

**Penn Engineering** **ESE**

Finish preclass.  
Submit Learning Style Form  
Lecture starts 9:05am

Lecture #1 - Course Introduction / Intro to Digital Audio

**ESE 150 –  
DIGITAL AUDIO BASICS**

Based on slides © 2009-2021 DeHon  
Additional Material © 2014-2017 Farmer

**LECTURE TOPICS**

- × **History & Motivation**
  - + Computing and Digital Audio
- × **Overview of Class Schedule**
  - + Big picture of our class goals
- × **Course Introduction / Goals of Class**
  - + Syllabus; Laboratory; Grading
- × **Course Content Overview**

**PART 2**

- × **Quick Week-by-week breakdown of class itself**
- × **Summary**

**HISTORY & MOTIVATION**

**POLL**

- × **Believe I can assume you use a cell phone and GPS**
- × **How do you obtain music?** [answer chat]
- × **Communicate with friends outside of school?**
  - + Voice phone, e-mail, text message, facebook, skype?
- × **Where do you go to find answers?**
  - + Google, wikipedia

**CHANGING WORLD**

Moore's Law – The number of transistors on integrated circuit chips (1971-2018)  
Moore's law describes the empirical regularity that the number of transistors on integrated circuits doubles approximately every two years.  
This advertisement is a reporter as other aspects of technological progress – such as processing speed or the price of electronic products – are linked to Moore's law.

[https://commons.wikimedia.org/wiki/File:Moore%27s\\_Law\\_Transistor\\_Count\\_1971-2018.png](https://commons.wikimedia.org/wiki/File:Moore%27s_Law_Transistor_Count_1971-2018.png)

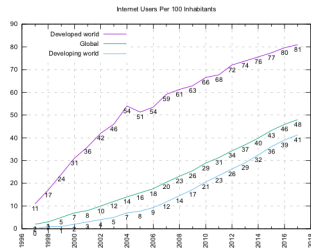
- × **Moore's Law: Every 18 months, size of transistor halved**
  - + Who cares?
    - × In same area, can fit twice as many transistors, twice the computing power!
    - × Also, generally: if you make a transistor smaller, it gets a bit faster
      - × ...up to a point...

**CHANGING WORLD**

- × **Moore's Law**
- × **Internet Grew**

By Kopiersperre, Ke4roh - Own work, CC BY-SA 3.0,  
<https://commons.wikimedia.org/w/index.php?curid=36391402>

## CONNECTING THE WORLD



By Jeff Ogden (W163) and Jim Scarborough (Ke4roh) - Own work, CC BY-SA 3.0, <https://commons.wikimedia.org/w/index.php?curid=18972898>

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## IN YOUR LIFETIME...

- × 1998: Google, PayPal, First commercial MP3 player
- × 2001: iPod, Wikipedia launched
- × 2002: Go Pro launched
- × 2003: iTunes launched, Skype released, Tesla launched
- × 2004: Facebook launched
- × 2005: YouTube launched
- × 2006: Twitter launched, DJI launched
- × 2007: iPhone introduced, Hulu launched, Netflix add video streaming
- × 2008: Bitcoin, Spotify
- × 2009: Venmo
- × 2010: Instagram
- × 2011: Siri, Snapchat, Google driverless cars, Uber
- × 2012: Makerbot Replicator, Tinder launched
- × 2013: Google Glass
- × 2014: Amazon Alexa
- × 2015: iWatch
- × 2016: AirPods, Pokemon Go
- × 2017: Tik Tok
- × 2019: Disney+, Apple+

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## COOL STUFF OF TODAY...

- × Today's "must have" technology is:
  - + computerized, networked, and based on digital media
- × Cell phones
- × MP3 players (Digital Audio Players)
  - + Internet enabled
- × Digital cameras and video recorders (part of phones!)
- × Realistic Video Games
- × Integrated (e.g. iPhone, iPad)
- × DVRs (e.g. TiVo)
- × E-book readers (e.g. Kindle)
- × 3D printers (e.g. Makerbot)
  - + Circuit Scribe – draw actual circuits, electric ink!
  - + Replicator...
- × Augmented Reality (e.g. Jedi Challenge, Pokemon-Go)
  - + Holodeck...

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## WHAT MAKES US SAFER, LIVE LONGER?

