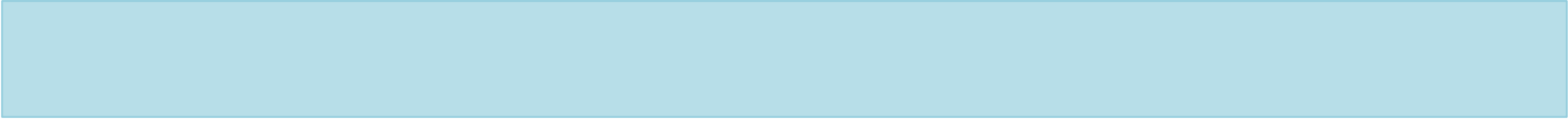


Lecture 19

CIS 4521/5521: COMPILERS

Announcements

- HW5: OAT v. 2.0
 - records, function pointers, type checking, array-bounds checks, etc.
 - Due: Wednesday, April 9th
 - Available now
 - **Start Early!**



See oat.pdf in HW5

OAT'S TYPE SYSTEM

Example OAT code

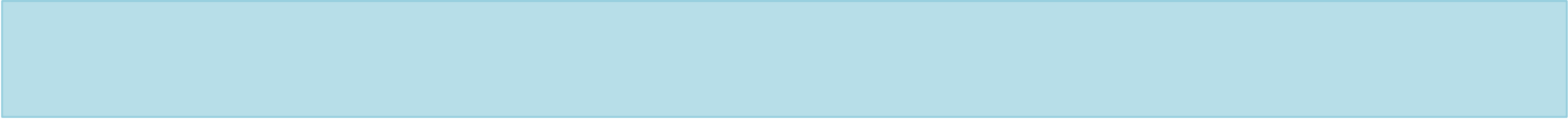
```
struct Base {                               /* struct type with function field */
    int a;
    bool b;
    (int) -> int f
}

struct Extend {                             /* structural subtype of Base via width subtyping */
    int a;
    bool b;
    (int) -> int f;
    string c;                               /* added field and method */
    (int) -> int g
}

int neg(int x) { return -x; }
int inc(int x) { return x+1; }

int f(Base? x, int y){                      /* function that expects a (possibly null) Base */
    if?(Base b = x){
        return b.f(y);
    } else {
        return -1;
    }
}

int program(int argc, string[] argv) {
    var s = new Extend[5]{x -> new Extend{a=3; b=true; c="hello"; f=neg; g=inc}};
    return f(s[2], -3);
}
```



COMPILING WITH TYPES

Compilation As Translating Judgments

- Consider the source typing judgment for source expressions:

$$C \vdash e : t$$

- How do we interpret this information in the target language?

$$\llbracket C \vdash e : t \rrbracket = ?$$

- $\llbracket C \rrbracket$ translates contexts
- $\llbracket t \rrbracket$ is a target type
- $\llbracket e \rrbracket$ translates to a (potentially empty) stream of instructions, that, when run, computes the result into some operand
- INVARIANT: if $\llbracket C \vdash e : t \rrbracket = \text{ty}, \text{operand}, \text{stream}$
then the type (at the target level) of the operand is $\text{ty} = \llbracket t \rrbracket$

Example

- $C \vdash 4521 + 5 : \text{int}$ what is $\llbracket C \vdash 4521 + 5 : \text{int} \rrbracket$?

$\llbracket \vdash 4521 : \text{int} \rrbracket = (\text{i64}, \text{Const } 4521, [])$ $\llbracket \vdash 5 : \text{int} \rrbracket = (\text{i64}, \text{Const } 5, [])$

 $\llbracket C \vdash 4521 : \text{int} \rrbracket = (\text{i64}, \text{Const } 4521, [])$ $\llbracket C \vdash 5 : \text{int} \rrbracket = (\text{i64}, \text{Const } 5, [])$

 $\llbracket C \vdash 4521 + 5 : \text{int} \rrbracket = (\text{i64}, \%tmp, [\%tmp = \text{add i64 (Const } 4521) (\text{Const } 5)])$

What about the Context?

- What is $\llbracket C \rrbracket$?
- Source level C has bindings like: $x:\text{int}, y:\text{bool}$
 - We think of it as a finite map from identifiers to types
- What is the interpretation of C at the target level?
- $\llbracket C \rrbracket$ maps source identifiers, “ x ” to target types and $\llbracket x \rrbracket$
- What is the interpretation of a variable $\llbracket x \rrbracket$ at the target level?
 - How are the variables used in the type system?

