

# CIS 500 — Software Foundations

## Midterm II

November 16, 2005

Name: \_\_\_\_\_

Email \_\_\_\_\_

Status  registered for the course

not registered

	Score
1	/ 10
2	/ 10
3	/ 10
4	/ 5
5	/ 5
6	/ 10
7	/ 20
8	/ 10
Total	/80

## Instructions

- This is a closed-book exam: you may not make use of any books or notes.
- You have 80 minutes to answer all of the questions. The entire exam is worth 80 points.
- Questions vary significantly in difficulty, and the point values of questions are not always proportional to their difficulty. Do not spend too much time on any one question.
- Partial credit will be given wherever possible. All correct answers are short. The back side of each page may be used as a scratch pad.
- Good luck!

## Simply typed lambda-calculus

The following questions refer to the simply typed lambda-calculus with booleans and error handling. The syntax, typing, and evaluation rules for this system are given on page 1 of the companion handout.

1. (10 points) Write down the types of each of the following terms. If a term can be given many types, you should write down the *smallest* one. If a term does not type check, write NONE. Note: Recall that  $T \rightarrow T \rightarrow T$  is parsed as  $T \rightarrow (T \rightarrow T)$ .

(a)  $\lambda x:\text{Bool} \rightarrow \text{Bool}. x (x (x (x (x \text{true}))))$

Type: \_\_\_\_\_

(b)  $(\lambda x:\text{Bool}. \lambda y:\text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}. \text{true}) \text{false} (\lambda z:\text{Bool} \rightarrow \text{Bool}. \text{true})$

Type: \_\_\_\_\_

(c)  $(\lambda x:\text{Bool}. \lambda y:\text{Bool}. \text{error}) \text{false} \text{false} \text{false} \text{false} \text{false}$

Type: \_\_\_\_\_

(d)  $\lambda x:\text{Bool} \rightarrow \text{Bool} \rightarrow \text{Bool}. x (x \text{error})$

Type: \_\_\_\_\_

(e)  $\text{try} (\text{if} (\lambda x:\text{Bool}. x) \text{error} \text{then} (\text{error} \text{false}) \text{else} \text{error}) \text{with} \lambda y:\text{Bool} \rightarrow \text{Bool}. y$

Type: \_\_\_\_\_

## References

The following questions refer to the simply typed lambda-calculus with references. The syntax, typing, and evaluation rules for this system are given on page 3 of the companion handout.

2. (10 points) Which of the following functions *could* evaluate to 42 when applied to a *single* argument and evaluated with a store of the appropriate type? Circle YES and give the argument and store if that is the case, and circle NO otherwise.

For example, the term

$$\lambda x:\text{Ref Nat. } !x + 1$$

evaluates to 42 with argument  $l_1$  and store  $(l_1 \mapsto 41)$ .

- (a)  $\lambda x:\text{Ref Nat. } x$

YES, with argument \_\_\_\_\_ and store \_\_\_\_\_  
NO

- (b)  $\lambda x:\text{Ref Nat. } (x := 3; l_1 := 42; !x)$

YES, with argument \_\_\_\_\_ and store \_\_\_\_\_  
NO

- (c)  $\lambda f:\text{Unit} \rightarrow \text{Unit. } (l_1 := 3; f \text{ unit}; !l_1)$

YES, with argument \_\_\_\_\_ and store \_\_\_\_\_  
NO

3. (10 points) Suppose we add an increment operator ( $t++$ ) to the simply typed lambda-calculus with references. This operator should increase the value stored in a numerical reference by one. For example, the result of evaluating the following term

$$\text{let } x = \text{ref } 3 \text{ in } (x++ ; !x)$$

with the empty store is the value 4.

We start formalizing this idea by adding a new term form for the increment operator:

$$t ++$$

and a new computation rule for this new term form.

$$\frac{\mu(l) = nv}{l++ \mid \mu \longrightarrow \text{unit} \mid [l \mapsto \text{succ } nv]\mu} \text{L-INCRLOC}$$

- (a) What congruence rule(s) should we add?

