

Penn Engineering **ESE**

Lecture #2 – A2D

**ESE 1500 – DIGITAL AUDIO BASICS**

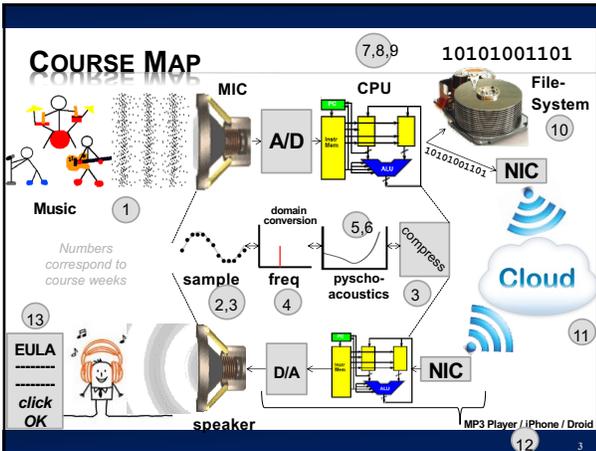
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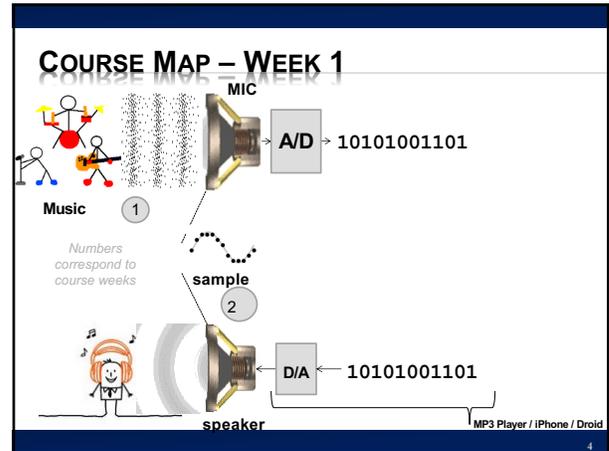
**LECTURE TOPICS**

- × Where are we on course map?
- × Part 1: Sound / Sound Pressure
  - ↳ Continuous, discrete, ADC, DAC
- × Part 2: Sampling & Quantization
  - ↳ Infinite, Continuous signals → Finite, Discrete data in bits

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**SOUND WAVES**

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**INTRODUCTION TO SOUND**

- × Sound is a pressure wave

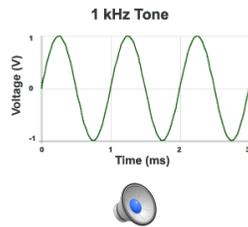
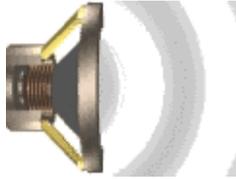
<http://www.archive.org/details/SoundWavesAn>

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## WEEK 1: INTRODUCTION TO SOUND WAVES

Cycle = 1 iteration of sine wave  
Hertz (Hz) = 1 cycle per second

1kHz = 1000 cycles/s

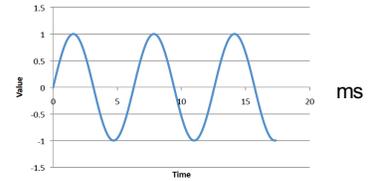


Source: <http://www.mediacollege.com/audio/01/sound-waves.html>

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## PRECLASS 1 AND 2

× Frequency of sine wave?



× Relationship between period and frequency?

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## WEEK 1: PRESSURE TO VOLTAGE

- × Microphones convert pressure to voltage
  - + (speakers/headphones voltage to pressure)
  - + Physical position to voltage

$$\Delta d \rightarrow \Delta C \rightarrow \Delta V$$

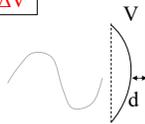
- × Reason as parallel plate capacitor
  - + ESE 112 or PHYS 151

$$C = \frac{\epsilon A}{d}$$

$$Q = CV$$

$$V = \frac{Q}{C}$$

Voltage is a function of distance (pressure)



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SIGNALS

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## WE NEED TO DEFINE SOME TERMS

× What is a signal?