Interpretation of Contexts

- $\llbracket C \rrbracket$ = a map from source identifiers to types and target identifiers
- INVARIANT:
 $x:t \in C$ means that
 - (1) $\text{lookup } \llbracket C \rrbracket x = (\llbracket t \rrbracket^*, \%id_x)$
 - (2) the (target) type of $\%id_x$ is $\llbracket t \rrbracket^*$ (a pointer to $\llbracket t \rrbracket$)

Interpretation of Variables

$$\left[\frac{x:t \in L}{H;G;L \vdash_{lhs} x : t; \overline{T}} \right] = (T, \text{true}, \%id_x, [])$$

as addresses
(which can be assigned)

where $(T, \%id_x) = \text{lookup } \llbracket L \rrbracket x$
and by invariant: $T = \llbracket t \rrbracket^*$

$$\left[\frac{H;G;L \vdash_{lhs} lhs : t; r}{H;G;L \vdash lhs : t} \right] = (\%tmp, T, \text{stream @ } [\%tmp = \text{load } T * \%ptr])$$

lhs as expressions
(which are values)

where
 $\llbracket H;G;L \vdash_{lhs} lhs : t; T \rrbracket = (\llbracket t \rrbracket, \text{true}, ptr, \text{stream})$

Interpretation of Assignment Stmts

$$\left[\frac{\begin{array}{l} H;G;L \vdash_{lhs} lhs : t; \top \\ H;G;L \vdash exp : t' \\ H \vdash t' \leq t \end{array}}{H;G;L;rt \vdash lhs = exp; \Rightarrow L; \perp} \right] = (\llbracket H;G;L \rrbracket, \text{ptr_code} @ \text{exp_code} @ [\text{store } T \ \%e_op, \%ptr])$$

assignment to a lhs

where

$$\llbracket H;G;L \vdash_{lhs} lhs : t; \top \rrbracket = (\llbracket t \rrbracket, \text{true}, \text{ptr}, \text{ptr_code})$$

and

$$\llbracket H;G;L \vdash_{lhs} exp : t' \rrbracket = (\llbracket t' \rrbracket, \%e_op, \text{exp_code})$$

Other Judgments?

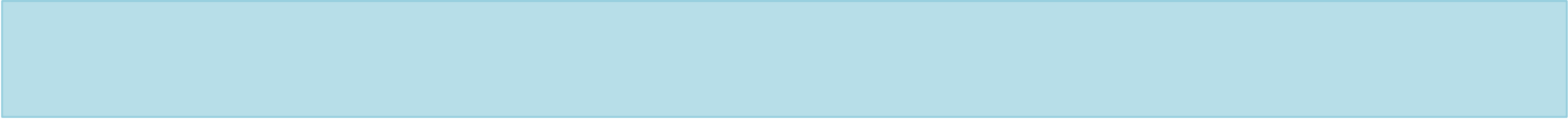
- Statement:
 $\llbracket H;G;L; \text{rt} \vdash \text{stmt} \Rightarrow C' \rrbracket = \llbracket C' \rrbracket, \text{stream}$
- Declaration:
 $\llbracket H;G;L \vdash \text{var } x = \text{exp} \Rightarrow G;L, x:t \rrbracket = \llbracket G;L, x:t \rrbracket, \text{stream}$

INVARIANT: stream is of the form:

stream' @
[E %id_x = alloca $\llbracket t \rrbracket$;
 I store $\llbracket t \rrbracket$ opn, $\llbracket t \rrbracket^* \text{\%id_x}$]

and $\llbracket H;G;L \vdash \text{exp} : t \rrbracket = (\llbracket t \rrbracket, \text{opn}, \text{stream}')$

- Rest follow similarly



COMPILING CONTROL

Translating while

- Consider translating “while(e) s”:
 - Test the conditional, if true jump to the body, else jump to the label after the body.

$\llbracket C; \text{rt} \vdash \text{while}(e) \ s \Rightarrow C' \rrbracket = \llbracket C' \rrbracket,$

```
lpre:
    opn =  $\llbracket C \vdash e : \text{bool} \rrbracket$ 
    %test = icmp eq i1 opn, 0
    br %test, label %lpost, label %lbody
lbody:
     $\llbracket C; \text{rt} \vdash s \Rightarrow C' \rrbracket$ 
    br %lpre
lpost:
```

- Note: writing $\text{opn} = \llbracket C \vdash e : \text{bool} \rrbracket$ is pun
 - translating $\llbracket C \vdash e : \text{bool} \rrbracket$ generates *code* that puts the result into **opn**
 - In this notation there is implicit collection of the code

Translating if-then-else

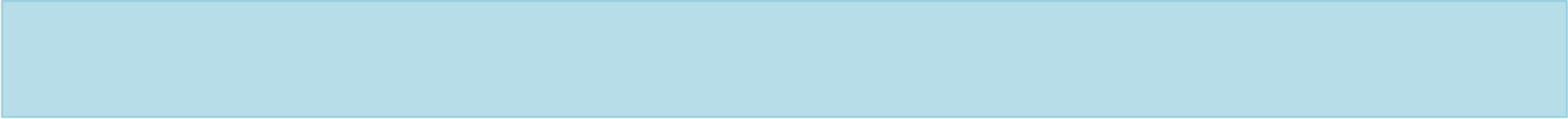
- Similar to while except that code is slightly more complicated because if-then-else must reach a merge and the else branch is optional.

$$\llbracket C; \text{rt} \vdash \text{if } (e_1) s_1 \text{ else } s_2 \Rightarrow C' \rrbracket = \llbracket C' \rrbracket$$

```
    opn =  $\llbracket C \vdash e : \text{bool} \rrbracket$ 
    %test = icmp eq i1 opn, 0
    br %test, label %else, label %then
then:
     $\llbracket C; \text{rt} \vdash s_1 \Rightarrow C' \rrbracket$ 
    br %merge
else:
     $\llbracket C; \text{rt} \vdash s_2 \Rightarrow C' \rrbracket$ 
    br %merge
merge:
```

