## ESE5320: System-on-a-Chip Architecture

Day 16: October 22, 2025

Deduplication and Compression Project

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## Today

- Motivation (part 1)
- Project (part 2)
- Content-Defined Chunking (part 3)
- Hashing / Deduplication (part 4)
- LZW Compression Setup (part 5)

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## Message

- Can reduce data size by identifying and reducing redundancy
- Can
  - spend computation and data storage
  - to reduce communication traffic

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## **Problem**

- · Always want more
  - Bandwidth
  - Storage space
- Carry data with me (phone, laptop)
- · Backup laptop, phone data
  - Maybe over limited bandwidth links
- · Never delete data
- · Download movies, books, datasets
- · Make most use of space, bw given

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## Opportunity

- Significant redundant content in our raw data streams (data storage)
- More formally:
  - Information content < raw data
- Reduce the data we need to send or store by identifying redundancies

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## Example

- · Two identical files
  - Different parts of my file systems
- · Don't store separate copies
  - Store one
  - And the other says "same as the first file"
    - · e.g. keep a pointer

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## Why Identical?

- Eniac file system (common file server)
  - Multiple students have copies of assignment(s)
  - Snapshots (.snapshot)
    - Has copies of your directory an hour ago, days ago, weeks ago
      - -...but most of that data hasn't changed

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## **Cloud Data Storage**

- E.g. Drop Box, Google Drive, Apple Cloud
- Saves data for large class of people
  - Want to only store one copy of each
- Synchronize with local copy on phone/laptop
  - Only want to send one copy on update
  - Only want to send changes
    - · Data not already known on other side
    - (or, send that data compactly by just naming it)

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## Optimizing the Bottleneck

- · Saving data (transmitted, stored)
- · By spending compute cycles
  - And storage database
- When communication (storage) is the bottleneck
  - We're willing to spend computation to better utilize the bottleneck resource

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## Broadening

- · History file systems
  - snapshot, Apple Time Machine
- Version Control (git, svn)
- · Manually keep copies
- Download different software release versions
  - With many common files

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## Functional Placement (where might we do this)

- · At file server or USB drive
  - Deduplicate/compress data as stored
- In client (laptop, phone)
  - Dedup/compress to send to server
- · In data center network
  - Dedup/compress data to send between server
- · Network infrastructure
  - Dedup/compress from central to regional server

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**Project** 

Part 2

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## **Project**

- Perform deduplication/compression at network speeds (400Mb/s)
- · Use "chunks" instead of files
- Turn a raw/uncompressed data stream into one that exploits
  - Duplicate chunks
  - Redundancies within chunks

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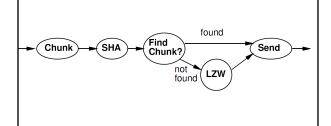
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## **Project Task**



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## Preclass 1

- · How many comparisons per input byte
  - Hint: how many total comparisons?

```
#define MAX_FILE_SIZE 4096
#define MAX_KNOWN_FILES (1024*1024)
int find_file(char file[MAX_FILE_SIZE],int flen, char **known_files) {
   for(int i=0;i<MAX_KNOWN_FILES;i++) {
    bool match=true;</pre>
        for (int j=0;j<flen;j++) match=(match && (file[j]==known_files[i][j])); if (match) return(i);
     return(NO_MATCH);
```

**Project Context** 

- File server input link from network
  - Compress data before sending to disk
  - (or USB link from computer, compress before store to flash)
- · Network link in data center or infrastructure
  - Compress data that goes over network

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## Motivation

· Can we afford to simply compare every incoming file with all the files we've already sent?

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## Requirements?

- · Can we afford to simply compare every incoming file with all the files we've already sent?
- · Data coming in at 400 Mb/s
- Processor (or datapath) running at 1GHz
- · How many comparisons needed per cycle with preclass 1 solution?
  - Hint: how many ns per input byte? Cycles?

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## **Alternate Strategy**

- Is there something we can compute on the input file that will let us
  - Know if a file is definitely not equivalent
    - · So not worth checking every byte
  - Find the duplicate directly?

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## **Exploring Alternatives**

- · What if we xor'ed together every byte in the file?
- · What if we took sum of every word (group of 4 bytes) in the file?

