

ESE150 Spring 2021

ESE

Lecture #11 – Psychoacoustic Model/Compression/MP3

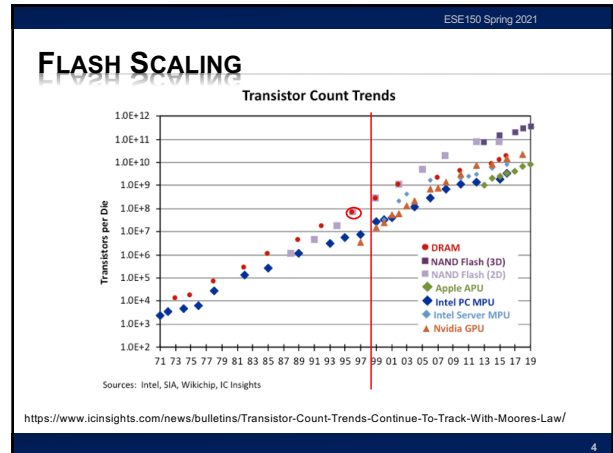
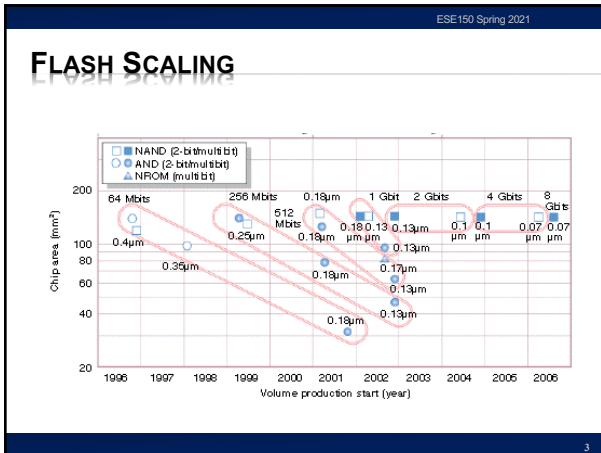
ESE 150 – DIGITAL AUDIO BASICS

Based on slides © 2009–2020 DeHon, Koditschek
Additional Material © 2014 Farmer

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FIRST MP3 PLAYER

- × **MpMan -- 1998**
- × **SaeHan Information Systems**
 - + South Korea
- × **32MB of Flash memory**



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PCM AND CD AUDIO

- × **PCM – Pulse Code Modulation**
 - + CD-Quality Audio – state-of-the-art 1990s
 - Filtering/Sampling/Quantizing/Encoding using ADC
 - DAC/Reconstruction Filter
 - + CD Quality Digital Audio uses PCM (uncompressed, lots of storage!)
 - 44,100 samples per second, each sample 16-bits
 - 1 sec. of music: $44,100 \times 16\text{bits} = 705,600 \text{ bits or } 86 \text{ kB}$
 - 60 seconds of music: $705,600 \times 60 = 42,336,000 \text{ bits} = 5167 \text{ kB} = 5 \text{ MB}$
 - 3 minute song: $42,336,000 \times 3 = 127,008,000 \text{ bits} = 15 \text{ MB}!$
 - You want it in stereo??? $15 \text{ MB} \times 2 = 30 \text{ MB!}$ (no compression!)

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OBSERVE

- × **If we kept the CD Audio encoding format**
 - + Could hold **one** song on the 1998 MpMan
 - + (maybe 2 on the 64MB version)
- × **For solid-state audio to be viable**
 - + Needed more compact encoding for music

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FIRST MP3 PLAYER

- × MpMan -- 1998
- × SaeHan Information Systems
 - + South Korea
- × 32MB of Flash memory
- × Held 6 songs (MP3)
- × (12 on 64MB version)
- × 3 years before Apple iPod
 - + October 2001
 - + Initially hard disk
- × Diamond Rio later 1998