- × **Transportation**
  - + Anti-lock brakes
  - + Traction control
  - + Blind-side assist
- × **Watch over**
  - + Security cameras
  - + Baby monitors
- × **Medical Devices**
  - + Ultrasound
  - + MRI
  - + DNA sequencing
  - + Pacemakers

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## WHAT DO THESE THINGS INVOLVE?

- × **Computation**
- × **Communications**
- × **Hardware**
- × **Substantial software**
- × → **Products of Computer Engineers**

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## CHANGING WORLD: SMALL WORLD

- × **Ubiquitous Internet**
  - + This changed everything
  - + Smartphone let us carry Internet with us
- × **Facebook**
  - + Allowed us instantly find anyone!
  - + United the world in many ways



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## CHANGING WORLD: EASY SHARING

- × Easy Instant sharing and storage
- × Photos, videos, writing
- × Web, Facebook, Youtube, Blogs
- × Backed up, Cloud
- × Accessible anywhere in the world
- × Indexed and searchable
- × Can carry it with you

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## CHANGING WORLD: INSTANT GRATIFICATION

- × **Search engines**
  - + Instant access to knowledge
- × **iTunes/Spotify**
  - + Instant access to music/casts/apps/video too
- × **Streaming video**
  - + Instant access to video/news/visual information
  - + Internet services/Netflix/Hulu/YouTube/On-Demand/etc.
- × **Amazon.com**
  - + Instant access to nearly any product, ~drone delivery!

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## CHANGING WORLD: NEW WEALTH, NEW PLAYERS

- × **Microsoft founded 1975**
  - + World's richest man... for a while
- × **Apple founded 1976**
  - + Highest valued company
- × **Oracle 1977**
- × **CISCO 1984**
- × **NVIDIA 1993**
- × **Amazon.com 1994**
  - + Just passed richest man...
- × **E-Bay 1995**
- × **Google, Netflix, PayPal 1998**
- × **Tesla 2003**
  - + New richest man
- × **Facebook 2004**
- × **Twitter 2006**
- × **Bitcoin 2008**
- × **Venmo 2009**

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## CONVERGENCE

- × **Big Ideas and Advanced Technology**
  - + Digitize Everything
  - + Cheap Digital Processing
  - + Cheap Storage
  - + Cheap Digital Bandwidth
- × **Driven by Moore's Law**
  - + Store and compute more bits per \$\$

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## ENABLED BY VISIONARY ENGINEERS

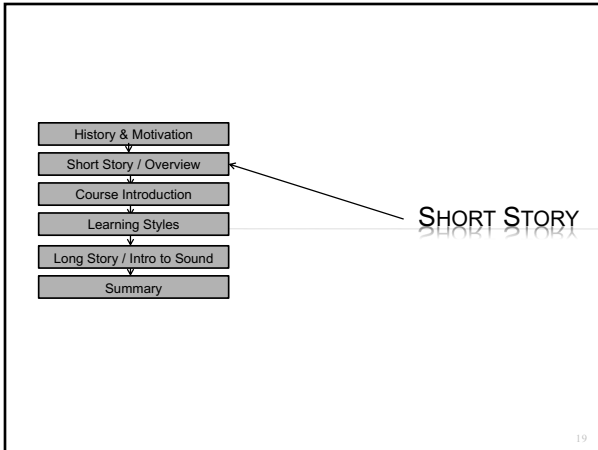
- × **Hard work, inspiration, and competition**
  - + ...would not have just happened
  - + Certain applications/products tie many things together
    - × No one realized facebook/music would be "killer app" for smartphone revolution
- × **Most inconceivable just prior**
  - + Compare how archaic the "future" looks in most movies just 20 years old
- × **What's next?**
- × **How can we harness to make the world better?**

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## BEFORE GOING ON... CALIBRATION:

- × **What is a bit (a Binary Digit)?**
  - + Smallest piece of information we can store (on/off)
  - + Indicates true or false
- × **How many bits in a byte?**
  - + 8
- × **Bytes in a Kilobyte?**
  - +  $2^{10} \times 1 \text{ byte} = 1024 \text{ bytes}$
- × **Bytes in a Megabyte?**
  - +  $2^{10} \times 1 \text{ KB} = 1,048,576 \text{ bytes}$
- × **Bytes in a Gigabyte?**
  - +  $2^{10} \times 1 \text{ MB} = 1,073,741,824 \text{ bytes}$
- × **How many Bytes to store a typical song?**

