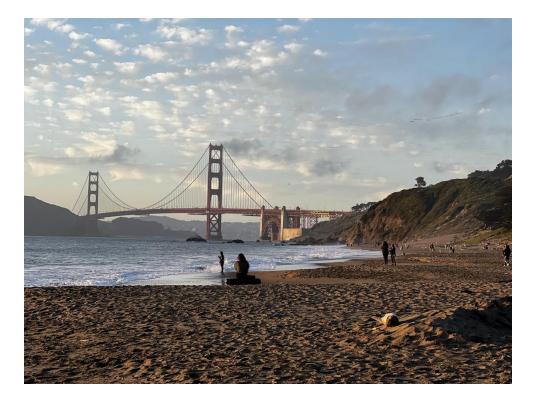
#### **Pipes & File Descriptors** Computer Operating Systems, Spring 2024

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## Administrivia

- Project 0/1 penn-vec & penn-parser:
  - Due this Friday at Midnight!
  - You can use late tokens; 1 token is 2 days.
- ✤ Recitation Tomorrow! 12 1:30!
  - If there's any feedback on recitation, don't hesitate to let us know.
  - Questions on recitation?

### Administrivia

- There will be a check-in due next week
  - Due before Monday @ 12pm
  - Merger of the previous and current check-in
  - Should be out right after class on Ed Lessons!

## **Lecture Outline**

- Signal Blocking & sigsuspend
- Intro to file descriptors
- File Descriptors: Big picture
- Redirection & Pipes
- Unix Commands & Controls

## **Signal Blocking**

- A process maintains a set of signals called a "signal mask"
  - Signals in that set/mask are "<u>blocked</u>"
  - Signals that are "blocked" are delayed in being delivered to the process, once unblocked, the process responds to the signals accordingly according to the corresponding disposition.
  - Signals are added to a "pending set" of signals to be delivered once unblocked.
- \* This is not the same as ignoring a signal.

```
struct sigaction sa = {0};
sa.sa_handler = SIG_IGN;
sa.sa_flags = SA_RESTART;
sigaction(SIGNAL, &sa, NULL);
```

When you set a signal's disposition to SIG\_IGN, then when a process receives the signal it simply throws it away.

#### Reminder: Process Blocked != Signals are Blocked

# sigset\_t

sigset\_t types must be initialized by a call to
sigemptyset() when used with a
number of different sigsetops.
IF NOT THE BEHAVIOR IS UNDEFINED. ☺

- sigset\_t is a typedef'd bitset to maintain the set of signals blocked
- \* int sigemptyset(sigset\_t\* set);
  - initializes a sigset\_t to be empty
- \* int sigaddset(sigset\_t\* set, int signum);
  - Adds a signal to the specified signal set
- More functions & details in man pages
  - (man sigemptyset)
- Example snippet:

```
sigset_t mask;
if (sigemptyset(&mask) == -1) {
   // error
}
if (sigaddset(&mask, SIGINT) == -1) {
   // error
}
```

# sigprocmask()

- \* int sigprocmask(int how, const sigset\_t\* set, sigset\_t\* oldset);
  - Sets the process mask to be the specified process mask, set, depending on the value of int how
    - "how would you like me to use set?"
  - const sigset\_t\* set
    - Is the set you would like you use with how
  - sigset\_t\* oldset
    - Is set to the previous value of the signal mask.

# sigprocmask()

- \* int sigprocmask(int how, const sigset\_t\* set, sigset\_t\* oldset);
  - Sets the process mask to be the specified process "block" mask
  - Int how
    - SIG\_BLOCK
      - The new mask is the union of the current mask and the specified set.
    - SIG\_UNBLOCK
      - The new mask is the intersection of the current mask and the complement of the specified set.
    - SIG\_SETMASK
      - The current mask is replaced by the specified set.

## **Recall: Buggy Code with Critical Section**

```
// assume this works
void list_push(list* this, float f) {
   Node* node = malloc(sizeof(Node));
   if (node == NULL) {
      exit(EXIT_FAILURE);
   }
   node->value = f;
   node->next = NULL;
   this->tail->next = node;
   this->tail = node;
}
```

list\_push can be called from two places.

Either from the *main* or from the *signal handler* 

While the process is wrangling the values for the linked-list, the process could be interrupted and forced to run the signal handler.

```
void handler(int signo){
    list_push(list, 4.48);
```

```
int main(int argc, char *argv[]){
    //sa setup omitted, handler set, etc.
    sigaction(SIGINT, &sa, NULL);
```

```
float f;
while (list_size(list) < 20){
  read_float(stdin, &f);
  list_push(list, f);</pre>
```

Note: This refers to the English definition of "interrupt," not the computer science term.

## **Recall: Buggy Code with Critical Section**

```
// assume this works
void list_push(list* this, float f) {
   Node* node = malloc(sizeof(Node));
   if (node == NULL) {
      exit(EXIT_FAILURE);
   }
   node->value = f;
   node->next = NULL;
   this->tail->next = node;
   this->tail = node;
}
```

Which portion of the code *can safely have its execution paused* so the signal handler can run before the original code resumes?

Ask yourself: where is *global information being modified*?



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```
// assume this works
void list_push(list* this, float f) {
    Node* node = malloc(sizeof(Node));
    if (node == NULL) {
        exit(EXIT_FAILURE);
    }
    node->value = f;
    node->next = NULL;
    this->tail->next = node;
    this->tail = node;
}
```

How can we make this function signal safe?



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```
void list_push(list *this, float f){
```

```
sigset_t mask_sigint, prev_mask;
sigemptyset(&mask_sigint);
sigaddset(&mask_sigint, SIGINT);
sigprocmask(SIG BLOCK, &mask sigint, &prev mask);
```

```
Node *node = malloc(sizeof(Node));
if (node == NULL){
exit(EXIT_FAILURE);
}
```

```
node->value = f;
node->next = NULL;
this->tail->next = node;
this->tail = node;
sigprocmask(SIG_SETMASK, &prev_mask, NULL);
```

** W	/e set	up the	appropriate	mask
------	--------	--------	-------------	------

block those signals
 (in addition to those already perhaps blocked)

```
set the mask using sigprocmask();
```

