Announcements

- HW 5 due Wednesday, March 29 at 8pm
 - Please start early!
- Project Milestone 2 due Wednesday, April 5 at 8pm
 - We will post the template this week

Lecture 18: NLP (Part 2)

CIS 4190/5190 Spring 2023

Recap

- Classical approach: Feature engineering + Standard ML model
- Semi-Classical approach: Word2Vec + Standard ML model
 - Sum embeddings of words to get passage features:

$$\phi(x) = \sum_{\text{word } i \in \text{document } x} \text{Embed}(i)$$

• Still "bag-of-words" like model! (Embed(i) = OneHot(i)) is bag of words)

Words in Context

- While word2vec is trained based on context, after training, it is applied independently to each word
 - E.g., train linear regression of sum of word vectors, or n-grams
- Why is this problematic?
 - "He ate a tasty apple"
 - "He wrote his essay on his Apple computer"
- Both use the same embedding!

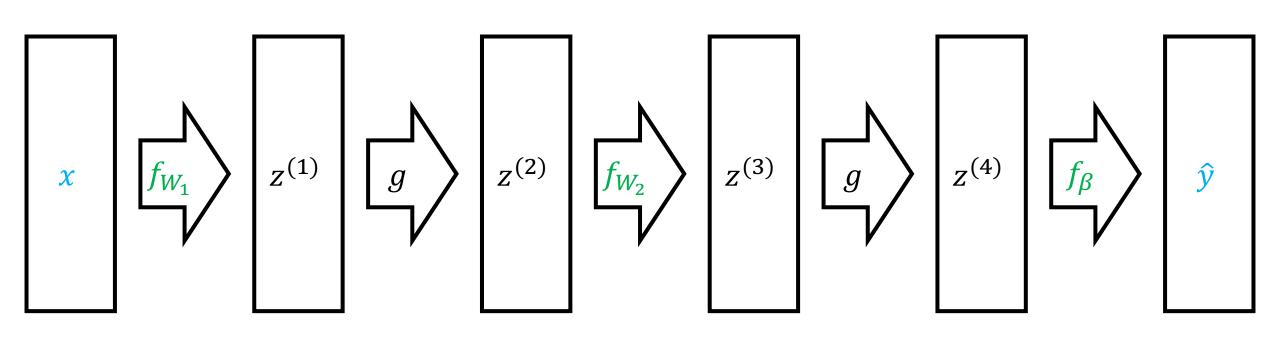
From Words to Documents

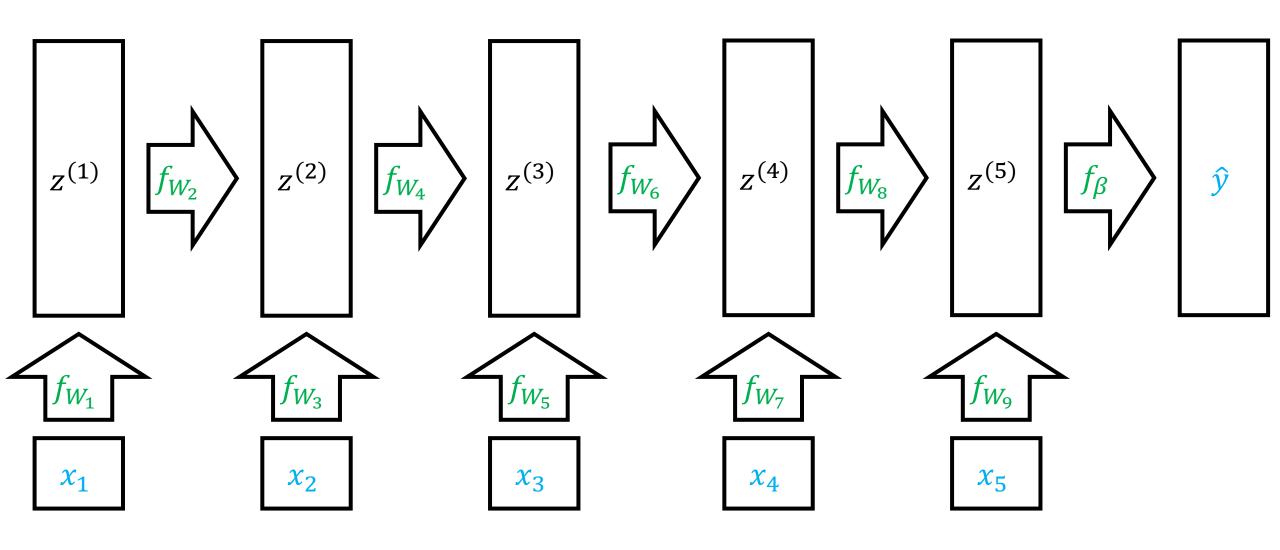
- Sentence2Vec, Paragraph2Vec scale these Word2Vec ideas to learn direct embeddings for sentences / paragraphs
- However, much more common to treat as a sequence of words, and represent each word by its word2vec-style representation
- Sequence models have produced huge advances in NLP

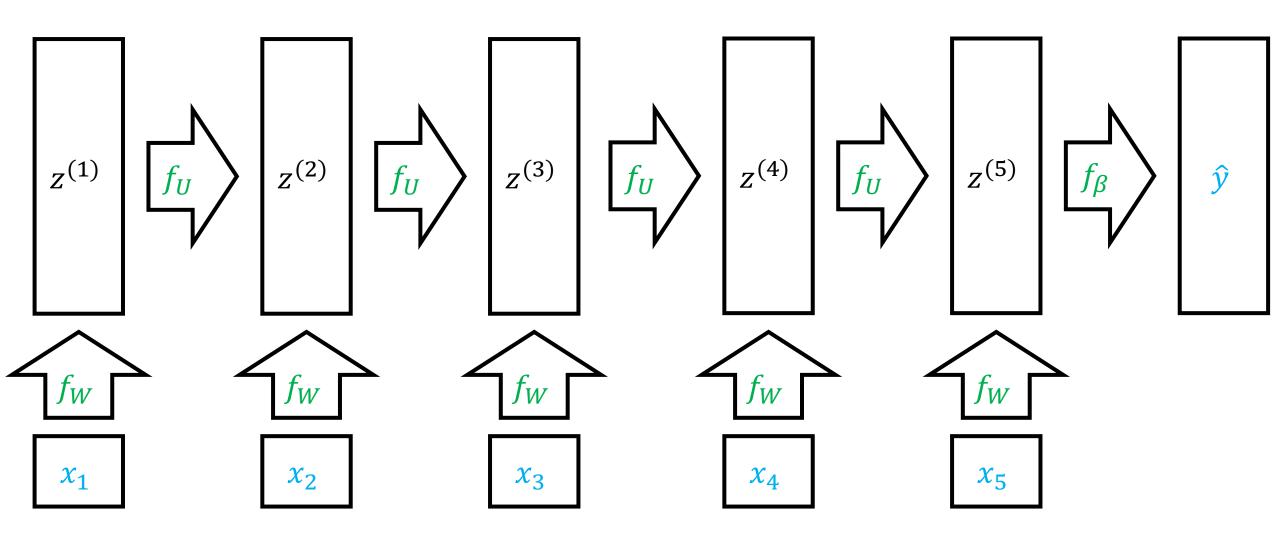
Handle inputs/outputs that are sequences

- Naïve strategy
 - Pad inputs to fixed length and use feedforward network
 - Ignores temporal structure
- Recurrent neural networks (RNNs): Process input sequentially