Connecting this to Code

- Instruction streams:
 - Must include labels, terminators, and “hoisted” global constants
- Must post-process the stream into a control-flow-graph
- See frontend.ml from HW4



OPTIMIZING CONTROL

Standard Evaluation

- Consider compiling the following program fragment:

```
if (x & !y | !w)
    z = 3;
else
    z = 4;
return z;
```



```
%tmp1 = icmp Eq [[y]], 0      ; !y
%tmp2 = and [[x]] [[tmp1]]
%tmp3 = icmp Eq [[w]], 0
%tmp4 = or %tmp2, %tmp3
%tmp5 = icmp Eq %tmp4, 0
br %tmp4, label %else, label %then
```

```
then:
    store [[z]], 3
    br %merge
```

```
else:
    store [[z]], 4
    br %merge
```

```
merge:
    %tmp5 = load [[z]]
    ret %tmp5
```

Observation

- Usually, we want the translation $\llbracket e \rrbracket$ to produce a value
 - $\llbracket C \vdash e : t \rrbracket = (\text{ty}, \text{operand}, \text{stream})$
 - e.g. $\llbracket C \vdash e_1 + e_2 : \text{int} \rrbracket = (\text{i64}, \%tmp, [\%tmp = \text{add i64 } \llbracket e_1 \rrbracket \llbracket e_2 \rrbracket])$
- But when the boolean expression we're compiling appears in a test, the program jumps to one label or another after the comparison but otherwise never uses the value.
- In many cases, we can avoid “materializing” the value (i.e., storing it in a temporary) and thus produce better code.
 - This idea also lets us implement different functionality too:
e.g. short-circuiting Boolean expressions
- Make up new "judgement" that is similar to $\llbracket C \vdash e : \text{bool} \rrbracket$ but has a different semantics. Call it $\llbracket C \vdash e : \text{bool}@ \rrbracket$

Idea: Use a different translation for tests

Usual Expression translation:

$$\llbracket C \vdash e : t \rrbracket = (\text{ty}, \text{operand}, \text{stream})$$

Conditional branch translation of booleans,
without materializing the value:

$$\llbracket C \vdash e : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{stream}$$
$$\llbracket C, \text{rt} \vdash \text{if } (e) \text{ then } s_1 \text{ else } s_2 \Rightarrow C' \rrbracket = \llbracket C' \rrbracket,$$

Notes:

- takes two extra arguments: a “true” branch label and a “false” branch label.
- Doesn’t “return a value”
- Aside: this is a form of continuation-passing translation...

```
    insns3
  then:
     $\llbracket s_1 \rrbracket$ 
    br %merge
  else:
     $\llbracket s_2 \rrbracket$ 
    br %merge
  merge:
```

where

$$\llbracket C, \text{rt} \vdash s_1 \Rightarrow C' \rrbracket = \llbracket C' \rrbracket, \text{ insns}_1$$
$$\llbracket C, \text{rt} \vdash s_2 \Rightarrow C'' \rrbracket = \llbracket C'' \rrbracket, \text{ insns}_2$$
$$\llbracket C \vdash e : \text{bool}@ \rrbracket \text{ then else} = \text{insns}_3$$

Short Circuit Compilation: Expressions

- $\llbracket C \vdash e : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insns}$

$$\llbracket C \vdash \text{false} : \text{bool}@ \rrbracket \text{ ltrue lfalse} = [\text{br } \% \text{lfalse}] \quad \text{FALSE}$$

$$\llbracket C \vdash \text{true} : \text{bool}@ \rrbracket \text{ ltrue lfalse} = [\text{br } \% \text{ltrue}] \quad \text{TRUE}$$

$$\llbracket C \vdash e : \text{bool}@ \rrbracket \text{ lfalse ltrue} = \text{insns} \quad \text{NOT}$$

$$\llbracket C \vdash !e : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insns}$$

Short Circuit Evaluation

Idea: build the logic into the translation

$$\llbracket C \vdash e1 : \text{bool}@ \rrbracket \text{ ltrue right} = \text{insns}_1 \quad \llbracket C \vdash e2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insns}_2$$
$$\llbracket C \vdash e1 \mid e2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} =$$

insns₁
right:
insns₂

$$\llbracket C \vdash e1 : \text{bool}@ \rrbracket \text{ right lfalse} = \text{insns}_1 \quad \llbracket C \vdash e2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insns}_2$$
$$\llbracket C \vdash e1 \& e2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} =$$

insns₁
right:
insns₂

where **right** is a fresh label

Short-Circuit Evaluation

- Consider compiling the following program fragment:

```
if (x & !y | !w)
    z = 3;
else
    z = 4;
return z;
```



```
%tmp1 = icmp Eq [[x]], 0
br %tmp1, label %right2, label %right1

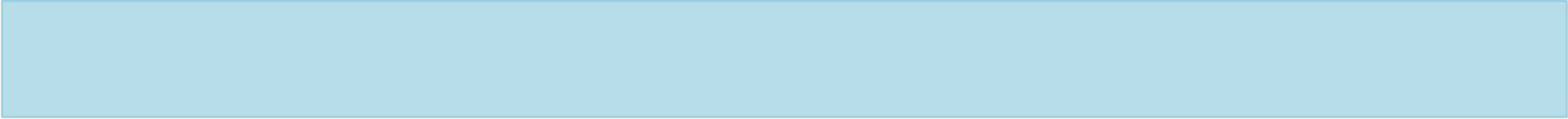
right1:
    %tmp2 = icmp Eq [[y]], 0
    br %tmp2, label %then, label %right2

right2:
    %tmp3 = icmp Eq [[w]], 0
    br %tmp3, label %then, label %else

then:
    store [[z]], 3
    br %merge

else:
    store [[z]], 4
    br %merge

merge:
    %tmp5 = load [[z]]
    ret %tmp5
```