- (b) What typing rule(s) should we add?

## Implementing a type checker

Consider an implementation of a type checker for the simply-typed lambda-calculus extended with sums. The syntax of types will be extended in the following way:

```
type ty =  
  ...  
  TySum of ty * ty
```

The syntax of terms will be extended in the following way:

```
type term =  
  ...  
  TmCase of info * term * (string * term) * (string * term)  
  TmInl of info * term * ty  
  TmInr of info * term * ty
```

Your job is to finish the implementation of the function

```
typeof : context → term → ty
```

This recursive function returns the type of a term in a particular context. The general form of the function is a pattern match on the the form of the term.

```
let rec typeof (ctx:context) (t:term) :ty =  
  match t with  
  ... (* Branches for variables, abstractions, applications *)  
  | TmInl(info, t0, tyAnnot) → ... (* Branch for inl *)  
  | TmInr(info, t0, tyAnnot) → ... (* Branch for inr *)  
  | TmCase(info, t0, (x1, t1), (x2, t2)) → ... (* Branch for case *)
```

In this implementation, a context is composed of variable bindings and has the following interface:

```
type binding = VarBind of ty  
val emptycontext : context  
val addbinding : context → string → binding → context  
val getbinding : info → context → int → binding
```

4. (5 points) Recall the typing rule for `inl` expressions:

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \text{inl } t_1 \text{ as } T_1+T_2 : T_1+T_2} \quad (\text{T-INL})$$

One of the following segments of OCaml code correctly implements this rule. Circle the letter associated with the correct answer. The important differences are underlined.

- (a) `TmInl(fi, t1, tyAnnot) →`  
`(match typeof ctx t1 with`  
`TySum(ty1, ty2) →`  
`if tyAnnot = ty1 then ty1`  
`else error fi "Injected data does not have expected type"`  
`| _ → error fi "Annotation is not a sum type")`
- (b) `TmInl(fi, t1, tyAnnot) →`  
`(match tyAnnot with`  
`TySum(ty1, ty2) →`  
`if typeof ctx t1 = ty1 then tyAnnot`  
`else error fi "Injected data does not have expected type"`  
`| _ → error fi "Annotation is not a sum type")`
- (c) `TmInl(fi, t1, tyAnnot) →`  
`(match typeof ctx t1 with`  
`TySum(ty1, ty2) →`  
`if tyAnnot = ty1 then tyAnnot`  
`else error fi "Injected data does not have expected type"`  
`| _ → error fi "Annotation is not a sum type")`
- (d) `TmInl(fi, t1, tyAnnot) →`  
`(match tyAnnot with`  
`TySum(ty1, ty2) →`  
`if ty1 = ty2 then typeof ctx t1`  
`else error fi "Injected data does not have expected type"`  
`| _ → error fi "Annotation is not a sum type")`

5. (5 points) Recall the typing rule for case expressions:

$$\frac{\Gamma \vdash t_0 : T_1 + T_2 \quad \Gamma, x_1 : T_1 \vdash t_1 : T \quad \Gamma, x_2 : T_2 \vdash t_2 : T}{\Gamma \vdash \text{case } t_0 \text{ of } \text{inl } x_1 \Rightarrow t_1 \mid \text{inr } x_2 \Rightarrow t_2 : T} \quad (\text{T-CASE})$$

One of the following segments of OCaml code correctly implements this rule. Circle the letter associated with the correct answer. The important differences are underlined.