Feedforward Neural Networks







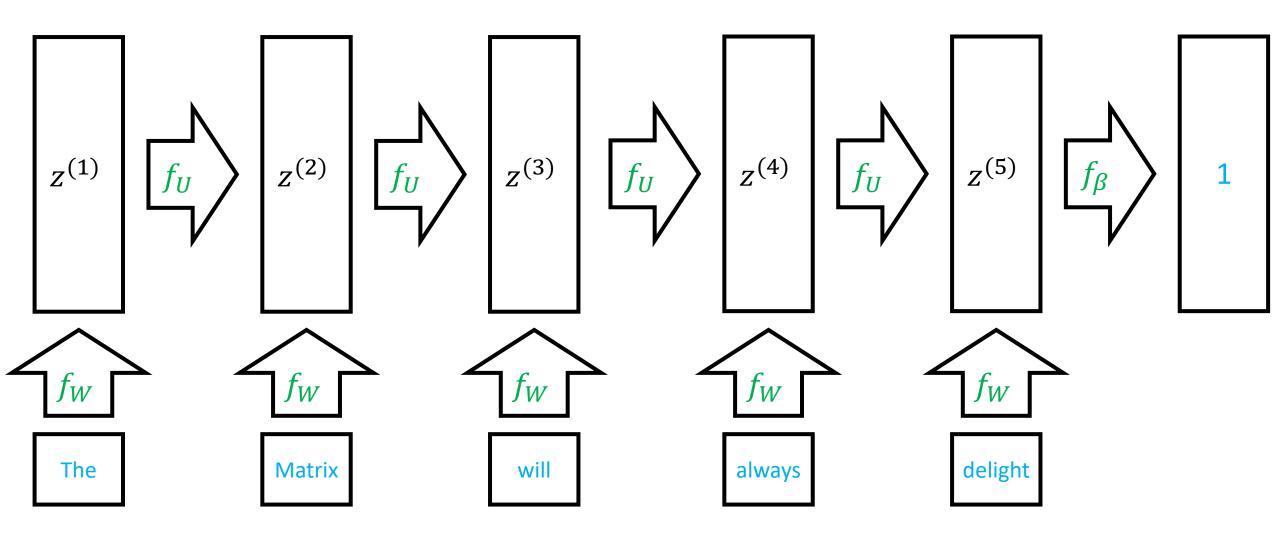
- Initialize $z^{(0)} = \vec{0}$
- Iteratively compute (for $t \in \{1, ..., T\}$):

$$z^{(t)} = g(Wx_t + Uz^{(t-1)})$$

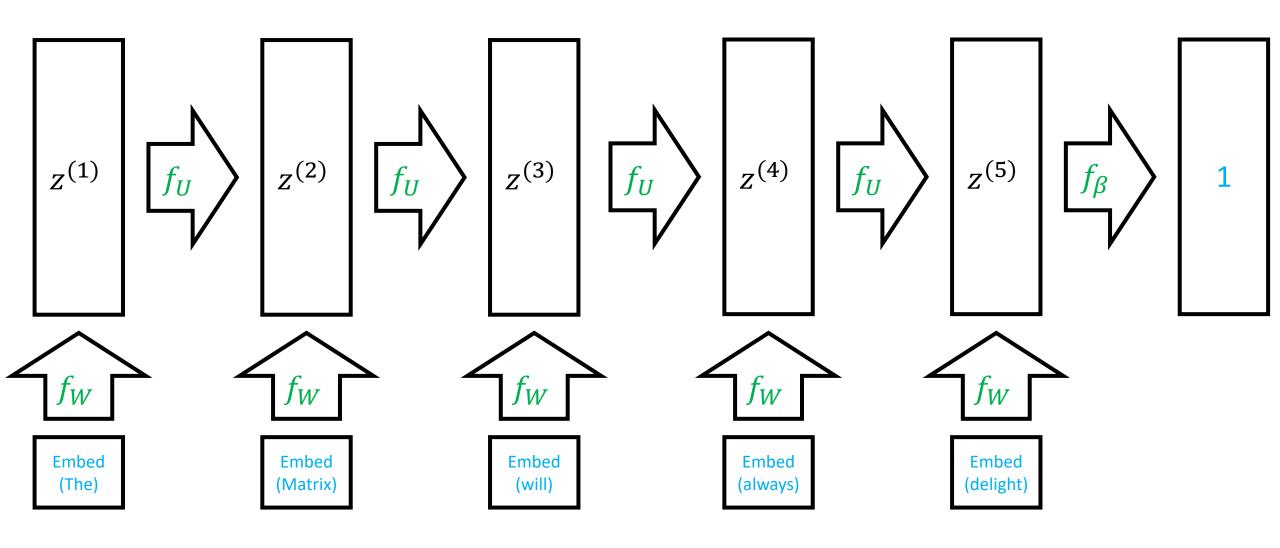
• Compute output:

$$y = \beta^{\mathsf{T}} z^{(T)}$$

Sentiment Classification



Sentiment Classification

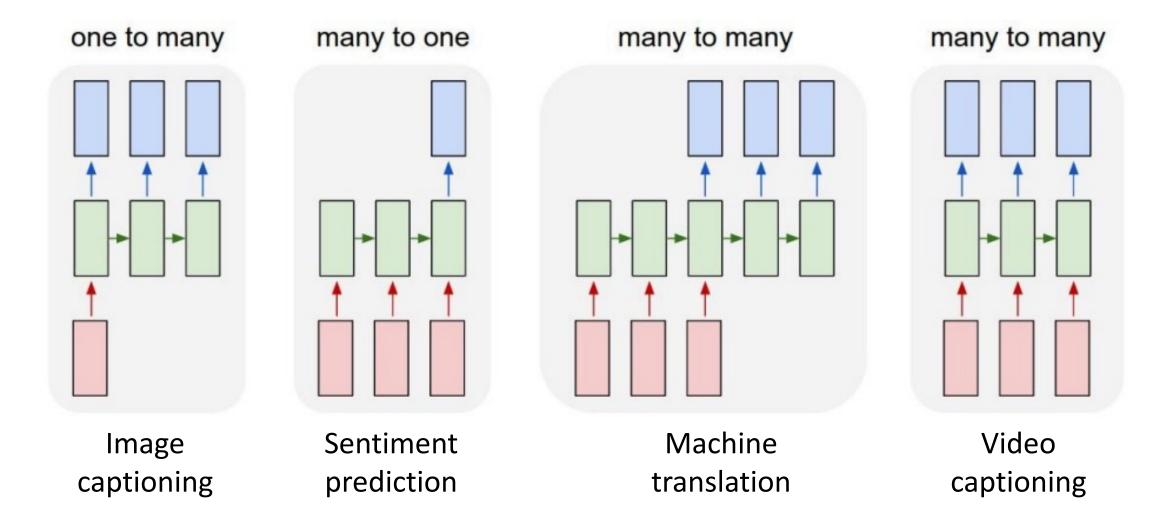


- Initialize $z^{(0)} = \vec{0}$
- Iteratively compute (for $t \in \{1, ..., T\}$):

$$z^{(t)} = g(W \operatorname{Embed}(x_t) + Uz^{(t-1)})$$

• Compute output:

$$y = \beta^{\mathsf{T}} z^{(T)}$$



Training RNNs

- Backpropagation works as before
 - For shared parameters, we can show that the overall gradient is sum of gradient at each usage
- Exploding/vanishing gradients can be particularly problematic
- LSTM ("long short-term memory") and GRU ("gated recurrent unit") do clever things to better maintain hidden state

Training RNNs

$$z_1 = g(Wx_1 + Uz_0)$$

$$z_2 = g(Wx_2 + Uz_1)$$

$$z_3 = g(Wx_3 + Uz_2)$$

$$\frac{\partial L}{\partial U} = \frac{\partial L}{\partial z_3} \frac{\partial z_3}{\partial U} + \frac{\partial L}{\partial z_3} \frac{\partial z_3}{\partial z_2} \frac{\partial z_2}{\partial U} + \frac{\partial L}{\partial z_3} \frac{\partial z_3}{\partial z_2} \frac{\partial z_2}{\partial z_1} \frac{\partial z_1}{\partial U}$$