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## VIRTUALIZATION OF THE WORLD

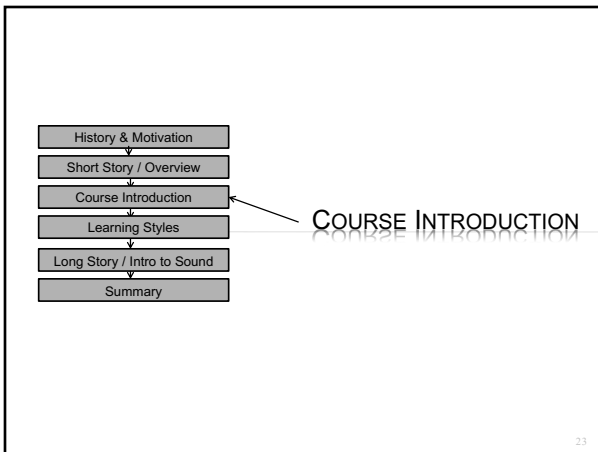
- ✦ **Can represent things as bits**
  - + sound, pictures, movies
  - + location, situation, ...
  - + shapes, circuits, drugs, DNA
- ✦ **Cheap/powerful ways to automatically manipulate**
  - + ...and reproduce

https://www.youtube.com/watch?v=1AcYU12QbAo

## CLASS STORY: ONE SLIDE

- ✦ **Sound can be converted to/from bits**
  - + and compressed, without loss of information
- ✦ **More information can be discarded without humans noticing → fewer bits**
- ✦ **Process this information with inexpensive machines**
  - + Store it for retrieval
- ✦ **Send it between machines**
  - + Even if not directly connected

## COURSE MAP



## ABOUT THE COURSE

### ESE150

Compression,  
**MP3s,**  
Psychoacoustics, and  
**Everything**

- ✦ **ESE 150: Digital Audio Basics**
  - + But really: "Introduction to Computer Engineering"
- ✦ **Our Goals:**
  - + Give you broad context for Computer Engineering
    - ✦ Each week full course on later; 13 different courses!
  - + Expose you to the **big** topics in Computer Engineering
    - ✦ You won't like them all...but you will probably love 1 or 2!
    - ✦ Help you figure out which path in Comp. Eng. to take
  - + Use digital audio as common theme between lectures
    - ✦ This information goes way beyond digital audio
  - + Tie theory to practice ("feel-the-bits") through a weekly lab
    - ✦ To see concepts discussed in lecture in a lab environment

## MECHANICS OF THE CLASS

- × **Wednesday, Friday: Lecture**
  - + Introduce concepts (theory)
  - + Help paint the big picture
- × **Monday: Lab**
  - + Put theory into practice
  - + Apply 1 big concept in real world
    - × Many concepts may appear in lecture...
    - × One will be put to use in guise of digital audio in the lab
  - + Work in teams of 2
  - + Individual lab report write-ups
- × **Friday: Lab Report due**
  - + (except formal one – Sunday, and final one...)

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## LECTURE TIMELINE

- × **Put preclass out previous day**
- × **9:05am – actual start lecture**
- × **9:55am – target end lecture**
- × **Recommend attend synchronous lecture recording**
  - + If not, complete lecture quiz before next lecture

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## GRADING

- × **10% - Class Participation and Quizzes**
  - + Per lecture quiz: Based on lecture content
- × **50% - Weekly Lab Report Writeup**
  - + Work in groups of 2 (we assign and mix up week-to-week)
  - + Labs have “prelab” work to do – counted as part of lab writeup
  - + Drop lowest score on attempted labs
- × **20% - Formal Lab Report**
- × **5% - Midterm Exam**
  - + Warmup for final
- × **15% - Final Exam**
  - + Based on reading material, lecture material, lab work
- × **Read web page for policies**
  - + Not hard, but must show up, engage, do the work

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## COMPONENTS

- × **Lecture slides online morning of lecture**
  - + Probably night before; post piazza
- × **Big Idea – 1p'er for every week**
- × **Reading**
- × **Preclass – available day before class**
  - + Work through to get you thinking about the topic
  - + ...and gives you some of the questions will ask in lecture
  - + Won't be available later; stay up with class
- × **“Warm” Calls during synchronous recording**
  - + Promote interaction/engagement
- × **Feedback forms**
  - + Complete at end of lecture (or after watch)
  - + Help me tune lecture for class