- + Something that carries information
- + A description of how one parameter depends on another

× Common Engineering Example:

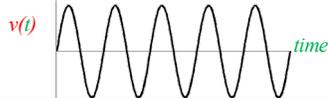
- × Voltage that varies with time
  - E.g. Amplitude of voltage changes as time moves forward

× Time = **independent** variable (x-axis): time

• Depends on nothing!

× Voltage = **dependent** variable (y-axis):  $v(t)$

• Voltage's amplitude depends on time



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## WE NEED TO DEFINE SOME TERMS

× Most signals encountered in nature...

- + ...are "**continuous**" / analog
  - × Continuous range of values (any real #)
  - × Examples: 1) Light intensity that changes with distance
  - × 2) Voltage that varies over time  $v(t)$ 
    - *We will see in lab this week: MUSIC signal represented with voltage*
  - × 3) Chemical reaction rate that depends on temperature
- + as opposed to "**non-continuous**" / discrete signals
  - × Only a discrete range of values possible (limited subset of real #s)
  - × How a computer must represent signals
    - Fundamental unit of information: **bit**
    - Cannot represent all possible real #'s
    - Uses binary digit (bit) to represent #'s:
      - 1-bit, represents 2 things...2-bits, represents 4 things
      - *What's the generalization? (n-bits → how many things?)*

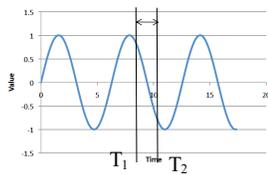
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## BIG QUESTION

- × How represent and process *continuous* information on a digital computer with *finite* memory?

+ Note: continuous means signal may take on infinite number of values between any  $T_1$  and  $T_2$



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## CONNECT THE DOTS

- × Intuition, with enough dots, not hard to “connect-the-dots” to reconstruct (understand) the continuous signal.

+ What is the continuous signal here? (preclass 3)

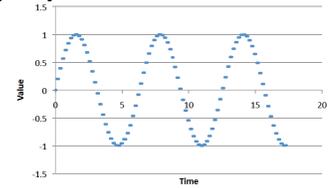
+ Assumes certain regularity conditions

+ What is enough?

+ Not unlike calculus

+ Limit as  $\Delta x \rightarrow 0$

+ Discrete sum approaches Integral



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## DEFINITIONS

- × **Analog-to-Digital (ADC) Conversion**

+ Process of converting *continuous* signal to *discrete* signal

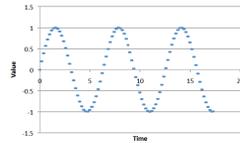
+ Going from analog to digital “domain”

+ Often called: digitization

+ Use a subset of real #'s to represent all real #'s

× Involves a lot of approximation (lots of room for error!)

- × ...collecting the dots



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## DEFINITIONS

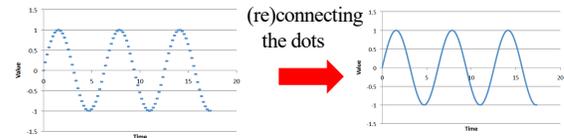
- × **Digital-to-Analog (DAC) Conversion**

+ Process of converting *discrete* signal to *continuous* signal

+ Going from digital to analog “domain”

+ Converting “bits” to a continuous waveform

× Our MP3/Music players do this all the time (will do in lab2)



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## Part 2

## SAMPLING & QUANTIZATION

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## ADC – SAMPLING & QUANTIZATION

- × **Analog-to-Digital (ADC) Conversion**

+ Converting analog (continuous) signal to digital signal

+ Digitization process has two important aspects:

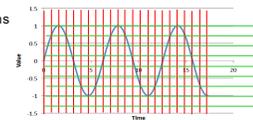
- × 1) *Sampling*

× Converting *independent* variable of signal from continuous to discrete

× e.g.: breaking continuous *time* down into intervals

× Pick  $\Delta x$

× Look at value ever 1 ms



- × 2) *Quantization*

× Converting *dependent* variable of signal from continuous to discrete

× e.g.: breaking continuous *voltage* down into levels

× Round value to nearest 0.25 volts

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## ADC – BROKEN INTO TWO PARTS

analog input → **S/H** → **ADC** → digital output  
 Sample/Hold                      Performs sampling      Performs quantization