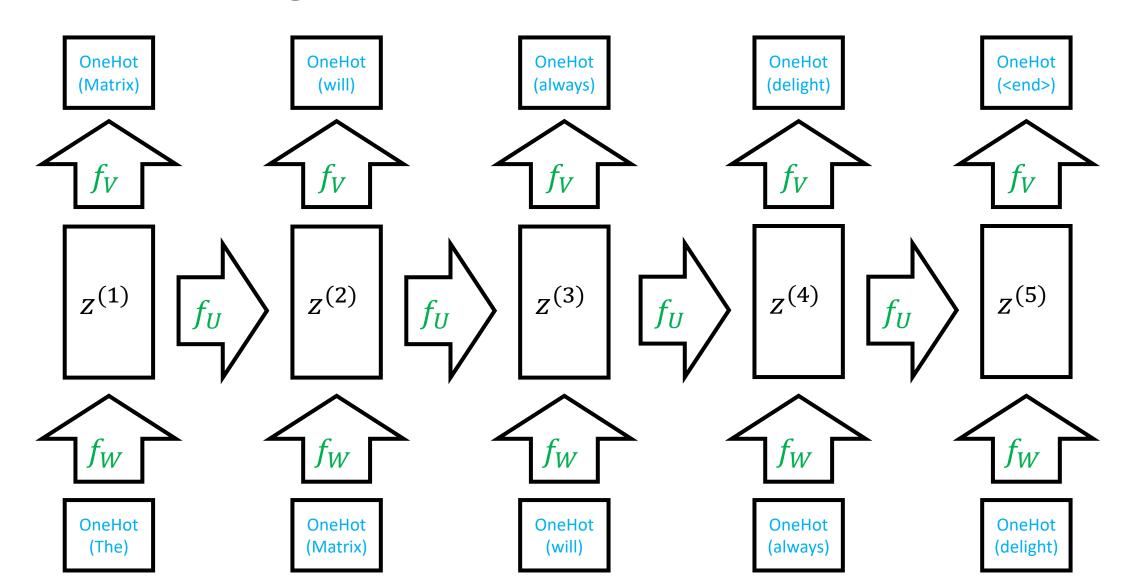


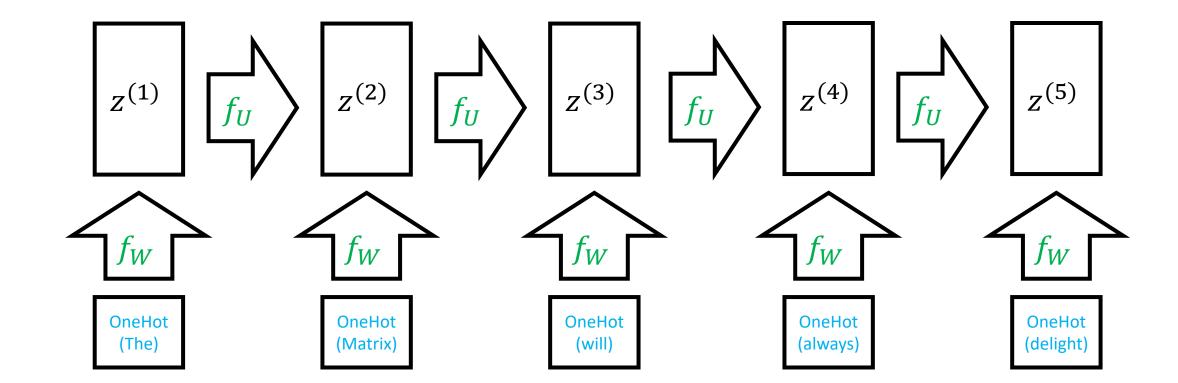
Local Contribution

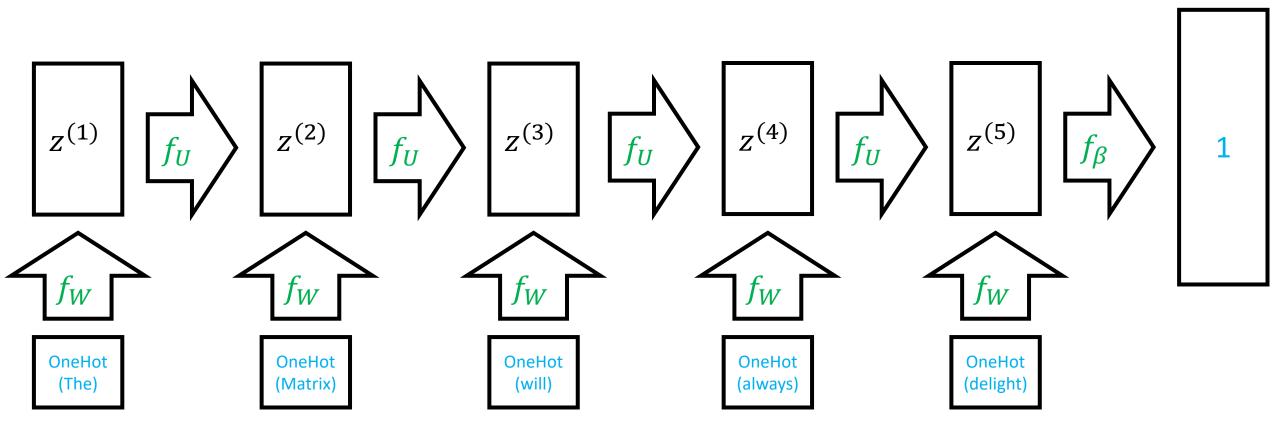
Historical Contribution

- Unsupervised pretraining
 - Train on dataset of text to predict next word (classification problem)
 - $x = w_1 w_2 \dots w_t$ and $y = w_{t+1}$ (usually y is one-hot even if x is not)
- Finetune pretrained RNN on downstream task

- Step 0: Pretrained on a large unlabeled text dataset
 - Also called "self-supervised"
 - Trained using supervised learning, but labels are predicting data itself
- Step 1: Replace next-word prediction layer with new layer for task
- Step 2: Train new layer or finetune end-to-end
 - Can think of last layer of pretrained RNN as a "contextual word embedding"







Shortcomings of RNNs

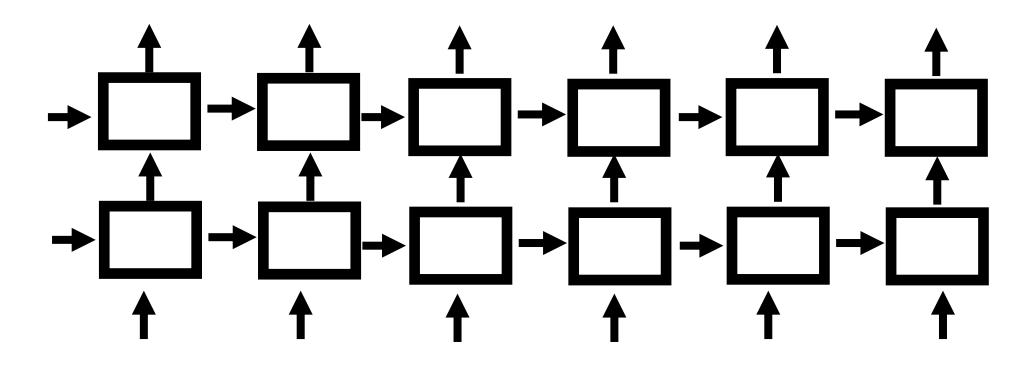
Shortcomings

- Unidirectional information flow (must remember everything relevant)
- Need to remember everything until it is needed