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## CLASS GOALS

- × **Context and motivation for CMPE major**
- × **Appreciate how CMPE, EE, CSCI, SSE:**
  - + Work together
  - + How they impact today's world
- × **Start thinking like an engineer!**

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## OUTCOMES

- × **Able to conduct experiments**
  - + Psychoacoustic, network, hardware
- × **Able to optimize information encoding**
- × **Able to quantify quality vs. size tradeoffs in audio**
- × **Able to use **oscilloscope**, matlab, Arduino, FPGA**
- × **Able to write formal lab report**
- × **Understand role of Intellectual Property**
- × **Appreciate User Interface design**
- × **Understand technology enables new capabilities**

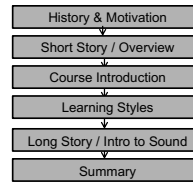
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# ESE150

Compression,  
**MP3s**,  
Psychoacoustics, and  
**Everything**

END PART 1

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LEARNING STYLES

(INTERLUDE)

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## DIMENSIONS

- × **Active (ACT) vs. Reflective (REF)**
  - + Doing vs. thinking
- × **Sensing (SEN) vs. Intuitive (INT)**
  - + Facts and methods vs. abstractions and innovation
- × **Visual (VIS) vs. Verbal (VRB)**
  - + Pictures, diagrams vs. descriptions
- × **Sequential (SEQ) vs. Global (GLO)**
  - + Linear steps vs. context and connections

See reading link on syllabus.

## HOW DO PEOPLE COME OUT?

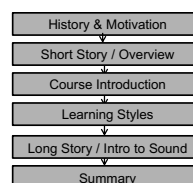
- × **Create Histogram**
- × **How I came out...**
- × **Count numbers by students:**
  - + Bin: 9+, 8-4, 3-1, 0, 1-3, 4-8, 9+
- × **Histograms:**
  - + Active/Reflective
  - + Sensing/Intuitive
  - + Visual/Verbal
  - + Sequential/Global

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## AWARE OF DIFFERENCES

- × **Differences among people**
- × **Differences between faculty and students?**
  - + Claim college courses are biased toward:
    - × Reflective, intuitive, verbal, sequential
- × **This course:**
  - + Active, sensing?, visual, global
- × **Read explanation**
  - + Being aware and how to cope useful for navigating all your courses at Penn

# Part 2



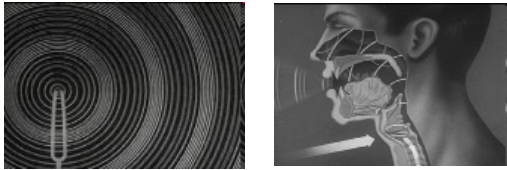
LONG STORY

WEEK 1 & WEEK TO WEEK

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## WEEK 1: INTRODUCTION TO SOUND

- × Sound is a pressure wave



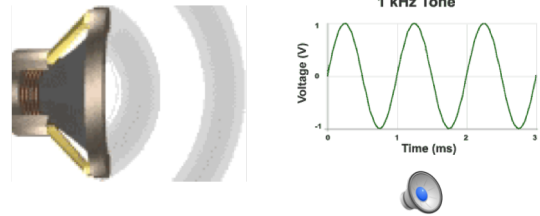
<http://www.archive.org/details/SoundWavesAn>

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## WEEK 1: INTRODUCTION TO SOUND WAVES

Cycle = 1 iteration of sine wave  
Hertz (Hz) = 1 cycle per second

1kHz = 1000 cycles/s



Source: <http://www.mediacollege.com/audio/01/sound-waves.html>

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## WEEK 1: PRESSURE TO VOLTAGE

- × Microphones convert pressure to voltage
  - + (speakers/headphones voltage to pressure)
  - + Physical position to voltage

