# Names are (mostly) Useless: Encoding Nominal Logic Programming Techniques with Use-counting and Dependent Types

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#### **Binding and Names**

- There are various familiar ways of handling binding
- HOAS, Nominal Logic, deBruijn indices, etc.
- **Nominal logic** supposed to allow particularly easy reasoning about **disequality**, **apartness**: primitive apartness relation *a*#*b*

# Example: $\alpha$ -inequality of $\lambda$ -terms (in Nominal Logic Programming)

[taken from Cheney, Urban '06]

```
var: name \rightarrow term
lam: \langle name \rangle term \rightarrow term
aneq (lam \langle x \rangle E) (lam \langle x \rangle E') := aneq E E'
aneq (var X) (var Y) := X#Y
...
```

# Example: $\alpha$ -inequality of $\lambda$ -terms (in HOAS)

```
var: name \rightarrow term lam: (name \rightarrow term) \rightarrow term aneq (lam E) (lam E') := \Pi x: name. aneq (E x) (E' x) aneq (var X) (var Y) := ?
```

Problem: last clause (apparently) can't help but match even when X and Y are equal.

Even worse with usual HOAS encoding of terms where variables are not specially distinguished!

#### **Alternate HOAS Encoding**

- Actually could tediously keep track of and pass around a list of names discovered so far each time a new name is introduced
- Effectively implement apartness manually by walking through this list
- Not terrifically satisfying

#### **Another Idea**

- Use concepts from **resource-sensitive** substructural logics (e.g. linear logic) to get simple **encoding** of apartness relation
  - without introducing it as primitive as in nominal logic
  - without explicit list-passing or -crawling as in HOAS above

#### Sketch

- Declare X#Y as a relation, with kind something like  $name \rightarrow name \rightarrow type$ .
- **Define** X#Y with one clause something like  $\Pi X$ : $name.\Pi Y$ :name.X#Y.
- But we don't want **any** *X* and *Y* in this relation, just **different** ones
- So **consume** each argument linearly to enforce disjointness: think 'name → name → ···'
- Want some kind of **linear Pi**, so we can say something like  $\Pi X$ :name. $\Pi Y$ :name.X # Y.
- **Key Idea 1**: *Use disjointness of linear resources to model apartness of names*

#### **Problem with Linear Dependent Types**

Naïvely combining linearity with dependency can lead to serious problems.

Suppose we tried to typecheck

$$\lambda x.\lambda y.(y^x): \Pi x : o.\Pi y:(o \multimap fam^x).fam^x$$

in the signature

$$o: \mathsf{type}$$
.  $fam: o \multimap \mathsf{type}$ .

Then we'd get:

$$x : o \vdash x : o$$
  $y : o \multimap fam^x \vdash y : o \multimap fam^x$   
 $x : o , y : o \multimap fam^x \vdash y^x : fam^x$ 

Context splitting strands *y* away from *x*!

#### **Solution**

- Can't seem to have relations (type families) themselves actually **use** (consume) resources linearly
- But we still need to **mention** linear resources, e.g. in the clause:  $\Pi X : name.\Pi Y : name.X \# Y.$
- Introduce 'Useless' function type  $A \not \sim B$ , useless function kind  $A \not \sim$  type to allow mention without use
- Will have # : name → name → type
- **Key Idea 2**: *Use useless functions to reconcile linearity with the dependency of the type family # on names that are resources*

# Plan • Sketch appropriate **logic** for encoding • Show how **apartness** is encoded • Examples of **use** of apartness relation

#### *n*-Linear Logic

- Useless functions and linear Pi are both instances of a more general n-linear dependent function type  $\Pi x$ : $^n A.B$
- Function uses its argument **exactly** *n* times
- Useless: n = 0 ( $A \not\rightarrow B = \Pi x:^0 A.B$ )
- Linear:  $n = 1 (\Pi x : A.B = \Pi x : ^1A.B)$
- Note that if  $\lambda x.M : \Pi x:^n A.B$ , then x is used n times  $\underline{\text{in } M}$ , not in B!
- In fact x will be required to be **used** <u>zero</u> times in B, but may still get **mentioned** in B (B might contain as a subterm e.g.  $c^x$  for  $c: A \not \to A'$ )