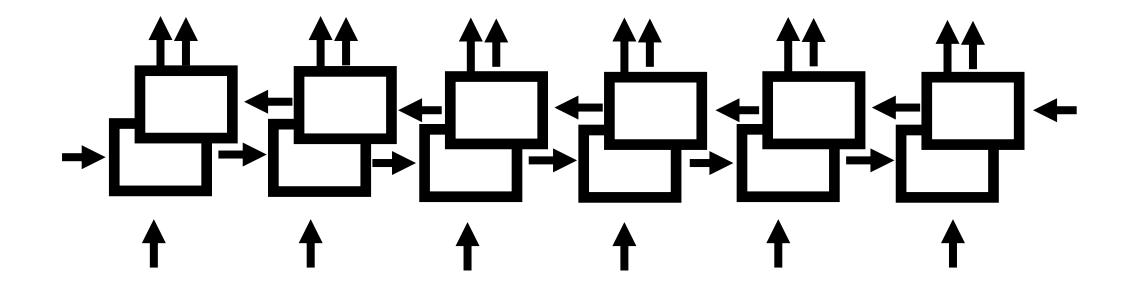
Improvements/alternatives

- Stacked/Bidirectional models
- LSTMs/GRUs
- CNNs
- Transformers

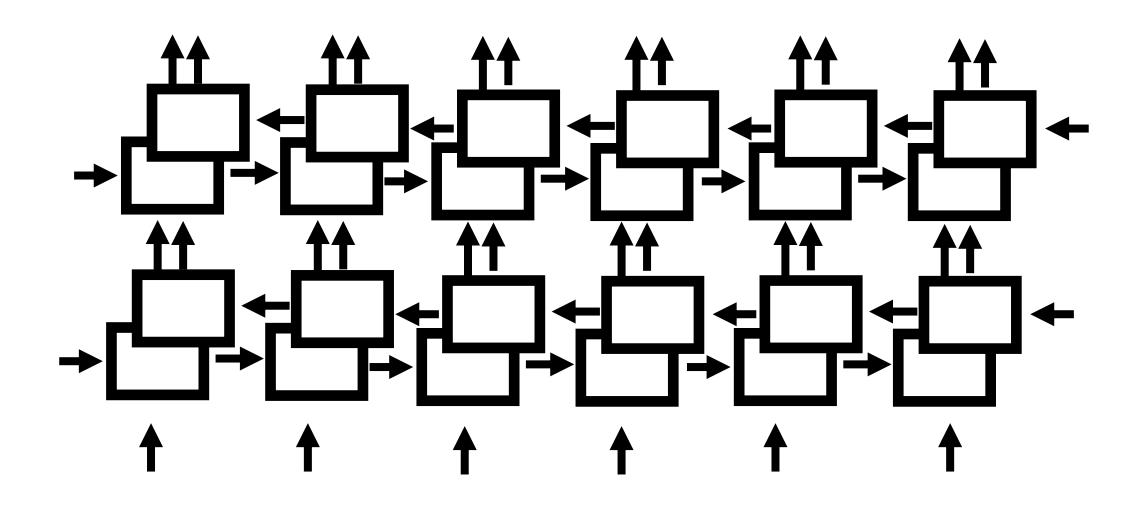
Stacked RNN



Bidirectional RNN

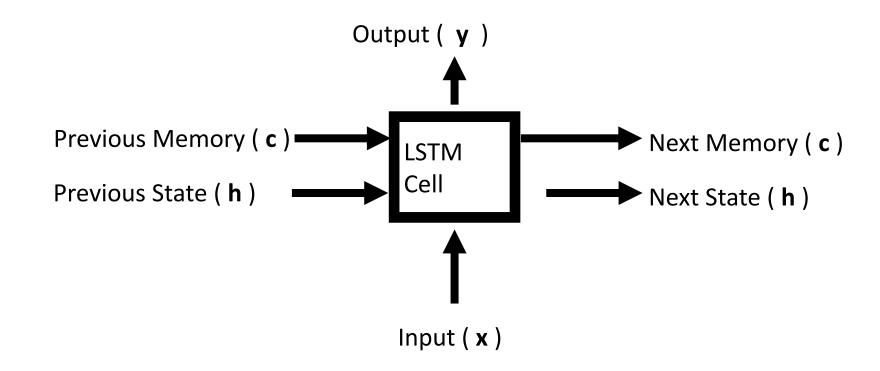


Stacked + Bidirectional RNN



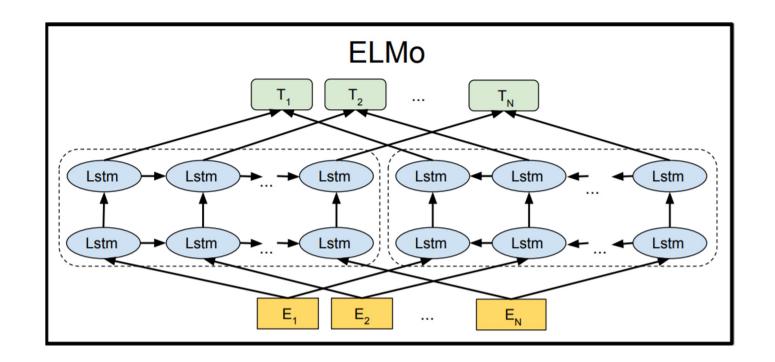
Long Short Term Memory

• Goal: Replace some multiplicative relationships in hidden state with additive relationships



ELMo Word Embeddings

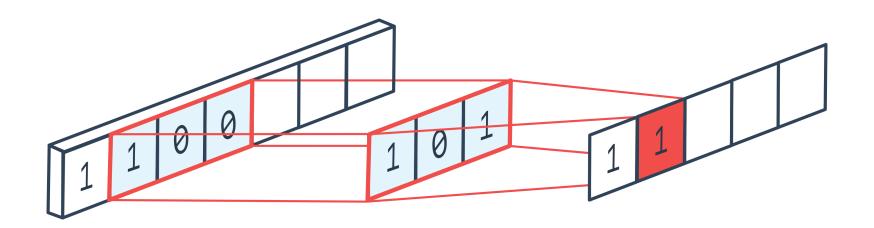
• Bidirectional LSTM: Combine one LSTM to predict next word given previous words, another to predict previous word given later words



CNNs

Model

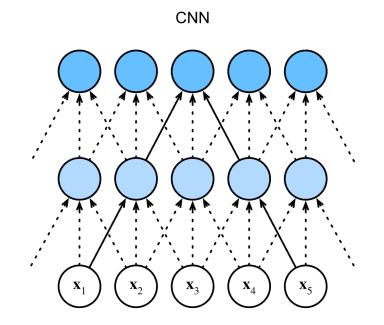
- 1D convolutional layers
- Input is word embedding sequence
- # channels is word embedding dimension



