

ESE 150 - Spring2022 DeHon

Lecture #5 – Anti-Aliasing

**ESE 150 – DIGITAL AUDIO BASICS**

Based on slides © 2009–2022 Koditschek & DeHon  
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### LECTURE TOPICS

- × **Part 1**
  - + Where are we on course map?
  - + Review
    - × Nyquist-Shannon Sampling Rate
    - × Aliasing
  - + Multi-frequency signals
- × **Part 2**
  - + Anti-Alias Filtering
  - + References

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### COURSE MAP – WEEK 2

Music (1)

MIC

A/D → 10101001101

sample (2,4)

D/A ← 10101001101

speaker

MP3 Player / iPhone / Droid

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### NYQUIST SAMPLING

- × **Sample at twice the maximum frequency**
  - + Can reconstruct perfectly
- × **If have frequencies > SampleRate/2**
  - + Will get aliasing ... as high frequencies fold

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### SAMPLING – WHAT IS THE MINIMUM?

Original Signal: 500 Hz

Aliased (Folded) Signal: 100 Hz

- × **What frequency does aliasing occur?**
  - + Original Signal's Frequency: 500 Hz
  - × Sampling Rate: 600 Hz
  - + Aliasing occurs at:  $600 \text{ Hz} - 500 \text{ Hz} = 100 \text{ Hz}$
  - + Also referred to as "Folding" – signal has "folded over" as if it were lower frequency

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### SAMPLING – WHAT IS THE MINIMUM?

- × **Generalize**
  - +  $F' = \text{frequency mod SampleRate}$  (subtract out integer  $2\pi$  terms)
  - + Alias frequency is
    - ×  $F'$  if  $F' < \text{SampleRate}/2$
    - ×  $\text{SampleRate} - F'$  if  $\text{SampleRate}/2 < F' < \text{SampleRate}$

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## WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem (week 4 preview!):**
  - + We can decompose continuous signal in terms of a sum of sines and cosines at different frequencies

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## PRECLASS 1

	0	1	2	3	4	5	6	7	8	9	10	f
L												
M												
R												

Property sampled? Relation?

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## WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem (week 4 preview!):**
  - + We can decompose continuous signal in terms of a sum of sines and cosines at different frequencies
  - + This waveform: sum of sine waves at 100Hz and 250Hz
    - × **What's the Nyquist Sampling Rate then?**

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## WHAT ABOUT MULTIRATE SIGNALS?

- × **Fourier's Theorem & Nyquist Rate:**
  - + **Highest component's frequency?**
  - + **What is Nyquist Sampling Rate?**
    - × **2 x highest frequency contained in the signal**
    - × Sampling at this rate: avoids aliasing problem

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## EFFECTS OF ALIASING

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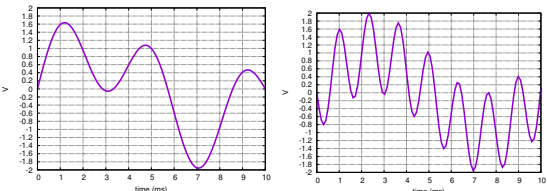
## ALIASING IN MUSIC...

Preclass 2

- × **Multirate Signals and Aliasing**
  - + Imagine the above is a music signal (100Hz + 750Hz)
    - × What happens if we undersample? Should sample at 1500 Hz, but instead 1000 Hz
    - × The 100 Hz signal will be sampled just fine (as 200 Hz is 2 x 100 Hz)
    - × **What happens to 750 Hz Signal?**

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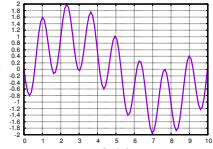
## ALIASING IN MUSIC...



- ✘ **Multirate Signals and Aliasing**
  - + Imagine the above is a music signal (100 Hz and 750 Hz chord)
  - + Where will 750Hz appear as?
    - ✘ folding occurs at:  $1000 \text{ Hz} - 750 \text{ Hz} = 250 \text{ Hz}$
    - ✘  $\text{Sample rate} - \text{frequency} = \text{aliasing/folding frequency}$

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## TREAT FREQUENCIES INDEPENDENTLY



- ✘ **For multi-frequency signals**
  - + Can treat signals independently
- ✘ **Preclass 1 and 2 hints**
  - + Form signal from sum
  - + Can reason about what happens to each frequency
    - ✘ think about what happens to the 750Hz component of the wave independently
  - + Get a composite that is the fold/alias of each of the component frequencies

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Part 2

## ANTI-ALIASING

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## HOW DO WE FIX THIS?