- (a) `TmCase(fi, t0, (x1, t1), (x2, t2)) →`  
`(match (typeof ctx t0) with`  
`TySum(ty1, ty2) →`  
`let tyLcase = typeof ctx t1 in`  
`let tyRcase = typeof ctx t2 in`  
`if tyLcase = tyRcase then tyLcase`  
`else error fi "Branches of case have different types"`  
`| _ → error fi "Expected sum type")`
- (b) `TmCase(fi, t0, (x1, t1), (x2, t2)) →`  
`(match (typeof ctx t0) with`  
`TySum(ty1, ty2) →`  
`let ctx' = addbinding ctx x1 (VarBind(typeof ctx t1)) in`  
`let ctx'' = addbinding ctx' x2 (VarBind(typeof ctx t2)) in`  
`let tyLcase = typeof ctx'' t1 in`  
`let tyRcase = typeof ctx'' t2 in`  
`if tyLcase = tyRcase then tyLcase`  
`else error fi "Branches of case have different types"`  
`| _ → error fi "Expected sum type")`
- (c) `TmCase(fi, t0, (x1, t1), (x2, t2)) →`  
`(match (typeof ctx t0) with`  
`TySum(ty1, ty2) →`  
`let ctx' = addbinding ctx x1 (VarBind(ty1)) in`  
`let ctx'' = addbinding ctx' x2 (VarBind(ty2)) in`  
`let tyLcase = typeof ctx'' t1 in`  
`let tyRcase = typeof ctx'' t2 in`  
`if tyLcase = tyRcase then tyLcase`  
`else error fi "Branches of case have different types"`  
`| _ → error fi "Expected sum type")`
- (d) `TmCase(fi, t0, (x1, t1), (x2, t2)) →`  
`(match (typeof ctx t0) with`  
`TySum(ty1, ty2) →`  
`let ctx' = addbinding ctx x1 (VarBind(ty1)) in`  
`let ctx'' = addbinding ctx x2 (VarBind(ty2)) in`  
`let tyLcase = typeof ctx' t1 in`  
`let tyRcase = typeof ctx'' t2 in`  
`if tyLcase = tyRcase then tyLcase`  
`else error fi "Branches of case have different types"`  
`| _ → error fi "Expected sum type")`



## Proving type soundness

The following questions refer to the simply-typed  $\lambda$ -calculus with unit and fix. The syntax, typing, and evaluation rules for this system are given on page 6 of the companion handout.

6. (10 points) **Theorem (Preservation):** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$  then  $\Gamma \vdash t' : T$ .

Consider a proof of this theorem by induction on the typing derivation,  $\Gamma \vdash t : T$ . Show the case that occurs when the derivation ends with the rule T-FIX. In your proof you may use any of the following lemmas: *canonical forms*, *substitution*, *weakening*, *permutation*, and *inversion of typing*. These lemmas are listed in the companion handout on page 7. Be explicit about each step of the proof, and do not include any irrelevant information.

## Properties of Typed Languages

The following questions refer to the simply typed  $\lambda$ -calculus with products and  $\text{Bool}$ . The syntax, typing, and evaluation rules for this system are given on page 8 of the companion handout.

7. (20 points) Recall the following theorems about the simply typed  $\lambda$ -calculus with products and  $\text{Bool}$ :

- **Progress:** If  $\vdash t : T$ , then either  $t$  is a value or else  $t \longrightarrow t'$  for some  $t'$ .
- **Preservation:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .
- **Uniqueness of types:** Each term  $t$  has at most one type, and if  $t$  has a type, then there is exactly one derivation of that typing.

In each problem below ((a) through (c)), we add a single rule to this language. Consider these additions separately. For each theorem, circle whether the theorem remains true or if it becomes false. If a theorem becomes false, give a counterexample showing why.

(a) 
$$\frac{}{\Gamma \vdash \{t_1, t_2\} : \text{Nat}} \text{T-PAIRNAT}$$

Progress:      TRUE      FALSE, because...

Preservation:      TRUE      FALSE, because...

Uniqueness of types:      TRUE      FALSE, because...

(b) 
$$\frac{}{\text{pred } \{t_1, t_2\} \longrightarrow t_1} \text{E-PREDPAIR}$$

Progress:      TRUE      FALSE, because...

Preservation:      TRUE      FALSE, because...

Uniqueness of types:      TRUE      FALSE, because...

$$(c) \frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \text{fst } \{t_1, t_2\} : \text{Nat}} \text{T-FSTNAT}$$

Progress:      TRUE      FALSE, because...