CNNs

Shortcomings

• Hard to reason about interactions between words that are far apart

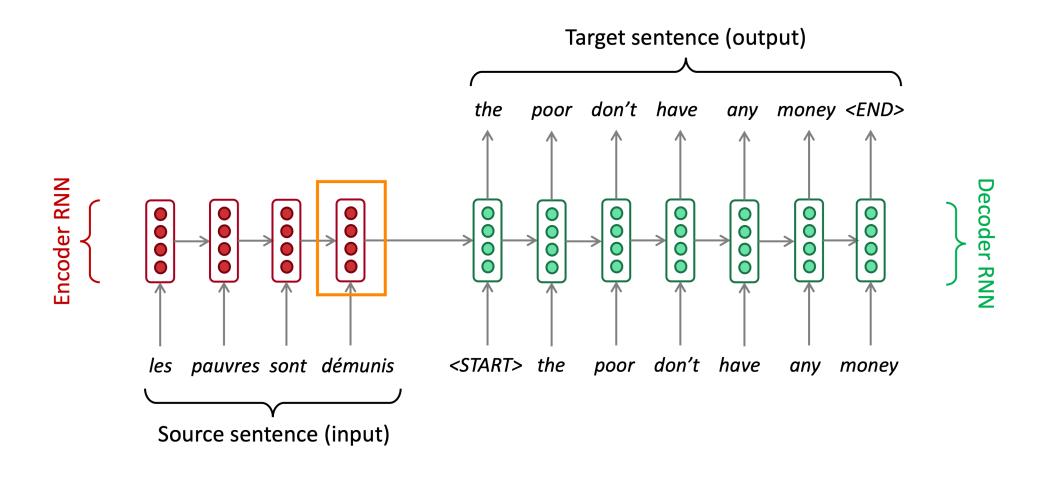


Attention

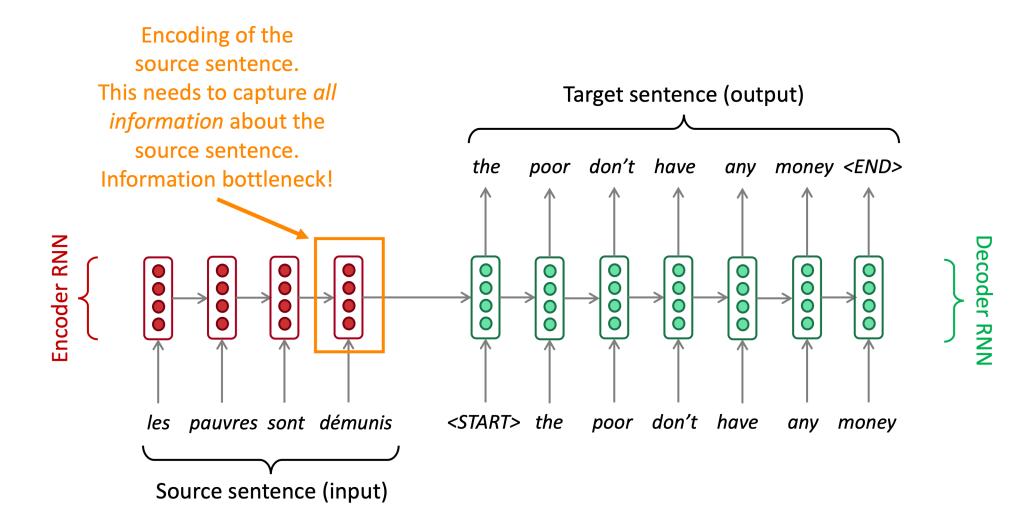
• RNNs have trouble propagating information forwards