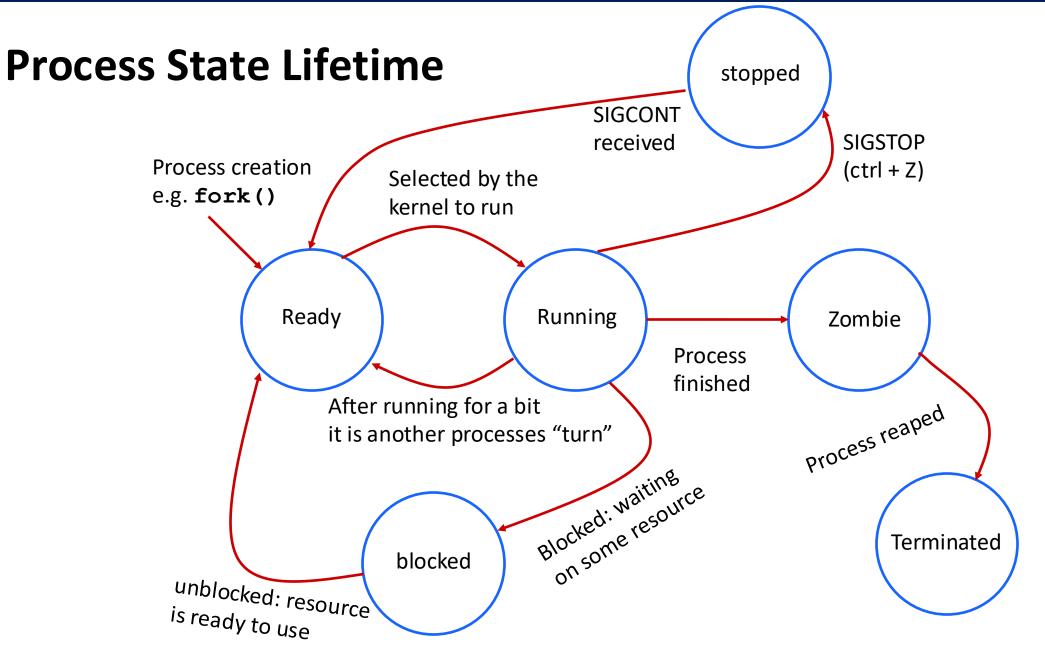
restore the previous mask before we
entered the function call, list\_push().

### sigsuspend()

- Instead of busy waiting and wasting CPU cycles (that can be used by other processes), we can suspend process execution instead.
- \* int sigsuspend(const sigset\_t\* mask);
  - Temporarily replaces process mask with specified one and suspends execution untill a signal that is not blocked is delivered.
  - If signal that is not blocked is received, the process 'returns' from sigsuspend
    - The mask in place before the suspend call is restored.
    - If the signal received terminates the program, then the process never 'returns' from sigsuspend.
- \* Demo:suspend\_sigint.c
  - Compare to previous code: delay\_sigint.c
  - Less CPU resources used ③

## volatile sig\_atomic\_t

- If you need to communicate with a signal handler, we have been using global variables...
  - Modifying global variables is generally unsafe in signals.
- In "real world" code if you want to modify shared data within a signal handler, you should use global variable type: volatile sig\_atomic\_t
  - volatile sig\_atomic\_t is an integer type with interesting properties.
- We will not enforce this in these projects, but we felt like it was worth letting you know.



### **Lecture Outline**

- Signal Blocking & sigsuspend
- Intro to file descriptors
- File Descriptors: Big picture
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## What is a File?

- Files are "non-volatile storage" that are external to a process:
  - changes to a file persist beyond the lifetime of a process
  - The same file can be access by multiple processes
  - Stored on completely different hardware than normal process memory







More details on Files later...

## What is a file descriptor?

- \* A file descriptor is of type int
  - A process specific unique id that can be used to refer to a file when invoking system calls
- A file descriptor may not refer to a *literal* file, but instead refer to something that is "like a file"
  - Terminal input/output
  - Network connections
  - Pipes (more later this lecture)
  - Special devices
- \* These can all be used for read() and write()

## stdout, stdin, stderr

- By default, there are three "files" open when a program starts
  - stdin: for reading terminal input typed by a user
    - stdin inC stdio.h
    - System.in in Java
  - stdout: the normal terminal output. (buffered)
    - stdout in C stdio.h
    - System.out in Java
  - stderr: the terminal output for printing errors (unbuffered)
    - stderr in C stdio.h
    - System.err in Java

### stdout, stdin, stderr

stdin, stdout, and stderr all have initial file descriptors constants defined in unistd.h

C unis	td.h ×		
Library	> Developer > CommandLi	neTod	ols > SDKs > MacOSX.sdk > usr > include > <b>C</b> unistd.h >
68	<pre>#ifndef _UNISTD_H_</pre>		
62			
86	<pre>#define STDIN_FILEN0</pre>	0	<pre>/* standard input file descriptor */</pre>
87	<pre>#define STD0UT_FILEN0</pre>	1	<pre>/* standard output file descriptor */</pre>
88	<pre>#define STDERR_FILEN0</pre>	2	<pre>/* standard error file descriptor */</pre>
00			

- These will be open on default for a process
- \* Printing to stdout with printf will use write(STDOUT\_FILENO, ...)

# open()

#### int open(const char\* pathname, int flags, /\* mode\_t perm \*/ )

- pathname
  - The name of the file you'd like you 'open'
- flags
  - Bitwise OR specifying behavior for the opening of the file
  - MUST INCLUDE ONE OF THESE: O\_RDONLY, O\_WRONLY, O\_RDWR
- perm
  - Used only if flag O\_CREAT is used (won't dilly dally on this)
  - specify the set of permissions allowed in interacting with file
    - E.g. is the file, executable?, who can see it?, etc.
- Returns:
  - a valid file descriptor or -1 on error

## \*quick aside: Permissions

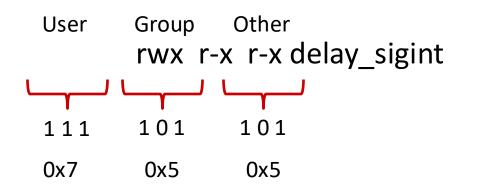
int open(const char\* pathname, int flags, /\* mode\_t perm \*/ )

- perm
  - Used only if flag O\_CREAT is used
  - specify the set of permissions allowed in interacting with file
    - E.g. is the file, executable?, who can see it?, etc.
- Permissions are kept in three octets
  - One for the **User**, the **Group**, and **Other**.
  - r read
  - w write
  - x execute

## \*quick aside: Permissions

int open(const char\* pathname, int flags, /\* mode\_t perm \*/ )

- perm
  - Used only if flag O\_CREAT is used
  - specify the set of permissions allowed in interacting with file
    - E.g. is the file, executable?, who can see it?, etc.



The intricacies of what *consists of the* group and other is irrelevant in this course.

In assignments, you will use the 0x0744 (rwxrw-rw-) octet when creating new files.

# close()

#### int close(int fd)

- fd
  - The corresponding file descriptor that will be closed.
  - No longer will this number refer to the file.
  - Allows it for it to be *reused*
- Imperative once we talk about pipes and file references...