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## Hash

- · A finite digest (fixed number of bits) computed on a potentially large collection of data (like a file)
- · Ideally uniformly random digests
  - each hash value equally likely
- · Use as building block for grouping and matching

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## **Alternatives**

- How about
  - Look at size of file?
  - Look at 10 characters at fixed spots in the
    - E.g. bytes 11, 23, 113, 947, 1168, ....
- · Could do better?
  - Could do something where changing any single character might be detected?

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## Fingerprint, checksum, digest

- · Compute a function on all the bytes in the file → digest
- · Bins files into separate classes by the digest
  - Only need to check those
- · As increase bits in digest
  - Make likelihood of two files having same digest smaller
- · If can arrange for digests to essentially be unique – like a fingerprint

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## Refined Strategy

- · Keep a map of hash digests to files on the system
- · On new file,
  - Compute hash digest on file
  - Only compare file contents against files with the same hash
- · If hash is uniformly random with 20b, how does this reduce the number of ESE53 files need to compare?

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## Hashing Impact

- · With (perfectly distributed) k-bit hash
- $AvgSearch = \frac{TotalFiles}{2^k}$

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# Part 3: Content-Defined Chunking Chunk SHA Find found found LZW Send Find LZW Penn ESE5320 Fall 2025 -- DeHon

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## Files or chunks?

 Why might files be the wrong granularity for identifying duplicates?

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We regularly cut files into fixed-sized blocks

**Blocks** 

- Disk sectors or blocks
- inodes in File systems
- · We could look for duplicates in blocks
- Why might fixed-sized blocks not be right division for deduplication?

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## Preclass 2 Unique Blocks?

Block 0	I am Sam. I am Sam. Sam-I-Am.		Block 4	I am Sam. I am Sam. Sam-I-Am.
	That Sam-I-Am! That Sam-I-Am!			That Sam-I-Am! That Sam-I-Am!
	I do not like that Sam-I-Am!			I do not like that Sam-I-Am!
				Do you like green eggs and ham?
Block 1	Do you like green eggs and ham?		Block 5	I do not like them, Sam-I-Am.
	I do not like them, Sam-I-Am.			I do not like green eggs and ham.
	I do not like green eggs and ham.			
				Would you like them here or there?
Block 2	Would you like them here or there?		Block 6	
				I would not like them here or there.
	I would not like them here or there.			I would not like them anywhere.
	I would not like them anywhere.			I do not like green eggs and ham.
Block 3	I do not like green eggs and ham.	1	Block 7	I do not like them, Sam-I-Am.
	I do not like them, Sam-I-Am,			

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## Preclass 3 Unique Chunks?

Chuck 0 I am Sam. I am Sam. Sam-I-Am. That Sam-I-Am! That Sam-I-Am! I do not like that Sam-I-Am! I do not like that Sam-I-Am!

Chunk 1 Do you like lgreen eggs and ham? I do not like them, Sam-I-Am.

Chunk 2 I do not like lgreen eggs and ham.

Would you like them here or there?

I would not like them here or there. I would not like them anywhere.

Chunk 3 I do not like lgreen eggs and ham. I do not like lgreen eggs and ham. I do not like lgreen eggs and ham. I do not like lgreen eggs and ham.

Chunk 4 I am Sam. I am Sam. Sam-I-Am.
That Sam-I-Am! That Sam-I-Am!
I do not like that Sam-I-Am!
Chunk 5 Do you like kreen eggs and ham!
I do not like then, Sam-I-Am.
Chunk 6 I do not like kreen eggs and ham.
Would you like them here or there?
I would not like them here or there.

I would not like them anywhere.

Chunk 7 I do not like green eggs and ham.
I do not like them, Sam-I-Am.

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## Preclass 2 and 3

· Why are chunks able to capture more duplicates?

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## Content-Define Chunking

- · Would like to re-align pieces around unchanged/common sequences
  - Around the content
- · Break up larger thing (file) into pieces based on features of content
  - Hence``content-defined"

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## **Chunk Creation**

· How do we identify chunks?