Preservation:      TRUE      FALSE, because...

Uniqueness of types:      TRUE      FALSE, because...

## Derived forms

The following questions refer to the simply typed  $\lambda$ -calculus with products and `Bool`. The syntax, typing, and evaluation rules for this system are given on page 8 of the companion handout.

8. (10 points) Let  $\lambda^I$  be the simply typed  $\lambda$ -calculus with products and `Bool`. We extend  $\lambda^I$  to a language that we call  $\lambda^E$  which has a new “and” construct as follows.

New syntax:

$$\begin{array}{ll} t ::= \dots & \text{terms:} \\ \text{and } t_1 t_2 & \text{conjunction} \end{array}$$

New typing rules:

$$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : \text{Bool}}{\Gamma \vdash \text{and } t_1 t_2 : \text{Bool}} \text{T-AND}$$

New evaluation rules:

$$\frac{t_1 \longrightarrow t'_1}{\text{and } t_1 t_2 \longrightarrow \text{and } t'_1 t_2} \text{E-AND1} \qquad \frac{t_2 \longrightarrow t'_2}{\text{and } v_1 t_2 \longrightarrow \text{and } v_1 t'_2} \text{E-AND2}$$

$$\frac{}{\text{and true true} \longrightarrow \text{true}} \text{E-ANDTRUE}$$

$$\frac{}{\text{and false } v_2 \longrightarrow \text{false}} \text{E-ANDFALSE1}$$

$$\frac{}{\text{and } v_1 \text{ false} \longrightarrow \text{false}} \text{E-ANDFALSE2}$$

Rather than treat `and` as a primitive, we can try to make it a derived form by giving the following function  $e$  from  $\lambda^E$  to  $\lambda^I$ :

$$\begin{aligned} e(x) &= x \\ e(\lambda x:T. t) &= \lambda x:T. e(t) \\ e(t_1 t_2) &= e(t_1) e(t_2) \\ e(\text{true}) &= \text{true} \\ e(\text{false}) &= \text{false} \\ e(\text{if } t_1 \text{ then } t_2 \text{ else } t_3) &= \text{if } e(t_1) \text{ then } e(t_2) \text{ else } e(t_3) \\ e(t.1) &= (e(t)).1 \\ e(t.2) &= (e(t)).2 \\ e(\{t_1, t_2\}) &= \{e(t_1), e(t_2)\} \\ e(\text{and } t_1 t_2) &= \text{if } e(t_1) \text{ then } e(t_2) \text{ else false} \end{aligned}$$

Are we successful? For each of the following properties, circle TRUE if it holds for this “derived form” and otherwise circle FALSE and give a counterexample.

(a) if  $t \rightarrow_E t'$  then  $e(t) \rightarrow_I e(t')$ .

TRUE

FALSE, because...

(b) if  $\Gamma \vdash^E t : T$  then  $\Gamma \vdash^I e(t) : T$ .

TRUE

FALSE, because...

(c) if  $t$  is a value then  $e(t)$  is a value.

TRUE

FALSE, because...

# **Companion handout**

**Full definitions of the systems  
used in the exam**

## Simply-typed lambda calculus with error handling and Bool

### Syntax

$t ::=$   
 error  
 true  
 false  
 if  $t$  then  $t$  else  $t$   
 $x$   
 $\lambda x:T. t$   
 $t t$   
 try  $t$  with  $t$

$v ::=$   
 true  
 false  
 $\lambda x:T. t$

$T ::=$   
 $T \rightarrow T$   
 Bool

$\Gamma ::=$   
 $\emptyset$   
 $\Gamma, x:T$

### terms

*run-time error*  
*constant true*  
*constant false*  
*conditional*  
*variable*  
*abstraction*  
*application*  
*trap errors*