$\Delta d \rightarrow \Delta C \rightarrow \Delta V$

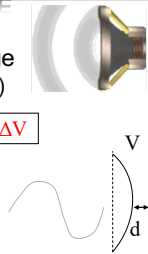
- × Reason as parallel plate capacitor

- + ESE 112 or PHYS 151

$$C = \frac{\epsilon A}{d}$$

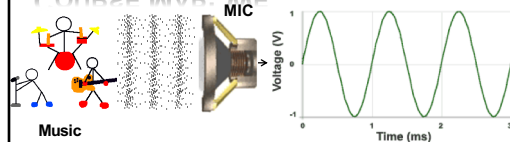
$$Q = CV$$

$$V = \frac{Q}{C}$$

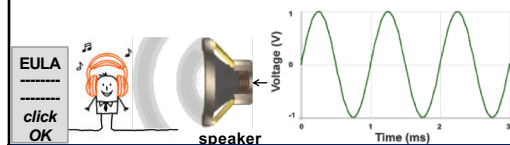


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## COURSE MAP: WEEK 1

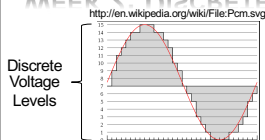


*you will do this in first lab!*



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## WEEK 2: DISCRETE SAMPLING

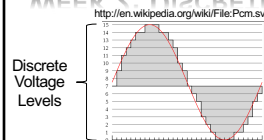


[http://en.wikipedia.org/wiki/File:Compact\\_disc.svg](http://en.wikipedia.org/wiki/File:Compact_disc.svg)

- × Voltages can be sampled discretely
  - + Both in time and amplitude
- × How many bits to represent one of 16 discrete values?
- × In general: 1 of N discrete values?
- × Alternately: B bit number can represent how many things?

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## WEEK 2: DISCRETE SAMPLING



[http://en.wikipedia.org/wiki/File:Compact\\_disc.svg](http://en.wikipedia.org/wiki/File:Compact_disc.svg)

- × Voltages can be sampled discretely
  - + Both in time and amplitude
- × In general: 1 of N discrete values?
- × Alternately: B bit number can represent how many things?
- ×  $N=2^B$   $B = \lceil \log_2(N) \rceil$

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## WEEK 2: DISCRETE SAMPLING

Discrete Voltage Levels

<http://en.wikipedia.org/wiki/File:Pcm.svg>

[http://en.wikipedia.org/wiki/File:Compact\\_disc.svg](http://en.wikipedia.org/wiki/File:Compact_disc.svg)

- Voltages can be sampled discretely
  - Both in time and amplitude
- Can turn sound wave into sequence of bits
  - 0111 1001 1011 1100 1101 1110 1110 1111 1111 ....
- What precision do we need?
  - Compact Disks: 16bits at 44KHz
    - How many bits is a typical 3-minute song?
 
$$\left(44,000 \frac{\text{samples}}{1 \text{ sec}}\right) \left(16 \frac{\text{bits}}{\text{sample}}\right) \left(60 \frac{\text{sec}}{1 \text{ min}}\right) \left(3 \frac{\text{min}}{\text{song}}\right) \approx 126,720,000 \frac{\text{bits}}{\text{song}}$$

$$= 15,840,000 \frac{\text{bytes}}{\text{song}} = 15.1 \frac{\text{MB}}{\text{song}}$$

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## COURSE MAP: WEEK 2

MUSIC 1

Numbers correspond to course weeks

sample 2

EULA

click OK

MIC

A/D

sample

speaker

D/A

File-System 10

3

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## WEEK 3: LOSSLESS COMPRESSION

Relative Frequency (in percent)

Letter

enables: Kindle to store 1500 books in 2GB, SMS text

<http://en.wikipedia.org/wiki/File:English-slf2.PNG>

- Statistics of data allow compression
- If all symbols (characters, voltages) aren't equally likely,
  - Can assign shorter bit sequences to most common cases
  - and reduce bits required to store or transmit!
- Famous Example of statistical storage: Morse Code:
- THE = - . . . . . = 6 symbols (not 18 as you might expect)

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## COURSE MAP: WEEK 3

MUSIC 1

Numbers correspond to course weeks

sample 2

compress 3

10101001101

File-System 10

MIC

A/D

sample

speaker

D/A

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## WEEK 4: TIME-FREQUENCY CONVERSION

Penm WICS April 2017 - DeHon

- There are other ways to represent
  - Frequency representation particularly efficient

392 311 348 294

Frequencies in Hertz

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## COURSE MAP: WEEK 4

MUSIC 1

Numbers correspond to course weeks

sample 2

freq 4

compress 3

10101001101

File-System 10

MIC

A/D

sample

speaker

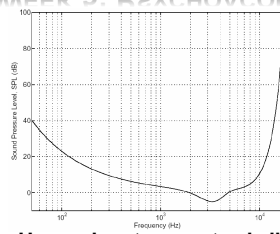
D/A

domain conversion

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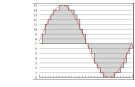