Figures from reading: *The Scientist and Engineer's Guide to Digital Signal Processing*, By Steven W. Smith

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## ADC – BROKEN INTO TWO PARTS

analog input → **S/H** → **ADC** → digital output  
 Sample/Hold                      Performs sampling      Performs quantization

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## ADC – SAMPLING

× **Analog-to-Digital (ADC) Conversion**

- Sampling: breaking independent variable (time) into intervals
- Example: Let's sample our continuous signal @ 1 ms intervals:

What is our sampling rate?  
 (How many samples per second?)  
 1000 samples per second  
 1 kiloSamples / s

What is frequency of this signal?  
 $freq = \frac{1}{period} = \frac{1}{8ms} = 125 Hz$   
 Spoiler alert:  
 Relationship between sample rate & frequency!

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## ADC – QUANTIZATION

× **Analog-to-Digital (ADC) Conversion**

- Quantization: breaking dependent variable (voltage) into levels
- Ex: Let's quantize our range of voltages into 7 levels (1 Volt each)

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## ADC – SAMPLING & QUANTIZATION

× **Analog-to-Digital (ADC) Conversion**

- Let's collect our samples at the quantized levels

Samples:  
 { 0 ms, 0 Volts }  
 { 1 ms, 2 Volts }  
 { 2 ms, 3 Volts }  
 { 3 ms, 2 Volts }  
 { 4 ms, 0 Volts }  
 { 5 ms, -2 Volts }  
 { 6 ms, -3 Volts }  
 { 7 ms, -2 Volts }  
 { 8 ms, 0 Volts }

Notice, we are rounding! Error is inherent in this process

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## ADC – DIGITAL CONVERSION / ENCODING

× **Analog-to-Digital (ADC) Conversion**

- We've converted something continuous into discrete form
- How do we get it to "digital form"? We encode it... (map to another format)

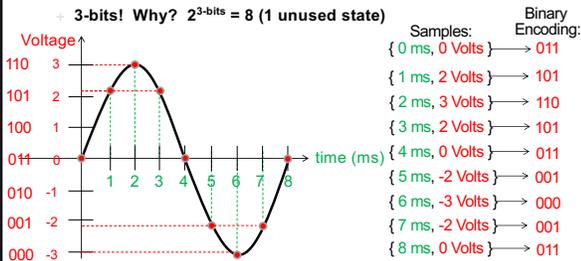
Samples:  
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 { 5 ms, -2 Volts }  
 { 6 ms, -3 Volts }  
 { 7 ms, -2 Volts }  
 { 8 ms, 0 Volts }

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## ADC – DIGITAL CONVERSION / ENCODING

### × Analog-to-Digital (ADC) Conversion

- We have 7 discrete voltages, # of bits to represent 7 things?
- 3-bits! Why?  $2^3\text{-bits} = 8$  (1 unused state)



Encoding: mapping data from one form to another (not always conversion) 26

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## ADC – STORING THE DATA

### × Analog-to-Digital (ADC) Conversion

- What do we store? Just the encoded bits:
  - × Our digitized signal: {011, 101, 110, 101, 011, 001, 000, 001, 011}
  - × It is now discrete & in digital format, store bits in MP3 player!
- Why can we avoid storing the time?
  - × It's repetitive! Just store sampling rate: 1 kilo-samples/sec
  - × Later, if we wish to restore signal, each "sample" occurred at 1ms
- In this example:
  - × Sampling rate: 1 k-samples/sec
  - × Resolution: 3-bits
  - × Our digitized signal: {011, 101, 110, 101, 011, 001, 000, 001, 011}

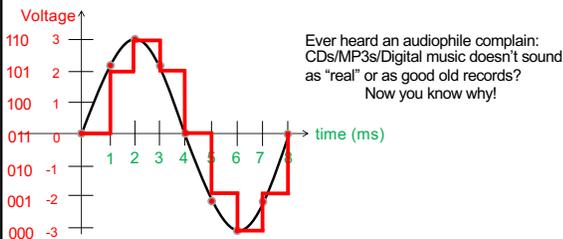
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## ADC – AN APPROXIMATION AT BEST