# Using Open(); & Close();

```
This enforces that a file isn't just created,
int main(int argc, char *argv[]){
                                                                     but created for the first time.
   // Open the specified source file for reading
   int opened_file_fd = open(argv[1], 0_RDONLY);
                                                                Please check out the man page for open.
   // Do some magic with the file descriptor
   close(opened file fd);
   return 0;
int main(int argc, char *argv[]){
   // Open the specified source file for reading
   int opened_file_fd = open(argv[1], O_RDONLY | O_CREAT | O_EXCL, default_perms);
   // Do some magic with the file descriptor
   close(opened file fd);
   return 0;
                                                                                                 25
```

## **Additional System FD Calls**

ssize\_t read(int fd, void \*buf, size\_t count);

- attempts to read up to *count* bytes from file descriptor *fd* into the buffer starting at *buf*.
- On success, the number of bytes read is returned. On error, -1 is returned, and <u>errno</u> is set to indicate the error. 0 is returned when EOF has been encountered (usually)...

ssize\_t write(int fd, void \*buf, size\_t count);

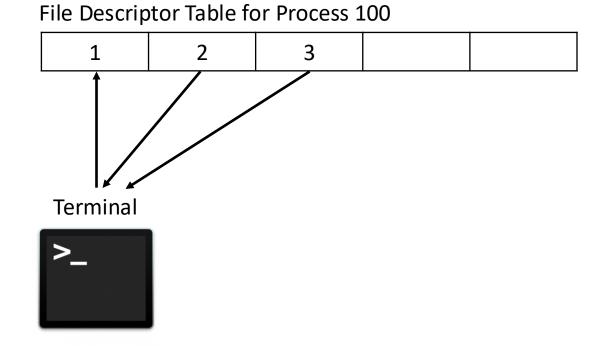
- writes up to *count* bytes from the buffer starting at *buf* to the file referred to by the file descriptor *fd*.
  - On success, the number of bytes written is returned. On error, -1 is returned, and <u>errno</u> is set to indicate the error.

## **Lecture Outline**

- Intro to file descriptors
- File Descriptors: Big Picture
- Redirection & Pipes
- Unix Commands & Controls

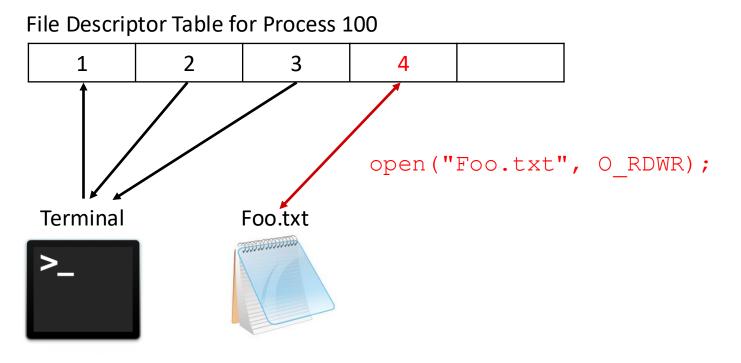
## **File Descriptor Table**

- \* Each process has its own file descriptor table managed by the OS
  - The table maintains information about the respective files the process has references to.
- ✤ A *file descriptor* is an index into a processes FD table.



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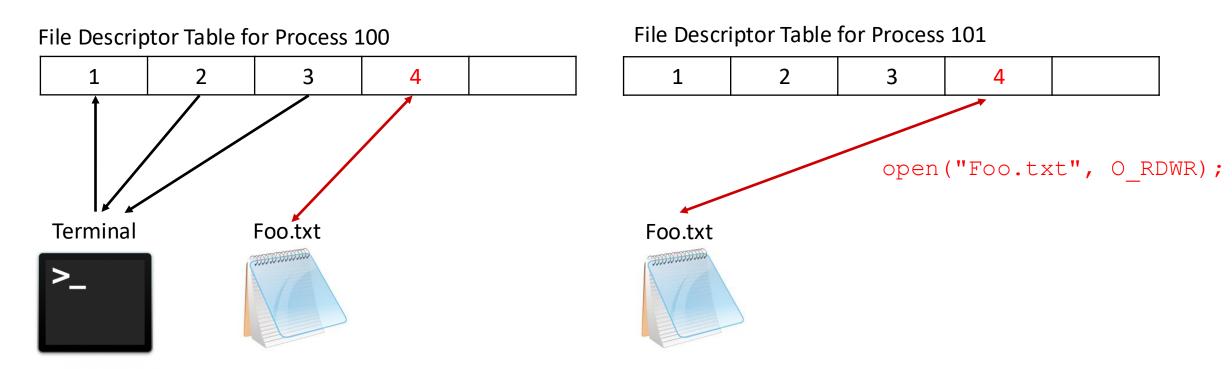


Not an accurate depiction.

Note: the lowest # fd is given when possible.

## **File Descriptor Table**

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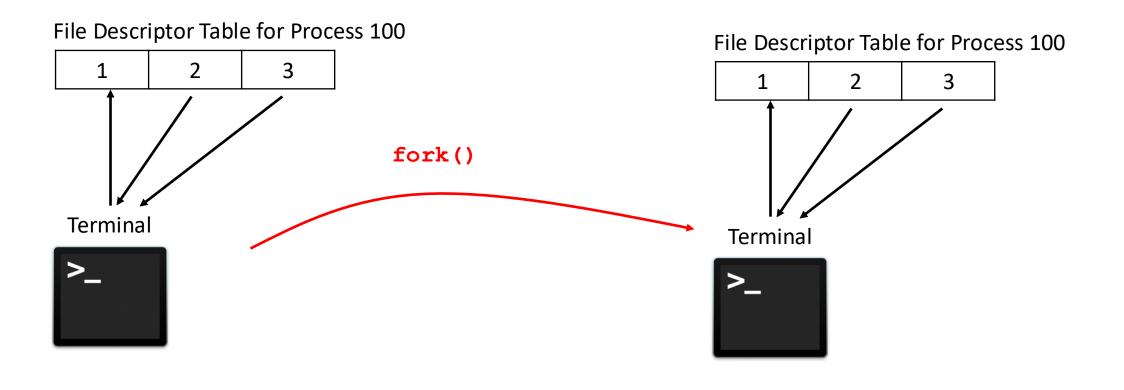
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What if there was only one global file descriptor table? What negative affects may this have?

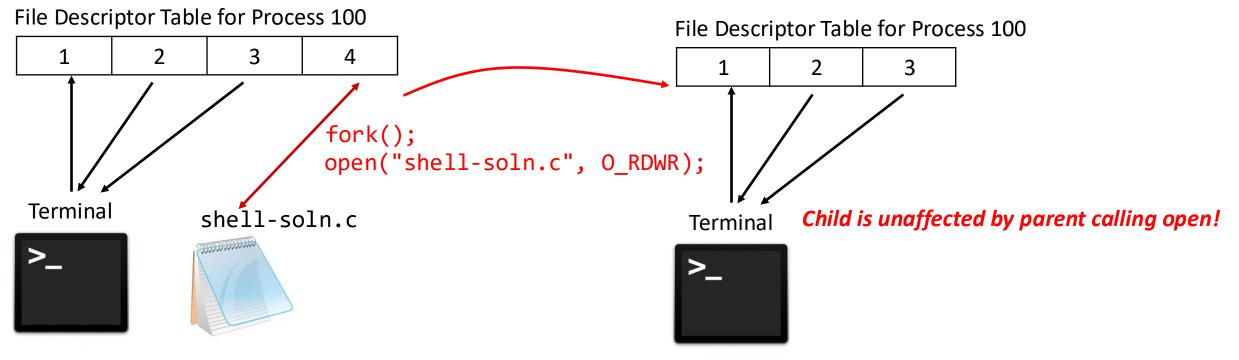
File Descriptor Table for Process 100 File Descriptor Table for Process 101 File Descriptor Table for Process 102 File Descriptor Table for Process 103 File Descriptor Table for Process 104 File Descriptor Table for Process 105