## **Judgmental Setup**

 $(x:^n A)$  means: x gets used exactly n times

$$\Delta ::= x_1 :^{n_1} A_1, \ldots, x_K :^{n_K} A_K$$

$$\Gamma ::= x_1 : B_1, \ldots, x_K : B_K$$

Typing judgment:

$$\Delta$$
;  $\Gamma \vdash M : C$ 

## *n*-Linear dependent function types

$$\Gamma$$
;  $\Delta$ ,  $x : ^n A \vdash M : B$ 

$$\Gamma$$
;  $\Delta \vdash \hat{\lambda}x.M : \Pi x:^n A.B$ 

$$\Gamma$$
;  $\Delta_1 \vdash M : \Pi x : {}^n A . B$   $\Gamma$ ;  $\Delta_2 \vdash N : A$ 

$$\Gamma$$
;  $\Delta_1 + n \cdot \Delta_2 \vdash M \hat{\ } N : [N/x]B$ 

$$(x:^n A) + (x:^m A) = (x:^{n+m} A)$$

$$n \cdot (x : ^m A) = (x : ^{nm} A)$$

## Ordinary dependent function types

 $\Gamma$ , x : A;  $\Delta \vdash M : B$ 

 $\overline{\Gamma; \Delta \vdash \lambda x.M : \Pi x.A.B}$ 

 $\Gamma$ ;  $\Delta \vdash M : \Pi x : A . B$   $\Gamma$ ;  $0 \cdot \Delta \vdash N : A$ 

 $\Gamma$ ;  $\Delta \vdash M N : [N/x]B$ 

#### **Use of Variables**

$$x:A\in\Gamma$$

$$\Gamma$$
;  $0 \cdot \Delta \vdash x : A$ 

$$\frac{\Gamma; \ 0 \cdot \Delta \vdash x : A}{\Gamma; \ (x : ^{1} A) + 0 \cdot \Delta \vdash x : A}$$

#### **Additives**

$$\frac{\Gamma; \Delta \vdash M : A \qquad \Gamma; \Delta \vdash N}{\Gamma; \Delta \vdash \langle M, N \rangle : A \& B}$$

$$\frac{\Gamma; \Delta \vdash M : A\&B}{\Gamma; \Delta \vdash \pi_1 M : A} \qquad \frac{\Gamma; \Delta \vdash M : A\&B}{\Gamma; \Delta \vdash \pi_2 M : B}$$

#### **Well-Formedness of Dependent Types**

$$\frac{\Gamma; \Delta, \ x :^{0} A \vdash B : \mathsf{type}}{\Gamma; \Delta \vdash \Pi x :^{n} A . B : \mathsf{type}} \qquad \frac{\Gamma, \ x : A ; \Delta \vdash B : \mathsf{type}}{\Gamma; \Delta \vdash \Pi x : A . B : \mathsf{type}}$$

- Argument of a (n-)linear  $\Pi$  is required to "be used **zero** times" in the body of the type.
- Safe generalization of usual requirement that it is not mentioned to occur (i.e. the nondependent function type →)

# **Encoding Apartness**

```
name: type.
#: name \not \leftarrow name \not \leftarrow type
irrefl: \Pi X:^1 name. \Pi Y:^1 name. (X#Y \smile \top)
That's it!
```

#### **Encoding Apartness**

```
name: type.
#: name 
other name 
other type
irrefl: \Pi X:^1 name. \Pi Y:^1 name. (X#Y 
other T)
```

#### Note that:

- X # Y short for  $\#^X Y$
- $\frown$  T because other names besides *X* and *Y* may be present
- Resources hypotheses of names consumed in **derivation** of apartness and not in **formation** of the apartness relation

```
var : name +∘ term
```

 $lam : (name \neq \circ term) \rightarrow term$ 

 $_{-}$ : aneq (lam E) (lam E')  $\sim$  ( $\Pi x$ : <sup>1</sup>name.aneq ( $E^{x}$ ) ( $E'^{x}$ ))

 $\_$ : aneq (var X) (var Y)  $\backsim$  X#Y

··· (more cases, just as in nominal logic program)

```
var: name 
eq term
lam: (name 
eq term) 
otherm
\_: aneq (lam E) (lam E') 
other (\Pi x: 
eq name aneq (E^x) (E'^x))
\_: aneq (var X) (var Y) 
other X#Y
```

• Functions over names are 0-linear dependent functions. ("Names are Useless")

```
var: name \neq o term
lam: (name \neq o term) \rightarrow term
\_: aneq (lam E) (lam E') o (\Pi x:^1 name.aneq (E^x) (E'^x))
\_: aneq (var X) (var Y) o X#Y
```

- Functions over names are 0-linear dependent functions.
- Linear functions automatically propagate the set of names.

```
var: name \not \leftarrow term
lam: (name \not \leftarrow term) \rightarrow term
\_: aneq (lam E) (lam E') \smile (\Pi x:^1 name .aneq (E^x) (E'^x))
\_: aneq (var X) (var Y) \smile X\#Y
```

- Functions over names are 0-linear dependent functions.
- Linear functions automatically propagate the set of names.
- 1-linear dependent function abstracts over new name.

