# CIS 500 - Software Foundations Midterm II 

## March 30, 2011

Name:

Pennkey:

Scores:

| 1 |  |
| :--- | :--- |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 |  |
| 6 |  |
| Total $(80 \max )$ |  |

The core definitions of the Imp language are repeated, for easy reference, in the handout (pages 10 to 11). Now consider extending Imp with commands of the form

## FLIP X

where X is an identifier. The effect of executing FLIP X is to assign either 0 or 1 to X , nondeterministically. For example, after executing the program

```
FLIP Y;
Z := Y + 2
```

the value of Z might be 2 or it might be 3 , and those are the only two possibilities. (Note that we are not saying anything about the probabilities of the two outcomes-just that both can happen.)

Let's call this new language Flimp ("Imp extended with FLIP"). Questions 1-4 all refer to Flimp.

1. (6 points) To formalize the extended language, we first add a clause to the definition of commands:
```
Inductive com : Type :=
    | CFlip : id -> com.
Notation "'FLIP' l" := (CFlip l) (at level 60).
```

Next, we must extend the operational semantics. The cstep relation (shown on page 11) defines a small-step semantics for Imp. What rule(s) must be added to the definition of cstep to formalize the behavior of the FLIP command in Flimp? Write out the additional rule(s) in formal Coq notation.
2. (6 points) Write down a Hoare logic rule for FLIP commands, and briefly explain why it is the right rule. (For reference, the standard Hoare rules for Imp are provided on page 12 of the handout.)
3. (10 points) Which of the following pairs of programs are equivalent? Write "yes" or "no" for each one.
(a)

| WHILE $\mathrm{X}>0 \mathrm{DO} \quad$ and | WHILE $\mathrm{X}>0 \mathrm{DO}$ |
| :--- | :--- |
| $\mathrm{X}::=\mathrm{X}+1$ | $\mathrm{Y}::=\mathrm{Y}-1$ |
| END |  |

(b)

$$
\text { IFB X < } 10 \text { THEN }
$$

and

```
WHILE X < 10 DO
            X ::= X + Y - Z;
            Y ::= X * 3-4
                END
```

(c)

| WHILE X <> 0 DO | and |
| :--- | :--- |
| FLIP Y; | WHILE $\mathrm{X}<>0$ DO |
| X ::= X + |  |
| END |  |

(d)

| Z : := 1; | and | X : := 0; |
| :---: | :---: | :---: |
| WHILE X <> 0 DO |  | Z : := 1 |
| FLIP X; |  |  |
| FLIP Z |  |  |
| END |  |  |

(e)
WHILE X <> 1 DO and X ::=
$\quad$ FLIP X
END
4. (10 points) Indicate whether or not each of the following Hoare triples is valid by writing either "valid" or "invalid." Also, for those that are invalid, give a counter-example. (Note that, in part d, the variable a represents an arbitrary aexp - i.e., you should write "valid" only if the triple is valid for every a. If you give a counter-example, specify which a it applies to.)
(a) $\{x=1\} x::=1\{x=1\}$
(b) $\{x=0\}$

WHILE X > 0 DO
X : : = X + 1
END
$\{x>0\}$
(c) $\quad\{\mathrm{X}=0\}$ FLIP $\mathrm{Y}\{\mathrm{X}=0\}$
(d) $\quad\{X=a\} \operatorname{FLIP} Y\{X=a\}$
(e) \{ True \}

FLIP X;
IFB $\mathrm{X}=0$ THEN $\mathrm{Y}::=2$ ELSE Y ::= 1 FI
\{ Y > X \}
(f) \{ False \} FLIP X; $\{\mathrm{X}=0\}$
(g) \{ True \}

FLIP X;
WHILE X <> 0 DO
Y : := X
END;
$\{Y=1\}$
5. (24 points) We can define the mathematical min function in Coq as follows:

```
Definition min (x:nat) (y:nat) : nat :=
    if beq_nat (x - y) 0 then x else y.
```

The following Imp program calculates the minimum of two numbers a and $b$, in the sense that, when it terminates, the program variable $Z$ will be set to min a b .

```
X ::= a;
Y ::= b;
Z ::= 0;
WHILE (X <> 0 /\ Y <> 0) DO
    X := X - 1;
    Y := Y - 1;
    Z := Z + 1;
END
```