1 2 3	4
-------	---

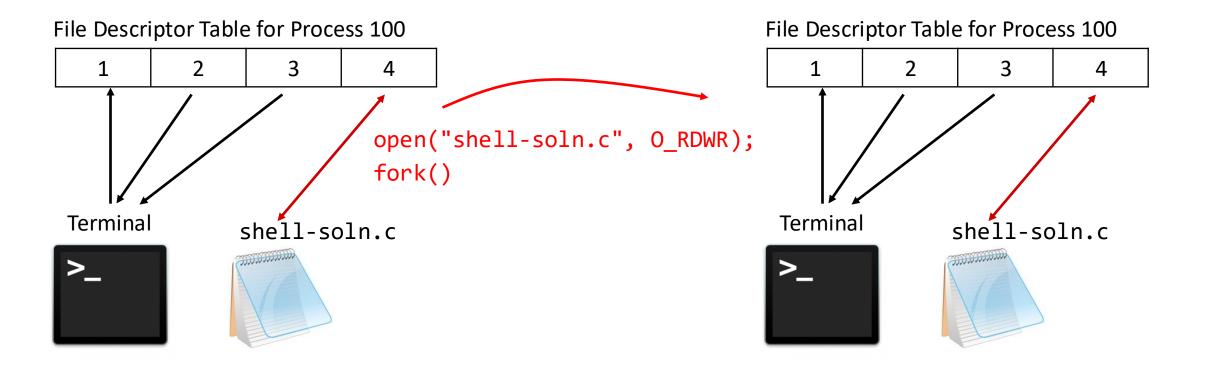
- Each process will have its own file descriptor table managed by the OS
- Fork will make a copy of the parent's file descriptor table for the child



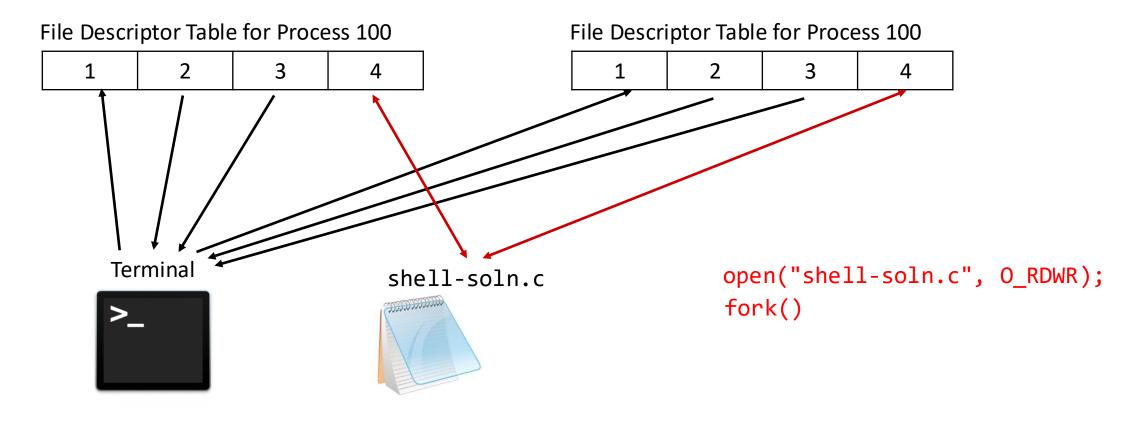
- \* Each process will have its own file descriptor table managed by the OS
- Fork will make a copy of the parent's file descriptor table for the child
- \* Open after fork



- Fork will make an IDENTICAL copy of the parent's file descriptor table
- This seems like overkill we have the same file opened twice...
- \* Open before fork

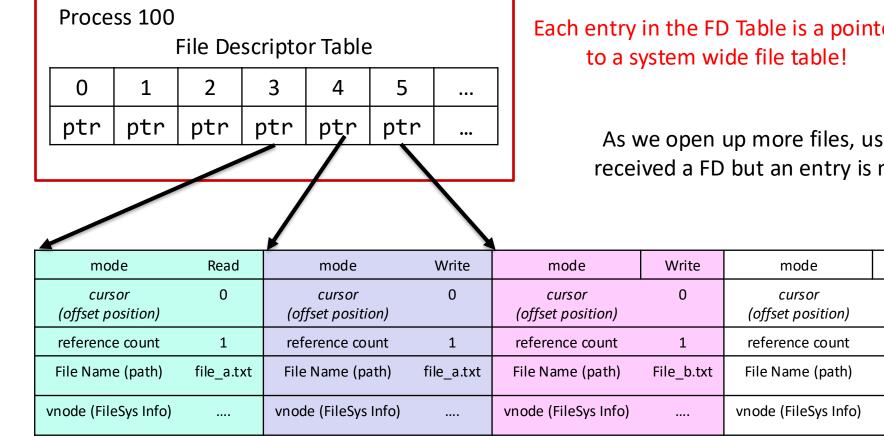


- Fork will make an IDENTICAL copy of the parent's file descriptor table



## File Descriptor Table in Reality...

- Each Process has its own file descriptor table
  - We index into the file descriptor table using the file descriptor



Each entry in the FD Table is a pointer

As we open up more files, using open(), not only do we received a FD but an entry is made in the open file table!

....

....

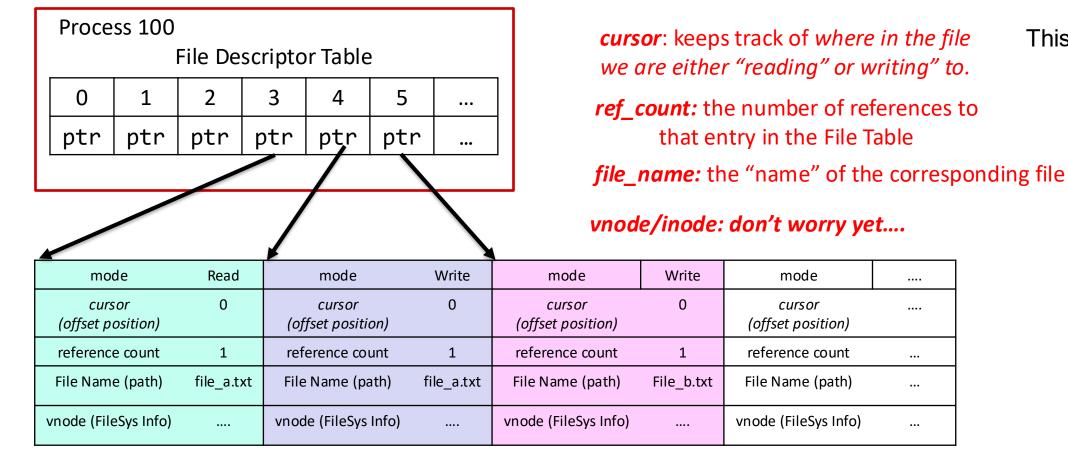
•••

...

