : T_1} \quad (\text{T-FIX})$$

## A more convenient form

---

`letrec x:T1=t1 in t2  $\stackrel{\text{def}}{=} \text{let } x = \text{fix } (\lambda x:T_1.t_1) \text{ in } t_2$`

```
letrec iseven : Nat → Bool =  
  λx:Nat.  
    if iszero x then true  
    else if iszero (pred x) then false  
    else iseven (pred (pred x))  
in  
  iseven 7;
```