Note that, as usual when dealing with decorated programs, we're using informal notations, for example writing

```
WHILE (X <> 0 /\ Y <> 0)
```

instead of:

```
WHILE (BAnd (BNot (BEq (AId X) (ANum 0)))
    (BNot (BEq (AId Y) (ANum 0))))
```

On the next page, add appropriate annotations to the program in the provided spaces to demonstrate this fact. Use informal notations for mathematical formulae and assertions, but please be completely precise and pedantic in the way you apply the Hoare rules - i.e., write out assertions in exactly the form given by the rules (rather than logically equivalent ones). Note that the provided blanks have been constructed so that, if you work backwards from the end of the program, you should only need to use the rule of consequence in the places indicated with $=>$. (Again, remember that the Hoare rules are provided on page 12 of the handout.)

For the $=>$ steps in your annotations, you may rely (silently) on the following facts about min

```
Lemma lemma1 : forall x y,
    (x=0 \/ y=0) -> min x y = 0.
Lemma lemma2 : forall x y,
    min (x-1) (y-1) = (min x y) - 1.
```

plus, as usual, standard high-school algebra.
\{\{ True \}\}
=>
\{\{
X : := a;
\{\{
\}\}
Y : := b;
\{\{
\}\}
Z ::= 0;
\{\{
\}\}
WHILE (X <> 0 /
\{\{ \}\}
=>
\{\{
\}\}
X := X - 1 ;
\{\{ \}\}
Y := Y - 1;
\{\{ \}\}
Z := Z + 1;
\{\{ \}\}
END
\{\{ \}\}
=>
$\{\{\mathrm{Z}=\min \mathrm{a} b\}\}$
6. (24 points) Suppose we define a simple language of numbers and constants, similar to the toy language used in the Smallstep.v chapter. Terms $t$ are either of the form const $n$ for some natural number constant $n$, or of the form add $t_{1} t_{2}$ for some terms $t_{1}$ and $t_{2}$ :

$$
t::=\text { const } n \mid \text { add } t t
$$

We defined a big-step evaluation relation $t \Downarrow n$ for this language as follows:

$$
\overline{\text { const } n \Downarrow n} \quad \text { E_Const }
$$

$$
\frac{t_{1} \Downarrow n_{1} \quad t_{2} \Downarrow n_{2}}{\operatorname{add} t_{1} t_{2} \Downarrow n_{1}+n_{2}} \quad \text { E_PLUS }
$$

We also defined a small-step evaluation relation $t \Longrightarrow t^{\prime}$ :

$$
\begin{aligned}
&\left.\hline \text { add (const } n_{1}\right)\left(\text { const } n_{2}\right) \Longrightarrow \text { const }\left(n_{1}+n_{2}\right) \\
& \quad \text { ST_PLusConstConst } \\
& \frac{t_{1}}{\text { add } t_{1} t_{2}} \Longrightarrow \begin{aligned}
& \Longrightarrow \text { add } t_{1}^{\prime} t_{2} \\
& \text { ST_PLus1 } \\
& \frac{t_{2}}{} \Longrightarrow t_{2}^{\prime} \\
&\left.\frac{\text { add (const } \left.n_{1}\right) t_{2}}{} \Longrightarrow \text { add (const } n_{1}\right) t_{2}^{\prime} \text { ST_Plus2 }
\end{aligned}
\end{aligned}
$$

In Smallstep.v, we proved the equivalence of these two ways of presenting the semantics. One piece of that proof was the lemma shown at the top of the next page. Write out a careful informal proof of this lemma in English.

Lemma: For all terms $t$ and $t^{\prime}$ and numbers $n$, if $t \Longrightarrow t^{\prime}$ and $t^{\prime} \Downarrow n$, then $t \Downarrow n$.