- ✘ **It's simple...sample at the Nyquist Rate**
  - + But...what if your rate is fixed? Like 24 frames/sec?
  - + Or our eye's sampling rate: 60 cycles/degree
    - ✘ Spatial variations finer than this are undetectable!

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## WHY DO WE NEED THE ANTIALIAS FILTER?

- ✘ **If we can't hear anything above 20kHz...**
  - + Why do we need to filter it out?
    - ✘ Dog's can hear from 40 Hz to 60 kHz
    - ✘ so clearly there are sounds above 20 kHz
  - + Let's imagine a high frequency noise in music studio
    - ✘ Sampling at 40kHz to properly capture up to 20kHz
    - ✘ Let's say it's a vibration occurring at 25 kHz
      - ✘ No human can hear it, why filter it out?
      - ✘ Because of aliasing:
        - ✘ Frequency aliasing/folding will occur:
        - ✘  $\text{Sample rate} - \text{frequency} = \text{aliasing/folding frequency}$
        - ✘  $40 \text{ kHz} - 25 \text{ kHz} = 15 \text{ kHz}$
        - ✘ The 25 kHz vibration will fold-over to a 15 kHz "hum" or audible noise
          - ✘ It will ruin our recording and source of noise wouldn't be obvious!

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## ALIASING AS NOISE SOURCE

- ✘ **The 25 kHz vibration will fold-over to a 15 kHz "hum" or audible noise**
- ✘ **Aliasing provides another noise source**
  - + Difference between our intended frequency and what we reconstruct or hear
  - +  $N(t) = R(t) - S(t)$
- ✘ **If don't do anything about it, can be arbitrarily large here**
  - + If only waveform is the noise, 100% error
  - + Generally, depend on how large the alias signals are to the non-aliased

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## HOW TO AVOID ALIASING WITH DIGITAL MUSIC?

- ✗ **If we simply sample at 2 x highest frequency of signal...**
  - + (AKA: Nyquist Rate)
  - + ...we won't encounter aliasing!
- ✗ **But how do we guarantee highest frequency of our signal?**
  - + Audio: this is easy!
    - ✗ We know the range of human ear: 20 Hz to 20 kHz... (week 5)
    - ✗ The highest frequency component in music is then: 20 kHz
    - ✗ ...so, before sound goes into ADC, we apply a filter!
      - ✗ Blocks any frequency above 20 kHz from going into ADC
    - ✗ Essentially, we are fixing our sampling rate & 'blurring' or filtering our incoming signal

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## WE KNOW HOW TO AVOID ALIASING...

- ✗ **What is a filter you ask?**
  - + Imagine a coffee filter...

Water, Ground coffee beans go into Filter...

Coffee Filter →

Only delicious coffee passes through filter... "grinds" cannot pass

Electronic Filter

Signals ranging in frequencies from 20Hz to 40kHz (100kHz, ...) go into filter

Called a "low pass" filter Has a "cutoff" frequency of 20 kHz

Only "delicious" signals ranging from 20Hz to 20kHz pass through filter (aka Audio Signals)

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## LOW PASS ANALOG FILTERING

- ✗ **Can limit rate of change ( $\Delta V / \Delta T$ )**
  - + Set a minimum time period for a value to charge output
- ✗ **If signal tries to move too fast (high frequency)**
  - + The input change won't be reflected in the output
- ✗ **E.g. limit to 1V/2ns**
  - + Left (100Hz) can pass; right (750Hz) cannot

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## LOW PASS ANALOG FILTERING

- ✗ **Can limit rate of change ( $\Delta V / \Delta T$ )**
  - + Set a minimum time period for a value to charge output
- ✗ **If signal tries to move too fast (high frequency)**
  - + The input change won't be reflected in the output
- ✗ **Ideal:** fast inputs "erased" from output
- ✗ **In practice:** magnitude reduced
  - + Reduce noise level
- ✗ Can engineer filters to get closer to ideal 215, 319