•••

### File Descriptor Table in Reality...

- Each Process has its own file descriptor table
  - We index into the file descriptor table using the file descriptor



This is what f/lseek() manipulates.

....

....

•••

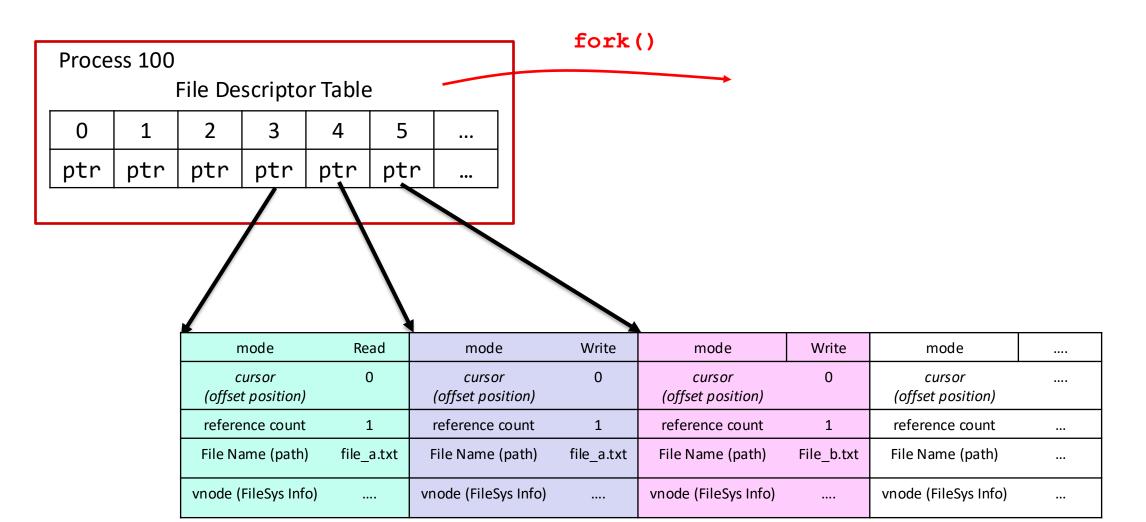
...

•••

38

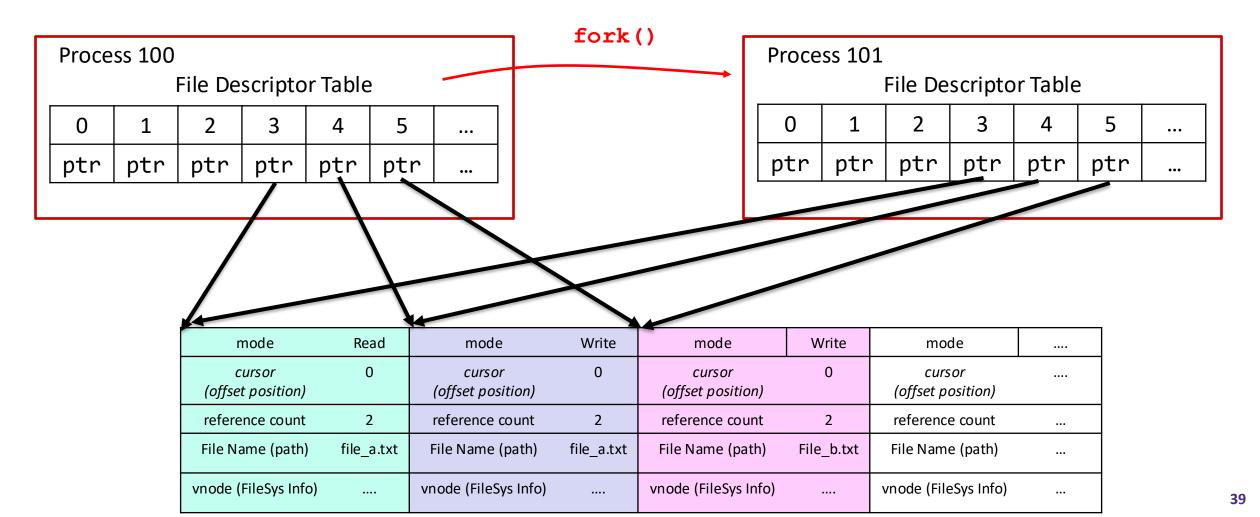
### **File Descriptor Table and Fork**

When a process forks, the child inherits an identical FD Table!