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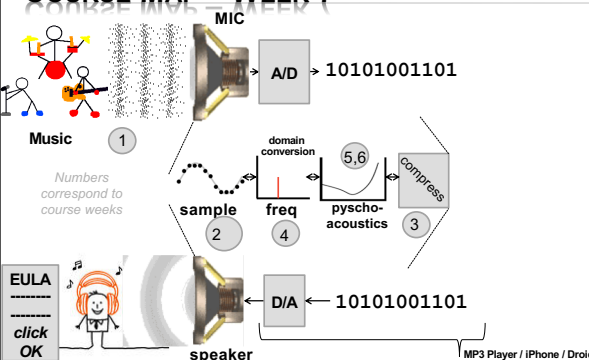
LECTURE TOPICS

- × Teaser
- × Where are we?
- × Preclass
- × How do we take advantage of psychoacoustics in MP3
Achieve this 6—12x reduction from CD Audio
 - + Review Tricks
 - + Formulate Optimization
 - + Adaptation (next Friday)
- × Next Lab
- × References

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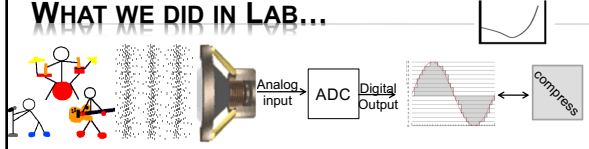
COURSE MAP – WEEK 7



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WHAT WE DID IN LAB...



- × Week 1: Converted Sound to analog voltage signal
 - a "pressure wave" that changes air molecules w/ respect to time
 - a "voltage wave" that changes amplitude w/ respect to time
- × Week 2: Sampled voltage, then quantized it to digital sig.
 - Sample: Break up independent variable, take discrete 'samples'
 - Quantize: Break up dependent variable into n-levels (need 2ⁿ bits to digitize)
- × Week 3: Compress digital signal
 - Use even less bits without using sound quality!
- × Week 4: Before we compress...
 - Put our 'digital' data into another form...BEFORE we compress...less stuff to compress!
- × Week 5: Psychoacoustics
 - Measured limits of human hearing; measured masking

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PRECLASS

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PRECLASS

- × 4 critical bands
- × 10 frequencies
- × 16b amplitude
- × Preclass 1: Bits to represent (no further encode)?

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PRECLASS

- × 4 critical bands
- × 10 frequencies
- × 16b amplitude
- × 107b encoding budget
- × **Preclass 2: amplitude quantization necessary to achieve budget?**
- × **Preclass 3: frequencies (reduced sampling rate) can keep to achieve budget?**

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PRECLASS

- × 4 critical bands
- × 10 frequencies
- × 16b amplitude
- × 107b encoding budget
- × **Preclass 4**
 - + Bits to represent which frequency?
 - + Bits to encode (frequency, amplitude) pair?
 - + Number of (frequency,amplitude) pairs fit within budget?

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PRECLASS

- × 4 critical bands
- × 10 frequencies
- × 16b amplitude
- × 107b encoding budget
- × **Preclass 5**
 - + Which frequencies do we keep?

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PRECLASS

- × 4 critical bands
- × 10 frequencies
- × 16b amplitude
- × 107b encoding budget
- × **Preclass 6: which likely to sound best?**
 - + Amplitude quantization
 - + Frequency quantization (reduce sampling rate)
 - + Frequency selection based on masking

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TRICKS FOR COMPRESSION

- × Quantization
- × Sampling Rate / Frequency Quantization
- × Critical Band Masking
 - + Selective frequency dropping

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BACKGROUND

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THE MPEG-1 STANDARD



- × **ISO (International Standards Organization)**
 - + Looking for ways to reduce transmission requirements for digital video and audio (low bandwidth transmission of digital media)
 - + 1988 – establishes a sub-committee of ISO:
 - × Moving Picture Experts Group (MPEG)
 - × Goal: Develop common standard for coding/compressing audio/video
 - × To reduce size of data to transmit without sacrificing quality
 - × Fraunhofer Institute and German University of Erlangen
 - × Lots of basic research in Digital Audio Broadcast, tapped to be MPEG
 - + Result: 1992: Finalized Standard called: MPEG-1 (Phase I)
 - × 3 Parts: Audio/Video/System
 - × Audio component: defined 3 layers: 1, 2, 3
 - × Increasing levels of compression and complexity
 - × **MPEG-1, layer 3 achieves 12:1 compression ratio! (for short MP3)**