COMPILING CLASSES AND OBJECTS

Code Generation for Objects

- Classes:
 - Generate data structure types
 - For objects that are instances of the class and for the class tables
 - Generate the class tables for dynamic dispatch
- Methods:
 - Method body code is similar to that for functions/closures
 - Method calls require *dispatch*
- Fields:
 - Issues are the same as for records
 - Generating access code
- Constructors:
 - Object initialization
- Dynamic Types:
 - Checked downcasts
 - “instanceof” and similar type dispatch

Multiple Implementations

- The same interface can be implemented by multiple classes:

```
interface IntSet {  
    public IntSet insert(int i);  
    public boolean has(int i);  
    public int size();  
}
```

```
class IntSet1 implements IntSet {  
    private List<Integer> rep;  
    public IntSet1() {  
        rep = new LinkedList<Integer>();  
    }  
  
    public IntSet1 insert(int i) {  
        rep.add(new Integer(i));  
        return this;  
    }  
  
    public boolean has(int i) {  
        return rep.contains(new Integer(i));  
    }  
  
    public int size() {return rep.size();}  
}
```

```
class IntSet2 implements IntSet {  
    private Tree rep;  
    private int size;  
    public IntSet2() {  
        rep = new Leaf(); size = 0;  
    }  
  
    public IntSet2 insert(int i) {  
        Tree nrep = rep.insert(i);  
        if (nrep != rep) {  
            rep = nrep; size += 1;  
        }  
        return this;  
    }  
  
    public boolean has(int i) {  
        return rep.find(i);  
    }  
  
    public int size() {return size;}  
}
```

The Dispatch Problem

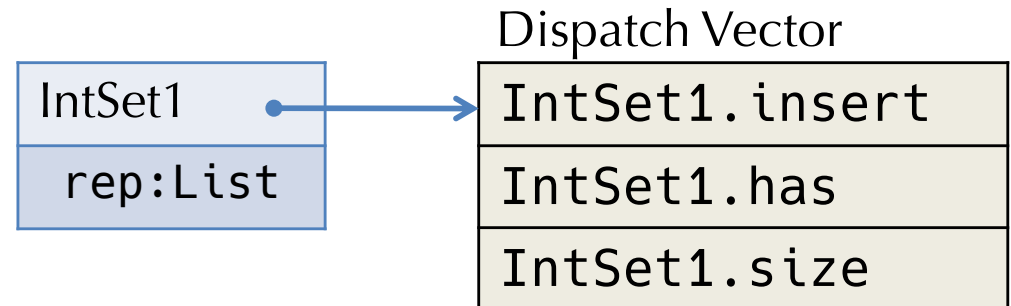
- Consider a client program that uses the IntSet interface:

```
void someMethod(IntSet set) {  
    int x = set.size(); // What code gets run?  
    ...  
}
```

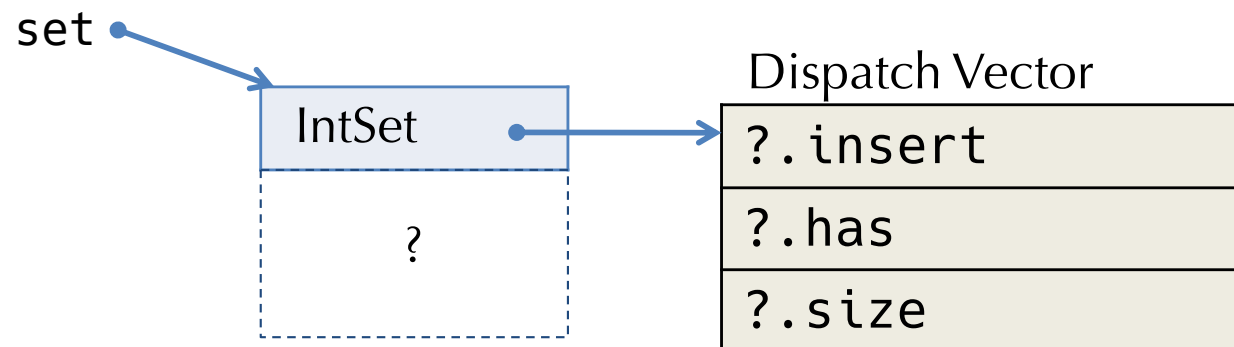
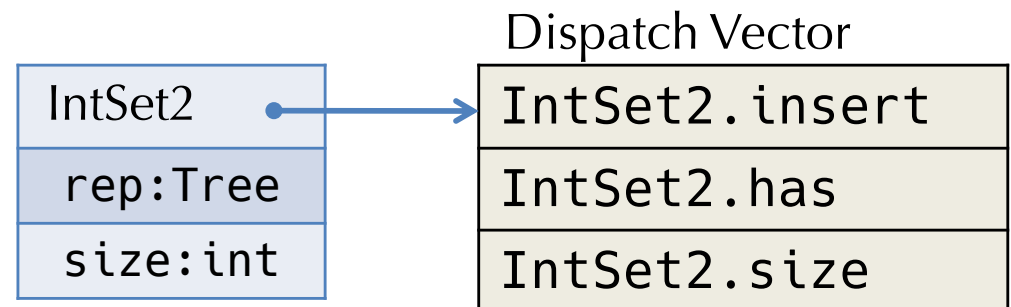
- Which code to call?
 - IntSet1.size ?
 - IntSet2.size ?
 - some other implementation's size method?
- Client code doesn't know the answer.
 - So, objects must “know” which code to call.
 - Invocation of a method must indirect through the object.