### values

*true value*  
*false value*  
*abstraction value*

### types

*type of functions*  
*type of booleans*

### type environments

*empty type env.*  
*term variable binding*

### Evaluation

	$t \longrightarrow t'$
if true then $t_2$ else $t_3 \longrightarrow t_2$	(E-IFTRUE)
if false then $t_2$ else $t_3 \longrightarrow t_3$	(E-IFFALSE)
$t_1 \longrightarrow t'_1$	
$\frac{t_1 \longrightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3}$	(E-IF)
$t_1 \longrightarrow t'_1$	
$\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2}$	(E-APP1)
$t_2 \longrightarrow t'_2$	
$\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2}$	(E-APP2)
$(\lambda x:T_{11}. t_{12}) v_2 \longrightarrow [x \mapsto v_2]t_{12}$	(E-APPABS)
try $v_1$ with $t_2 \longrightarrow v_1$	(E-TRYV)
try error with $t_2 \longrightarrow t_2$	(E-TRYERROR)
$t_1 \longrightarrow t'_1$	
$\frac{t_1 \longrightarrow t'_1}{\text{try } t_1 \text{ with } t_2 \longrightarrow \text{try } t'_1 \text{ with } t_2}$	(E-TRY)
if error then $t_2$ else $t_3 \longrightarrow \text{error}$	(E-IFERR)
error $t_2 \longrightarrow \text{error}$	(E-APPERR1)
$v_1 \text{ error} \longrightarrow \text{error}$	(E-APPERR2)

	$\Gamma \vdash t : T$
$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$	(T-VAR)
$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2}$	(T-ABS)
$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$	(T-APP)
$\Gamma \vdash \text{true} : \text{Bool}$	(T-TRUE)
$\Gamma \vdash \text{false} : \text{Bool}$	(T-FALSE)
$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : T \quad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)
$\Gamma \vdash \text{error} : T$	(T-ERROR)
$\frac{\Gamma \vdash t_1 : T \quad \Gamma \vdash t_2 : T}{\Gamma \vdash \text{try } t_1 \text{ with } t_2 : T}$	(T-TRY)



## Simply-typed lambda calculus with references (and Unit, Nat, Bool)

### Syntax

$t ::=$   
 unit  
 $x$   
 $\lambda x:T.t$   
 $t t$   
 ref  $t$   
 $!t$   
 $t:=t$   
 $l$   
 true  
 false  
 if  $t$  then  $t$  else  $t$   
 $0$   
 succ  $t$   
 pred  $t$   
 iszero  $t$

$v ::=$   
 unit  
 $\lambda x:T.t$   
 $l$   
 true  
 false  
 nv

$T ::=$   
 Unit  
 $T \rightarrow T$   
 Ref  $T$   
 Bool  
 Nat

$\mu ::=$   
 $\emptyset$   
 $\mu, l = v$

$\Gamma ::=$   
 $\emptyset$   
 $\Gamma, x:T$

$\Sigma ::=$   
 $\emptyset$   
 $\Sigma, l:T$

$nv ::=$

### terms

constant unit  
 variable  
 abstraction  
 application  
 reference creation  
 dereference  
 assignment  
 store location  
 constant true  
 constant false  
 conditional  
 constant zero  
 successor  
 predecessor  
 zero test

### values

constant *unit*  
 abstraction value  
 store location  
 true value  
 false value  
 numeric value

### types

unit type  
 type of functions  
 type of reference cells  
 type of booleans  
 type of natural numbers

### stores

empty store  
 location binding

### type environments

empty type env.  
 term variable binding

### store typings

empty store typing  
 location typing

### numeric values

0  
succ nv

Evaluation

zero value  
successor value

$$\boxed{t \mid \mu \longrightarrow t' \mid \mu'}$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{t_1 t_2 \mid \mu \longrightarrow t'_1 t_2 \mid \mu'} \quad (\text{E-APP1})$$

$$\frac{t_2 \mid \mu \longrightarrow t'_2 \mid \mu'}{v_1 t_2 \mid \mu \longrightarrow v_1 t'_2 \mid \mu'} \quad (\text{E-APP2})$$