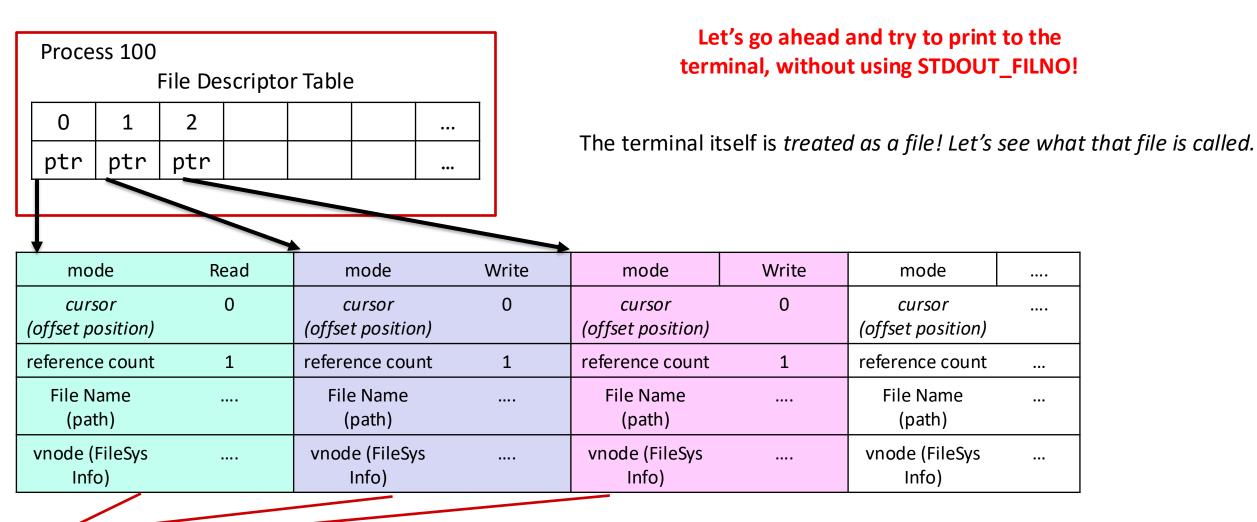


### **File Descriptor Table and Fork**

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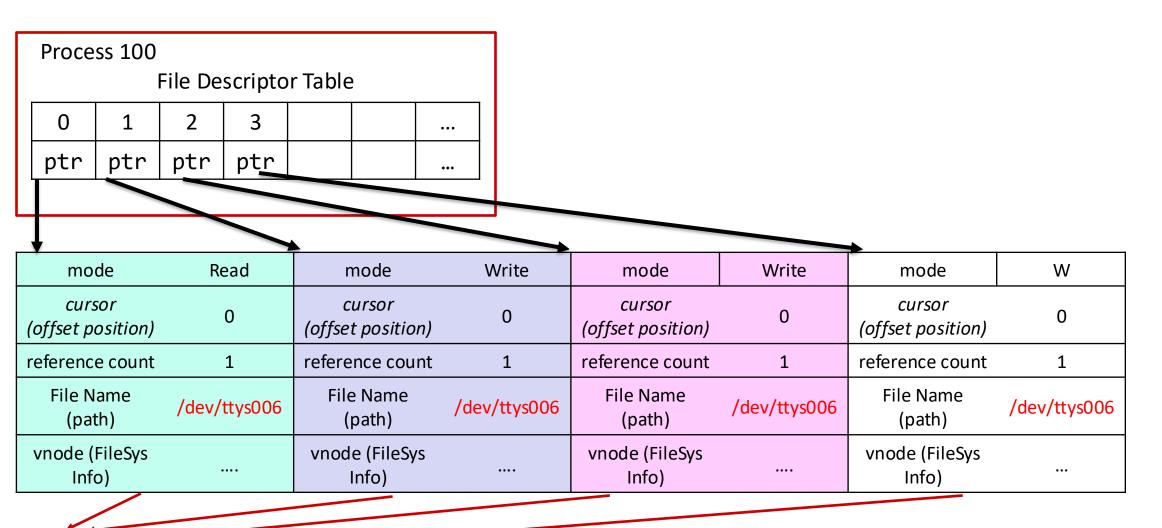


### **Terminal Printing Demo**



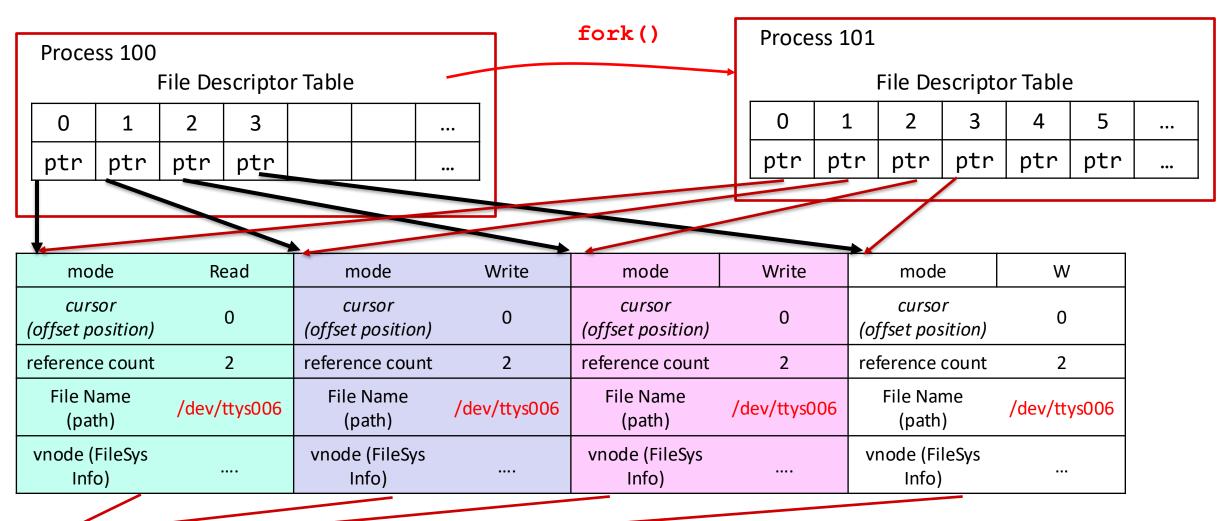
Notice, that these file table entries are different, but they point to the same "file".

### **Terminal Printing Demo**



Notice, that these file table entries are different, but they point to the same "file".

### **Terminal Printing Demo With Fork!**



Notice, that these file table entries are different, but they point to the same "file".

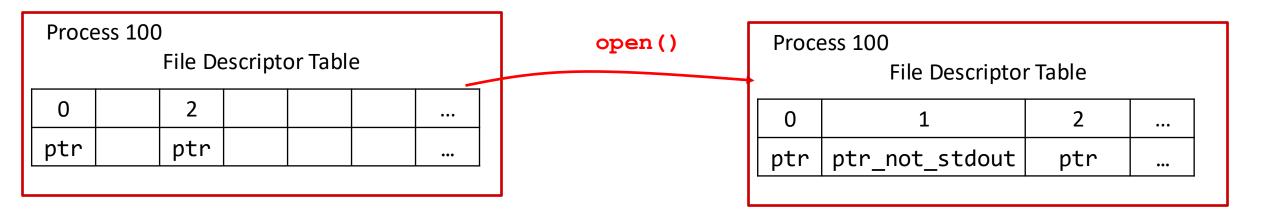
### **Lecture Outline**

- Intro to file descriptors
- File Descriptors: Big Picture
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### **Redirecting File Descriptors**

printf is implemented using write(STDOUT\_FILENO....)
That's why it is redirected after changing stdout

- We can manipulate the File Table so that STDOUT\_FILENO FD Table entry is associated with another file.
  - *Now, any writes to STDOUT\_FILENO are redirected!*
- To do this without anything fancy, let's just close STDOUT\_FILENO...



Demo: close\_stdout.c

# **Redirecting stdin/out/err/everything**

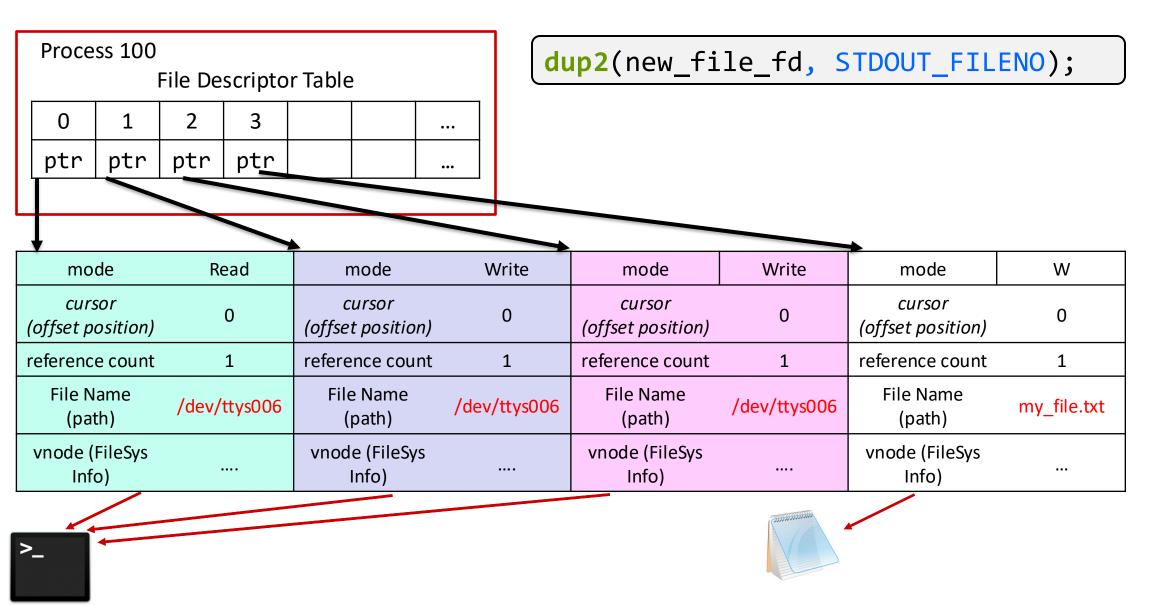
- We can manipulate the File Table so that a FD Table entry is associated with another file.
- \* int dup2(int oldfd, int newfd);
  - The file descriptor *newfd* is adjusted so that it now refers to the same open file description as *oldfd*. (newfd is closed silently...shh)

int dup2(int redirect\_here, STDOUT\_FILENO);