Compiling Objects

- Objects contain a pointer to a *dispatch vector* (also called a *virtual table* or *vtable*) with pointers to method code.



- Code receiving `set: IntSet` only knows that `set` has an initial dispatch vector pointer and the layout of that vector.



Method Dispatch (Single Inheritance)

- Idea: every method has its own small integer index.
- Index is used to look up the method in the dispatch vector.

```
interface A {  
    void foo();  
}
```

Index

0

```
interface B extends A {  
    void bar(int x);  
    void baz();  
}
```

1

2

Inheritance / Subtyping:

C <: B <: A

```
class C implements B {  
    void foo() {...}  
    void bar(int x) {...}  
    void baz() {...}  
    void quux() {...}  
}
```

0

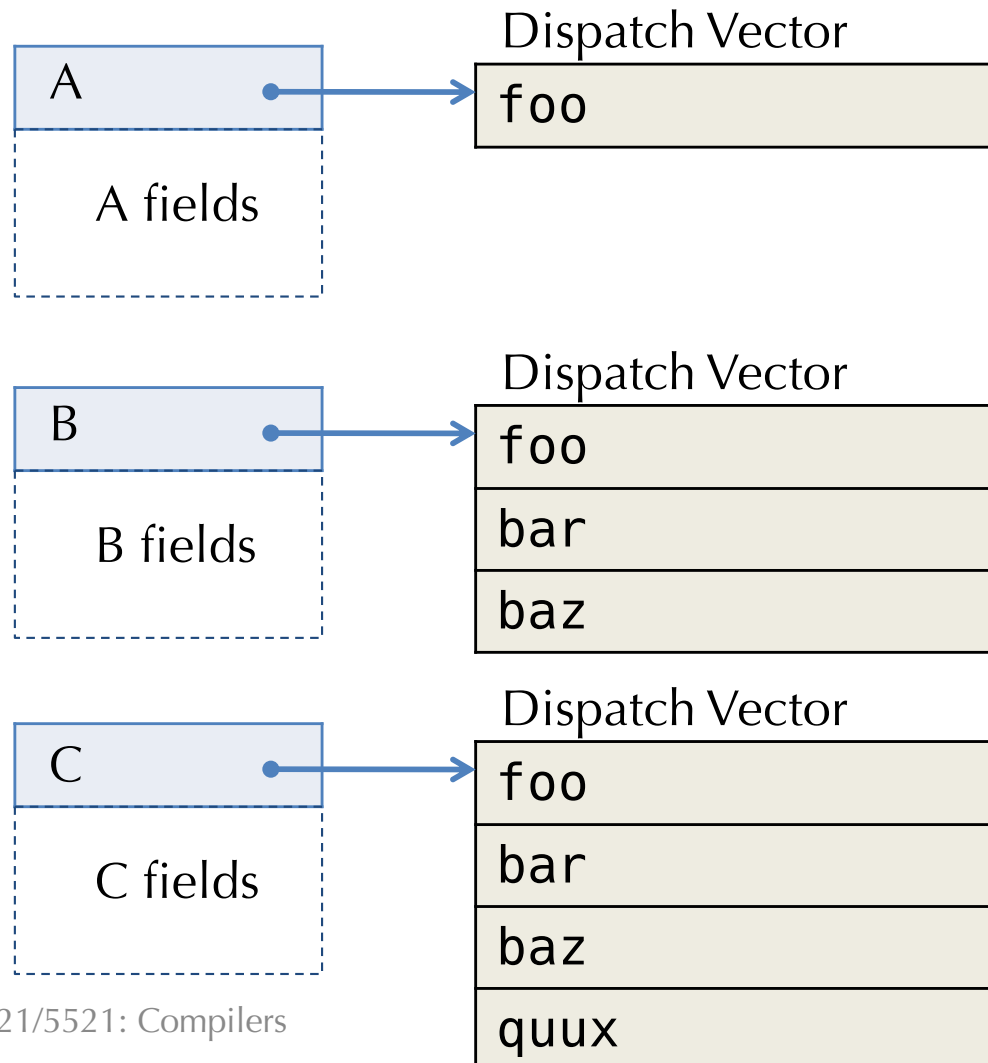
1

2

3

Dispatch Vector Layouts

- Each interface and class gives rise to a dispatch vector layout.
- Note that inherited methods have identical dispatch indices in the subclass. (*Width subtyping*)