## Common File Modifications

- · Add a line of text
- · Remove a line of text
- Fix a typo
- · Rewrite a paragraph
- Trim or compose a video sequence
- → shift data → break alignment in block

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## Chunks

- Pieces of some larger file (data stream)
- · Variable size
  - Over a limited range
- · Discretion in how formed / divided

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## Hashes and Chunk Creation

- Compute a hash on a window of values
  - Window: sequence of W-bytes
  - Like window filter

I i k e green eggs a 0x20 0x67 0x72 0x65 0x65

0xC3

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## Hashes and Chunk Creation Compute a hash on a window of values Window: sequence of W-bytes Like window filter Scan window over the input Kegreen eggs a

## Hashes and Chunk Creation

- Compute a hash on a window of values
  - Window: sequence of W-bytes
  - Like window filter
- · Scan window over the input
- When hash has some special value (like 0 or 0x11)
  - Declare a chunk boundary

I i k e		g	r	е	е	n	е	g	g	s	а
	0x20	0x67	0x72	0x65	0x65	0x63					
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## Hashes as Chunk Cut Points

· What does this do?

n ESE5320 Fall 2025 -- DeHon 0xC3 0x11

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- Guarantees that each chunk begins (or ends) at some fixed hash
- For a particular substring that matches the target hash
  - Always occurs at beginning (or end) of chunk
- · If have a large body of repeated text
  - Will synchronize cuts at the same points based on the content

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## Chunk Size

- · Assume hash is uniformly random
- The likelihood of each window having a particular value is the same
- So, if hash has a range of N, the probability of a particular window having the magic "cut" value is 1/N
- · ...making the average chunk size N
- So, we engineer chunk size by selecting the range of the hash we use

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## Chunking Design

- · Raises questions
  - How big should chunks be?
    - Apply maximum and minimum size beyond content definition?
  - How big should hash window be?
- Discuss
  - What forces drive larger chunks, smaller?
    - How do large chunks help compression? Hurt?

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## **Example Text**

- · Consider beginning of repeated block of text.
- This stuff has already been seen.
- But, we are only matching on something that has a hash of zero.
- · Maybe this line has a hash of zero.
- But, our repeated text is before and after the magic window with the matched hash value.

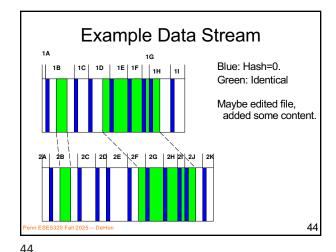
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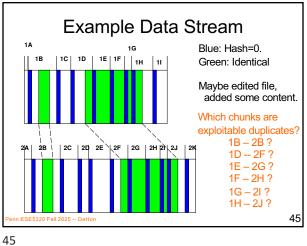
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Chunk Size · Large chunks - Increase potential compression · ChunkSize/ChunkAddressBits - Decrease · Probability of finding whole chunk · Fraction of repeated content included completely inside chunks 46

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Rolling Hash

- · A Windowed hash that can be computed incrementally
- Hash(a[x+0],a[x+1],...a[x+W-1])= G(Hash(a[x-1],a[x+0],...a[x+W-2]))- F(a[x-1])+F(A[x+W-1])
- · i.e., hash computation is associative

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• (+,- used abstractly here, could be in some other domain than modulo arithmetic)

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Rolling Hash likegreeneggsa 0x20 0x67 0x72 0x65 0x65 likegreeneggsa 0x20 0x67 0x72 0x65 0x65 0x63 0xC3 0x11 • hash (gree) = 0x20+0x67+0x72+0x65+0x65• hash (green) = 0x67+0x72+0x65+0x65+0x6e • hash(green) = hash( gree)-0x20+0x6e 48

## Rabin Fingerprinting

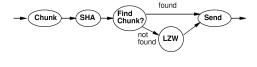
- · Particular scheme for rolling hash due to Michael Rabin based on polynomial over a finite field
- · Commonly used for this chunking application

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## Part 4: Hashing Deduplication



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## **Deduplicate**

- · Compute chunk hash
- · Use chunk hash to lookup known chunks
  - Data already have on disk
  - Data already sent to destination, so destination will know
- If lookup yields a chunk with same hash
  - Check if actually equal (maybe)
- · If chunks equal

- Send (or save) pointer to existing chunk

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## Content-Defined Chunking

- · Compute rolling hash (Rabin Fingerprint) on input stream
- At points where hash value goes to 0, create a new chunk

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## Hashes for Equality

- · We can also (separately) take the hash signature of an entire chunk
- · The longer we make the hash, the lower the likelihood two different chunks will have the same hash
- If hash is perfectly uniform,
  - N-bit hash, two chunks have a 2<sup>-N</sup> chance of having the same hash.