## WEEK 5: PSYCHOACOUSTICS



The Theory behind MP3

[http://www.mp3-tech.org/programmer/docs/mp3\\_theory.pdf](http://www.mp3-tech.org/programmer/docs/mp3_theory.pdf)



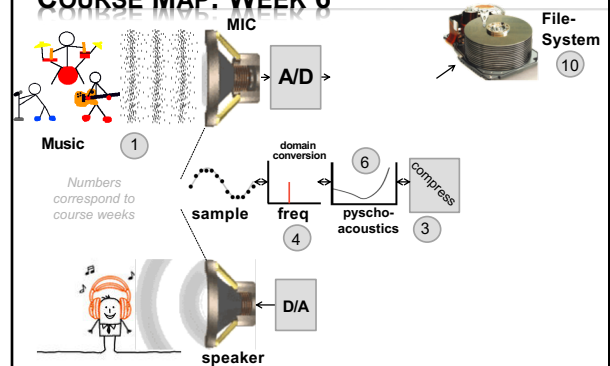
<http://en.wikipedia.org/wiki/File:Pcm.svg>

- ✗ Human input apparatus is limited
- ✗ If we only care about human perception
  - ✗ ...that reduces the information needed (reduces samples/sec)
- ✗ Limited maximum frequency

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## COURSE MAP: WEEK 6

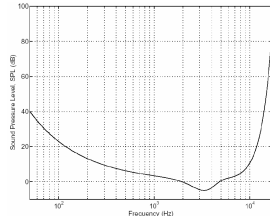
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## WEEK 2: NYQUIST-SHANNON

- ✗ Sampling Theorem:
  - ✗ Only need to sample 2 x maximum frequency component of a signal
- ✗ Range of human hearing?
  - ✗ 20 Hz → 20 kHz
- ✗ How many samples?
  - ✗ 2 x 20 kHz = 40 kHz
- ✗ CD samples /sec:
  - ✗ 44,000 Samples / sec



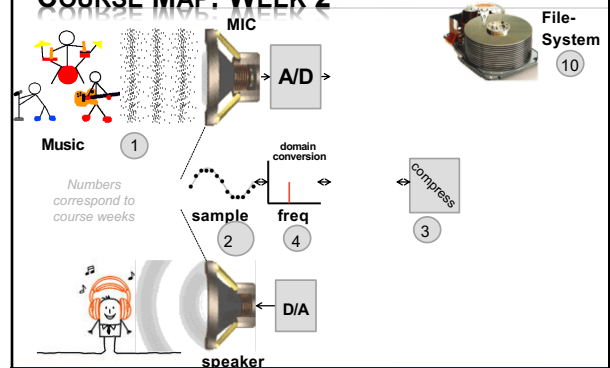
The Theory behind MP3

[http://www.mp3-tech.org/programmer/docs/mp3\\_theory.pdf](http://www.mp3-tech.org/programmer/docs/mp3_theory.pdf)

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## COURSE MAP: WEEK 2

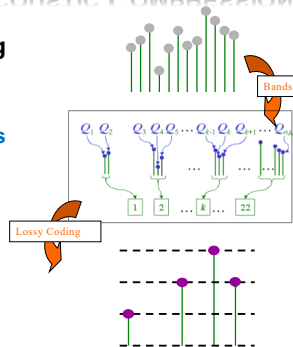
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## WEEK 6: PSYCHOACOUSTIC COMPRESSION

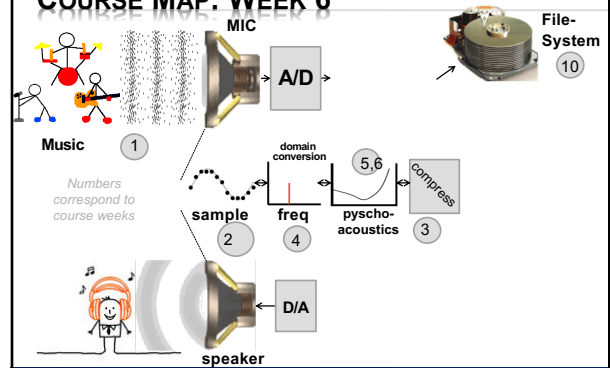
- ✗ MP3 based on putting these together
  - ✗ Convert to frequencies
  - ✗ Determine frequencies that don't matter
  - ✗ Quantize (fewer than 16b) for less important
- ✗ Significantly smaller size than raw, sampled bits