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## LOW PASS ANALOG FILTERING

- ✗ Transfer  $V_{in}$  into Capacitor  $V_{out}$
- ✗ Think of Resistor as straw or hose
  - + Limits rate of electron flow into Capacitor
- ✗ Think of Capacitor as a glass or bucket
  - + Must fill with electrons through Resistor to have Voltage (water) level rise
- ✗ Circuit limits rate of change at  $V_{out}$  – limits frequency

Resistor-Capacitor

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## FREQUENCY AND SIGNAL CHANGE

- ✗ **There's a direct relationship between frequency of a signal and rate at which signal changes**
  - + Related to period and frequency ( $period = 1/f$ )
  - + Higher frequency  $\rightarrow$  lower period  $\rightarrow$  higher  $\Delta V / \Delta T$
  - + Limit rise time ( $\Delta V / \Delta T$ )  $\rightarrow$  limit frequency pass through filter
  - + Maximum frequency  $\rightarrow$  limits the rise-time will have

Will rise over 1/2 of the period

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### FULL BLOCK DIAGRAM OF DSP SYSTEM

antialias filter  
 reconstruction filter

Analog Input → Filtered Analog Input → Digitized Input → Digitized Output → S/H Analog Output → Analog Output

Analog Filter → ADC → Digital Processing → DAC → Analog Filter

- × **Before ADC, we put music signal through antialias filter**
  - + Filter blocks any signals higher than 20 kHz (prevents aliasing!)

Figures from reading: *The Scientist and Engineer's Guide to Digital Signal Processing*, By Steven W. Smith

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### FULL BLOCK DIAGRAM OF DSP SYSTEM

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Analog Input → Filtered Analog Input → Digitized Input → Digitized Output → S/H Analog Output → Analog Output

Analog Filter → ADC → Digital Processing → DAC → Analog Filter

- × **Before ADC, we put music signal through antialias filter**
  - + Filter blocks any signals higher than 20 kHz (prevents aliasing!)
  - + Then our ADC can safely sample at  $2 \times 20$  kHz without aliasing
    - × What is our Nyquist Rate?
      - ×  $f_s = 2 \times 20$  kHz = 40 kHz, or 40 thousand samples per second!
    - × What is our Nyquist Frequency?
      - ×  $\frac{1}{2} f_s = 20$  kHz
  - + Cutoff frequency of our filter? Has to be the Nyquist Frequency

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### COMPACT DISC (CD)

- × **CD (late 20<sup>th</sup> century)**
  - + First form of digitized music
    - × ADC → DSP → DAC
  - + Up until this time, music was...
    - × ...exact reproduction (record, tape)
  - + Nyquist Sampling Rate: 44.1 kHz
  - + Nyquist Frequency:  $\frac{1}{2}$  (44.1 kHz) = 22.05 kHz
    - × AKA – upper range of audio
    - × 22.05 = cutoff frequency for low-pass antialias filter

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### BIG IDEAS

- × **Sample at twice the maximum frequency**
  - + Can reconstruct perfectly
- × **If have frequencies > sample\_freq/2**
  - + Will get aliasing ... as high frequencies fold
- × **Avoid aliasing with analog Anti-Alias (low-pass) prefilter before sampling**
  - + Eliminate high frequencies

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### LEARN MORE

- × **ESE215 – include analog filtering**
- × **ESE319 – active analog filtering**
- × **ESE224 – Signal Processing**

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### ADMIN

- × **Remember feedback**
  - + Including on Lab 2
- × **Lab 2 due today**
- × **Lab 3 posted**
  - + Prelab contains a longer MATLAB tutorial
    - × And need to install MATLAB or use in Detkin/Ketterer
  - + Plan time for it

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## REFERENCES

- + S. Smith, "The Scientists and Engineer's Guide to Digital Signal Processing," 1997.
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- + [http://en.wikipedia.org/wiki/Nyquist\\_rate](http://en.wikipedia.org/wiki/Nyquist_rate)
- + <http://en.wikipedia.org/wiki/Oversampling>
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- + <http://electronics.howstuffworks.com/telephone6.htm>
- + B. Olshausen, "Aliasing", PSC 129 – Sensory Processes Course Notes, UC Davis

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