• Solution: Let RNN "pay attention" to small part of past sequence

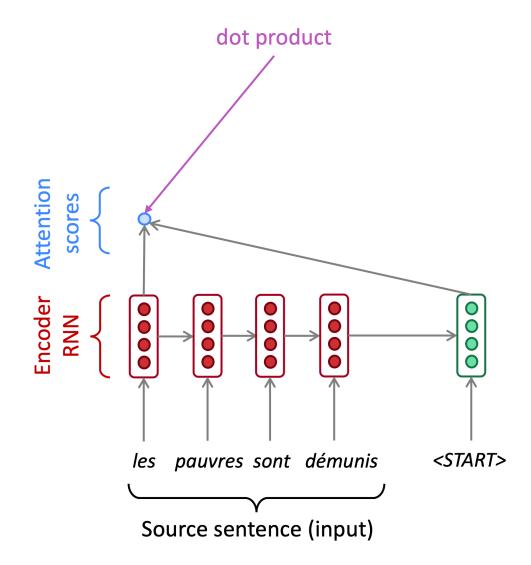
Example: Machine Translation



Example: Machine Translation

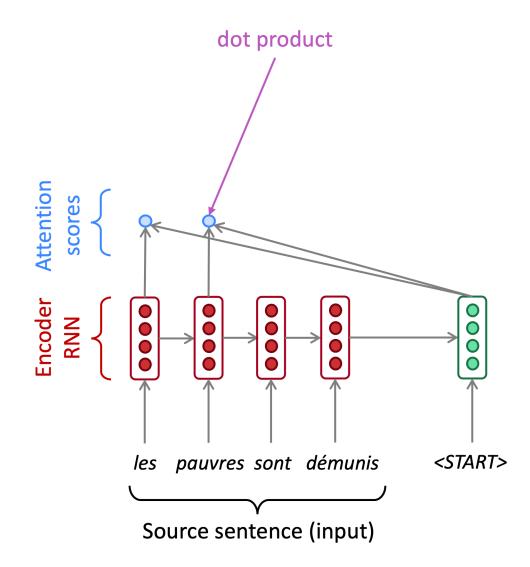


Attention

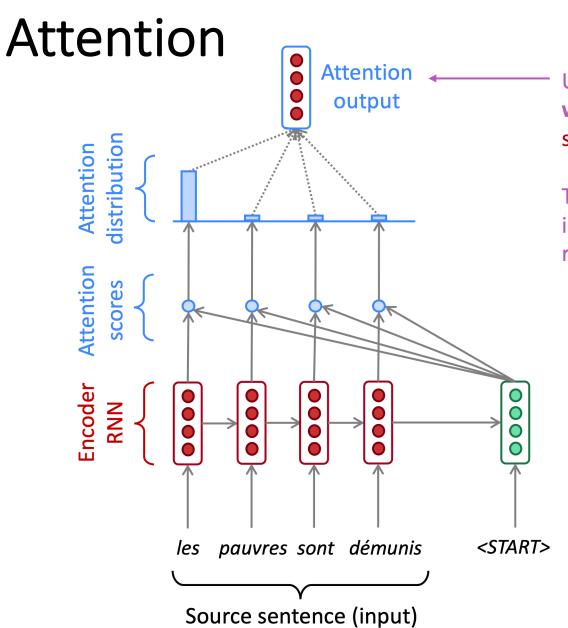




Attention



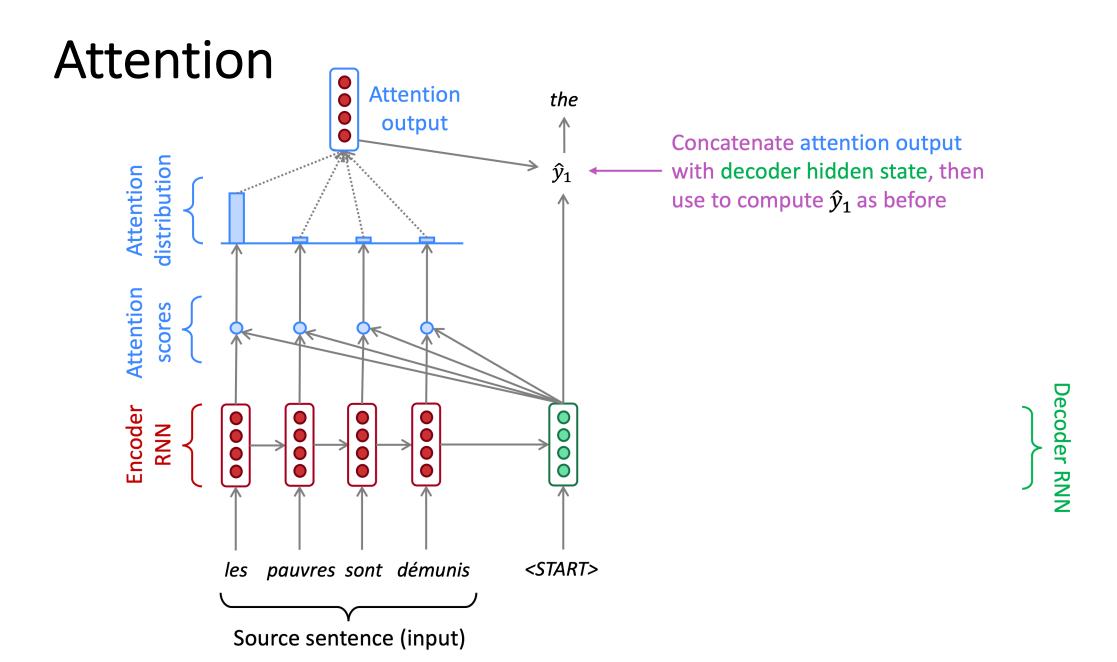


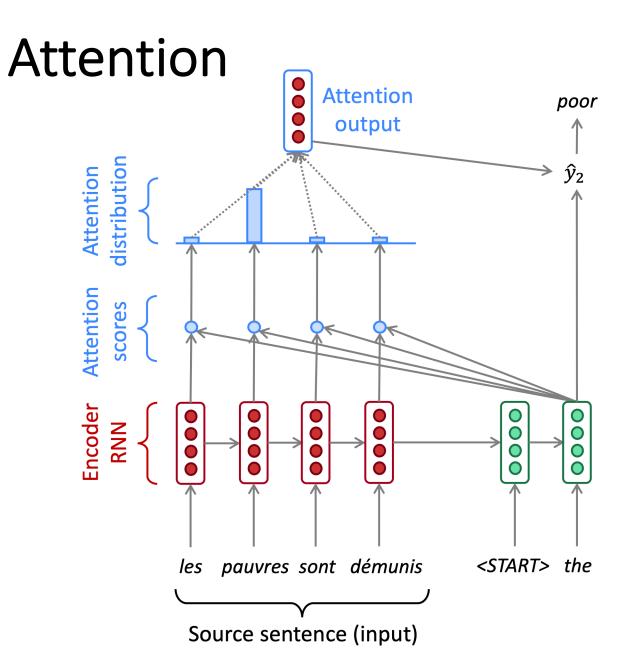


Use the attention distribution to take a weighted sum of the encoder hidden states.

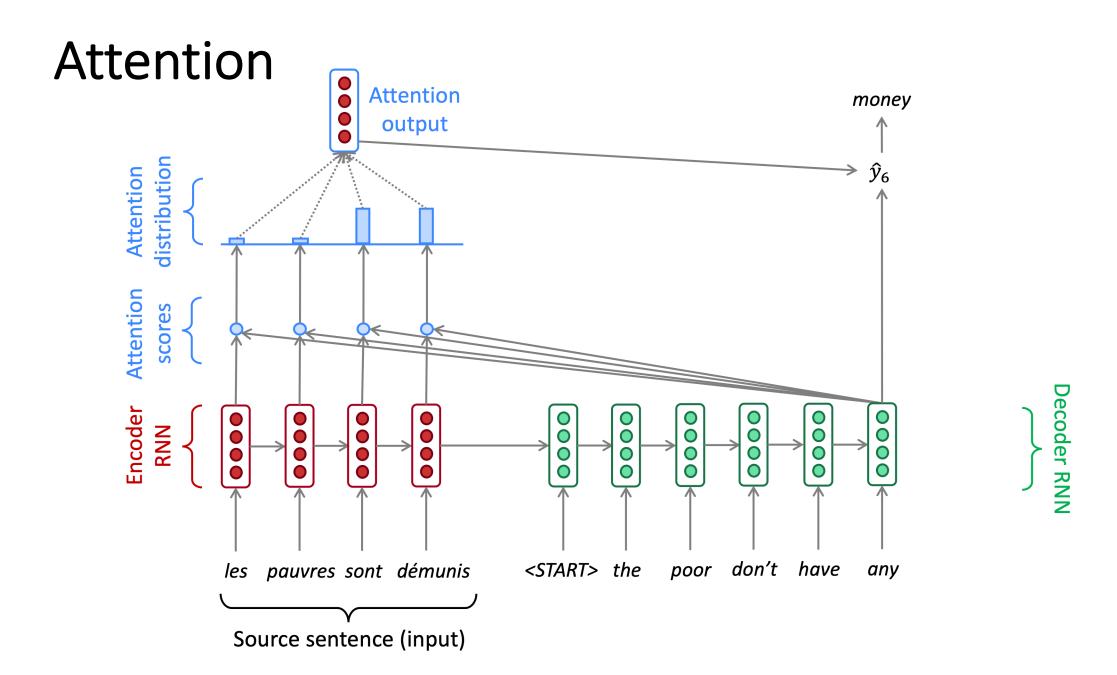
The attention output mostly contains information the hidden states that received high attention.

Decoder RNN









Attention

- We have encoder hidden states $h_1, \ldots, h_N \in \mathbb{R}^h$
- On timestep t, we have decoder hidden state $s_t \in \mathbb{R}^h$
- We get the attention scores e^t for this step:

$$oldsymbol{e}^t = [oldsymbol{s}_t^T oldsymbol{h}_1, \dots, oldsymbol{s}_t^T oldsymbol{h}_N] \in \mathbb{R}^N$$

• We take softmax to get the attention distribution α^t for this step (this is a probability distribution and sums to 1)

$$\alpha^t = \operatorname{softmax}(\boldsymbol{e}^t) \in \mathbb{R}^N$$

• We use α^t to take a weighted sum of the encoder hidden states to get the attention output a_t

$$oldsymbol{a}_t = \sum_{i=1}^N lpha_i^t oldsymbol{h}_i \in \mathbb{R}^h$$

• Finally we concatenate the attention output a_t with the decoder hidden state s_t and proceed as in the non-attention seq2seq model

$$[oldsymbol{a}_t;oldsymbol{s}_t]\in\mathbb{R}^{2h}$$

Transformers

Composition of self-attention layers

Intuition

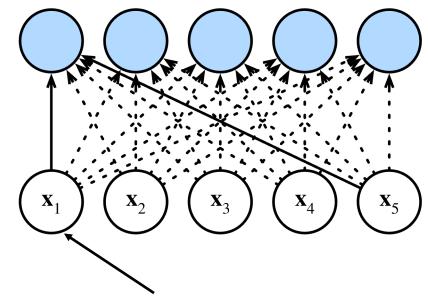
- Want sparse connection structure of CNNs, but with different structure
- Can we **learn** the connection structure?

Self-attention layer:

$$y[t] = \sum_{s=1}^{T} \operatorname{attention}(x[s], x[t]) \cdot f(x[s])$$

- Input first processed by local layer f
- All inputs can affect y[t]
- But weighted by attention(x[s], x[t])
- Resembles convolution but connection is learned instead of hardcoded

Self-attention



vector, not a single component!

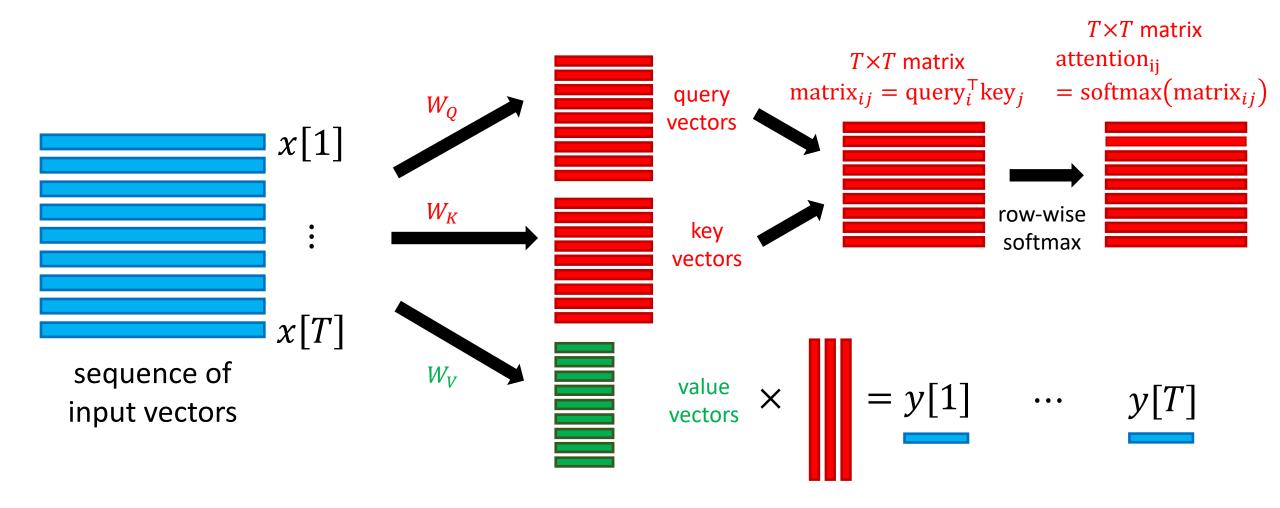
Self-attention layer:

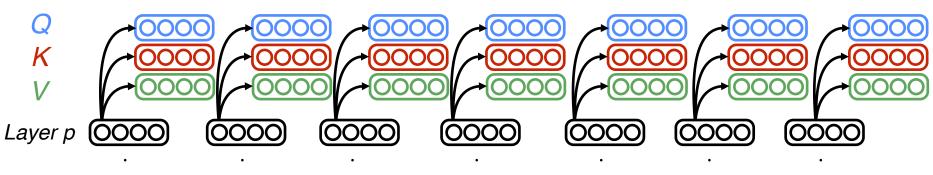
$$y[t] = \sum_{s=1}^{T} \operatorname{softmax}([\operatorname{query}(x[t])^{\mathsf{T}} \operatorname{key}(x[s])]) \cdot \operatorname{value}(x[s])$$

• Here, we have (learnable parameters are W_Q , W_K , and W_V):

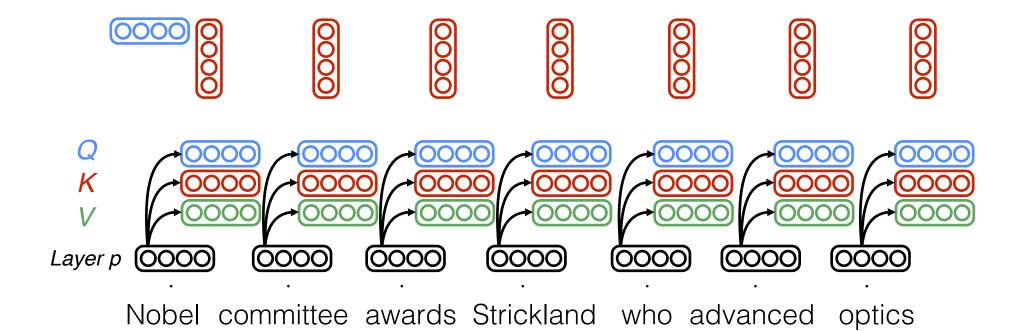
query
$$(x[s]) = W_Q x[s]$$

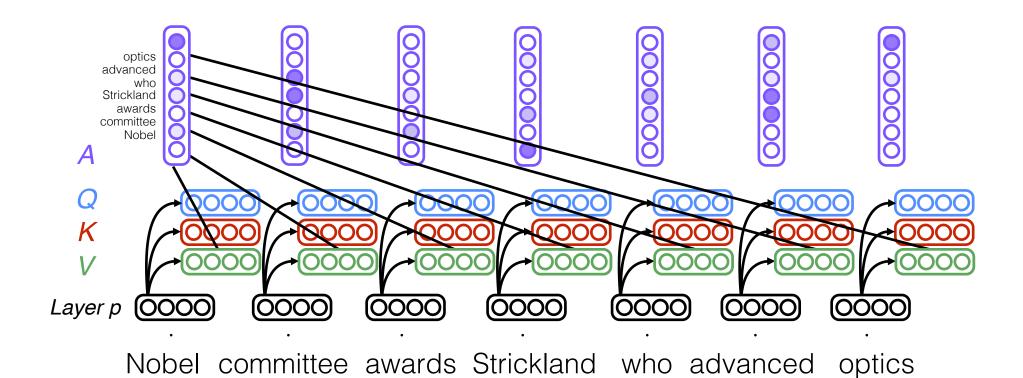
 $\text{key}(x[s]) = W_K x[s]$
 $\text{value}(x[s]) = W_V x[s]$

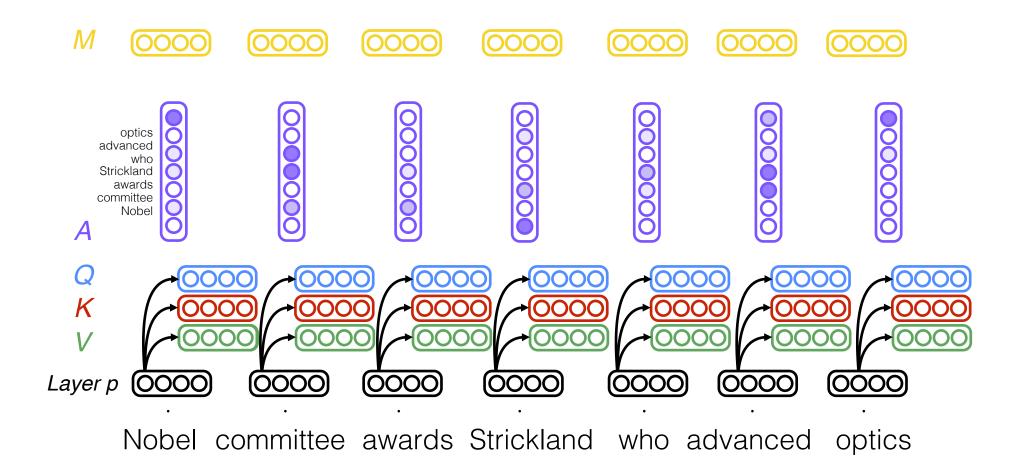




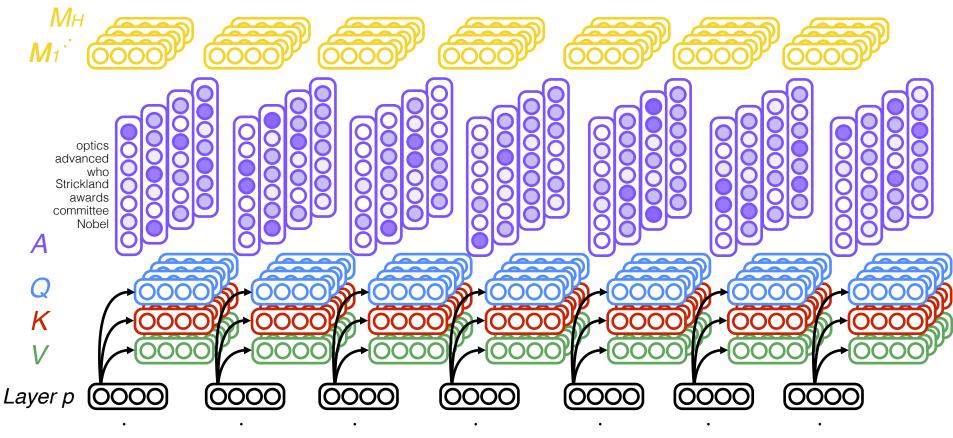
Nobel committee awards Strickland who advanced optics