Representing Classes in the LLVM

- During typechecking, create a *class hierarchy*
 - Maps each class to its interface:
 - Superclass
 - Constructor type
 - Fields
 - Method types (plus whether they inherit & which class they inherit from)
- Compile the class hierarchy to produce:
 - An LLVM IR struct type for each object instance
 - An LLVM IR struct type for each vtable (a.k.a. class table)
 - Global definitions that implement the class tables

Example OO Code (Java)

```
class A {  
    A (int x)                                // constructor  
    { super(); int x = x; }  
  
    void print() { return; }                // method1  
    int blah(A a) { return 0; }            // method2  
}  
  
class B extends A {  
    B (int x, int y, int z){  
        super(x);  
        int y = y;  
        int z = z;  
    }  
  
    void print() { return; }                // overrides A  
}  
  
class C extends B {  
    C (int x, int y, int z, int w){  
        super(x,y,z);  
        int w = w;  
    }  
    void foo(int a, int b) {return;}  
    void print() {return;}                // overrides B  
}
```


Type Translation of a Class

- Each class gives rise to two implementation types:
- Object Instance Type
 - pointer to the dispatch vector
 - fields of the class
- Dispatch Vector Type
 - pointer to the superclass dispatch vector
 - pointers to methods of the class
- The inheritance hierarchy is used to statically construct the global class tables
 - which are records that have Dispatch Vector Types

Example OO Hierarchy in LLVM

```
%Object = type { %_class_Object* }  
%_class_Object = type { }
```

Object instance types

```
%A = type { %_class_A*, i64 }
```

```
%_class_A = type { %_class_Object*, void (%A*)*, i64 (%A*, %A*)* }
```

Class table types

```
%B = type { %_class_B*, i64, i64, i64 }
```

```
%_class_B = type { %_class_A*, void (%B*)*, i64 (%A*, %A*)* }
```

```
%C = type { %_class_C*, i64, i64, i64, i64 }
```

```
%_class_C = type { %_class_B*, void (%C*)*, i64 (%A*, %A*)*, void (%C*, i64, i64)* }
```

```
@_vtbl_Object = global %_class_Object { }
```

```
@_vtbl_A = global %_class_A { %_class_Object* @_vtbl_Object,  
                             void (%A*)* @print_A,  
                             i64 (%A*, %A*)* @blah_A }
```

```
@_vtbl_B = global %_class_B { %_class_A* @_vtbl_A,  
                             void (%B*)* @print_B,  
                             i64 (%A*, %A*)* @blah_A }
```

```
@_vtbl_C = global %_class_C { %_class_B* @_vtbl_B,  
                             void (%C*)* @print_C,  
                             i64 (%A*, %A*)* @blah_A,  
                             void (%C*, i64, i64)* @foo_C }
```

Class tables
(structs containing
function pointers)

Method Arguments

- Methods bodies are compiled just like top-level procedures...
- ... except that they have an implicit extra argument: **this** (or **self**)
 - Historically (Smalltalk), these were called the “receiver object”
 - Method calls were thought of as sending “messages” to “receivers”

A method in a class...

```
class IntSet1 implements IntSet {  
    ...  
    IntSet1 insert(int i) { <body> }  
}
```

... is compiled like this (top-level) procedure:

```
IntSet1 insert(IntSet1 this, int i) { <body> }
```

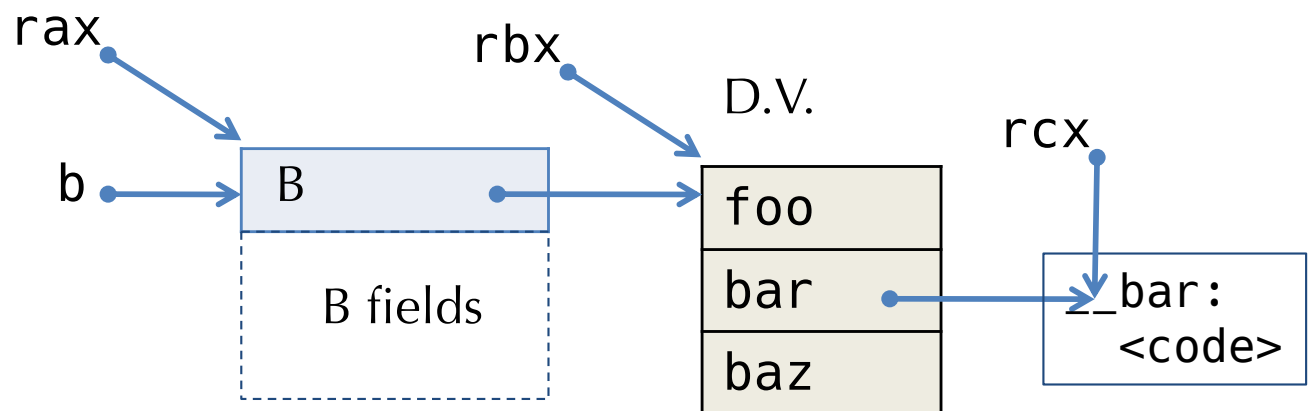
- Note 1: the type of “**this**” is the class containing the method.
- Note 2: references to fields inside <body> are compiled like **this.field**