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## COURSE MAP: WEEK 6

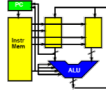
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## WEEK 7: HARDWARE

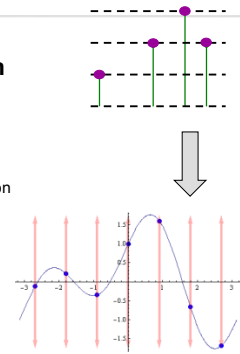
- × CPUs: We'll look at their operation and architecture
- × How fast does your laptop or cell phone run?
- × Modern chips run 100MHz to 4 GHz
  - + → only need one multiplier, adder
  - + Reuse hardware it in time



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## WEEK 8: HARDWARE

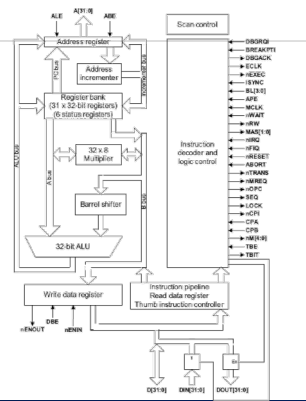
- × To perform decompression
  - + For audio playback
- × Need to perform
  - + a few million operations
    - × Operations addition, multiplication
    - × Calculate cosines
    - × Scale values
    - × Add waveforms
  - + per second of audio
- × How fast does CPU need to be to work with audio?



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## WEEK 8: IPOD PROCESSOR

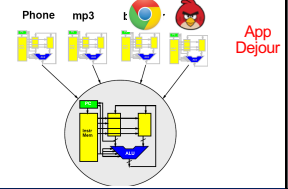
- × Early based on PortalPlayer series
  - + Two ARM7TDMI cores
  - + 80MHz each
- × Current use ARM7 or ARM8



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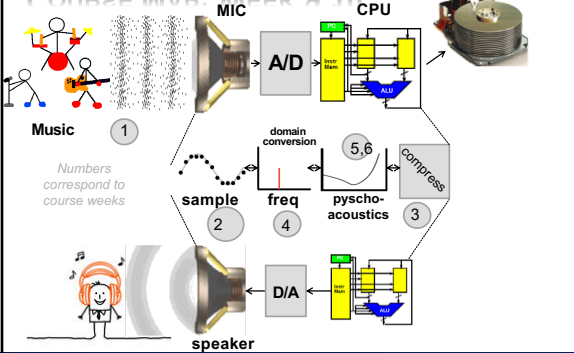
## WEEK 9: OPERATING SYSTEM

- × This hardware can be virtualized and shared among tasks
  - + How does OS control hardware?
  - + Do we need giant OS or small portion for mp3?



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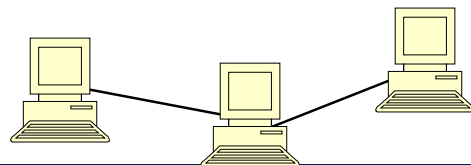
## COURSE MAP: WEEK 9,10



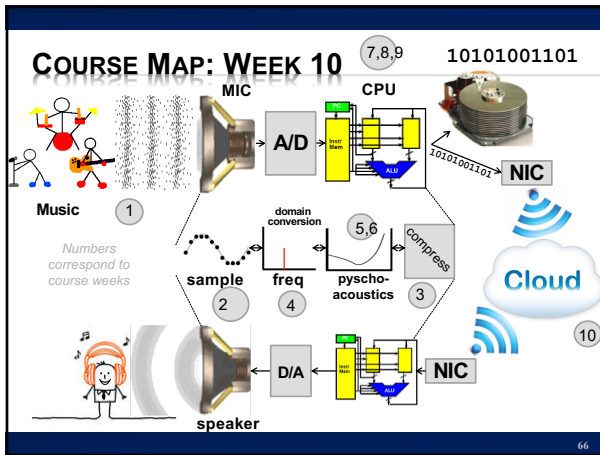
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## WEEK 10: NETWORKING

- × Bits can be transported between machines
  - + How fast must network speed be to stream audio?

