

# Automata, Computability and Complexity

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## Homework 7

November 2, 2017; Due November 14, 2017, beginning of class

“B problems” must be turned in.

**Problem B1 (80 pts).** This problem illustrates the power of the congruence version of Myhill-Nerode.

Recall that the reversal of a string,  $w \in \Sigma^*$ , is defined inductively as follows:

$$\begin{aligned}\epsilon^R &= \epsilon \\ (ua)^R &= au^R,\end{aligned}$$

for all  $u \in \Sigma^*$  and all  $a \in \Sigma$ .

(1) Let  $\sim$  be a congruence (on  $\Sigma^*$ ) and assume that  $\sim$  has  $n$  equivalence classes. Define  $\sim_R$  and  $\approx$  by

$$u \sim_R v \quad \text{iff} \quad u^R \sim v^R, \quad \text{for all } u, v \in \Sigma^* \quad \text{and} \quad \approx = \sim \cap \sim_R.$$

Prove that the relation  $\approx$  is a congruence and that  $\approx$  has at most  $n^2$  equivalence classes.

(2) Given any regular language  $L$  over  $\Sigma^*$  let

$$L^{(1/2)} = \{w \in \Sigma^* \mid ww^R \in L\}.$$

Prove that  $L^{(1/2)}$  is also regular using the relation  $\approx$  of part (1).

(3) Let  $L$  be any regular language over some alphabet  $\Sigma$ . For any natural number  $k \geq 2$ , let

$$L^{(1/k)} = \{w \in \Sigma^* \mid (ww^R)^{k-1} \in L\} = \{w \in \Sigma^* \mid \underbrace{ww^R ww^R \cdots ww^R}_{k-1} \in L\}.$$

Also define the languages

$$\begin{aligned}L^{1/\infty} &= \{w \in \Sigma^* \mid (ww^R)^{k-1} \in L, \quad \text{for all } k \geq 2\}, \quad \text{and} \\ L^\infty &= \{w \in \Sigma^* \mid (ww^R)^{k-1} \in L, \quad \text{for some } k \geq 2\}.\end{aligned}$$

Prove that every language  $L^{(1/k)}$  is regular.

(4) Prove that there are only finitely many distinct languages of the form  $L^{(1/k)}$  (this means that the set of languages  $\{L^{(1/k)}\}_{k \geq 2}$  is finite). Prove that  $L^{1/\infty}$  and  $L^\infty$  are regular.

**Problem B2 (100 pts).** Which of the following languages are regular? Justify each answer.

(1)  $L_1 = \{wcv \mid w \in \{a, b\}^*\}$ . (here  $\Sigma = \{a, b, c\}$ ).

(2)  $L_2 = \{xy \mid x, y \in \{a, b\}^* \text{ and } |x| = |y|\}$ . (here  $\Sigma = \{a, b\}$ )

(3)  $L_3 = \{a^n \mid n \text{ is a prime number}\}$ . (here  $\Sigma = \{a\}$ ).

(4)  $L_4 = \{a^m b^n \mid \gcd(m, n) = 23\}$ . (here  $\Sigma = \{a, b\}$ ).

(5) Consider the language

$$L_5 = \{a^{4n+3} \mid 4n + 3 \text{ is prime}\}.$$

Assuming that  $L_5$  is infinite, prove that  $L_5$  is not regular.

(6) Let  $F_n = 2^{2^n} + 1$ , for any integer  $n \geq 0$ , and let

$$L_6 = \{a^{F_n} \mid n \geq 0\}.$$

Here  $\Sigma = \{a\}$ .

**Extra Credit (from 10 up to 10<sup>100</sup> pts).** Find explicitly what  $F_0, F_1, F_2, F_3$  are, and check that they are prime. What about  $F_4$ ?

Is the language

$$L_7 = \{a^{F_n} \mid n \geq 0, F_n \text{ is prime}\}$$

regular?