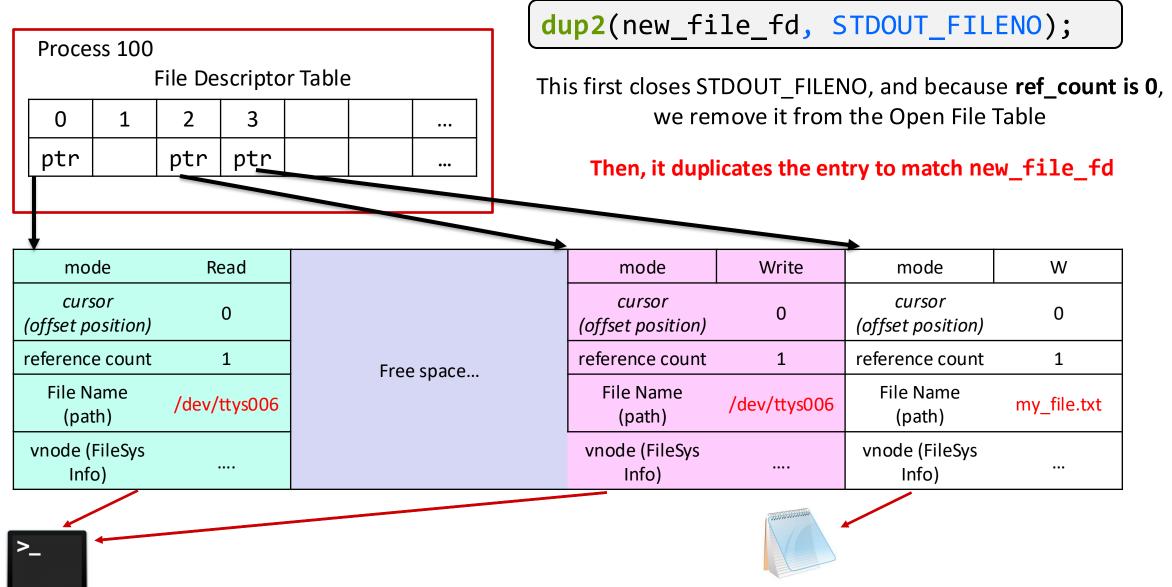
In this example, STDOUT\_FILENO, no longer refers to the terminal, but rather the FILE associated with *redirect\_here* 

Demo: dup\_stdout.c

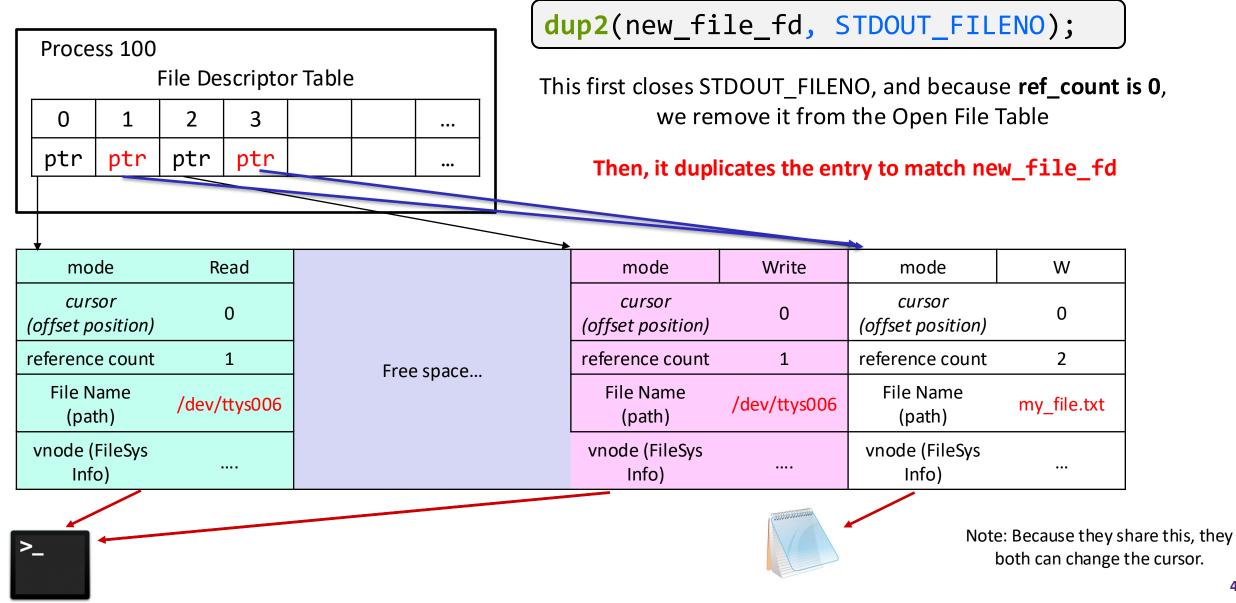
# We all need dup2()



# We all need dup2()



# We all need dup2()



### **Interprocess Communication:** *Pipes*

#### int pipe(int pipefd[2]);

- Creates a unidirectional data channel for IPC
  - Communication through file descriptors! // POSIX ③
- Takes in an array of two integers, and sets each integer to be a file descriptor corresponding to an "end" of the pipe
- \* pipefd[0] is the reading end of the pipe
- \* pipefd[1] is the writing end of the pipe

read from here

memorize: you *read* before you write r is before w

write to here

# **Pipe Visualization**

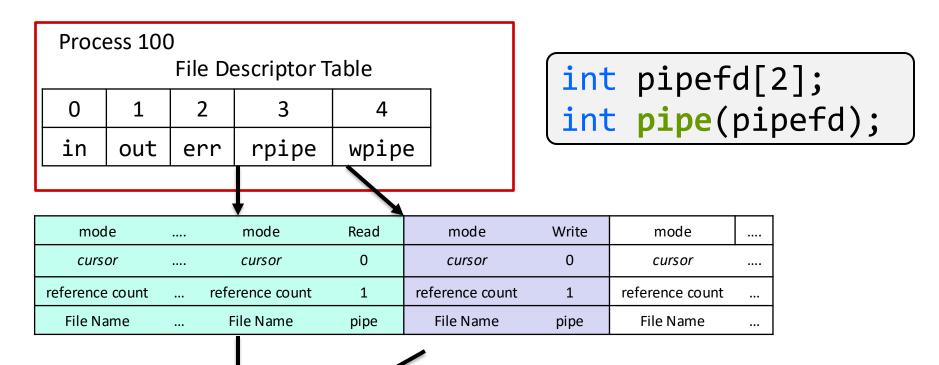
- A pipe"file" that has distinct file descriptors for reading and writing. This "file" only exists as long as there are references to it and is maintained by the OS.
  - Data written to the pipe is stored in a buffer until it is read from the pipe.