LLVM Method Invocation Compilation

- Consider method invocation:
$$\llbracket H; G; L \vdash e.m(e_1, \dots, e_n) : t \rrbracket$$
- First, compile $\llbracket H; G; L \vdash e : C \rrbracket$
to get a (pointer to) an object value of class type C
 - Call this value `%obj_ptr`
- Use `getelementptr` to extract the vtable pointer from `%obj_ptr`
- `load` the vtable pointer
- Use `getelementptr` to extract the address of the function pointer from the vtable
 - using the information about C in H
- `load` the function pointer
- Call through the function pointer, passing '`%obj_ptr`' for this:
$$\text{call (cmp_typ } t) m(\text{obj_ptr}, \llbracket e_1 \rrbracket, \dots, \llbracket e_n \rrbracket)$$
- In general, function calls may require `bitcast` to account for subtyping: arguments may be a subtype of the expected “formal” type

X86 Code For Dynamic Dispatch

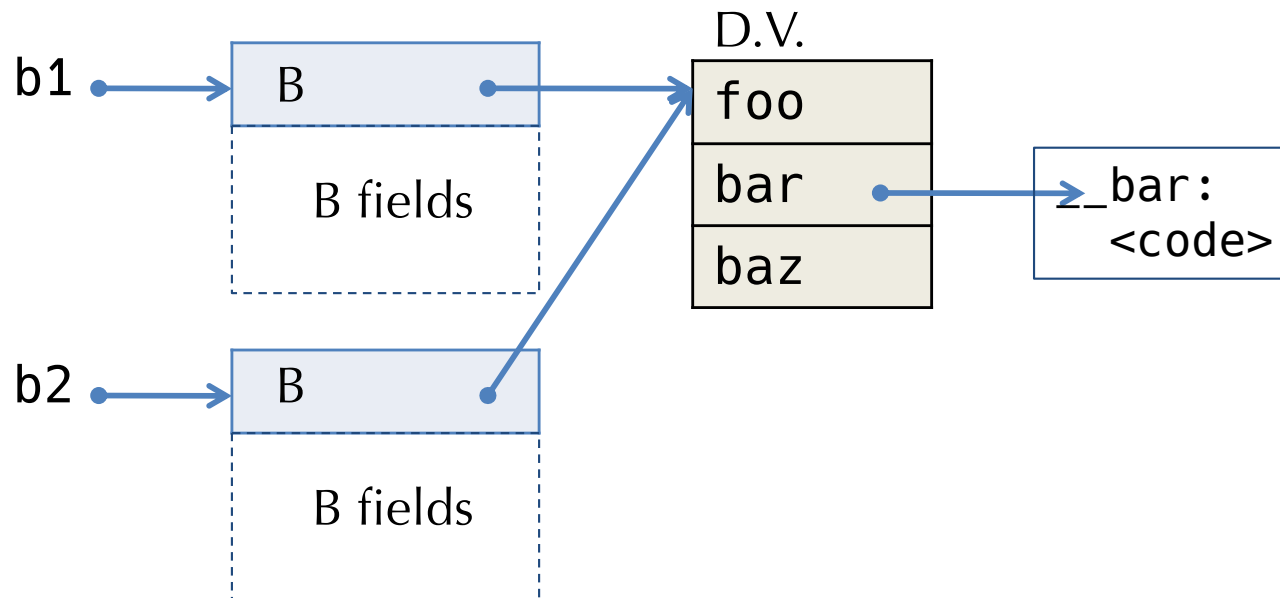
- Suppose `b : B`
- What code for `b.bar(3)`?
 - `bar` has index 1
 - Offset = $8 * 1$



```
movq [[b]], %rax
movq [%rax], %rbx
movq [rbx+8], %rcx    // D.V. + offset
movq %rax, %rdi       // "this" pointer
movq 3, %rsi          // Method argument
call %ecx             // Indirect call
```