**Extra Credit (20 pts).** Prove that there are infinitely many primes of the form  $4n + 3$ .

The list of such primes begins with

$$3, 7, 11, 19, 23, 31, 43, \dots$$

Say we already have  $n + 1$  of these primes, denoted by

$$3, p_1, p_2, \dots, p_n,$$

where  $p_i > 3$ . Consider the number

$$m = 4p_1 p_2 \dots p_n + 3.$$

If  $m = q_1 \dots q_k$  is a prime factorization of  $m$ , prove that  $q_j > 3$  for  $j = 1, \dots, k$  and that no  $q_j$  is equal to any of the  $p_i$ 's. Prove that one of the  $q_j$ 's must be of the form  $4n + 3$ , which

shows that there is a prime of the form  $4n + 3$  greater than any of the previous primes of the same form.

**Problem B3 (80 pts).** The purpose of this problem is to get a fast algorithm for testing state equivalence in a DFA. Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automaton. Recall that *state equivalence* is the equivalence relation  $\equiv$  on  $Q$ , defined such that,

$$p \equiv q \quad \text{iff} \quad \forall z \in \Sigma^* (\delta^*(p, z) \in F \quad \text{iff} \quad \delta^*(q, z) \in F),$$

and that *i-equivalence* is the equivalence relation  $\equiv_i$  on  $Q$ , defined such that,

$$p \equiv_i q \quad \text{iff} \quad \forall z \in \Sigma^*, |z| \leq i (\delta^*(p, z) \in F \quad \text{iff} \quad \delta^*(q, z) \in F).$$

A relation  $S \subseteq Q \times Q$  is a *forward closure* iff it is an equivalence relation and whenever  $(p, q) \in S$ , then  $(\delta(p, a), \delta(q, a)) \in S$ , for all  $a \in \Sigma$ .

We say that a forward closure  $S$  is *good* iff whenever  $(p, q) \in S$ , then *good*( $p, q$ ), where *good*( $p, q$ ) holds iff either both  $p, q \in F$ , or both  $p, q \notin F$ .

Given any relation  $R \subseteq Q \times Q$ , recall that the smallest equivalence relation  $R_{\approx}$  containing  $R$  is the relation  $(R \cup R^{-1})^*$  (where  $R^{-1} = \{(q, p) \mid (p, q) \in R\}$ , and  $(R \cup R^{-1})^*$  is the reflexive and transitive closure of  $(R \cup R^{-1})$ ). We define the sequence of relations  $R_i \subseteq Q \times Q$  as follows:

$$\begin{aligned} R_0 &= R_{\approx} \\ R_{i+1} &= (R_i \cup \{(\delta(p, a), \delta(q, a)) \mid (p, q) \in R_i, a \in \Sigma\})_{\approx}. \end{aligned}$$

(1) Prove that  $R_{i_0+1} = R_{i_0}$  for some least  $i_0$ . Prove that  $R_{i_0}$  is the smallest forward closure containing  $R$ .

*Hint.* First, prove that

$$R_i \subseteq R_{i+1}$$

for all  $i \geq 0$ . Next, prove that  $R_{i_0}$  is forward closed.

If  $\sim$  is any forward closure containing  $R$ , prove by induction that

$$R_i \subseteq \sim$$

for all  $i \geq 0$ .

We denote the smallest forward closure  $R_{i_0}$  containing  $R$  as  $R^\dagger$ , and call it the *forward closure of  $R$* .

(2) Prove that  $p \equiv q$  iff the forward closure  $R^\dagger$  of the relation  $R = \{(p, q)\}$  is good.

*Hint.* First, prove that if  $R^\dagger$  is good, then

$$R^\dagger \subseteq \equiv .$$

For this, prove by induction that

$$R^\dagger \subseteq \equiv_i$$

for all  $i \geq 0$ .

Then, prove that if  $p \equiv q$ , then

$$R^\dagger \subseteq \equiv .$$

For this, prove that  $\equiv$  is an equivalence relation containing  $R = \{(p, q)\}$  and that  $\equiv$  is forward closed.

**TOTAL: 260 points + 30 points**