$$(\lambda x:T_{11}.t_{12}) v_2 \mid \mu \longrightarrow [x \mapsto v_2]t_{12} \mid \mu \quad (\text{E-APPABS})$$

$$\frac{l \notin \text{dom}(\mu)}{\text{ref } v_1 \mid \mu \longrightarrow l \mid (\mu, l \mapsto v_1)} \quad (\text{E-REFV})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{\text{ref } t_1 \mid \mu \longrightarrow \text{ref } t'_1 \mid \mu'} \quad (\text{E-REF})$$

$$\frac{\mu(l) = v}{!l \mid \mu \longrightarrow v \mid \mu} \quad (\text{E-DEREFLOC})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{!t_1 \mid \mu \longrightarrow !t'_1 \mid \mu'} \quad (\text{E-DEREF})$$

$$l := v_2 \mid \mu \longrightarrow \text{unit} \mid [l \mapsto v_2]\mu \quad (\text{E-ASSIGN})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{t_1 := t_2 \mid \mu \longrightarrow t'_1 := t_2 \mid \mu'} \quad (\text{E-ASSIGN1})$$

$$\frac{t_2 \mid \mu \longrightarrow t'_2 \mid \mu'}{v_1 := t_2 \mid \mu \longrightarrow v_1 := t'_2 \mid \mu'} \quad (\text{E-ASSIGN2})$$

$$\text{if true then } t_2 \text{ else } t_3 \mid \mu \longrightarrow t_2 \mid \mu \quad (\text{E-IFTRUE})$$

$$\text{if false then } t_2 \text{ else } t_3 \mid \mu \longrightarrow t_3 \mid \mu \quad (\text{E-IFFALSE})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \mid \mu \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3 \mid \mu'} \quad (\text{E-IF})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{\text{succ } t_1 \mid \mu \longrightarrow \text{succ } t'_1 \mid \mu'} \quad (\text{E-SUCC})$$

$$\text{pred } 0 \mid \mu \longrightarrow 0 \mid \mu \quad (\text{E-PREDZERO})$$

$$\text{pred } (\text{succ } nv_1) \mid \mu \longrightarrow nv_1 \mid \mu \quad (\text{E-PREDSUCC})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{\text{pred } t_1 \mid \mu \longrightarrow \text{pred } t'_1 \mid \mu'} \quad (\text{E-PRED})$$

$$\text{iszero } 0 \mid \mu \longrightarrow \text{true} \mid \mu \quad (\text{E-ISZEROZERO})$$

$$\text{iszero } (\text{succ } nv_1) \mid \mu \longrightarrow \text{false} \mid \mu \quad (\text{E-ISZEROSUCC})$$

$$\frac{t_1 \mid \mu \longrightarrow t'_1 \mid \mu'}{\text{iszero } t_1 \mid \mu \longrightarrow \text{iszero } t'_1 \mid \mu'} \quad (\text{E-ISZERO})$$