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THE MPEG-1 STANDARD

- × **MPEG-1, (3) Layers for Audio Coding:**

	Coding	Ratio	Required bitrate
	PCM CD Quality	1:1	1.4 Mbps
Complexity ↓	Layer I	4:1	384 kbps
	Layer II	8:1	192 kbps
	Layer III (MP3)	12:1	128 kbps

- × **Why is PCM CD Quality 1.4 Mbps?**
 - + Recall: 1 sec. of music: $44,100 \times 16\text{bits} = 705,600$ bits
 - + Don't forget stereo (R/L): $2 \times 705,600 = 1,411,200$ (1.4Mbps)
 - × Defines bandwidth requirements of network
 - + Notice: 128 kbps was just about double modem speed in 1992
 - × Enables transmission of audio (MP3) via modem!

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OPTIMIZING ENCODING

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KNOBS WE CAN TURN

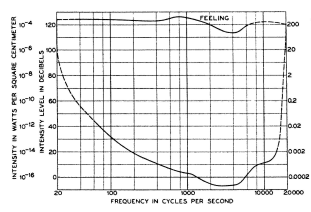
- × **Amplitude quantization**
- × **Frequency quantization**
- × **Frequencies kept (per critical band)**
 - + Too soft
 - + Masked
- × **...and can perform lossless compression**

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BANDS VARY IN IMPORTANCE

- × **Not equally sensitive across bands**
- × **If quantize bands differently, where want finer resolution?**
- × **Where tolerate more quantization?**



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KNOBS WE CAN TURN

- × **Amplitude quantization**
 - + Per band
- × **Frequency quantization**
 - + Per band?
- × **Frequencies kept (per critical band)**
 - + Per band
- × **...and can perform lossless compression**

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OPPORTUNITIES/CHALLENGES

- × **Trying to hit fixed bit rate, what challenge does lossless compression impose?**
 - + Encounter many common frequencies, amplitudes?
 - + Encounter many uncommon frequencies, amplitudes?

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OPPORTUNITIES/CHALLENGES

- × **What challenge/opportunities might these band spectra represent?**

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SUGGEST

- × **May want to do something smarter than**
 - + Allocating fixed number of frequencies per band
 - + Allocating fixed quantization to a band
- × **Like to adapt our encoding to the data**
 - + If more Huffman compressible, we get more frequencies
 - + If fewer frequencies suffice for one band,
 - × Allow more frequencies for another
 - × ...or allocate less quantization

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OPTIMIZATION PROBLEM

- × **How fit in the resource constraints (128Kb/s) while maximizing goodness (sound quality)?**
- × **Optimization problems central to engineering**

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OPTIMIZATION PROBLEM

- × **How fit in the resource constraints (128Kb/s) while maximizing goodness (sound quality)?**
- × **Quantify bits used**

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$
- × **Quantify goodness**

$$\sum_{f \in freqs} Error(f) \times W(f)$$

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QUANTIFYING BIT COST

- × **Simple, fixed sample: Frequencies × Bits/freq**
- × **Fixed frequencies per Band:**
 - + Bands × (Frequencies/Band) × Bits/freq
- × **Variable Frequencies per Band:**

$$\sum_{b \in Bands} frequencies(b) \times (bits/freq)$$
- × **Variable Frequencies and quantization per Band:**

$$\sum_{b \in Bands} frequencies(b) \times bit(b)$$
- × **Huffman means different bits/frequency**

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

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GOODNESS/SOUND QUALITY

- × **Error(Amp) = |Orig Amplitude – Encoded|**
 - + Whole OrigAmplitude if dropped
 - + |Orig Amplitude-Quantize(OrigAmplitude,bits)| if quantized
- × **W(freq)**
 - + 0 if below hearing threshold
 - + 0 if masked
 - + Value between 0 and 5 if partially masked in critical band
 - × More critical bands, higher
 - + Really depend on what already encoded

$$\sum_{f \in \text{freqs}} \text{Error}(f) \times W(f)$$

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REMINDER: A WINDOW OPERATION

- × In the example below, we traverse the signal but only look at 64 samples at a time