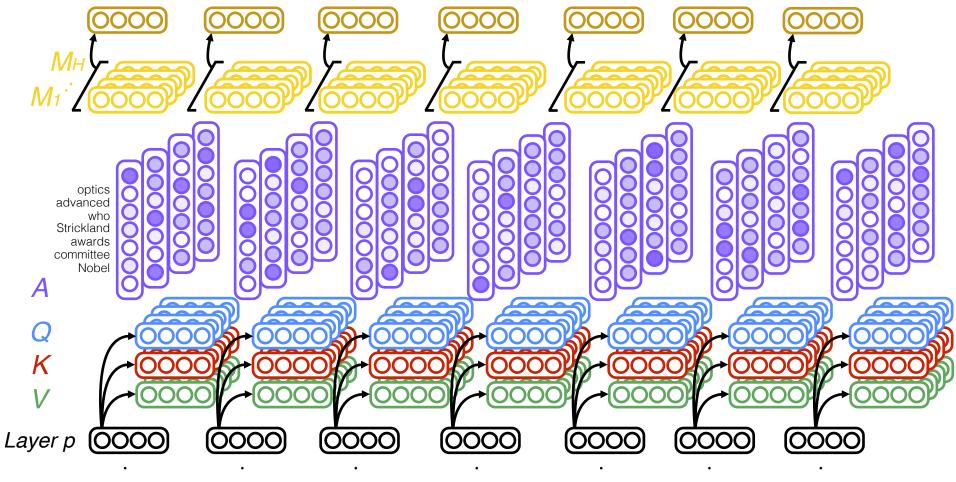


Multi-Head Self-Attention

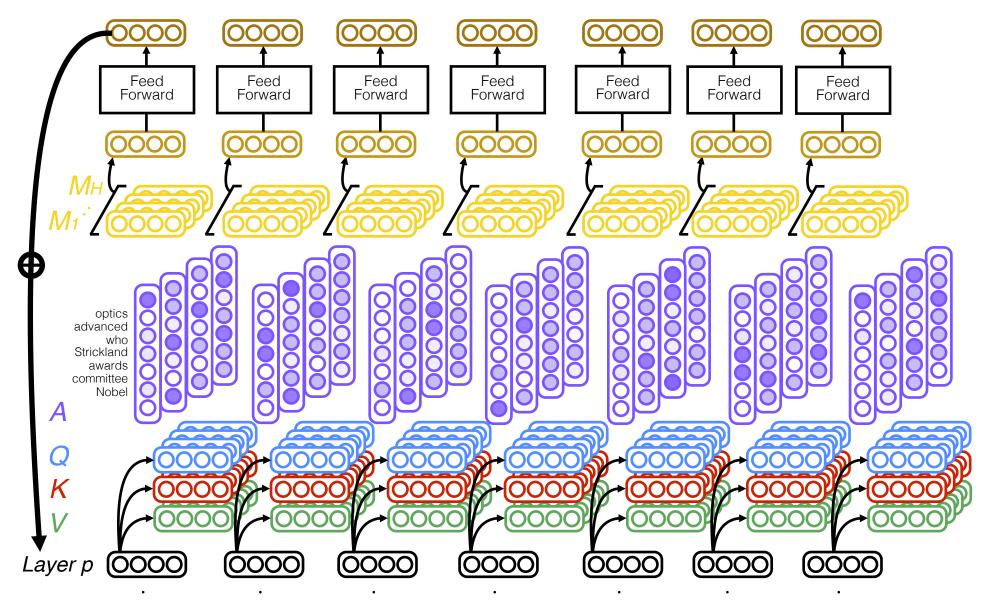


Nobel committee awards Strickland who advanced optics

Multi-Head Self-Attention



Nobel committee awards Strickland who advanced optics



Nobel committee awards Strickland who advanced optics

Transformers

Stack self-attention layers to form a neural network architecture

• Examples:

- **BERT:** Bidirectional transformer similar to ELMo, useful for prediction
- GPT: Unidirectional model suited to text generation
- Aside: Self-attention layers subsume convolutional layers
 - Use "positional encodings" as auxiliary input so each input knows its position
 - https://d2l.ai/chapter_attention-mechanisms/self-attention-and-positional-encoding.html#
 - Then, the attention mechanism can learn convolutional connection structure

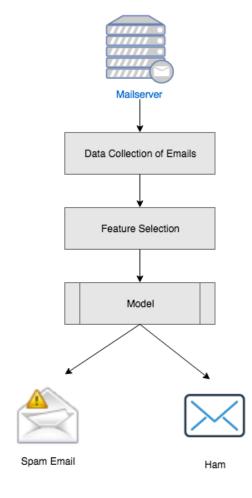
Visualizing Attention Outputs

As aliens entered our planet and began to colonized Earth, a certain group of extraterrestrials began to manipulate our society through their influences of a certain number of the elite to keep and iron grip over the populace. Share screenshot 1 As aliens entered our planet

Applications: Spam Detection

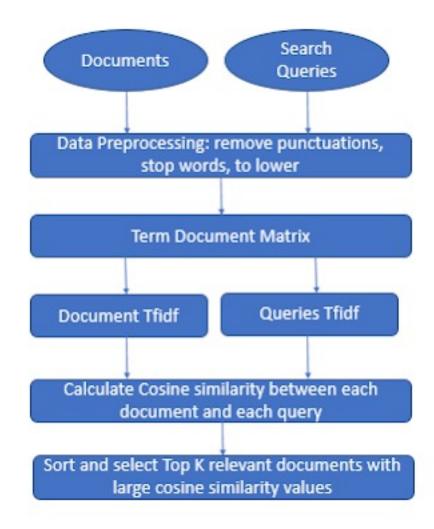
• "Bag of words" + SVMs for spam classification

• Features: Words like "western union", "wire transfer", "bank" are suggestive of spam



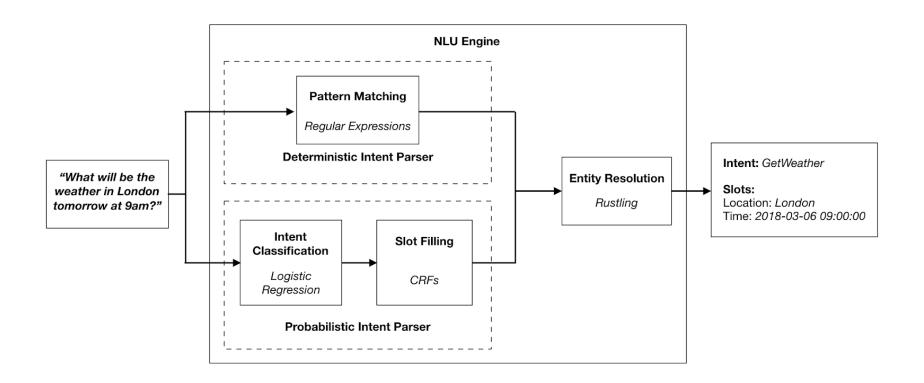
Applications: Search

 Use "bag of words" + TF-IDF to identify relevant documents for a search query



Applications: Virtual Assistants

Use word vectors to predict intent of queries users ask



Applications: Question Answering

 Language models can be used to answer questions based on a given passage

Passage Sentence

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity.

Question

What causes precipitation to fall?

Answer Candidate

gravity

Applications: Generation

 Language models can automatically generate text for applications such as video games



Al Dungeon, an infinitely generated text adventure powered by deep learning.

Title: United Methodists Agree to Historic Split
Subtitle: Those who oppose gay marriage will form their own denomination
Article: After two days of intense debate, the United Methodist Church
has agreed to a historic split - one that is expected to end in the
creation of a new denomination, one that will be "theologically and
socially conservative," according to The Washington Post. The majority of
delegates attending the church's annual General Conference in May voted to
strengthen a ban on the ordination of LGBTQ clergy and to write new rules
that will "discipline" clergy who officiate at same-sex weddings. But
those who opposed these measures have a new plan: They say they will form a
separate denomination by 2020, calling their church the Christian Methodist
denomination.

The Post notes that the denomination, which claims 12.5 million members, was in the early 20th century the "largest Protestant denomination in the U.S.," but that it has been shrinking in recent decades. The new split will be the second in the church's history. The first occurred in 1968, when roughly 10 percent of the denomination left to form the Evangelical United Brethren Church. The Post notes that the proposed split "comes at a critical time for the church, which has been losing members for years," which has been "pushed toward the brink of a schism over the role of LGBTQ people in the church." Gay marriage is not the only issue that has divided the church. In 2016, the denomination was split over ordination of transgender clergy, with the North Pacific regional conference voting to ban them from serving as clergy, and the South Pacific regional conference voting to allow them.

Transformers for Computer Vision