$\Gamma \mid \Sigma \vdash \text{unit} : \text{Unit}$	(T-UNIT)
$\frac{x:T \in \Gamma}{\Gamma \mid \Sigma \vdash x : T}$	(T-VAR)
$\frac{\Gamma, x:T_1 \mid \Sigma \vdash t_2 : T_2}{\Gamma \mid \Sigma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2}$	(T-ABS)
$\frac{\Gamma \mid \Sigma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \mid \Sigma \vdash t_2 : T_{11}}{\Gamma \mid \Sigma \vdash t_1 t_2 : T_{12}}$	(T-APP)
$\frac{\Sigma(l) = T_1}{\Gamma \mid \Sigma \vdash l : \text{Ref } T_1}$	(T-LOC)
$\frac{\Gamma \mid \Sigma \vdash t_1 : T_1}{\Gamma \mid \Sigma \vdash \text{ref } t_1 : \text{Ref } T_1}$	(T-REF)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Ref } T_{11}}{\Gamma \mid \Sigma \vdash !t_1 : T_{11}}$	(T-DEREF)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Ref } T_{11} \quad \Gamma \mid \Sigma \vdash t_2 : T_{11}}{\Gamma \mid \Sigma \vdash t_1 := t_2 : \text{Unit}}$	(T-ASSIGN)
$\Gamma \mid \Sigma \vdash \text{true} : \text{Bool}$	(T-TRUE)
$\Gamma \mid \Sigma \vdash \text{false} : \text{Bool}$	(T-FALSE)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Bool} \quad \Gamma \mid \Sigma \vdash t_2 : T \quad \Gamma \mid \Sigma \vdash t_3 : T}{\Gamma \mid \Sigma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)
$\Gamma \mid \Sigma \vdash 0 : \text{Nat}$	(T-ZERO)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Nat}}{\Gamma \mid \Sigma \vdash \text{succ } t_1 : \text{Nat}}$	(T-SUCC)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Nat}}{\Gamma \mid \Sigma \vdash \text{pred } t_1 : \text{Nat}}$	(T-PRED)
$\frac{\Gamma \mid \Sigma \vdash t_1 : \text{Nat}}{\Gamma \mid \Sigma \vdash \text{iszero } t_1 : \text{Bool}}$	(T-ISZERO)

## Simply-typed lambda calculus with Unit and fix

### Syntax

$t ::=$   
 $x$   
 $\lambda x:T. t$   
 $t t$   
 $\text{unit}$   
 $\text{fix } t$

$v ::=$   
 $\lambda x:T. t$   
 $\text{unit}$

$T ::=$   
 $T \rightarrow T$   
 $\text{Unit}$

$\Gamma ::=$   
 $\emptyset$   
 $\Gamma, x:T$

### terms

*variable*  
*abstraction*  
*application*  
*constant unit*  
*fix*

### values

*abstraction value*  
*unit value*

### types

*type of functions*  
*type of unit*

### contexts

*empty context*  
*term variable binding*

### Evaluation

$$\boxed{t \longrightarrow t'}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2} \quad (\text{E-APP1})$$

$$\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2} \quad (\text{E-APP2})$$

$$(\lambda x:T_{11}. t_{12}) v_2 \longrightarrow [x \mapsto v_2] t_{12} \quad (\text{E-APPABS})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{fix } t_1 \longrightarrow \text{fix } t'_1} \quad (\text{E-FIX})$$

$$\text{fix } (\lambda x:T_1. t_2) \longrightarrow [x \mapsto \text{fix } (\lambda x:T_1. t_2)] t_2 \quad (\text{E-FIXBETA})$$

### Typing

$$\boxed{\Gamma \vdash t : T}$$

$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T} \quad (\text{T-VAR})$$

$$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2} \quad (\text{T-ABS})$$

$$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \quad (\text{T-APP})$$

$$\frac{}{\Gamma \vdash \text{unit} : \text{Unit}} \quad (\text{T-UNIT})$$

$$\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_1}{\Gamma \vdash \text{fix } t_1 : T_1} \quad (\text{T-FIX})$$

*Properties of STLC + unit + fix*

1. *Lemma [Canonical forms]:*

- (a) If  $v$  is a value of type  $T_1 \rightarrow T_2$ , then  $v$  has the form  $\lambda x:T_1. t_2$ .
- (b) If  $v$  is a value of type  $\text{Unit}$ , then  $v$  is  $\text{unit}$ .

2. *Lemma [Substitution]:* If  $\Gamma, x:S \vdash t : T$  and  $\Gamma \vdash s : S$ , then  $\Gamma \vdash [x \mapsto s]t : T$ .

3. *Lemma [Permutation]:* If  $\Gamma \vdash t : T$  and  $\Delta$  is a permutation of  $\Gamma$  then  $\Delta \vdash t : T$ . Moreover the latter derivation has the same depth as the former.

4. *Lemma [Weakening]:* If  $\Gamma \vdash t : T$  and  $x \notin \text{dom}(\Gamma)$  then  $\Gamma, x : S \vdash t : T$ . Moreover the latter derivation has the same depth as the former.