MP3: 26ms frames or 1,144 sample window
(sepwww.stanford.edu/oldsep/hale/FftLab.html)

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OPTIMIZATION PROBLEM

- × How fit in the resource constraints (128Kb/s) while maximizing goodness (sound quality)?
- × **Quantify bits used:**
 - + Cannot exceed 128Kb/s
 - + = 3,408 b / 26ms frame
$$\sum_{\text{bands}} \sum_{f \in \text{freqs}} \text{Bits}(f)$$
- × **Quantify goodness: minimize**

$$\sum_{f \in \text{freqs}} \text{Error}(f) \times W(f)$$

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(as time permits)

APPROACH

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APPROACH

- × Start with nothing
- × Start with bitbudget

$$\sum_{\text{bands}} \sum_{f \in \text{freqs}} \text{Bits}(f)$$

$$\sum_{f \in \text{freqs}} \text{Error}(f) \times W(f)$$

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EXAMPLE WEIGHT FUNCTION W(F)

- × **W(f)=CBWeight*Mask**
- × **Mask = 0 if MaxAmp-FreqAmp<4**
- × **(MaxAmp-FreqAmp)/MaxAmap otherwise**

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APPROACH

- Start with nothing
- Start with bitbudget

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	8 1 1 3	1 8 4	41 1
sum	14	13	13	6
weight	3	5	5	3
weighted	42	65	65	18

Bits = 0
Error = 190

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APPROACH

- Start with nothing
- Start with bitbudget
- While(bitbudget > 0)
 - Identify Largest Error component: Error(freq) × W(freq)
 - What do to reduce error?

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	8 1 1 3	1 8 4	41 1
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Bits = 0
Error = 190

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APPROACH

- Start with nothing
- Start with bitbudget

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	0 0 0 0	1 8 4	41 1
sum	14	0	13	6
weight	3	5	5	3
weighted	42	0	65	18

Bits = 3+6=9
Error = 125

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APPROACH

- Start with nothing
- Start with bitbudget
- While(bitbudget > 0)
 - Identify Largest Error component: Error(freq) × W(freq)
 - What do to reduce error?

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	0 0 0 0	1 8 4	41 1
sum	14	0	13	6
weight	3	5	5	3
weighted	42	0	65	18

Bits = 3+6=9
Error = 125

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APPROACH

- Start with nothing
- Start with bitbudget

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	0 0 0 0	0 0 0	41 1
sum	14	0	0	6
weight	3	5	5	3
weighted	42	0	0	18

Bits = 18
Error = 60

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APPROACH

- Start with nothing
- Start with bitbudget
- While(bitbudget > 0)
 - Identify Largest Error component: Error(freq) × W(freq)
 - What do to reduce error?

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

	Band 0	Band 1	Band 2	Band 3
frequency	11 8 2 11	0 0 0 0	0 0 0	41 1
sum	14	0	0	6
weight	3	5	5	3
weighted	42	0	0	18

Bits = 18
Error = 60

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APPROACH

- × Start with nothing
- × Start with bitbudget

$$\sum_{bands} \sum_{f \in freqs} Bits(f)$$

$$\sum_{f \in freqs} Error(f) \times W(f)$$

Bits = 27
Error = 18

sum	0	0	0	0	41	1
weight	3	5	5	3	6	3
weighted	0	0	0	0	18	3

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NEXT TIME

- × Continue to refine into algorithm

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BIG IDEAS

- × Can use psychoacoustics to compress audio
 - + Eliminate portions of signal that human's don't notice
- × Optimization
 - + Identify Design Space (knobs)
 - + Identify Costs and Constraints
 - + Formulate quantitatively

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COMING UP

- × Feedback
- × Lab 5 writeup today
- × Lab 6 start on Monday
 - + On syllabus
 - + Start of 2 week lab
- × Midterm on Wednesday
 - + Rules/policies on syllabus now

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REFERENCES

Tutorials on Psychoacoustic Coding (in increasing order of abstraction and generality)

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Scientific Basis of MP3 Coding Standard

J. D. Johnston. Transform coding of audio signals using perceptual noise criteria. IEEE Journal on selected areas in communications, 6(2):314–323, 1988.

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