Sharing Dispatch Vectors

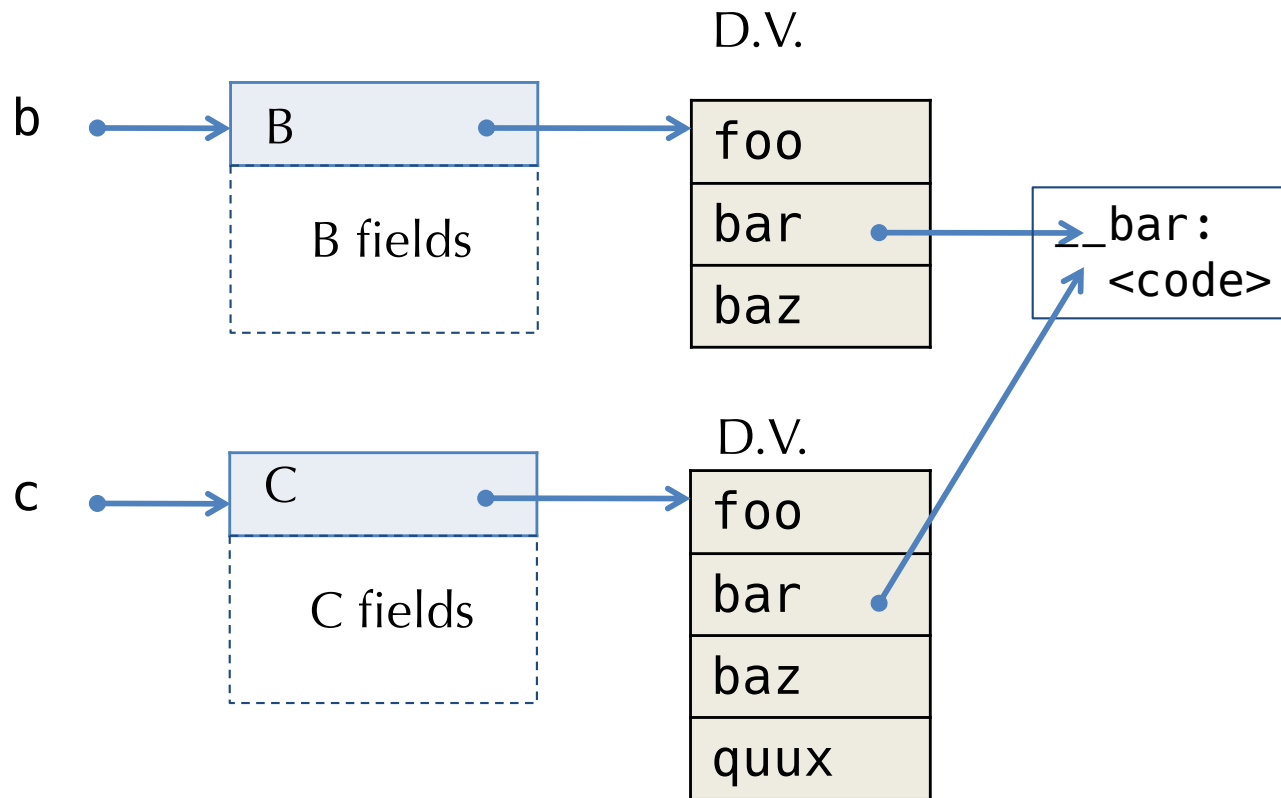
- All instances of a class may share the same dispatch vector.
 - Assuming that methods are immutable.
- Code pointers stored in the dispatch vector are available at link time – dispatch vectors can be built once at link time.



- One job of the object constructor is to fill in the object's pointer to the appropriate dispatch vector.
- Note: The address of the D.V. is the run-time representation of the object's type.

Inheritance: Sharing Code

- Inheritance: Method code “copied down” from the superclass
 - If not overridden in the subclass
- Works with separate compilation – superclass code not needed.



Compiling Static Methods

- Java supports *static* methods
 - Methods that belong to a class, not the instances of the class.
 - They have no “this” parameter (no receiver object)
- Compiled exactly like normal top-level procedures
 - No slots needed in the dispatch vectors
 - No implicit “this” parameter
- They’re not really methods
 - They can only access static fields of the class

Compiling Constructors

- Java and C++ classes can declare constructors that create new objects.
 - Initialization code may have parameters supplied to the constructor
 - e.g. `new Color(r,g,b);`
- Modula-3: object constructors take no parameters
 - e.g. `new Color;`
 - Initialization would typically be done in a separate method.
- Constructors are compiled just like static methods, except:
 - The “this” variable is initialized to a newly allocated block of memory big enough to hold D.V. pointer + fields according to object layout
 - Constructor code initializes the fields
 - What methods (if any) are allowed?
 - The D.V. pointer is initialized
 - When? Before/After running the initialization code?

Compiling Checked Casts

- How do we compile downcast in general? Consider this generalization of Oat's checked cast:

```
if? (t x = exp) { ... } else { ... }
```

- Reason by cases:
 - t must be either null, ref or ref? (can't be just int or bool)
- If t is null:
 - The static type of exp must be ref? for some ref.
 - If exp == null then take the true branch, otherwise take the false branch
- If t is string or t[]:
 - The static type of exp must be the corresponding string? Or t[]?
 - If exp == null take the false branch, otherwise take the true branch
- If t is C:
 - The static type of exp must be D or D? (where C <: D)
 - If exp == null take the false branch, otherwise:
 - emit code to walk up the class hierarchy starting at D, looking for C
 - If found, then take true branch else take false branch
- If t is C?:
 - The static type of exp must be D? (where C <: D)
 - If exp == null take the true branch, otherwise:
 - Emit code to walk up the class hierarchy starting at D, looking for C
 - If found, then take true branch else take false branch

“Walking up the Class Hierarchy”

- A non-null object pointer refers to an LLVM struct with a type like:

```
%B = type { %_class_B*, i64, i64, i64 }
```

- The first entry of the struct is a pointer to the vtable for Class B
 - This pointer *is* the dynamic type of the object.
 - It will have the value `@vtbl_B`
- The first entry of the class table for B is a pointer to its superclass:

```
@vtbl_B = global %_class_B { %_class_A* @vtbl_A,  
                             void (%B*)* @print_B,  
                             i64 (%A*, %A*)* @blah_A }
```

- Therefore, to find out whether an unknown type X is a subtype of C:
 - Assume C is not Object (ruled out by “silliness” checks for downcast)
- LOOP:
- If `X == @vtbl_Object` then NO, X is not a subtype of C
 - If `X == @vtbl_C` then YES, X is a subtype of C
 - If `X = @vtbl_D`, so set X to `@vtbl_E` where E is D’s parent and goto LOOP