## IMP programs

Here are the key definitions for the syntax and small-step semantics of IMP programs:

```
Inductive aexp : Type :=
    | ANum : nat -> aexp
    | AId : id -> aexp
    | APlus : aexp -> aexp -> aexp
    | AMinus : aexp -> aexp -> aexp
    | AMult : aexp -> aexp -> aexp.
Inductive bexp : Type :=
    | BTrue : bexp
    | BFalse : bexp
    | BEq : aexp -> aexp -> bexp
    | BLe : aexp -> aexp -> bexp
    | BNot : bexp -> bexp
    | BAnd : bexp -> bexp -> bexp.
Inductive com : Type :=
    | CSkip : com
    | CAss : id -> aexp -> com
    | CSeq : com -> com -> com
    | CIf : bexp -> com -> com -> com
    | CWhile : bexp -> com -> com.
Notation "'SKIP'" :=
    CSkip.
Notation "l '::=' a" :=
    (CAss l a) (at level 60).
Notation "c1 ; c2" :=
    (CSeq c1 c2) (at level 80, right associativity).
Notation "'WHILE' b 'DO' c 'END'" :=
    (CWhile b c) (at level 80, right associativity).
Notation "'IFB' e1 'THEN' e2 'ELSE' e3 'FI'" :=
    (CIf e1 e2 e3) (at level 80, right associativity).
```

(Remember that the ==>a and ==>b relations - not shown here - are small-step reduction relations for aexps and bexps.)

```
Inductive cstep : (com * state) -> (com * state) -> Prop :=
| CS_AssStep : forall st i a a',
    a / st ==>a a' ->
    (i : : = a) / st ==> (i : := a') / st
| CS_Ass : forall st i n,
    (i ::= (ANum n)) / st ==> SKIP / (update st i n)
| CS_SeqStep : forall st c1 c1' st' c2,
    c1 / st ==> c1' / st' ->
    (c1 ; c2) / st ==> (c1' ; c2) / st'
| CS_SeqFinish : forall st c2,
    (SKIP ; c2) / st ==> c2 / st
| CS_IfTrue : forall st c1 c2,
    IFB BTrue THEN c1 ELSE c2 FI / st ==> c1 / st
| CS_IfFalse : forall st c1 c2,
    IFB BFalse THEN c1 ELSE c2 FI / st ==> c2 / st
| CS_IfStep : forall st b b' c1 c2,
    b / st ==>b b' ->
    IFB b THEN c1 ELSE c2 FI / st ==> (IFB b' THEN c1 ELSE c2 FI) / st
| CS_While : forall st b c1,
            (WHILE b DO c1 END) / st
    \(=\Rightarrow\) (IFB b THEN (c1; (WHILE b DO c1 END)) ELSE SKIP FI) / st
where " t '/' st '==>' t' '/' st' " := (cstep (t,st) (t',st')).
```


## Hoare logic rules

$$
\begin{aligned}
& \overline{\{\text { assn_sub } \mathrm{V} \text { a } Q\} \mathrm{V}:=\mathrm{a}\{Q\}} \text { Hoare_Asgn } \\
& \begin{array}{l}
\left\{P^{\prime}\right\} \subset\left\{Q^{\prime}\right\} \quad P \longrightarrow P^{\prime} \quad Q^{\prime} \longrightarrow Q \\
\{P\} \subset\{Q\}
\end{array} \text { Hoare_Consequence } \\
& \frac{\left\{P^{\prime}\right\} \subset\{Q\} \quad P \longrightarrow P^{\prime}}{\{P\} \subset\{Q\}} \text { Hoare_Pre } \quad \frac{\{P\} \subset\left\{Q^{\prime}\right\} \quad Q^{\prime} \longrightarrow Q}{\{P\} \subset\{Q\}} \text { Hoare_Post } \\
& \overline{\{P\} \text { SKIP }\{P\}} \text { Hoare_Skip } \quad \frac{\{P\} c 1\{Q\} \quad\{Q\} \mathrm{c} 2\{R\}}{\{P\} c 1 ; \mathrm{c} 2\{R\}} \text { Hoare_Seq } \\
& \frac{\{P \wedge b\} \mathrm{c} 1\{Q\} \quad\{P \wedge \sim b\} \text { c2 }\{Q\}}{\{P\} \text { IFB b THEN c1 ELSE c2 FI }\{Q\}} \quad \text { Hoare_If } \\
& \frac{\{P \wedge b\} \subset\{P\}}{\{P\} \text { WhiLe b DO c END }\{P \wedge \sim b\}} \quad \text { Hoare_While }
\end{aligned}
$$