#### The Encoding In Action

(abbreviate *name* as *n*)

$$x_1 : {}^{1} n$$
,  $x_3 : {}^{1} n \vdash T$   $x_2 : {}^{1} n \vdash x_2 : n$   $x_4 : {}^{1} n \vdash x_4 : n$   
 $x_1 : {}^{1} n$ ,  $x_2 : {}^{1} n$ ,  $x_3 : {}^{1} n$ ,  $x_4 : {}^{1} n \vdash x_4 \# x_2$   
 $x_1 : {}^{1} n$ ,  $x_2 : {}^{1} n$ ,  $x_3 : {}^{1} n$ ,  $x_4 : {}^{1} n \vdash aneq (var x_4) (var x_2)$ 

**Recall**:  $irrefl : \Pi X:^{1} name.\Pi Y:^{1} name. (X#Y \longrightarrow \top)$ 

$$x_1 : {}^{1} n$$
,  $x_3 : {}^{1} n \vdash T$   $x_2 : {}^{X} n \vdash x_2 : n$   $x_2 : {}^{X} n \vdash x_2 : n$   $x_1 : {}^{1} n$ ,  $x_2 : {}^{1} n$ ,  $x_3 : {}^{1} n$ ,  $x_4 : {}^{1} n \vdash x_2 \# x_2$   $x_1 : {}^{1} n$ ,  $x_2 : {}^{1} n$ ,  $x_3 : {}^{1} n$ ,  $x_4 : {}^{1} n \vdash aneq (var x_2) (var x_2)$ 

**Problem**: no  $X \in \mathbb{N}$  s.t. X + X = 1

## **Encoding a Programming Language with Store**

 $eval: store \rightarrow exp \rightarrow result \rightarrow type$ 

 $letref: val \rightarrow (val \rightarrow exp) \rightarrow exp \% let x = ref v in e$ 

 $let!: val \rightarrow (val \rightarrow exp) \rightarrow exp \% let x = (!v) in e$ 

loc : name +∘ val

 $((\_,\_) :: \_) : name \not \multimap val \rightarrow store \rightarrow store$ 

Consider a small CPS language with updatable store represented as a list of name/value pairs.

#### **Encoding a Programming Language with Store**

```
eval: store \rightarrow exp \rightarrow result \rightarrow type
letref: val \rightarrow (val \rightarrow exp) \rightarrow exp \% let x = ref v in e
let!: val \rightarrow (val \rightarrow exp) \rightarrow exp \% let x = (!v) in e
loc : name + val
((\_,\_) :: \_) : name \not - val \rightarrow store \rightarrow store
\_: eval\ S\ (letref\ V\ E)\ R \hookrightarrow \Pi\ell:^1 n.\ eval\ ((\ell,V)::S)\ (E\ (loc^\ell))\ R
\_: eval \ S \ (let! \ (loc \ ^L) \ E) \ R \hookrightarrow (lookup \ S \ ^L \ V \ \& \ eval \ S \ (E \ V) \ R)
lookup: store \rightarrow name \not - val \rightarrow type
_{-}:lookup((N,V)::S)^{N}V \hookrightarrow \top
_{-}: lookup ((N',_{-})::S)^{N} V \hookrightarrow (N\#N' \& lookup S^{N} V)
```

#### Reasoning in a Programming Language with Store

```
wfstore: store \rightarrow \mathsf{type}
notin: name \not - store \rightarrow type
\_: wfstore \ nil \smile \top
\_: wfstore ((N, \_) :: S) \hookrightarrow (notin^N S \& wfstore S)
\_: notin ^ N nil \hookrightarrow \top
\_: notin ^N ((N', \_) :: S) \hookrightarrow (notin ^N S \& N # N')
Or: could use substructural features directly, for shorter or more
expressive encoding
wfstore': store \rightarrow type
\_: wfstore' \ nil \hookrightarrow \top \ (or \ just \_: wfstore' \ nil)
\_: \Pi x:^1 name .(wfstore' S \multimap wfstore' ((x, \_) :: S))
```

#### **Related Work**

- *n*-use functions [Wright, Momigliano]
- Other 0-use ("irrelevant") functions [Pfenning, Ley-Wild]
- RLF [Ishtiaq, Pym]
- HLF
  - Designed for statement of metatheorems for Linear LF.
  - Does n-linear  $\Pi$ s above, and more (e.g. some of BI)
  - Prototype implementation

#### Conclusion

- **Key Idea 1**: *Use disjointness of linear resources to model apartness of names*
- **Key Idea 2**: *Use useless functions to reconcile linearity with the dependency of the type family # on names that are resources*
- Substructural dependent types can imitate nominal logic programming techniques
- Practical?
- In what ways does it do even better?