Process 100					
File Descriptor Table					
0	1	2			
in	out	err			

mode	 mode	 mode	 mode	
cursor	 cursor	 cursor	 cursor	
reference count	 reference count	 reference count	 reference count	
File Name	 File Name	 File Name	 File Name	

### **Pipe Visualization**

- Creating a pipe initializes two file descriptors in the process FD Table.
- Makes two entries in the system wide file table!

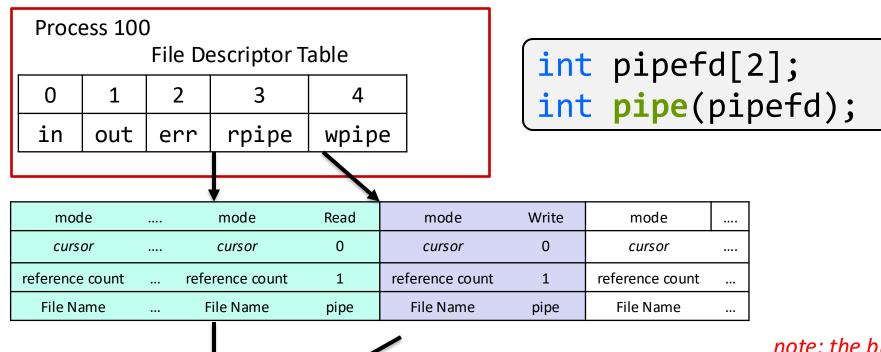


Kernal 😳

buffer

### **Pipe Visualization**

- Creating a pipe initializes two file descriptors in the process FD Table.
- Makes two entries in the system wide file table!



note: the buffer has limited space. If it is full, you can not write to it until you read (consume) what is there.

# Poll Everywhere

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#### What does the parent print? What does the child print? why?







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What is the behavior of this program? Does read fail?

```
int main(){
    int pipe_fds[2];
    pipe(pipe_fds);
    char str[10];
    ssize_t chars_read = read(pipe_fds[0], str, sizeof(str));
    return EXIT_SUCCESS;
}
```

### Pipes & EOF

- When reading, you read until you've read enough bytes or EOF.
- When using a pipe, if there is nothing in the buffer to read, then you will wait until there is.
  - EOF will not be returned nor will 0, when there is nothing in the pipes buffer.
  - You must write to the write end of the pipe for the corresponding read to return!
- EOF is only read from a pipe when:
  - All write ends of the pipe are closed, it's impossible to read anything from there.
  - Ask yourself, how can a child indicate to it's parent that it is done writing? :-)
- This will cause many bugs in your programs. Make sure to always close the FD you no longer need. Even one reference to the Write end of the pipe will cause all READS to block.

### **Lecture Outline**

- Intro to file descriptors
- File Descriptors: Big Picture
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# **Unix Shell**

- ✤ A <u>user level</u> process that reads in commands
  - This is the terminal you use to compile, and run your code
- Commands can either specify one of our programs to run or specify one of the already installed programs
  - Other programs can be installed easily.
- There are many commonly used bash programs, we will go over a few and other important bash things.

### ./..

- ☆ "/" is used to connect directory and file names together to create a file path.
  - E.g. "workspace/595/hello/"
- "." is used to specify the current directory.
  - E.g. "./test\_suite" tells to look in the current directory for a file called "test\_suite"
- ✤ ".." is like "." but refers to the parent directory.
  - E.g. "./solution\_binaries/../test\_suite" would be effectively the same as the previous example.

# **Common Commands (Pt. 1)**

- "ls" lists out the entries in the specified directory (or current directory if another directory is not specified
- "cd" changes directory to the specified directory
  - E.g. "cd ./solution\_binaries"
- \* "exit" closes the terminal
- \* "mkdir" creates a directory of specified name
- "touch" creates a specified file. If the file already exists, it just updates the file's time stamp

# Common Commands (Pt. 2)

- "echo" takes in command line args and simply prints those args to stdout
  - "echo hello!" simply prints "hello!"
- "wc" reads a file or from stdin some contents. Prints out the line count, word count, and byte count
- "cat" prints out the contents of a specified file to stdout. If no file is specified, prints out what is read from stdin
- "head" print the first 10 line of specified file or stdin to stdout

# Common Commands (Pt. 3)

- "grep" given a pattern (regular expression) searches for all occurrences of such a pattern. Can search a file, search a directory recursively or stdin. Results printed to stdout
- "history" prints out the history of commands used by you on the terminal
- "cron" a program that regularly checks for and runs any commands that are scheduled via "crontab"
- "wget" specify a URL, and it will download that file for you

### **Unix Shell Commands**

- Commands can also specify flags
  - E.g. "ls -l" lists the files in the specified directory in a more verbose format
- Revisiting the design philosophy:
  - Programs should "Do One Thing And Do It Well."
  - Programs should be written to work together
  - Write programs that handle text streams, since text streams is a universal interface.
- These programs can be easily combined with UNIX Shell operators to solve more interesting problems

### **Unix Shell Control Operators**

- Cmd1 && cmd2, used to run two commands. The second is only run if cmd1 doesn't fail
  - E.g. "make && ./test\_suite"
- \* cmd1 | cmd2, creates a pipe so that the stdout of cmd1 is redirected to the stdin of cmd2
  - E.g. "history | grep valgrind"
- cmd &, runs the process in the background, allowing you to immediately input a new command

#### **Unix Shell Control Operators**

- s cmd < file, redirects stdin to instead read from the specified file</pre>
  - E.g. "./penn-shredder < test\_case"</pre>
- \* cmd > file, redirects the stdout of a command to be written to the specified file
  - E.g. "grep -r kill > out.txt"
- Complex example:

cat ./input.txt | ./numbers > out.txt
&& diff out.txt expected.txt

# Doll Everywhere

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Which of the following commands will print the number of files in the current directory?

cd: change d	lirectory
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- **A. Is > wc**
- B. cd. && ls wc
- C. Is wc
- D. Is && wc
- E. The correct answer is not listed
- F. We're lost...

ls: list directory contents

wc: reads from stdin, prints the number of words, lines, and characters read.



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- ✤ Is this valid?
- \* ls | sort -r | cat | cat | cat | cat
  - sort sorts the input in alphabetical order, -r is in reverse order.



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- If there's time, how would we even implement this?
  - Is | sort -r
- How many processes are necessary, do we need pipes, what about dup2?

### **Penn-Shell**

- Making sense of all of this;
  - Forking, Signal Handlers, Masking, Exec\*
  - File Descriptors, open/close/read/write, dup2, pipe...