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## **Engineering Hash**

- · 2GB DRAM on Ultra96.
- How many 1KB chunks on a 1TB disk?
- Potential hash values for 256b hash?

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## **Engineering Hash**

- · 2GB DRAM on Ultra96.
- 1G =  $2^{30}$  1KB chunks on a 1TB disk.
- 256b hash has 2<sup>256</sup> potential hashes - Probably of same hash: 2-226

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## **Deduplication Architecture** 57

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## Secure Hash

- · We regularly use digest signatures to identify if a file has been tampered with
- · Again, hashes are same, mean data might be the same
- · For security, we would like additional property
  - not easy to make the anti-tamper signature match

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## **Deduplicate**

- · Compute chunk hash
- Use chunk hash to lookup known chunks
  - Data already have on disk
  - Data already sent to destination, so destination will know
- If lookup yields a chunk with same hash
  - Check if actually equal (maybe)
- · How large of a memory do you need to hold the table of all 256b hash results?
- ESESSHOW relate to Ultra96 DRAM capacity?

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## **Associative Memory**

- · Maps from a key to a value
- · Key not necessarily dense
  - Contrast simple RAM
- · Talk about options to implement next week

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## Cryptographic Hash

- · One-way functions
- · Easy to compute the hash
- · Hard to invert
  - Ideally, only way to get back to input data is by brute force - try all possible inputs
- · Key: someone cannot change the content (add a backdoor to code) and then change some further to get hash signature to match original

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## SHA-256

- Standard secure hash with a 256b hash digest signature
- · Heavily analyzed
- · Heavily used
  - TLS, SSL, PGP, Bitcoin, ...

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Chunk

## Preclass 4

- I AM S<2,3><5,4><0,4>
- · Message?

A M

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## Preclass 5, 6

Part 5:

LZW Compression

Send

- Bits in unencoded (decoded) message?
  - Assume 8b char
- · Bits for encoded message?
  - Assume 9b for character
    - 1 bit to say is a character, then 8b char

**Encoding** 

- Encode by successively selecting the longest match between the head of the

remaining string to send and the current

– And 9b for <x,y> pair

· Greedy simplification

window

• 1 bit char, 4b for each of x and y

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## Idea

- Use data already sent as the dictionary
  - Give short names to things in dictionary
  - Don't need to pre-arrange dictionary
  - Adapt to common phrases/idioms in a particular document

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## Algorithm Concept

- · While data to send
  - Find largest match in window of data sent
  - If length too small (length=1)
    - · Send character
  - Else
    - Send <x,y> = <match-pos,length>
  - Add data encoded into sent window

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## **Next Time**

· See a clever way to reduce comparisons to constant work per input character (linear in data being compressed)

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## Big Ideas

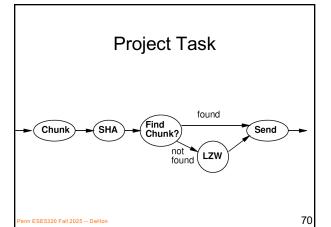
- · Can reduce data size by identifying and reducing redundancy
- · Can spend computation and data storage to reduce communication traffic

## Preclass 7

· How many comparisons per invocation?

```
#define DICT_SIZE 4096
#define LENGTH 256
// clen<=LENGTH
int longest_match(char dict[DICT_SIZE], char candidate[LENGTH], int clen) {
  int best_len=0;
  int best_loc=1;
  for (int i=0;i*DICT_SIZE-clen;i++) {
       j=0; \\  while((candidate[j]==dict[i+j]) ~\&~ (j < clen)) ~~ \{ \\
       if (j>best_len) {
           best_len=j;
best_loc=i;
   return((best_loc<<8)|best_len);
                                                                                                                              68
```

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## Admin

- Feedback
- HW7 due Friday
- Project assignment out
- · Reading for Monday online
- · First project milestone due next Friday
  - Including teaming
    - report teaming earlier ... especially if team needs board

- Teams of 3

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