5. *Lemma [Inversion of typing]:*

- (a) If  $\Gamma \vdash x : R$ , then  $x:R \in \Gamma$ .
- (b) If  $\Gamma \vdash \lambda x:T_1. t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$  with  $\Gamma, x:T_1 \vdash t_2 : R_2$ .
- (c) If  $\Gamma \vdash t_1 t_2 : R$ , then there is some type  $T_{11}$  such that  $\Gamma \vdash t_1 : T_{11} \rightarrow R$  and  $\Gamma \vdash t_2 : T_{11}$ .
- (d) If  $\Gamma \vdash \text{unit} : R$ , then  $R = \text{Unit}$ .
- (e) If  $\Gamma \vdash \text{fix } t_1 : R$ , then  $\Gamma \vdash t_1 : R \rightarrow R$ ,

## Simply-typed lambda calculus with products and Bool

### Syntax

$t ::=$   
 $x$   
 $\lambda x:T. t$   
 $t t$   
 $\text{true}$   
 $\text{false}$   
 $\text{if } t \text{ then } t \text{ else } t$   
 $\{t, t\}$   
 $t.1$   
 $t.2$

$v ::=$   
 $\lambda x:T. t$   
 $\text{true}$   
 $\text{false}$   
 $\{v, v\}$

$T ::=$   
 $T \rightarrow T$   
 $\text{Bool}$   
 $T_1 \times T_2$

$\Gamma ::=$   
 $\emptyset$   
 $\Gamma, x:T$

### terms

*variable*  
*abstraction*  
*application*  
*constant true*  
*constant false*  
*conditional*  
*pair*  
*first projection*  
*second projection*

### values

*abstraction value*  
*true value*  
*false value*  
*pair value*

### types

*type of functions*  
*type of booleans*  
*product type*

### type environments

*empty type env.*  
*term variable binding*

### Evaluation

	$\boxed{t \longrightarrow t'}$
$\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2}$	(E-APP1)
$\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2}$	(E-APP2)
$(\lambda x:T_{11}. t_{12}) v_2 \longrightarrow [x \mapsto v_2]t_{12}$	(E-APPABS)
$\text{if true then } t_2 \text{ else } t_3 \longrightarrow t_2$	(E-IFTRUE)
$\text{if false then } t_2 \text{ else } t_3 \longrightarrow t_3$	(E-IFFALSE)
$\frac{t_1 \longrightarrow t'_1}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 \longrightarrow \text{if } t'_1 \text{ then } t_2 \text{ else } t_3}$	(E-IF)
$\{v_1, v_2\}.1 \longrightarrow v_1$	(E-PAIRBETA1)
$\{v_1, v_2\}.2 \longrightarrow v_2$	(E-PAIRBETA2)
$\frac{t_1 \longrightarrow t'_1}{t_1.1 \longrightarrow t'_1.1}$	(E-PROJ1)

Typing

$\frac{t_1 \longrightarrow t'_1}{t_1.2 \longrightarrow t'_1.2}$	(E-PROJ2)
$\frac{t_1 \longrightarrow t'_1}{\{t_1, t_2\} \longrightarrow \{t'_1, t_2\}}$	(E-PAIR1)
$\frac{t_2 \longrightarrow t'_2}{\{v_1, t_2\} \longrightarrow \{v_1, t'_2\}}$	(E-PAIR2)
	<span style="border: 1px solid black; padding: 2px;"><math>\Gamma \vdash t : T</math></span>
$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$	(T-VAR)
$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2}$	(T-ABS)
$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$	(T-APP)
$\Gamma \vdash \text{true} : \text{Bool}$	(T-TRUE)
$\Gamma \vdash \text{false} : \text{Bool}$	(T-FALSE)
$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : T \quad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)
$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \{t_1, t_2\} : T_1 \times T_2}$	(T-PAIR)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.1 : T_{11}}$	(T-PROJ1)
$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.2 : T_{12}}$	(T-PROJ2)