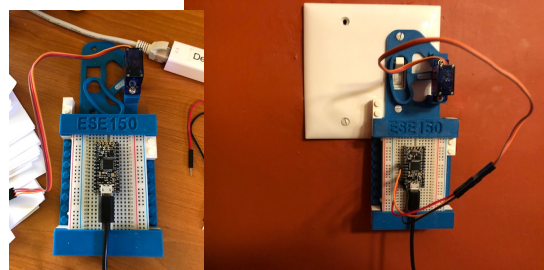


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## WEEK 11: ACTUATION

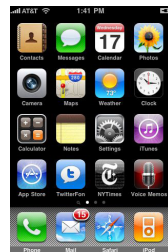
- × How reach out and touch the world?



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## WEEK 12: USER INTERFACES

- × These capabilities can be harnessed by all people
  - ↳ Not just engineers
- × ...but we must designed for people
  - ↳ For the non-engineers
- × iPhone is a classic example:
  - ↳ product that didn't do anything new
  - ↳ BUT, it made everything simple
  - ↳ thanks to well designed UI

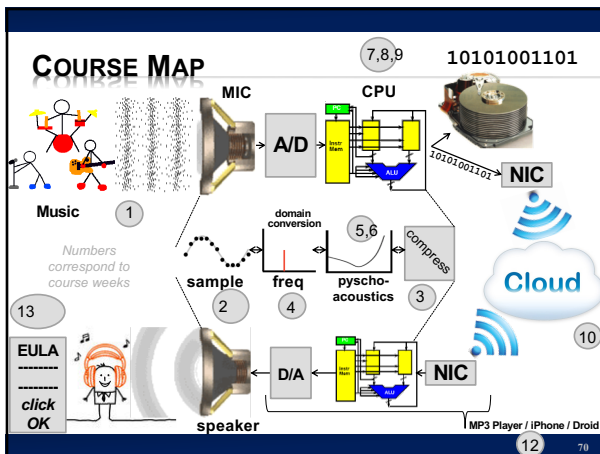


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## WEEK 13: INTELLECTUAL PROPERTY

- × Who own's the bits?
- × What is the law?
- × Why is the law?
- × Why should you care (as engineers)?
- × How is the world changing?

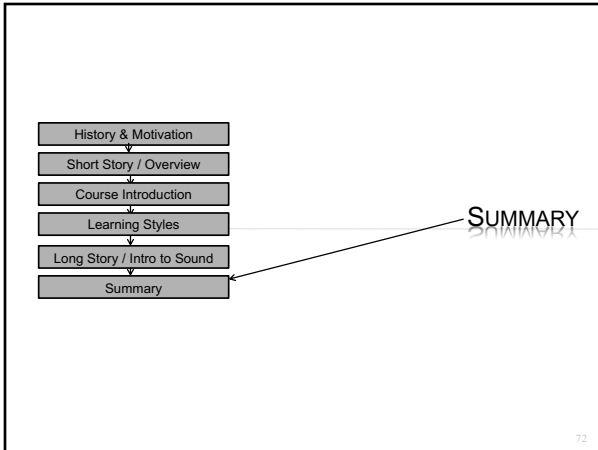
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# ESE150

Compression,  
**MP3s,**  
 Psychoacoustics, and  
**Everything**

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## THIS COURSE

- × **Always trying to improve:**
  - + Attempts to explain a great deal of Computer Engineering
    - × Without going to far in depth
  - + Lecture/Lab
    - × Intent is to tie them together well
    - × Inevitably, the tie won't always be obvious
  - + Help us, help you (and future students):
    - × The more feedback you provide, the better we can make this course
    - × If a tie isn't obvious, let us help make the connection stronger
    - × We want you to love Comp Engineering as much as we do ☺
  - + One form: daily feedback forms (link on syllabus)

## CHANGING WORLD

- × **Automated computation changed world**
  - + Faster than we imagined
- × **World being digitized and refitted for computerized control and mediation**
  - + People-to-people, people-to-machines
  - + Infrastructure from bricks/concrete/steel to networking/computers/software
- × **Enabling new engineering**
  - + Computerization at center
- × **Exciting and dangerous**
- × **Computer Engineering at center**

## PARTING THOUGHT

- × **From 1<sup>st</sup> computer to PCs in 30 years**
  - + Eniac 1946→ Apple 1976
- × **From first PCs to iPhone next 30 years**
  - + Apple 1976→iPhone 2007
- × **What will next 30 years hold?**
  - + Beginning of your career
- × **What will you imagine, create, enable?**

Complete: Lab Pickup Time Poll, Today's Feedback.