### × Analog-to-Digital (ADC) Conversion

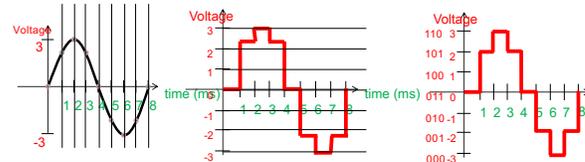
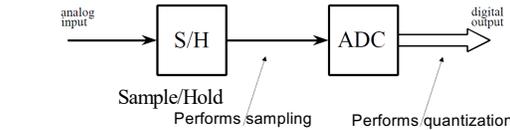
- Continuous analog signal overlaid with discrete digital signal
- At best an approximation of original signal



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## ADC – BROKEN INTO TWO PARTS



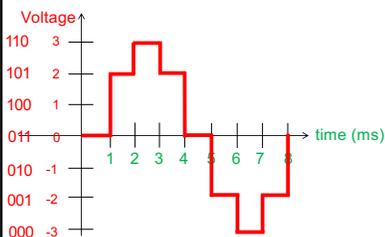
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## ADC – AN APPROXIMATION AT BEST

### × Digital-to-Analog (DAC) Conversion

- Process of converting discrete signal to continuous signal
- How to get back to original signal from bits?



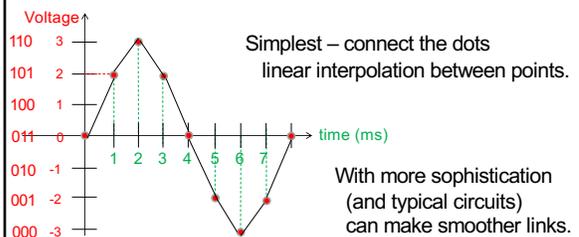
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## ADC – AN APPROXIMATION AT BEST

### × Digital-to-Analog (DAC) Conversion

- Process of converting discrete signal to continuous signal
- How to get back to original signal from bits?



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### EFFECT OF INCREASING SAMPLING AND QUANTIZATION

- ✗ Higher sample rate, higher quantization
  - + → closer connect-the-dots reconstruction is to the ideal wave form.
- ✗ With *enough* samples and quantization
  - + High quality representation

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### ADC / DAC – THE FULL PICTURE

Figures from reading: *The Scientist and Engineer's Guide to Digital Signal Processing*, By Steven W. Smith

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### PCM

- ✗ **Quantization & Sampling Technique described:**
  - + Called Pulse-Code-Modulation (PCM)
    - ✗ Patented in 1943
    - ✗ PCM process is the ADC process
    - ✗ Developed for telecommunications

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### NEXT STEPS

- ✗ **Lab Monday:** sample sound waveforms
- ✗ **Monday:** look more formally and quantitatively at quantization and errors from quantization
- ✗ **Next Wednesday:** Start looking at discrete sampling rates

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### LEARN MORE

- ✗ **ESE215 – basic analog circuitry, RLC circuits, simple filters**
  - + Including why typical circuits give smoother (not linear) connection of dots
- ✗ **ESE568 – Mixed Signal Integrated Circuits**
  - + Build A2D, D2A

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### BIG IDEAS

- ✗ **Approximate continuous waveform on digital media by**
  - + Discretize in all dimension
  - + For audio: in time and amplitude
    - ✗ Sample in time; quantize voltage
- ✗ **Allows us to store audio signal as sequence of bits**
- ✗ **Reconstruct by “connecting-the-dots”**
  - + If our dots are frequent enough to represent the signal

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## ADMIN

- × **Reading for today, Monday on syllabus**
- × **No Lab today**
- × **Lab on Monday**
  - + Read lab (instructions out today)
  - + Software to (optionally) download and install
- × **Remember feedback**
- × **TA Office Hours**
  - + Complete poll posted on Ed Discuss

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## REFERENCES

- × **S. Smith, "The Scientists and Engineer's Guide to Digital Signal Processing," 1997.**
- × **Wikipedia, [http://en.wikipedia.org/wiki/Analog-to-digital\\_converter](http://en.wikipedia.org/wiki/Analog-to-digital_converter)**
- × **Wikipedia: [http://en.wikipedia.org/wiki/Pulse-code\\_modulation](http://en.wikipedia.org/wiki/Pulse-code_modulation)**

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